OTHER INDEPENDENT AGENCIES

ACCESS BOARD

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Access Board, as authorized by section 502 of the Rehabilitation Act of 1973, as amended, $7,448,000: Provided, That, notwithstanding any other provision of law, there may be credited to this appropriation funds received for publications and training expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–3200–0–1–751	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Salaries and Expenses	7	7	7
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	7	7	7
1160 Appropriation, discretionary (total)	7	7	7
1930 Total budgetary resources available	7	7	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 Obligations incurred, unexpired accounts	7	7	7
3020 Outlays (gross)	–7	–7	–7
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	7	7	7
Outlays, gross:			
4010 Outlays from new discretionary authority	6	6	6
4011 Outlays from discretionary balances	1	1	1
4020 Outlays, gross (total)	7	7	7
4180 Budget authority, net (total)	7	7	7
4190 Outlays, net (total)	7	7	7

The Architectural and Transportation Barriers Compliance Board (Access Board) was established by section 502 of the Rehabilitation Act of 1973. The Access Board is responsible for developing guidelines under the Americans with Disabilities Act, the Architectural Barriers Act, and the Telecommunications Act. These guidelines ensure that buildings and facilities, transportation vehicles, and telecommunications equipment covered by these laws are readily accessible to and usable by people with disabilities. The Board is also responsible for developing standards under section 508 of the Rehabilitation Act for accessible electronic and information technology used by Federal agencies and standards under section 510 of the Rehabilitation Act for accessible medical diagnostic equipment. In addition, the Access Board enforces the Architectural Barriers Act, and provides training and technical assistance on the guidelines and standards it develops.

The Board also has additional responsibilities under the Help America Vote Act. The Board serves on the Board of Advisors and the Technical Guidelines Development Committee, which helps the Election Assistance Commission develop voluntary guidelines and guidance for voting systems, including accessibility for people with disabilities.

Object Classification (in millions of dollars)

Identification code 95–3200–0–1–751	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	4
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	1	1	1
25.1 Advisory and assistance services	1	1	.....
25.3 Other goods and services from Federal sources	1	1	1
99.9 Total new obligations	7	7	7

Employment Summary

Identification code 95–3200–0–1–751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	29	31	32

ADMINISTRATIVE CONFERENCE OF THE UNITED STATES

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Administrative Conference of the United States, authorized by 5 U.S.C. 591 et seq., $3,200,000, to remain available until September 30, 2015, of which not to exceed $1,000 is for official reception and representation expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–1700–0–1–751	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	3	3	3
0900 Total new obligations (object class 99.5)	3	3	3
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	3	3
1160 Appropriation, discretionary (total)	3	3	3
1930 Total budgetary resources available	4	4	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	3	3	3
3020 Outlays (gross)	–3	–3	–3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority	2	2	2
4011 Outlays from discretionary balances	1	1	1
4020 Outlays, gross (total)	3	3	3
4180 Budget authority, net (total)	3	3	3
4190 Outlays, net (total)	3	3	3

The Administrative Conference of the United States (ACUS) is an independent agency that assists the President, the Congress, the Judicial Conference and Federal agencies in improving the

SALARIES AND EXPENSES—Continued

regulatory and legal process through consensus-driven applied research. The Conference analyzes the administrative law process and, among its many activities, issues formal recommendations for improvements that reduce costs to government agencies promote effective public participation in the rulemaking process, and reduce unnecessary litigation. The Conference is a public-private partnership comprised of senior government officials and private sector leaders in law, business, and academia.

ADVISORY COUNCIL ON HISTORIC PRESERVATION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Advisory Council on Historic Preservation (Public Law 89–665, as amended), $6,531,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–2300–0–1–303	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	6	6	7
0801 Reimbursable program	1	1	1
0900 Total new obligations	7	7	8
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	6	6	7
1160 Appropriation, discretionary (total)	6	6	7
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	1	1
1750 Spending auth from offsetting collections, disc (total)	1	1	1
1900 Budget authority (total)	7	7	8
1930 Total budgetary resources available	8	8	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	7	7	8
3020 Outlays (gross)	–7	–7	–8
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–1	–2	–2
3071 Change in uncollected pymts, Fed sources, expired	–1	.....	.....
3090 Uncollected pymts, Fed sources, end of year	–2	–2	–2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	–1	–2	–2
3200 Obligated balance, end of year	–2	–2	–2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	7	7	8
Outlays, gross:			
4010 Outlays from new discretionary authority	6	7	8
4011 Outlays from discretionary balances	1	.....	.....
4020 Outlays, gross (total)	7	7	8
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–1	–1	–1
4180 Budget authority, net (total)	6	6	7
4190 Outlays, net (total)	6	6	7

The Council advises the President and the Congress on national historic preservation policy and promotes the preservation, enhancement, and productive use of our Nation's historic resources.

Object Classification (in millions of dollars)

Identification code 95–2300–0–1–303	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	4	5
25.2 Other services from non-Federal sources	2	2	2
99.0 Direct obligations	6	6	7
99.0 Reimbursable obligations	1	1	1
99.9 Total new obligations	7	7	8

Employment Summary

Identification code 95–2300–0–1–303	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	32	32	33
2001 Reimbursable civilian full-time equivalent employment	5	5	8

AFFORDABLE HOUSING PROGRAM

Federal Funds

AFFORDABLE HOUSING PROGRAM

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5528–0–2–604	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	.....	.....
Receipts:			
0200 Contributions, Federal Home Loan Banks, Affordable Housing Program	286	286	286
0400 Total: Balances and collections	286	286	286
Appropriations:			
0500 Affordable Housing Program	–286	–286	–286
0799 Balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 95–5528–0–2–604	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	286	286	286
0900 Total new obligations (object class 41.0)	286	286	286
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	286	286	286
1260 Appropriations, mandatory (total)	286	286	286
1930 Total budgetary resources available	286	286	286
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	286	286	286
3020 Outlays (gross)	–286	–286	–286
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	286	286	286
Outlays, gross:			
4100 Outlays from new mandatory authority	286	286	286
4180 Budget authority, net (total)	286	286	286
4190 Outlays, net (total)	286	286	286

The Affordable Housing Program was created by the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (FIRREA). FIRREA requires each of the twelve Federal Home Loan Banks to contribute 10-percent of its previous year's net earnings to an Affordable Housing Program (AHP) to be used to

subsidize the cost of affordable homeownership and rental housing. The Federal Housing Finance Agency (FHFA) regulates the AHP and ensures that the AHP fulfills its mission.

APPALACHIAN REGIONAL COMMISSION

Federal Funds

APPALACHIAN REGIONAL COMMISSION

For expenses necessary to carry out the programs authorized by the Appalachian Regional Development Act of 1965, as amended, notwithstanding 40 U.S.C. 14704, and for necessary expenses for the Federal Co-Chairman and the Alternate on the Appalachian Regional Commission, for payment of the Federal share of the administrative expenses of the Commission, including services as authorized by 5 U.S.C. 3109, and hire of passenger motor vehicles, $64,618,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 46–0200–0–1–452	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0101 Appalachian development highway system	1	1	1
0102 Area development and technical assistance program	63	65	65
0103 Local development districts program	7	7	7
0191 Total Appalachian regional development programs	71	73	73
0201 Federal co-chairman and staff	2	2	2
0202 Administrative expenses	4	4	4
0291 Total salaries and expenses	6	6	6
0900 Total new obligations	77	79	79
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	19	20	18
1021 Recoveries of prior year unpaid obligations	9	7	7
1050 Unobligated balance (total)	28	27	25
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	68	69	65
1160 Appropriation, discretionary (total)	68	69	65
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	1	1
1750 Spending auth from offsetting collections, disc (total)	1	1	1
1900 Budget authority (total)	69	70	66
1930 Total budgetary resources available	97	97	91
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	20	18	12
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	147	130	120
3010 Obligations incurred, unexpired accounts	77	79	79
3020 Outlays (gross)	–85	–82	–83
3040 Recoveries of prior year unpaid obligations, unexpired	–9	–7	–7
3050 Unpaid obligations, end of year	130	120	109
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	147	130	120
3200 Obligated balance, end of year	130	120	109
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	69	70	66
Outlays, gross:			
4010 Outlays from new discretionary authority	26	23	22
4011 Outlays from discretionary balances	59	59	61
4020 Outlays, gross (total)	85	82	83
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–1	–1	–1
4180 Budget authority, net (total)	68	69	65
4190 Outlays, net (total)	84	81	82

The Appalachian Regional Commission (ARC) was established as a Federal-State partnership in 1965 to invest in sustainable economic development in the 420 county Appalachian Region. The Commission is comprised of 13 members representing the States in the Region and a Federal Co-Chairman, who represents the Federal Government. It is the mission of the ARC to help the Appalachian Region reach parity with the Nation by planning and coordinating regional investments and targeting resources to those communities with the greatest needs. ARC investments go toward area development and technical assistance goals, such as increasing job opportunities, improving employability, strengthening basic infrastructure and building the Appalachian Development Highway System. ARC also assists communities through support of 73 multi-county Local Development Districts (LDDs) that assist local governments in implementing economic development strategies. In 2014, ARC will devote $10 million to work with partner agencies on the Administration's Appalachian Regional Development Inititative Memorandum of Understanding to promote diversified and sustainable economic growth and employment in the Region.

Salaries and expenses.—In this Federal-State partnership, the Federal Government contributes half of the expenses of a professional staff that works with the States and the Federal staff in operating the program. The other half of these non-Federal employee expenses are provided by member States.

Performance.—A detailed presentation of performance outcomes, measures, and targets can be found in the ARC 2014 Budget submission.

Object Classification (in millions of dollars)

Identification code 46–0200–0–1–452	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services from non-Federal sources	4	4	4
41.0 Grants, subsidies, and contributions	50	50	50
99.0 Direct obligations	55	55	55
99.0 Reimbursable obligations	1	1	1
41.0 Allocation Account - direct: Grants, subsidies, and contributions	21	23	23
99.9 Total new obligations	77	79	79

Employment Summary

Identification code 46–0200–0–1–452	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	7	8	8

Trust Funds

MISCELLANEOUS TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 46–9971–0–7–452	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	.....	.....
Receipts:			
0220 Fees for Services, Appalachian Regional Commission	5	4	5
0240 General Fund Contributions, Appalachian Regional Commission	4	4	4
0299 Total receipts and collections	9	8	9
0400 Total: Balances and collections	9	8	9
Appropriations:			
0500 Miscellaneous Trust Funds	–9	–8	–9

MISCELLANEOUS TRUST FUNDS—Continued

Special and Trust Fund Receipts—Continued

Identification code 46–9971–0–7–452	2012 actual	2013 CR	2014 est.
0799 Balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 46–9971–0–7–452	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	8	9	9
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	2	1
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	9	8	9
1260 Appropriations, mandatory (total)	9	8	9
1930 Total budgetary resources available	10	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 Obligations incurred, unexpired accounts	8	9	9
3020 Outlays (gross)	–8	–9	–9
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	9	8	9
Outlays, gross:			
4100 Outlays from new mandatory authority	8	8	9
4101 Outlays from mandatory balances	.....	1	.....
4110 Outlays, gross (total)	8	9	9
4180 Budget authority, net (total)	9	8	9
4190 Outlays, net (total)	8	9	9

Under the Appalachian Regional Development Act, administrative activities of the Commission are funded equally by Federal funds and State funds. Those funds are deposited into and paid out of a trust fund at the Treasury Department.

Object Classification (in millions of dollars)

Identification code 46–9971–0–7–452	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments	4	4	4
23.2 Rental payments to others	1	1	1
25.2 Other services from non-Federal sources	3	4	4
99.9 Total new obligations	8	9	9

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION

Trust Funds

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION FOUNDATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–8281–0–7–502	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	40	40	38
Receipts:			
0240 Interest on Investments, Barry Goldwater Scholarship and Excellence in Education Foundation	2	3	4
0400 Total: Balances and collections	42	43	42
Appropriations:			
0500 Barry Goldwater Scholarship and Excellence in Education Foundation	–2	–5	–5
0799 Balance, end of year	40	38	37

Program and Financing (in millions of dollars)

Identification code 95–8281–0–7–502	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	3	4	4
0900 Total new obligations (object class 41.0)	3	4	4
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	27	26	27
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	2	5	5
1260 Appropriations, mandatory (total)	2	5	5
1930 Total budgetary resources available	29	31	32
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	26	27	28
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	.....	1	1
3010 Obligations incurred, unexpired accounts	3	4	4
3020 Outlays (gross)	–2	–4	–4
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	.....	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	2	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority	2	4	4
4180 Budget authority, net (total)	2	5	5
4190 Outlays, net (total)	2	4	4
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	65	67	66
5001 Total investments, EOY: Federal securities: Par value	67	66	66

Public Law 99–661 established the Barry Goldwater Scholarship and Excellence in Education Foundation to operate the scholarship program that is the sole permanent tribute to the former Senator from Arizona. The Foundation awards scholarships to outstanding undergraduate students who intend to pursue careers in mathematics, the natural sciences and engineering. The Foundation awards approximately 300 scholarships each year.

Employment Summary

Identification code 95–8281–0–7–502	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	2	2	2

BROADCASTING BOARD OF GOVERNORS

Federal Funds

INTERNATIONAL BROADCASTING OPERATIONS

For necessary expenses to enable the Broadcasting Board of Governors (BBG), as authorized, to carry out international communication activities, and to make and supervise grants for radio, television, and other digital broadcasting to the Middle East, $722,580,000: Provided, That funds appropriated under this heading shall be made available to expand un-

restricted access to information on the Internet through the development and use of circumvention and secure communication technologies: Provided further, That the circumvention technologies and programs supported by such funds shall undergo a review, to include an assessment of protections against such technologies being used for illicit purposes: Provided further, That the BBG shall coordinate the development and use of such technologies with the Secretary of State, as appropriate: Provided further, That, of the total amount appropriated under this heading, not to exceed $16,000 may be used for official receptions within the United States as authorized, not to exceed $35,000 may be used for representation abroad as authorized, and not to exceed $39,000 may be used for official reception and representation expenses of Radio Free Europe/Radio Liberty: Provided further, That the authority provided by section 504(c) of the Foreign Relations Authorization Act, Fiscal Year 2003 (Public Law 107–228; 22 U.S.C. 6206 note) shall remain in effect through September 30, 2014: Provided further, That the BBG shall notify the Committees on Appropriations within 15 days of any determination by the Board that any of its broadcast entities, including its grantee organizations, provides an open platform for international terrorists or those who support international terrorism, or is in violation of the principles and standards set forth in the United States International Broadcasting Act of 1994 (22 U.S.C. 6202(a) and (b)) or the entity's journalistic code of ethics: Provided further, That significant modifications to transmission platforms (shortwave, medium wave, satellite, Internet, and television) resulting in significant cost reallocations among programs, projects, or activities shall be reported annually to the Committees on Appropriations: Provided further, That, in addition to funds made available under this heading, and notwithstanding any other provision of law, up to $5,000,000 in receipts from advertising and revenue from business ventures, up to $500,000 in receipts from cooperating international organizations, and up to $1,000,000 in receipts from privatization efforts of the Voice of America and the International Broadcasting Bureau shall remain available until expended for carrying out authorized purposes.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–0206–0–1–154	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Broadcasting Board of Governors	751	712	718
0100 Subtotal, direct obligations	751	712	718
0801 Reimbursable program	5	5	5
0900 Total new obligations	756	717	723
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	13	2	31
1011 Unobligated balance transfer from other accts [95–1147]	1	1	.....
1050 Unobligated balance (total)	14	3	31
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	745	749	723
1160 Appropriation, discretionary (total)	745	749	723
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	6	6
1701 Change in uncollected payments, Federal sources	–3	–3	–3
1750 Spending auth from offsetting collections, disc (total)	.....	3	3
1900 Budget authority (total)	745	752	726
1930 Total budgetary resources available	759	755	757
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–1	–7	–7
1941 Unexpired unobligated balance, end of year	2	31	27
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	113	124	100
3001 Adjustments to unpaid obligations, brought forward, Oct 1	–1	.....	.....
3010 Obligations incurred, unexpired accounts	756	717	723
3011 Obligations incurred, expired accounts	2	2	2
3020 Outlays (gross)	–739	–743	–725
3041 Recoveries of prior year unpaid obligations, expired	–7	.....	.....
3050 Unpaid obligations, end of year	124	100	100
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–8	–2	–1
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1	1	.....	.....
3070 Change in uncollected pymts, Fed sources, unexpired	3	3	3
3071 Change in uncollected pymts, Fed sources, expired	2	–2	–2
3090 Uncollected pymts, Fed sources, end of year	–2	–1	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	105	122	99
3200 Obligated balance, end of year	122	99	100
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	745	752	726
Outlays, gross:			
4010 Outlays from new discretionary authority	642	632	610
4011 Outlays from discretionary balances	97	111	115
4020 Outlays, gross (total)	739	743	725
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–5	–8	–8
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	3	3	3
4052 Offsetting collections credited to expired accounts	2	2	2
4060 Additional offsets against budget authority only (total)	5	5	5
4070 Budget authority, net (discretionary)	745	749	723
4080 Outlays, net (discretionary)	734	735	717
4180 Budget authority, net (total)	745	749	723
4190 Outlays, net (total)	734	735	717

This appropriation provides operational funding for U.S. nonmilitary, international broadcasting programs, including the Voice of America, Office of Cuba Broadcasting, Radio Free Europe/Radio Liberty, Radio Free Asia, and the Middle East Broadcasting Networks and the necessary engineering and technical, program, and administrative support activities.

In 2014, funding is included to support the Broadcasting Board of Governors global operations, investments in digital and new media efforts, enhanced programming in the Maghreb and trans-Sahel regions of Africa, and the evolution from shortwave to digital technologies (satellite and Internet radio, mobile phone, and social media).

Object Classification (in millions of dollars)

Identification code 95–0206–0–1–154	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	173	163	163
11.3 Other than full-time permanent	6	5	5
11.5 Other personnel compensation	11	11	11
11.8 Special personal services payments	3	3	3
11.9 Total personnel compensation	193	182	182
12.1 Civilian personnel benefits	54	51	51
21.0 Travel and transportation of persons	4	3	5
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	30	31	33
23.2 Rental payments to others	4	4	4
23.3 Communications, utilities, and miscellaneous charges	83	75	76
25.1 Advisory and assistance services	4	4	4
25.2 Other services from non-Federal sources	88	80	80
25.3 Other goods and services from Federal sources	1	1	1
25.4 Operation and maintenance of facilities	1	1	1
25.5 Research and development contracts	11	10	10
25.7 Operation and maintenance of equipment	12	12	13
26.0 Supplies and materials	10	9	9
31.0 Equipment	12	11	11
41.0 Grants, subsidies, and contributions	245	237	237
99.0 Direct obligations	753	712	718
99.0 Reimbursable obligations	3	5	5
99.9 Total new obligations	756	717	723

INTERNATIONAL BROADCASTING OPERATIONS—Continued

Employment Summary

Identification code 95–0206–0–1–154	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	1,864	1,870	1,962

BROADCASTING CAPITAL IMPROVEMENTS

For the purchase, rent, construction, and improvement of facilities for radio, television, and digital transmission and reception, and purchase and installation of necessary equipment for radio, television, and digital transmission and reception, as authorized, $8,500,000, to remain available until expended, as authorized.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–0204–0–1–154	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0002 Upgrade of existing relay station capabilities	6	2	3
0003 Maintenance, improvements, replacements and repairs	6	5	5
0005 Satellite and terrestrial feed systems	1	1	1
0192 Total direct obligations	13	8	9
0900 Total new obligations	13	8	9
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	9	11
1021 Recoveries of prior year unpaid obligations	3	.....	3
1050 Unobligated balance (total)	9	9	14
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	7	8	9
1160 Appropriation, discretionary (total)	7	8	9
Spending authority from offsetting collections, discretionary:			
1700 Collected	6	2	5
1750 Spending auth from offsetting collections, disc (total)	6	2	5
1900 Budget authority (total)	13	10	14
1930 Total budgetary resources available	22	19	28
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	9	11	19
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	14	14	13
3010 Obligations incurred, unexpired accounts	13	8	9
3020 Outlays (gross)	–10	–9	–12
3040 Recoveries of prior year unpaid obligations, unexpired	–3	.....	–3
3050 Unpaid obligations, end of year	14	13	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	14	14	13
3200 Obligated balance, end of year	14	13	7
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	13	10	14
Outlays, gross:			
4010 Outlays from new discretionary authority	3	3	5
4011 Outlays from discretionary balances	7	6	7
4020 Outlays, gross (total)	10	9	12
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	–6	–2	–5
4180 Budget authority, net (total)	7	8	9
4190 Outlays, net (total)	4	7	7

This account provides funding for maintenance and improvement of the Broadcasting Board of Governors' worldwide transmission network. This activity funds the upgrade of transmission facilities and equipment to improve transmission quality and includes digital media management, the conversion of program production and operations to a digital domain, broadcast disaster recovery, and infrastructure projects. Further activities include the continuing repairs and improvements required to maintain the global transmission and communications network, assessing and maintaining building and physical security requirements, the construction and maintenance of the Satellite Interconnect System (SIS), Television Receive Only (TVRO) earth stations, advanced data networks, and upgrading global satellite distribution and operations.

Object Classification (in millions of dollars)

Identification code 95–0204–0–1–154	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.1 Advisory and assistance services	1	.....	.....
25.2 Other services from non-Federal sources	4	4	4
25.4 Operation and maintenance of facilities	2	1	2
25.7 Operation and maintenance of equipment	1	.....	.....
26.0 Supplies and materials	1	1	1
31.0 Equipment	4	2	2
99.9 Total new obligations	13	8	9

BUYING POWER MAINTENANCE

Program and Financing (in millions of dollars)

Identification code 95–1147–0–1–154	2012 actual	2013 CR	2014 est.
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	.....	1	.....
1010 Unobligated balance transfer to other accts [95–0206]	–1	–1	.....
1012 Unobligated balance transfers between expired and unexpired accounts	2	.....	.....
1050 Unobligated balance (total)	1	.....	.....
1930 Total budgetary resources available	1	.....	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	.....	.....

This account provides funding to offset losses due to exchange rate and overseas wage and price fluctuations unanticipated in the President's Budget. As authorized, gains due to fluctuations are deposited into this account to be available to offset future losses.

Trust Funds

FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

Program and Financing (in millions of dollars)

Identification code 95–8285–0–7–602	2012 actual	2013 CR	2014 est.
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	7	7
1020 Adjustment of unobligated bal brought forward, Oct 1	1	.....	.....
1050 Unobligated balance (total)	7	7	7
1930 Total budgetary resources available	7	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	7	7	7

This fund is maintained to pay separation costs for Foreign Service National employees of the Broadcasting Board of Governors in those countries in which such pay is legally authorized. The fund, as authorized by Public Law 102–138, and amended by Division G of P.L. 105–277, the Foreign Affairs Reform and Restructuring Act of 1998, is maintained by annual government

contributions which are appropriated in the International Broadcasting Operations account.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
95–322068 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	2	.....	.....
General Fund Offsetting receipts from the public	2	.....	.....

GENERAL PROVISIONS

CREATION OF THE POSITION OF CHIEF EXECUTIVE OFFICER OF UNITED STATES INTERNATIONAL BROADCASTING

SEC. 701. (a) Section 304(f) of the United States International Broadcasting Act of 1994, as amended (22 U.S.C. 6204(f)) is amended to read as follows:

(f) DECISIONS.—Decisions of the Board shall be made by majority vote, a quorum being present. A quorum shall consist of a majority of Governors then serving (as determined under subsection (c) of this Section) at the time a decision of the Board is made.

(b) Sections 305(a)(2) and (11) of the United States International Broadcasting Act of 1994, as amended (22 U.S.C. 6204(a)(2) and (11)) are amended to read as follows:

(a) AUTHORITIES

The entity known as the Broadcasting Board of Governors shall have the following authorities:

(2) To review and evaluate the mission and operation of, and to assess the quality, effectiveness, and professional integrity of, all such activities within the context of the broad foreign policy objectives of the United States, and to set the strategic direction for international broadcasting activities of the Federal and non-Federal entities granted funds under the Broadcasting Board of Governors.

(11) To appoint a Chief Executive Officer for a 5-year term that is renewable at the Board's discretion and such other staff personnel of the Board as the Board may determine to be necessary, subject to the provisions of Title 5 governing appointments, classification, and compensation. The Board shall appoint a Chief Executive Officer by no later than 180 days following the effective date hereof (and may appoint an interim Chief Executive Office prior to such appointment) and, thereafter, within 180 days of the departure or removal of a Chief Executive Officer. The Chief Executive Officer may be removed by the Board by a 2/3 majority of Governors then serving.

(c) Sections 305(b), (c), and (d) of the United States International Broadcasting Act of 1994, as amended (22 U.S.C. 6204) are amended to read as follows:

(b) DELEGATION OF AUTHORITY.—The Chief Executive Officer shall have sole responsibility to carry out the authorities enumerated in 22 USC 6204(a)(1), (5), (6), (7), (8), (10), (11 (except the authority to appoint the Chief Executive Officer under paragraph (11) of subsection (a))), (12), (13), (14), (15), (16), (17), (18), and (19) subject to the ongoing supervision of the Board. The Board, at its discretion, may delegate the responsibilities enumerated in 22 USC 6204(a)(2), (3), (4), and (9) which may be delegated to the extent the Board determines to be appropriate. The Chief Executive Officer shall exercise any authority so delegated subject to the ongoing supervision of the Board, except the authority to appoint and remove the Chief Executive Officer under paragraph (11) of subsection (a), which shall be exercised solely by the Board.

(c) BROADCASTING BUDGETS.—The Chief Executive Officer shall submit proposed budgets to the Board for all activities authorized to be conducted under this title for review and approval. The Board shall forward its recommendations concerning the proposed budget for the Board and broadcasting activities under this title, the Radio Broadcasting to Cuba Act, and the Television Broadcasting to Cuba Act to the Office of Management and Budget.

(d) PROFESSIONAL INDEPENDENCE OF BROADCASTERS.—The Secretary of State, the Board, and the Chief Executive Officer, in carrying out their functions, shall respect the professional independence and integrity of the International Broadcasting Bureau, its broadcasting services, and the grantees of the Board.

(d) Section 307(b) of the United States International Broadcasting Act of 1994, as amended (22 U.S.C. 6206(b)) is amended to read as follow:

(b) SELECTION OF DIRECTOR OF BUREAU.—The Director of the Bureau shall be abolished immediately after the individual holding that office on the date of the enactment of this Act ceases to hold that office, and all responsibilities and authorities shall be transferred to the Chief Executive Officer.

(e) Section 310(a), (b), (c), and (d) of the United States International Broadcasting Act of 1994, as amended (22 U.S.C. 6209) is to read as follows:

Section 310-Establishment of the Chief Executive Officer

(a) FUNCTIONS AND DUTIES.

(1) The Chief Executive Officer shall have the following functions and duties:

(i) To exercise the authorities identified pursuant to Section 305(b);

(ii) To exercise the authorities delegated by the Board of Governors pursuant to Section 305(b);

(iii) To carry out all of the broadcasting activities conducted pursuant to this title, the Radio Broadcasting to Cuba Act, and the Television Broadcasting to Cuba Act.

(2) The Chief Executive Officer shall not assume any of the functions and duties of the Director of the International Broadcasting Bureau until the individual holding that office on the date of the enactment of this Act ceases to hold that office.

(b) ORGANIZATION OF BROADCASTING ACTIVITIES SUBORDINATE TO THE CHIEF EXECUTIVE OFFICER.—The position of the Director of the International Broadcasting Bureau shall be abolished immediately after the individual holding that office on the date of the enactment of this Act ceases to hold that office, and the functions and activities formerly organized under such Director shall be organized as directed by, and shall be subordinate to, the Chief Executive Officer. The Directors of the Voice of America and the Office of Cuba Broadcasting shall report, and are subordinate, to the Chief Executive Officer. RFE/RL, Incorporated; Radio Free Asia; and Middle East Broadcast Networks, Incorporated are independent organizations but shall communicate and report through the Chief Executive Officer to the Board.

(c) CHIEF EXECUTIVE OFFICER AUTHORITY OVER GRANTS.—For all grants made by the Broadcasting Board of Governors, a condition of the grant shall be that the Chief Executive Officer shall have authority to redirect funds within the scope of the grant as needed in order to maintain consistency with Board-approved agency priorities worldwide. Failure to comply with any redirection in accordance with this condition shall be a violation of the terms and conditions of the award and may result in corrective action taken by the Broadcasting Board of Governors, which may include suspension or termination of the grant until remedied.

(d) CONGRESSIONAL LOBBYING.—No grant funds provided by the Broadcasting Board of Governors may be used for any activity for the purpose of influencing the passage or defeat of legislation being considered by Congress.

BUREAU OF CONSUMER FINANCIAL PROTECTION

Federal Funds

BUREAU OF CONSUMER FINANCIAL PROTECTION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5577–0–2–376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	.....	.....
Receipts:			
0200 Transfers from the Federal Reserve Board, Bureau of Consumer Financial Protection Fund	343	522	497
Adjustments:			
0290 Adjustment - receipts rounding issue	1	.....	.....

BUREAU OF CONSUMER FINANCIAL PROTECTION FUND—Continued

Special and Trust Fund Receipts—Continued

Identification code 95–5577–0–2–376	2012 actual	2013 CR	2014 est.
0299 Total receipts and collections	344	522	497
0400 Total: Balances and collections	344	522	497
Appropriations:			
0500 Bureau of Consumer Financial Protection Fund	–344	–522	–497
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95–5577–0–2–376	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Consumer Financial Protection Bureau	300	541	497
0100 Direct program activities, subtotal	300	541	497
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	48	100	81
1021 Recoveries of prior year unpaid obligations	8		
1050 Unobligated balance (total)	56	100	81
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	344	522	497
1260 Appropriations, mandatory (total)	344	522	497
1930 Total budgetary resources available	400	622	578
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	100	81	81
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	52	110	301
3010 Obligations incurred, unexpired accounts	300	541	497
3020 Outlays (gross)	–234	–350	–508
3040 Recoveries of prior year unpaid obligations, unexpired	–8		
3050 Unpaid obligations, end of year	110	301	290
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	52	110	301
3200 Obligated balance, end of year	110	301	290
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	344	522	497
Outlays, gross:			
4100 Outlays from new mandatory authority	144	339	323
4101 Outlays from mandatory balances	90	11	185
4110 Outlays, gross (total)	234	350	508
4180 Budget authority, net (total)	344	522	497
4190 Outlays, net (total)	234	350	508
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	80	187	281
5001 Total investments, EOY: Federal securities: Par value	187	281	308

The Consumer Financial Protection Bureau (CFPB) was established under Title X of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203) as an independent bureau in the Federal Reserve System. To create a single point of accountability in the Federal government for consumer financial protection, the Act consolidated authorities previously shared by seven Federal agencies under Federal consumer financial laws into the CFPB and provided the Bureau with additional authorities to:

—Conduct rulemaking, supervision, and enforcement with respect to Federal consumer financial laws;

—Handle consumer complaints and inquiries about financial products;

—Promote financial education, literacy, and access;

—Research consumer behavior; and,

—Monitor financial markets for new risks to consumers.

Funding required to support the CFPB's operations is obtained primarily through transfers from the Board of Governors of the Federal Reserve System. The Act provides that such funds "shall not be construed to be Government funds or appropriated monies..." Transfers to the Bureau in 2013 are capped at $597.6 million. The transfer cap for 2014, as adjusted by an annual inflation indicator, is estimated to be $608.4 million. The Bureau anticipates requesting less than the transfer cap to fund operations in 2013 and 2014 and the Budget reflects estimates of $522 and $497 million, respectively. The CFPB is also authorized to request up to $200 million in discretionary appropriations in 2010–2014 if the amount transferred by the Federal Reserve is not sufficient. Any request to secure funding through discretionary appropriations under this provision would be subject to the Congressional appropriations process. The CFPB is not requesting a discretionary appropriation in 2013 or 2014.

Pursuant to the Act, the CFPB is also authorized to collect civil penalties in any judicial or administrative action under Federal consumer financial laws. These fees are maintained and displayed in a separate account titled "Consumer Financial Civil Penalty Fund."

For further discussion of the CFPB's activities, see the chapter on Financial Stabilization and Their Budgetary Effects in the *Analytical Perspectives* volume of the Budget.

Object Classification (in millions of dollars)

Identification code 95–5577–0–2–376	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	99	154	203
12.1 Civilian personnel benefits	34	56	72
21.0 Travel and transportation of persons	10	19	23
23.1 Rental payments to GSA		1	1
23.3 Communications, utilities, and miscellaneous charges	2	1	1
24.0 Printing and reproduction	2	2	2
25.2 Other services from non-Federal sources	135	183	174
26.0 Supplies and materials	3	4	4
31.0 Equipment	15	26	17
32.0 Land and structures		95	
99.9 Total new obligations	300	541	497

Employment Summary

Identification code 95–5577–0–2–376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	831	1,214	1,545

CONSUMER FINANCIAL CIVIL PENALTY FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5578–0–2–376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year			
Receipts:			
0200 Penalties and Fines, Consumer Financial Protection	32	14	
0400 Total: Balances and collections	32	14	
Appropriations:			
0500 Consumer Financial Civil Penalty Fund	–32	–14	
0799 Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 95–5578–0–2–376	2012 actual	2013 CR	2014 est.
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		32	46

	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	32	14	.....
1260	Appropriations, mandatory (total)	32	14	.....
1930	Total budgetary resources available	32	46	46
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	32	46	46
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	32	14	.....
4180	Budget authority, net (total)	32	14	.....

Pursuant to Title X of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203), the Consumer Financial Protection Bureau (CFPB) is authorized to collect civil penalties in any judicial or administrative action under Federal consumer financial laws. Per the Act, such funds will be available for payments to the victims of activities for which civil penalties have been imposed under the Federal consumer financial laws. To the extent that such victims cannot be located or payments are not practicable, the CFPB may use such funds for consumer education and financial literacy programs.

CENTRAL INTELLIGENCE AGENCY

Federal Funds

CENTRAL INTELLIGENCE AGENCY RETIREMENT AND DISABILITY SYSTEM FUND

For payment to the Central Intelligence Agency Retirement and Disability System Fund, to maintain the proper funding level for continuing the operation of the Central Intelligence Agency Retirement and Disability System, $514,000,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 56–3400–0–1–054	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Personnel benefits	514	514	514
0900 Total new obligations (object class 13.0)	514	514	514
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	514	514	514
1260 Appropriations, mandatory (total)	514	514	514
1930 Total budgetary resources available	514	514	514
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	514	514	514
3020 Outlays (gross)	–514	–514	–514
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	514	514	514
Outlays, gross:			
4100 Outlays from new mandatory authority	514	514	514
4180 Budget authority, net (total)	514	514	514
4190 Outlays, net (total)	514	514	514

Independent actuarial projections show the CIARDS Fund with an unfunded liability of $6.2 billion. To ensure that the Fund remains solvent and authorized payments to beneficiaries continue, the Budget requests $514 million in 2014. This amount reflects the amortized cost of recapitalizing the CIARDS Fund over twenty years.

CHEMICAL SAFETY AND HAZARD INVESTIGATION BOARD

Federal Funds

SALARIES AND EXPENSES

For necessary expenses in carrying out activities pursuant to section 112(r)(6) of the Clean Air Act, as amended, including hire of passenger vehicles, uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902, and for services authorized by 5 U.S.C. 3109 but at rates for individuals not to exceed the per diem equivalent to the maximum rate payable for senior level positions under 5 U.S.C. 5376, $11,484,000: Provided, That the Chemical Safety and Hazard Investigation Board (Board) shall have not more than three career Senior Executive Service positions: Provided further, That notwithstanding any other provision of law, the individual appointed to the position of Inspector General of the Environmental Protection Agency (EPA) shall, by virtue of such appointment, also hold the position of Inspector General of the Board: Provided further, That notwithstanding any other provision of law, the Inspector General of the Board shall utilize personnel of the Office of Inspector General of EPA in performing the duties of the Inspector General of the Board, and shall not appoint any individuals to positions within the Board.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–3850–0–1–304	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	11	11	11
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	11	11	11
1160 Appropriation, discretionary (total)	11	11	11
1930 Total budgetary resources available	12	12	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	1	1
3010 Obligations incurred, unexpired accounts	11	11	11
3020 Outlays (gross)	–11	–11	–11
3041 Recoveries of prior year unpaid obligations, expired	–1	.....	.....
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	11	11	11
Outlays, gross:			
4010 Outlays from new discretionary authority	10	10	10
4011 Outlays from discretionary balances	1	1	1
4020 Outlays, gross (total)	11	11	11
4180 Budget authority, net (total)	11	11	11
4190 Outlays, net (total)	11	11	11

The Chemical Safety and Hazard Investigation Board, as authorized by the Clean Air Act Amendments of 1990, became operational in 1998. It is an independent, non-regulatory agency that promotes chemical safety and accident prevention through

SALARIES AND EXPENSES—Continued

investigating chemical accidents; making recommendations for accident prevention; conducting special studies; broadly disseminating its findings to industry and labor organizations; and advising the President and the Congress on key issues relating to chemical safety and on actions taken by the Environmental Protection Agency, the Department of Labor, and other Federal agencies to implement Board recommendations. As authorized by law, the Board will submit a concurrent request for 2014 to the Congress and OMB.

Object Classification (in millions of dollars)

Identification code 95–3850–0–1–304	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	4	4	4
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	5	5	5
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
23.2 Rental payments to others	1	1	1
25.1 Advisory and assistance services	2	2	2
25.3 Other goods and services from Federal sources	1	1	1
99.9 Total new obligations	11	11	11

Employment Summary

Identification code 95–3850–0–1–304	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	43	51	51

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

Federal Funds

Program and Financing (in millions of dollars)

Identification code 76–0100–0–1–502	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	1	.....	.....
0900 Total new obligations (object class 41.0)	1	.....	.....
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	.....	.....
1160 Appropriation, discretionary (total)	1	.....	.....
1930 Total budgetary resources available	1	.....	.....
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	1	.....	.....
3020 Outlays (gross)	–1	.....	.....
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1	.....	.....
Outlays, gross:			
4010 Outlays from new discretionary authority	1	.....	.....
4180 Budget authority, net (total)	1	.....	.....
4190 Outlays, net (total)	1	.....	.....

Employment Summary

Identification code 76–0100–0–1–502	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	.....	2	2

Trust Funds

CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 76–8187–0–7–502	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	.....	1
Receipts:			
0240 General Fund Payment, Christopher Columbus Scholarship Fund	1	1	1
0400 Total: Balances and collections	1	1	2
Appropriations:			
0500 Christopher Columbus Fellowship Foundation	–1	.....	.....
0799 Balance, end of year	.....	1	2

Program and Financing (in millions of dollars)

Identification code 76–8187–0–7–502	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	1	1	.....
0900 Total new obligations (object class 99.5)	1	1	.....
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	.....
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1	.....	.....
1260 Appropriations, mandatory (total)	1	.....	.....
1930 Total budgetary resources available	2	1	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	.....	.....
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	.....	.....	1
3010 Obligations incurred, unexpired accounts	1	1	.....
3020 Outlays (gross)	–1	.....	.....
3050 Unpaid obligations, end of year	.....	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	.....	.....	1
3200 Obligated balance, end of year	.....	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1	.....	.....
Outlays, gross:			
4100 Outlays from new mandatory authority	1	.....	.....
4180 Budget authority, net (total)	1	.....	.....
4190 Outlays, net (total)	1	.....	.....

Public Law 102–281 established the Christopher Columbus Fellowship Foundation "to encourage and support research, study, and labor designed to produce new discoveries in all fields of endeavor for the benefit of mankind." Surcharges from the sale of Christopher Columbus Quincentenary coins were placed in the Foundation's trust fund to operate the Foundation's programs.

The Foundation supports competitive programs rewarding American scientist/researchers, companies, educators and students who develop new innovations and innovative approaches to homeland security, life sciences, agriscience and solving community issues through science and education.

The Foundation will continue its programs until its funds are expended.

Employment Summary

Identification code 76–8187–0–7–502	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	2	.....	.....

CIVILIAN PROPERTY REALIGNMENT BOARD

Federal Funds

Salaries and Expenses

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 95–3753–4–1–804	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Salaries and Expenses	.....	.....	17
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	.....	.....	17
1260 Appropriations, mandatory (total)	.....	.....	17
1930 Total budgetary resources available	.....	.....	17
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	.....	.....	17
3020 Outlays (gross)	.....	.....	–17
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	.....	.....	17
Outlays, gross:			
4100 Outlays from new mandatory authority	.....	.....	17
4180 Budget authority, net (total)	.....	.....	17
4190 Outlays, net (total)	.....	.....	17

The Civilian Property Realignment Board, as envisioned by the Administration's Civilian Property Realignment Act proposal, is an independent agency that assists the President and Congress in identifying ways the Government can eliminate unneeded assets and downsize its real property inventory. This independent structure, which was modeled off of the successful Base Realignment and Closure (BRAC) process, would enable the Federal Government to cut through the challenging competing stakeholder interests that slow the disposal and consolidation of unneeded properties. Though the Federal Government has made real progress on reforming the management of its real property, through actions such as issuing the "Freeze the Footprint" policy, this independent Board would allow us to achieve long-desired opportunities for reform and deficit reduction within the inventory with far greater scope, speed, and efficiency. The goals of the Board would be to sell unneeded property, reduce the operating costs of the Government, support and incentivize agency co-location, resolve the Government's reliance on costly leases, and improve the sustainability of the Government's operations.

Object Classification (in millions of dollars)

Identification code 95–3753–4–1–804	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	.....	.....	7
12.1 Civilian personnel benefits	.....	.....	2
21.0 Travel and transportation of persons	.....	.....	1
23.1 Rental payments to GSA	.....	.....	1
23.3 Communications, utilities, and miscellaneous charges	.....	.....	1
25.1 Advisory and assistance services	.....	.....	3
26.0 Supplies and materials	.....	.....	1
31.0 Equipment	.....	.....	1
99.9 Total new obligations	.....	.....	17

Employment Summary

Identification code 95–3753–4–1–804	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	.....	.....	38

Asset Proceeds and Space Management Fund

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 95–4350–4–3–804	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 CPRA Board Recommendations	.....	.....	120
0002 Transfers to the General Fund	.....	.....	120
0900 Total new obligations (object class 25.3)	.....	.....	240
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	.....	.....	40
1260 Appropriations, mandatory (total)	.....	.....	40
Spending authority from offsetting collections, mandatory:			
1800 Collected	.....	.....	200
1850 Spending auth from offsetting collections, mand (total)	.....	.....	200
1900 Budget authority (total)	.....	.....	240
1930 Total budgetary resources available	.....	.....	240
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	.....	.....	240
3020 Outlays (gross)	.....	.....	–216
3050 Unpaid obligations, end of year	.....	.....	24
Memorandum (non-add) entries:			
3200 Obligated balance, end of year	.....	.....	24
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	.....	.....	240
Outlays, gross:			
4100 Outlays from new mandatory authority	.....	.....	216
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	.....	.....	–200
4180 Budget authority, net (total)	.....	.....	40
4190 Outlays, net (total)	.....	.....	16

The Civilian Property Realignment Board, as envisioned by the Administration's Civilian Property Realignment Act proposal, will utilize a revolving fund (the Asset Proceeds and Space Management Fund) to facilitate the disposal process by serving as a source of resources to reimburse an agency for some necessary costs associated with disposing of property. Through this fund, the Board may provide, upon approval of the Director of the Office of Management and Budget, logistical and financial support to agencies in their efforts to prepare properties for disposal, consolidation, co-location, or other reconfiguration. The appropriation in the amount of $40,000,000 will supply initial capital to fund this role of the Board. Thereafter, at least sixty percent of net proceeds received from the sale of any property implemented as a result of a Board recommendation shall be sent directly to the General Fund of the Treasury. In a proportion decided by the Director of the Office of Management and Budget, the remaining forty percent will be used to replenish this Asset Proceeds and Space Management fund and for the purpose of investments in agency real property management. The retention of agency proceeds by the Board's revolving fund will allow the Board to continue its role to provide logistical and financial sup-

ASSET PROCEEDS AND SPACE MANAGEMENT FUND—Continued

port to agencies implementing Board recommendations, as well as fund the Board's own operations, reducing the need for future appropriated funds.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Intragovernmental payments:			
95–263900 Asset Sale Proceeds	.....	.....	120
General Fund Intragovernmental payments	.....	.....	120

COMMISSION OF FINE ARTS

Federal Funds

SALARIES AND EXPENSES

For expenses of the Commission of Fine Arts under Chapter 91 of title 40, United States Code, $2,406,000: Provided, That the Commission is authorized to charge fees to cover the full costs of its publications, and such fees shall be credited to this account as an offsetting collection, to remain available until expended without further appropriation: Provided further, That the Commission is authorized to accept gifts, including objects, papers, artwork, drawings and artifacts, that pertain to the history and design of the Nation's Capital or the history and activities of the Commission of Fine Arts, for the purpose of artistic display, study or education.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–2600–0–1–451	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	2	2	2
0900 Total new obligations	2	2	2
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2	2	2
1160 Appropriation, discretionary (total)	2	2	2
1930 Total budgetary resources available	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	2	2	2
3020 Outlays (gross)	–2	–2	–2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority	2	2	2
4180 Budget authority, net (total)	2	2	2
4190 Outlays, net (total)	2	2	2

The Commission advises the President, the Congress, and department heads on matters of architecture, sculpture, landscape, and other fine arts. Its primary function is to preserve and enhance the appearance of the Nation's Capital.

Object Classification (in millions of dollars)

Identification code 95–2600–0–1–451	2012 actual	2013 CR	2014 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	1	1
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	2	2	2

Employment Summary

Identification code 95–2600–0–1–451	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	11	11	11

NATIONAL CAPITAL ARTS AND CULTURAL AFFAIRS

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–2602–0–1–503	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	2	2	.....
0900 Total new obligations (object class 41.0)	2	2	.....
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2	2	.....
1160 Appropriation, discretionary (total)	2	2	.....
1930 Total budgetary resources available	2	2	.....
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	2	2	.....
3020 Outlays (gross)	–2	–2	.....
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2	2	.....
Outlays, gross:			
4010 Outlays from new discretionary authority	2	2	.....
4180 Budget authority, net (total)	2	2	.....
4190 Outlays, net (total)	2	2	.....

No funding is proposed for this non-competitive grants program administered by the Commission of Fine Arts. The President's Budget proposes funding to transform this program to a competitive grants program administered by the District of Columbia.

COMMISSION ON CIVIL RIGHTS

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Commission on Civil Rights, including hire of passenger motor vehicles, $9,400,000: Provided, That none of the funds appropriated in this paragraph shall be used to employ in excess of four full-time individuals under Schedule C of the Excepted Service exclusive of one special assistant for each Commissioner: Provided further, That none of the funds appropriated in this paragraph shall be used to reimburse Commissioners for more than 75 billable days, with the exception of the chairperson, who is permitted 125 billable days: Provided further, That none of the funds appropriated in this paragraph shall be used for any activity or expense that is not explicitly authorized by 42 U.S.C.

1975a: Provided further, That there shall be an Inspector General at the Commission on Civil Rights who shall have the duties, responsibilities, and authorities specified in the Inspector General Act of 1978, as amended: Provided further, That an individual appointed to the position of Inspector General of the Government Accountability Office (GAO) shall, by virtue of such appointment, also hold the position of Inspector General of the Commission on Civil Rights: Provided further, That the Inspector General of the Commission on Civil Rights shall utilize personnel of the Office of Inspector General of GAO in performing the duties of the Inspector General of the Commission on Civil Rights, and shall not appoint any individuals to positions within the Commission on Civil Rights: Provided further, That of the amounts made available in this paragraph, $250,000 shall be transferred directly to the Office of Inspector General of GAO upon enactment of this Act for salaries and expenses necessary to carry out the duties of the Inspector General of the Commission on Civil Rights.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–1900–0–1–751	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	9	9	9
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	9	9	9
1160 Appropriation, discretionary (total)	9	9	9
1930 Total budgetary resources available	9	9	9
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	2	2
3010 Obligations incurred, unexpired accounts	9	9	9
3020 Outlays (gross)	–8	–9	–9
3050 Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	2	2
3200 Obligated balance, end of year	2	2	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	9	9	9
Outlays, gross:			
4010 Outlays from new discretionary authority	7	9	9
4011 Outlays from discretionary balances	1	.....	.....
4020 Outlays, gross (total)	8	9	9
4180 Budget authority, net (total)	9	9	9
4190 Outlays, net (total)	8	9	9

Originally established by the Civil Rights Act of 1957, the U.S. Commission on Civil Rights (USCCR) is an independent, bipartisan, fact-finding Federal agency. Its mission is to inform the development of national civil rights policy and enhance enforcement of Federal civil rights laws. The Commission pursues this mission by studying alleged deprivations of voting rights and alleged discrimination based on race, color, religion, sex, age, disability, or national origin, or in the administration of justice. The Commission plays a vital role in advancing civil rights through objective and comprehensive investigation, research, and analysis on issues of fundamental concern to the Federal government and the public. The Commission also supports a network of State Advisory Committees, each composed of a diverse group of citizen volunteers, which conduct civil rights research at the State and regional levels.

Object Classification (in millions of dollars)

Identification code 95–1900–0–1–751	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	5	5	5
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	1	1	1
25.2 Other services from non-Federal sources	2	2	2
99.9 Total new obligations	9	9	9

Employment Summary

Identification code 95–1900–0–1–751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	39	44	44

COMMITTEE FOR PURCHASE FROM PEOPLE WHO ARE BLIND OR SEVERELY DISABLED

Federal Funds

Salaries and Expenses

For expenses necessary for the Committee for Purchase From People Who Are Blind or Severely Disabled established by Public Law 92–28, $5,396,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–2000–0–1–505	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Salaries and Expenses	5	5	5
0900 Total new obligations	5	5	5
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5	5	5
1160 Appropriation, discretionary (total)	5	5	5
1930 Total budgetary resources available	5	5	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 Obligations incurred, unexpired accounts	5	5	5
3020 Outlays (gross)	–5	–5	–5
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5	5	5
Outlays, gross:			
4010 Outlays from new discretionary authority	5	4	4
4011 Outlays from discretionary balances	.....	1	1
4020 Outlays, gross (total)	5	5	5
4180 Budget authority, net (total)	5	5	5
4190 Outlays, net (total)	5	5	5

The Committee for Purchase From People Who Are Blind or Severely Disabled (operating as the U.S. AbilityOne Commission) administers the AbilityOne Program under the authority of the Javits-Wagner-O'Day Act of 1971, as amended. The principal objective of AbilityOne is to leverage the purchasing power of the Federal Government to provide employment opportunities for

SALARIES AND EXPENSES—Continued

people who are blind or have other significant disabilities. The Committee accomplishes its mission by identifying Government procurement requirements that can create employment opportunities for individuals who are blind or have other significant disabilities. Following opportunities for public comment and after due deliberation, the Committee then places such products and service requirements on the AbilityOne Procurement List, thus requiring Federal departments and agencies to procure the designated products and services from a network of just below 600 qualified State and private nonprofit agencies (NPAs) employing people who are blind or have other significant disabilities.

The long-term vision of AbilityOne is to enable people who are blind or have other significant disabilities to achieve their maximum employment potential. In 2012, approximately 50,000 AbilityOne employees earned a combined total of more than $550 million in wages, with an average hourly wage of $11.35. As a result, many individuals were able to reduce their dependence on Social Security, Supplemental Nutrition Assistance, Temporary Assistance for Needy Families, and other public income transfer payments.

AbilityOne continues to emphasize providing employment to veterans, with more than 3,300 employed in direct or indirect labor positions, including supervision and management. To meet the changing needs of the Federal Government and employment interests of people who are blind or have other significant disabilities, AbilityOne has opened new lines of business in areas such as contract management services, automotive fleet management, document destruction services, and secure mail facility management. In addition to pursuing these initiatives, AbilityOne has expanded the range of unique military products and services it has traditionally provided to meet the needs of the Nation's war fighters. The resources proposed for 2014 would enable the Committee to continue increasing employment opportunities for people who are blind or have other significant disabilities while providing Federal departments and agencies with high quality products and services to support their missions.

Object Classification (in millions of dollars)

Identification code 95–2000–0–1–505	2012 actual	2013 CR	2014 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	3	3	3
99.5 Below reporting threshold	2	2	2
99.9 Total new obligations	5	5	5

Employment Summary

Identification code 95–2000–0–1–505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	27	27	27

COMMODITY FUTURES TRADING COMMISSION

Federal Funds

COMMODITY FUTURES TRADING COMMISSION

For necessary expenses to carry out the provisions of the Commodity Exchange Act (7 U.S.C. 1 et seq.), including the purchase and hire of passenger motor vehicles, and the rental of space (to include multiple year leases) in the District of Columbia and elsewhere, $315,000,000, to remain available until September 30, 2015, including not to exceed $3,000 for official reception and representation expenses, and not to exceed $25,000 for the expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–1400–0–1–376	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Market Oversight	27	27	42
0002 Enforcement	38	38	51
0003 Clearing and Risk	13	13	27
0004 Swap Dealer and Intermediary Oversight	17	17	37
0005 General Counsel	12	12	17
0006 Chief Economist	4	4	6
0007 International Affairs	2	2	4
0008 Agency Direction	7	7	7
0009 Administrative Management and Support	23	23	21
0010 Data and Technology	71	69	102
0011 Inspector General	1	1	1
0900 Total new obligations	215	213	315
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	6	.....
1021 Recoveries of prior year unpaid obligations	6	.....	.....
1050 Unobligated balance (total)	16	6	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	205	207	315
1160 Appropriation, discretionary (total)	205	207	315
1930 Total budgetary resources available	221	213	315
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	.....	.....
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	44	49	29
3010 Obligations incurred, unexpired accounts	215	213	315
3020 Outlays (gross)	–203	–233	–303
3040 Recoveries of prior year unpaid obligations, unexpired	–6	.....	.....
3041 Recoveries of prior year unpaid obligations, expired	–1	.....	.....
3050 Unpaid obligations, end of year	49	29	41
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	44	49	29
3200 Obligated balance, end of year	49	29	41
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	205	207	315
Outlays, gross:			
4010 Outlays from new discretionary authority	160	184	280
4011 Outlays from discretionary balances	43	49	23
4020 Outlays, gross (total)	203	233	303
4180 Budget authority, net (total)	205	207	315
4190 Outlays, net (total)	203	233	303

The mission of the Commodity Futures Trading Commission (CFTC or Commission) is to protect market users, consumers and the public at large from fraud, manipulation, and other abusive practices, and systemic risk related to derivatives that are subject to the Commodity Exchange Act (CEA or the Act) and to foster open, transparent, competitive, and financially sound markets. Congress established the CFTC as an independent agency in 1974. The CFTC administers the Act, 7 U.S.C. Section 1, et. seq. The Act established a comprehensive regulatory structure to oversee the volatile futures trading complex, including futures trading in all goods, articles, services, rights and interests; commodity options trading; and leverage trading in gold and silver bullion and coins.

To meet changing market conditions, CFTC's mandate has been renewed and expanded several times since its inception. Most recently, and in response to the 2008 financial crisis, the scope of CFTC's mission grew dramatically in 2010 by the enactment

of the Dodd-Frank Wall Street Reform and Consumer Protection Act (Dodd-Frank Act) (P.L. 111–203), which amended the CEA and expanded CFTC's mission to include oversight of the previously unregulated over-the-counter (OTC) swaps marketplace.

The goal of the CEA is to serve the public interests through overseeing a system of effective self-regulation of trading facilities, clearing systems, market participants and market professionals; promoting market integrity so that the price discovery on markets is based on the underlying economic factors of supply and demand; ensuring the financial integrity of all transactions subject to the Act; ensuring the avoidance of systemic risk; protecting all market participants from fraud or other abusive sales practices; protecting customer assets; protecting responsible innovation among boards of trade, other markets and market participants; promoting fair competition among boards of trade, other markets and market participants; and promoting transparency in the swaps marketplace.

The markets under CFTC's regulatory purview are large and economically significant. The CFTC regulates futures and options markets of an estimated $30 trillion notional value in the United States; these markets are, in turn, impacted by the $60 trillion notional value globally. With the passage of the Dodd-Frank Act, the CFTC is tasked with regulating the swaps markets with an estimated notional value of over $300 trillion in the United States and $600 trillion globally.

In FY 2014, the Administration is requesting a total of $315 million and 1,015 FTE to support the first full year of Dodd-Frank Act sustaining activities, including $73 million for information technology spending. The allocation of these resources will be adjusted among the mission activities to reflect the transition from Dodd-Frank Act start-up activities to sustaining activities in 2014. The Commission will be well positioned to build its operational capabilities, evaluate changes in the industry as it responds to the new Dodd-Frank Act regulatory framework, and address any unanticipated issues that will naturally arise in implementing the regulatory reforms called for under the Dodd-Frank Act. The bulk of the information technology investment will support the Commissions surveillance programs, including continued integration of swap data repository and derivative clearing organization data, integration of tools used by the self-regulatory organizations, reduced latency for processing market data and increasing the number of entities providing order message data.

In FY 2014, the Commission will spend just over one-third (34 percent) of the Commission's resources on surveillance (including data acquisition and analytics) and examinations of systemically important derivatives clearing organizations as required by Title VIII of the Dodd-Frank Act, and examinations of other significant registered entities.

Another 22 percent of its resources will be focused on registering new entities and ensuring that registered entities comply with new core principles and regulations; reviewing new contracts as provided in the Act, making mandatory clearing determinations, and rule review activities; providing economic analyses and legal guidance and interpretations on the new regulatory framework; and coordinating with international regulators to ensure cross-border harmonization of these global markets.

Approximately 18 percent of the Commission's resources will be dedicated to enforcement activities.

The remaining 26 percent of the Commission's resources will be responsible for supporting information technology infrastructure (16 percent) and agency direction, management, and administrative support (10 percent).

The Administration strongly supports fully funding the CFTC through user fees assessed on the sale of commodity futures, options, and swaps contracts, as assumed in the Budget. Authorization of fees would bring the CFTC into line with nearly all other Federal financial regulators, which are funded in whole or in part through user fees.

Object Classification (in millions of dollars)

Identification code 95–1400–0–1–376	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	95	95	144
11.3 Other than full-time permanent	3	3	5
11.5 Other personnel compensation	1	1	2
11.9 Total personnel compensation	99	99	151
12.1 Civilian personnel benefits	29	29	44
21.0 Travel and transportation of persons	2	2	3
23.2 Rental payments to others	17	19	21
23.3 Communications, utilities, and miscellaneous charges	4	4	8
24.0 Printing and reproduction	1	1	1
25.2 Other services from non-Federal sources	54	50	74
26.0 Supplies and materials	1	1	1
31.0 Equipment	8	8	12
99.9 Total new obligations	215	213	315

Employment Summary

Identification code 95–1400–0–1–376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	687	707	1,015

Customer Protection Fund

Program and Financing (in millions of dollars)

Identification code 95–4334–0–3–376	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0802 Whistleblower Payments	.....	10	10
0803 Customer Education Initiatives	.....	1	1
0804 Program Direction	.....	1	1
0900 Total new obligations	.....	12	12
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	24	100	100
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	76	12	12
1850 Spending auth from offsetting collections, mand (total)	76	12	12
1930 Total budgetary resources available	100	112	112
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	100	100	100
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	.....	12	12
3020 Outlays (gross)	.....	–12	–12
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	76	12	12
Outlays, gross:			
4100 Outlays from new mandatory authority	.....	12	12
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	.....	–12	–12
4124 Offsetting governmental collections	–76	.....	.....
4130 Offsets against gross budget authority and outlays (total)	–76	–12	–12
4170 Outlays, net (mandatory)	–76	.....	.....
4190 Outlays, net (total)	–76	.....	.....
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	.....	77	100

CUSTOMER PROTECTION FUND—Continued

Program and Financing—Continued

Identification code 95–4334–0–3–376	2012 actual	2013 CR	2014 est.
5001 Total investments, EOY: Federal securities: Par value	77	100	100

Section 748 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203) amended the Commodity Exchange Act to direct the Commission to issue rules implementing incentives and protections for whistleblowers. Specifically, section 748 requires the Commission to pay awards to whistleblowers who provide original information to the Commission that leads to successful enforcement of a Commission action resulting in monetary sanctions exceeding $1,000,000, and who satisfy other eligibility requirements. The amount of the awards, as determined by the Commission, will be between 10 to 30 percent of sanctions collected in either the Commission's action or a related action that is based upon original information provided by the whistleblower.

The Commission's award determination is dependent upon certain criteria. The Commission may exercise discretion in granting an award based upon the significance of the information, the degree of assistance provided in support of the Commission's action or related action, the Commission's programmatic interest, and other criteria. An award shall be denied to certain Government employees and others who are statutorily ineligible.

A whistleblower may appeal the Commission's award determination as to whom an award is made, the amount of an award, or the denial of an award, to the appropriate U.S. Circuit Court of Appeals.

The Customer Protection Fund is a revolving fund established under section 748 of the Act. The Commission shall deposit civil monetary penalties, disgorgements, and interest it collects in covered administrative or judicial enforcement actions into the Fund whenever the balance in the Fund at the time of the deposit is less than or equal to $100,000,000. The Commission will not deposit restitution awarded to victims into the Fund, and will pay whistleblower awards and finance customer education initiatives from the Fund.

Object Classification (in millions of dollars)

Identification code 95–4334–0–3–376	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	.....	2	2
91.0 Unvouchered	.....	10	10
99.9 Total new obligations	.....	12	12

Employment Summary

Identification code 95–4334–0–3–376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	.....	5	6

CONSUMER PRODUCT SAFETY COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Consumer Product Safety Commission, including hire of passenger motor vehicles, services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the maximum rate payable under 5 U.S.C. 5376, purchase of nominal awards to recognize non-Federal officials' contributions to Commission activities, and not to exceed $4,000 for official reception and representation expenses, $117,000,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 61–0100–0–1–554	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Leadership in Safety	14	13	13
0002 Commitment to Prevention	24	23	23
0003 Rigorous Hazard Identification	35	37	37
0004 Decisive Response	31	33	35
0005 Raising Awareness	9	9	9
0100 Direct program activities, subtotal	113	115	117
0799 Total direct obligations	113	115	117
0801 Reimbursable program	3	4	4
0900 Total new obligations	116	119	121
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	115	115	117
1160 Appropriation, discretionary (total)	115	115	117
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	4	4
1750 Spending auth from offsetting collections, disc (total)	3	4	4
1900 Budget authority (total)	118	119	121
1930 Total budgetary resources available	119	120	122
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–2	.....	.....
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	34	29	28
3010 Obligations incurred, unexpired accounts	116	119	121
3020 Outlays (gross)	–120	–120	–119
3041 Recoveries of prior year unpaid obligations, expired	–1	.....	.....
3050 Unpaid obligations, end of year	29	28	30
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	34	29	28
3200 Obligated balance, end of year	29	28	30
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	118	119	121
Outlays, gross:			
4010 Outlays from new discretionary authority	94	95	97
4011 Outlays from discretionary balances	26	25	22
4020 Outlays, gross (total)	120	120	119
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–3	–4	–4
4180 Budget authority, net (total)	115	115	117
4190 Outlays, net (total)	117	116	115

The U.S. Consumer Product Safety Commission (CPSC) is an independent federal regulatory agency, created in 1972 by the Consumer Product Safety Act (CPSA). In addition to the CPSA, as amended by the Consumer Product Safety Improvement Act of 2008 (CPSIA), and Public Law 112–28, the CPSC also administers other laws, including the Federal Hazardous Substances Act, the Flammable Fabrics Act, the Child Safety Protection Act, the Poison Prevention Packaging Act, the Refrigerator Safety Act, the Virginia Graeme Baker (VGB) Pool and Spa Safety Act, and the Children's Gasoline Burn Prevention Act. The 2014 resource request supports the CPSC's import surveillance pilot initiative, the proactive global outreach and education agenda, the VGB grant program, and analytical work to study and identify potential consumer product hazards.

Object Classification (in millions of dollars)

Identification code 61–0100–0–1–554	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	50	53	54
11.3 Other than full-time permanent	4	4	4
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	55	58	59
12.1 Civilian personnel benefits	15	16	16
21.0 Travel and transportation of persons	1	2	2
23.1 Rental payments to GSA	8	8	8
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	1	.....	.....
25.2 Other services from non-Federal sources	21	23	22
25.3 Other goods and services from Federal sources	2	2	3
25.4 Operation and maintenance of facilities	1	.....	.....
25.5 Research and development contracts	2	2	2
25.7 Operation and maintenance of equipment	2	1	1
26.0 Supplies and materials	1	1	1
31.0 Equipment	3	1	2
99.0 Direct obligations	113	115	117
99.0 Reimbursable obligations	3	4	4
99.9 Total new obligations	116	119	121

Employment Summary

Identification code 61–0100–0–1–554	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	537	548	548

CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

Federal Funds

Operating Expenses

For necessary expenses for the Corporation for National and Community Service (referred to in this title as "CNCS'') to carry out the Domestic Volunteer Service Act of 1973, as amended (referred to in this title as "1973 Act'') and the National and Community Service Act of 1990, as amended (referred to in this title as "1990 Act''), $760,264,000, notwithstanding sections 198B(b)(3), 198S(g), 501(a)(4)(C), and 501(a)(4)(F) of the 1990 Act: Provided, That of the amounts provided under this heading: (1) up to 1 percent of program grant funds may be used to defray the costs of conducting grant application reviews, including the use of outside peer reviewers and electronic management of the grants cycle; (2) $48,815,000 shall be available for expenses authorized under section 501(a)(4)(E) of the 1990 Act, of which $4,000,000 shall be available for the purposes of subsection 198K(m) in addition to amounts reserved under subsections 198K(m)(1) and (2); (3) $10,000,000 shall be available for expenses authorized under section 501(a)(4)(F) of the 1990 Act, which, notwithstanding the provisions of section 198P shall be awarded by CNCS on a competitive basis; (4) $600,000 shall be available for expenses to carry out sections 112(e), 179A, and 1980 and subtitle J of title I of the 1990 Act, notwithstanding section 501(a)(6) of the 1990 Act; (5) $14,841,000 shall be available to provide assistance to State commissions on national and community service, under section 126(a) of the 1990 Act and notwithstanding section 501(a)(5)(B) of the 1990 Act; and (6) $29,882,000 shall be available to carry out subtitle E of the 1990 Act: Provided further, That, with respect to amounts provided under this heading for State Service Commissions, section 126 of the 1990 Act shall be applied by substituting "$200,000'' for "$250,000'' each place that it appears.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–2728–0–1–506	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0002 AmeriCorps*NCCC	32	32	30
0003 AmeriCorps*State and National	341	346	346
0004 AmeriCorps*VISTA	94	95	95
0005 National Service Trust	211	.....	.....
0006 State Comm. Admin. Grants	13	14	15
0007 National Senior Service Corps	207	209	207
0008 Innovation, Demon., and Assistance Act.	7	5	3
0009 Evaluations	3	3	5
0010 Social Innovation Fund	45	45	49
0011 George H.W. Bush Volunteer Generation Fund	4	4	10
0012 Training and Technical Assistance	2	2	1
0799 Total direct obligations	959	755	761
0801 Reimbursable program activity	11	54	49
0900 Total new obligations	970	809	810
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	.....	32	43
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	964	755	761
1130 Appropriations permanently reduced	–2	.....	.....
1160 Appropriation, discretionary (total)	962	755	761
Spending authority from offsetting collections, discretionary:			
1700 Collected	42	65	7
1750 Spending auth from offsetting collections, disc (total)	42	65	7
1900 Budget authority (total)	1,004	820	768
1930 Total budgetary resources available	1,004	852	811
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–2	.....	.....
1941 Unexpired unobligated balance, end of year	32	43	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	811	802	890
3010 Obligations incurred, unexpired accounts	970	809	810
3011 Obligations incurred, expired accounts	2	.....	.....
3020 Outlays (gross)	–958	–721	–818
3041 Recoveries of prior year unpaid obligations, expired	–23	.....	.....
3050 Unpaid obligations, end of year	802	890	882
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	811	802	890
3200 Obligated balance, end of year	802	890	882
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,004	820	768
Outlays, gross:			
4010 Outlays from new discretionary authority	364	303	248
4011 Outlays from discretionary balances	594	418	570
4020 Outlays, gross (total)	958	721	818
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–41	–64	–6
4033 Non-Federal sources	–1	–1	–1
4040 Offsets against gross budget authority and outlays (total)	–42	–65	–7
4070 Budget authority, net (discretionary)	962	755	761
4080 Outlays, net (discretionary)	916	656	811
4180 Budget authority, net (total)	962	755	761
4190 Outlays, net (total)	916	656	811

The Corporation for National and Community Service (Corporation) provides opportunities for Americans to serve their community and country while meeting the Nation's greatest challenges. By working with nonprofit organizations, faith-based groups, schools, and other civic organizations, the Corporation engages Americans of all ages in community-based service that addresses educational, human, public safety, health and environmental needs. In doing so, the Corporation strengthens the ties that bind us together as a people and provides educational opportunities for those who make a commitment to service.

OPERATING EXPENSES—Continued

The Corporation plays a vital role in supporting the American culture of citizenship, service and responsibility. As the nation's largest grant maker in the area of service and volunteering, the Corporation promotes service around the country and helps organizations engage volunteers effectively. Members and volunteers who serve in Corporation programs provide vital assistance to their communities through local institutions. These institutions include: nonprofits, K–12 schools, institutions of higher learning, faith-based and other community organizations, as well as local and municipal government.

AmeriCorps State and National grants.—With funds channeled through both States and community-based organizations, AmeriCorps grants enable communities to recruit, train and place AmeriCorps members to meet critical local needs in the areas of Education, Healthy Futures, Economic Opportunity, Clean Energy, and Veterans as directed by the Edward M. Kennedy Serve America Act of 2009. The 2014 Budget request is $345.8 million and funds approximately 73,000 AmeriCorps State and National members.

AmeriCorps National Civilian Community Corps.—AmeriCorps NCCC is a 10-month residential national service program for people ages 18–24. The 2014 Budget request is $29.9 million and funds approximately 1,200 AmeriCorps NCCC members that will be deployed to respond to natural disasters across the nation, as well as engaged in urban and rural development projects.

AmeriCorps Volunteers in Service to America.—The AmeriCorps VISTA program provides full-time members to community organizations and public agencies working to resolve local poverty-related problems in areas such as illiteracy, hunger, unemployment, substance abuse, homelessness, and lack of adequate health support. The 2014 Budget request is $94.8 million and funds approximately 6,200 full-time AmeriCorps VISTA members and 1,500 Summer Associates.

AmeriCorps National Service Trust.—The 2014 Budget request for the Trust is $207.3 million. The Trust serves as a secure repository for educational awards set aside for eligible participants in National Service programs. Accounting methodology for the Trust is specified in the Strengthen AmeriCorps Program Act of 2003.

State Service Commission Administrative Grants.—The 2014 Budget request for State Service Commissions is $14.8 million. These population-based formula grants support the operation of State Service Commissions that administer approximately three-fourths of AmeriCorps State and National grant funds. Commissions are responsible for monitoring sub-grantees and ensuring that they comply with Federal requirements and performance expectations. These grants must be matched by the Commissions.

Senior Corps.—The 2014 Budget request for all three Senior Corps is $207.5 million. These programs connect individuals over the age of 55 to local volunteer opportunities, including mentoring vulnerable children, providing independent living services and support to frail seniors and their caregivers, and leveraging additional volunteers.

Training and Technical Assistance.—The 2014 Budget for training and technical assistance services is $600,000. The Corporation provides training and technical assistance services to programs and entities receiving or applying for financial support from the Corporation.

Innovation, Demonstration, and Assistance.— The 2014 Budget request for all Innovation, Demonstration, and Assistance activities is $61.8 million. This includes: the Social Innovation Fund, which helps identify and scale-up promising programs across the country; the annual Martin Luther King, Jr. Day of Service; and the United We Serve Initiative. These initiatives and programs are aimed at incubating new ideas, while expanding proven initiatives that address specific community needs.

Evaluation.—This activity supports performance measurement and studies of program impact. The 2014 Budget request of $5.0 million will support in-depth assessments of the performance and impact of Corporation programs.

Object Classification (in millions of dollars)

Identification code 95–2728–0–1–506		2012 actual	2013 CR	2014 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	7	7	7
11.8	Special personal services payments	48	48	48
11.9	Total personnel compensation	55	55	55
12.1	Civilian personnel benefits	4	4	4
21.0	Travel and transportation of persons	6	6	6
23.2	Rental payments to others	4	4	4
25.2	Other services from non-Federal sources	69	70	68
26.0	Supplies and materials	1	1	1
31.0	Equipment	3	2	2
41.0	Grants, subsidies, and contributions	606	613	621
94.0	Financial transfers	211	.....	.....
99.0	Direct obligations	959	755	761
99.0	Reimbursable obligations	11	54	49
99.9	Total new obligations	970	809	810

Employment Summary

Identification code 95–2728–0–1–506		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	98	98	98

NATIONAL AND COMMUNITY SERVICE PROGRAMS, OPERATING EXPENSES

Program and Financing (in millions of dollars)

Identification code 95–2720–0–1–506		2012 actual	2013 CR	2014 est.
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	6	.....	.....
3020	Outlays (gross)	–2	.....	.....
3041	Recoveries of prior year unpaid obligations, expired	–4	.....	.....
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	6	.....	.....
	Budget authority and outlays, net:			
	Discretionary:			
	Outlays, gross:			
4011	Outlays from discretionary balances	2	.....	.....
4190	Outlays, net (total)	2	.....	.....

PAYMENT TO NATIONAL SERVICE TRUST FUND

For payment to the National Service Trust established under subtitle D of title I of the 1990 Act, $207,293,000, to remain available until expended: Provided, That CNCS may transfer additional funds from the amount provided within "Operating Expenses'' allocated to grants under subtitle C of title I of the 1990 Act to the National Service Trust upon determination that such transfer is necessary to support the activities of national service participants and after notice is transmitted to the Committees on Appropriations of the House of Representatives and the Senate: Provided further, That amounts appropriated for or transferred to the National Service Trust may be invested under section 145(b) of the 1990 Act without regard to the requirement to apportion funds under 31 U.S.C. 1513(b).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–2726–0–1–506	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Payment to National Service Trust Fund	.....	213	207
0900 Total new obligations (object class 94.0)	.....	213	207
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	.....	213	207
1160 Appropriation, discretionary (total)	.....	213	207
1930 Total budgetary resources available	.....	213	207
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	.....	213	207
3020 Outlays (gross)	.....	–213	–207
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	.....	213	207
Outlays, gross:			
4010 Outlays from new discretionary authority	.....	213	207
4180 Budget authority, net (total)	.....	213	207
4190 Outlays, net (total)	.....	213	207

This general fund appropriation pays the National Service Trust Fund to make educational awards to eligible national service program participants until the awardees use them.

Office of Inspector General

For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, $6,466,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–2721–0–1–506	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Office of Inspector General	4	4	6
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4	4	6
1160 Appropriation, discretionary (total)	4	4	6
1930 Total budgetary resources available	4	4	6
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	1	2
3010 Obligations incurred, unexpired accounts	4	4	6
3020 Outlays (gross)	–5	–3	–4
3050 Unpaid obligations, end of year	1	2	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	1	2
3200 Obligated balance, end of year	1	2	4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4	4	6
Outlays, gross:			
4010 Outlays from new discretionary authority	3	1	2
4011 Outlays from discretionary balances	2	2	2
4020 Outlays, gross (total)	5	3	4
4180 Budget authority, net (total)	4	4	6
4190 Outlays, net (total)	5	3	4

The Office of the Inspector General provides an independent assessment of Corporation operations, primarily through audits and investigations, with a goal of preventing fraud, waste, and abuse.

Object Classification (in millions of dollars)

Identification code 95–2721–0–1–506	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	3
12.1 Civilian personnel benefits	1	1	1
25.2 Other services from non-Federal sources	1	1	2
99.9 Total new obligations	4	4	6

Employment Summary

Identification code 95–2721–0–1–506	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	23	14	24

Salaries and Expenses

For necessary expenses of administration as provided under section 501(a)(5) of the 1990 Act and under section 504(a) of the 1973 Act, including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference rooms in the District of Columbia, the employment of experts and consultants authorized under 5 U.S.C. 3109, and not to exceed $2,500 for official reception and representation expenses, $87,109,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–2722–0–1–506	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 NCSA Salaries & Expenses	83	83	87
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	83	83	87
1160 Appropriation, discretionary (total)	83	83	87
1930 Total budgetary resources available	83	83	87
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	25	25	28
3010 Obligations incurred, unexpired accounts	83	83	87
3011 Obligations incurred, expired accounts	2	.....	.....
3020 Outlays (gross)	–84	–80	–86
3041 Recoveries of prior year unpaid obligations, expired	–1	.....	.....
3050 Unpaid obligations, end of year	25	28	29
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	25	25	28
3200 Obligated balance, end of year	25	28	29
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	83	83	87
Outlays, gross:			
4010 Outlays from new discretionary authority	65	64	67
4011 Outlays from discretionary balances	19	16	19
4020 Outlays, gross (total)	84	80	86
4180 Budget authority, net (total)	83	83	87
4190 Outlays, net (total)	84	80	86

This account provides salaries and operating expenses for the Corporation for National and Community Service.

SALARIES AND EXPENSES—Continued

Object Classification (in millions of dollars)

Identification code 95–2722–0–1–506	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	39	40	40
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	41	42	42
12.1 Civilian personnel benefits	12	13	13
21.0 Travel and transportation of persons	2	1	1
23.1 Rental payments to GSA	7	7	13
23.3 Communications, utilities, and miscellaneous charges	2	2	2
25.2 Other services from non-Federal sources	18	17	15
26.0 Supplies and materials	1	1	1
99.9 Total new obligations	83	83	87

Employment Summary

Identification code 95–2722–0–1–506	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	481	489	492

VISTA ADVANCE PAYMENTS REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 95–2723–0–1–506	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 Reimbursable program activity	10	10	10
0900 Total new obligations (object class 41.0)	10	10	10
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	10	10	10
1750 Spending auth from offsetting collections, disc (total)	10	10	10
1930 Total budgetary resources available	12	12	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	10	10	10
3020 Outlays (gross)	–10	–10	–10
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	10	10	10
Outlays, gross:			
4010 Outlays from new discretionary authority	10	10	10
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	–10	–10	–10

The VISTA Advance Payments Revolving Fund was established in 2007 by Public Law 110–05 as the initial source of funding for VISTA member living allowances for which the Corporation is later reimbursed by nonprofit organizations as part of cost share agreements. All VISTA member benefits and services, and the majority of living allowances, are funded in the Operating Expenses account.

Object Classification (in millions of dollars)

Identification code 95–2723–0–1–506	2012 actual	2013 CR	2014 est.
99.0 Reimbursable obligations	10	10	10

Trust Funds

GIFTS AND CONTRIBUTIONS

NATIONAL SERVICE TRUST

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–9972–0–7–506	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	.....	4
Receipts:			
0240 Interest on Investment, National Service Trust Fund	4	4	5
0241 Payment from the General Fund, National Service Trust Fund	212	213	207
0299 Total receipts and collections	216	217	212
0400 Total: Balances and collections	216	217	216
Appropriations:			
0500 Gifts and Contributions	–212	–213	–207
0501 Gifts and Contributions	–4	.....	.....
0599 Total appropriations	–216	–213	–207
0799 Balance, end of year	.....	4	9

Program and Financing (in millions of dollars)

Identification code 95–9972–0–7–506	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Gifts and contributions	204	213	207
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	62	77	77
1001 Discretionary unobligated balance brought fwd, Oct 1	62	.....	.....
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	212	213	207
1160 Appropriation, discretionary (total)	212	213	207
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	4	.....	.....
1260 Appropriations, mandatory (total)	4	.....	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	.....	.....
1750 Spending auth from offsetting collections, disc (total)	3	.....	.....
1900 Budget authority (total)	219	213	207
1930 Total budgetary resources available	281	290	284
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	77	77	77
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	543	565	590
3010 Obligations incurred, unexpired accounts	204	213	207
3020 Outlays (gross)	–182	–188	–274
3050 Unpaid obligations, end of year	565	590	523
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	543	565	590
3200 Obligated balance, end of year	565	590	523
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	215	213	207
Outlays, gross:			
4010 Outlays from new discretionary authority	182	.....	.....
4011 Outlays from discretionary balances	.....	181	270

4020	Outlays, gross (total)	182	181	270
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–3		
	Mandatory:			
4090	Budget authority, gross	4		
	Outlays, gross:			
4101	Outlays from mandatory balances		7	4
4180	Budget authority, net (total)	216	213	207
4190	Outlays, net (total)	179	188	274
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	605	637	673
5001	Total investments, EOY: Federal securities: Par value	637	673	710

The Gifts and Contributions account is a consolidation of two trust funds. In one, gifts and contributions from individuals and organizations are deposited for use in furthering program goals. In the other, funds appropriated to make educational awards to eligible national service program participants are maintained until awardees use them.

Object Classification (in millions of dollars)

Identification code 95–9972–0–7–506		2012 actual	2013 CR	2014 est.
25.2	Direct obligations: Other services from non-Federal sources	201	213	207
99.0	Reimbursable obligations	3		
99.9	Total new obligations	204	213	207

ADMINISTRATIVE PROVISIONS

SEC. 401. AmeriCorps programs receiving grants under the National Service Trust program shall meet an overall minimum share requirement of 24 percent for the first 3 years that they receive AmeriCorps funding, and thereafter shall meet the overall minimum share requirement as provided in section 2521.60 of title 45, Code of Federal Regulations, without regard to the operating costs match requirement in section 121(e) or the member support Federal share limitations in section 140 of the 1990 Act, and subject to partial waiver consistent with section 2521.70 of title 45, Code of Federal Regulations.

SEC. 402. In addition to the requirements in section 146(a) of the 1990 Act, use of an educational award for the purpose described in section 148(a)(4) shall be limited to individuals who are veterans as defined under section 101 of the Act.

SEC. 403. (a) Section 201 of the Domestic Volunteer Service Act of 1973 (42 U.S.C. 5001) is amended—

(1) in subsection (e)(1)(A), by striking, ", with an option" and all that follows through "(g)", and inserting "not to exceed" following "for a period";

(2) in subsection (e)(2)(B), by striking clause (iv), inserting at the end of clause (iii) "and", and by redesignating clause (v) as clause (iv);

(3) by striking subsection (i) and redesignating subsection (j) as subsection (i);

(b) Section 227(a) of the Domestic Volunteer Service Act of 1973 (42 U.S.C. 5027(a)) is amended by striking paragraph (2) and, in paragraph (1), by striking "(1)" and "paragraph (2) and"; and

(c) Section 412(a) of the Domestic Volunteer Service Act of 1973 (42 U.S.C. 5052) is amended by striking paragraphs (2) and (3), by inserting at the end of paragraph (1) "and", and by redesignating paragraph (4) as paragraph (2).

SEC. 404. Section 189D of the 1990 Act is amended in subsection (d)—(a) in the heading, by striking "rule" and inserting" rules"; (b) in paragraph (1)—(1) in the heading, by striking "In General" and inserting "Children"; (2) after "Notwithstanding subsection (b)", by deleting "on and after the date that is 2 years after the date of enactment of the Serve America Act,"; (3) after "each individual", by inserting "age 18 or older who serves in a position in which the individual receives a living allowance, stipend, national service educational award, or salary through a program receiving assistance under the national service laws; and as a result of such individuals service in such position, has or will have access, on a recurring basis, to children age 17 years or younger"; and (4) after "shall", by inserting ", subject to regulations and requirements established by the Corporation"; (c) in paragraph (2)—(1) in the heading, by striking "Individuals with access to" and inserting "Other"; (2) by striking "An individual described in this paragraph is" and inserting "Subject to regulations and requirements established by the Corporation requiring additional measures to protect the safety of vulnerable populations,"; (3) by striking "who" and inserting "shall meet the requirements of subsection (b) when the individual"; (4) in subparagraph (B), by deleting clause (i) and renumbering the following clauses accordingly; and (d) in subparagraph (3)(A), by striking "paragraph (2)(B)" and inserting "subsection (d").

CORPORATION FOR PUBLIC BROADCASTING

Federal Funds

CORPORATION FOR PUBLIC BROADCASTING

For payment to the Corporation for Public Broadcasting (referred to in this Act as "CPB"), as authorized by the Communications Act of 1934, an amount which shall be available within limitations specified by that Act, for the fiscal year 2016, $445,000,000: Provided, That none of the funds made available to CPB by this Act shall be used to pay for receptions, parties, or similar forms of entertainment for Government officials or employees: Provided further, That none of the funds made available to CPB by this Act shall be available or used to aid or support any program or activity from which any person is excluded, or is denied benefits, or is discriminated against, on the basis of race, color, national origin, religion, or sex: Provided further, That none of the funds made available to CPB by this Act shall be used to apply any political test or qualification in selecting, appointing, promoting, or taking any other personnel action with respect to officers, agents, and employees of CPB: Provided further, That none of the funds made available to CPB by this Act shall be used to support the Television Future Fund or any similar purpose.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 20–0151–0–1–503		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0001	General programming	444	445	445
0900	Total new obligations (object class 41.0)	444	445	445
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
	Advance appropriations, discretionary:			
1170	Advance appropriation - General Programming	445	445	445
1173	Advance appropriations permanently reduced	–1		
1180	Advanced appropriation, discretionary (total)	444	445	445
1930	Total budgetary resources available	444	445	445
	Change in obligated balance:			
	Unpaid obligations:			
3010	Obligations incurred, unexpired accounts	444	445	445
3020	Outlays (gross)	–444	–445	–445
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	444	445	445
	Outlays, gross:			
4010	Outlays from new discretionary authority	444	445	445
4180	Budget authority, net (total)	444	445	445
4190	Outlays, net (total)	444	445	445

The FY 2014 Budget proposes an advance appropriation of $445 million for the Corporation for Public Broadcasting (CPB) for fiscal year 2016. In 1975, Congress first agreed to begin providing CPB with a two-year advance appropriation to support long-range financing planning and to insulate programming decisions. This commitment of future federal dollars helps leverage investments

CORPORATION FOR PUBLIC BROADCASTING—Continued

from other sources and gives producers essential lead time to plan, design, create, and support programming and services.

CPB uses funding to provide grants to qualified public television and radio stations to be used at their discretion for purposes related to program production or acquisition, as well as for general operations. CPB also supports the production and acquisition of radio and television programs for national distribution. In addition, CPB assists in the financing of several system-wide activities, including national satellite interconnection services and the payment of music royalty fees, and provides limited technical assistance, research, and planning services to improve system-wide capacity and performance.

CORPORATION FOR TRAVEL PROMOTION

Federal Funds

TRAVEL PROMOTION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5585–0–2–376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	106	126	126
Receipts:			
0200 Fees, Travel Promotion Fund	120	100	100
0400 Total: Balances and collections	226	226	226
Appropriations:			
0500 Travel Promotion Fund	–100	–100	–100
0799 Balance, end of year	126	126	126

Program and Financing (in millions of dollars)

Identification code 95–5585–0–2–376	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	100	100	100
0900 Total new obligations (object class 41.0)	100	100	100
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	100	100	100
1260 Appropriations, mandatory (total)	100	100	100
1930 Total budgetary resources available	100	100	100
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	.....	78	.....
3010 Obligations incurred, unexpired accounts	100	100	100
3020 Outlays (gross)	–22	–178	–100
3050 Unpaid obligations, end of year	78	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	.....	78	.....
3200 Obligated balance, end of year	78	.....	.....
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	100	100	100
Outlays, gross:			
4100 Outlays from new mandatory authority	22	100	100
4101 Outlays from mandatory balances	.....	78	.....
4110 Outlays, gross (total)	22	178	100
4180 Budget authority, net (total)	100	100	100
4190 Outlays, net (total)	22	178	100

The Corporation for Travel Promotion (also known as Brand USA) was established by the Travel Promotion Act in 2010 to lead the nation's first global marketing effort to promote the United States as a premier travel destination and to communicate U.S. entry/exit policies and procedures. The public-private partnership, funded through a combination of private sector contributions and Federal matching funds, works in close partnership with the travel industry to encourage increased travel and tourism in the United States.

COUNCIL OF THE INSPECTORS GENERAL ON INTEGRITY AND EFFICIENCY

Federal Funds

INSPECTORS GENERAL COUNCIL FUND

Program and Financing (in millions of dollars)

Identification code 95–4592–0–4–808	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 Reimbursable program activity	6	7	7
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	11	11
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	9	7	7
1801 Change in uncollected payments, Federal sources	1	.....	.....
1850 Spending auth from offsetting collections, mand (total)	10	7	7
1930 Total budgetary resources available	17	18	18
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11	11	11
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	.....	1	.....
3010 Obligations incurred, unexpired accounts	6	7	7
3020 Outlays (gross)	–5	–8	–7
3050 Unpaid obligations, end of year	1	.....	.....
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	.....	–1	–1
3070 Change in uncollected pymts, Fed sources, unexpired	–1	.....	.....
3090 Uncollected pymts, Fed sources, end of year	–1	–1	–1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	.....	.....	–1
3200 Obligated balance, end of year	.....	–1	–1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	10	7	7
Outlays, gross:			
4100 Outlays from new mandatory authority	5	7	7
4101 Outlays from mandatory balances	.....	1	.....
4110 Outlays, gross (total)	5	8	7
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–9	–7	–7
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	–1	.....	.....
4170 Outlays, net (mandatory)	–4	1	.....
4190 Outlays, net (total)	–4	1	.....

The Council of the Inspectors General on Integrity and Efficiency (CIGIE) was statutorily established by The Inspector General Reform Act of 2008 (P.L. 110–409). The CIGIEs mission is to address integrity, economy, and effectiveness issues that transcend individual Government agencies; and increase the professionalism and effectiveness of personnel by developing policies, standards, and approaches to aid in the establishment of a well-trained and highly skilled workforce in the offices of the Inspectors General. In 2014, the CIGIE will perform cross-agency analysis on cross-agency issues involving program integrity, efficiency and/or effectiveness; further increase the professionalism and effectiveness of the IG community workforce; and further advance the level of practice within the IG community workforce. Pursuant to Section 7 the Inspector General Reform Act of 2008,

resources for CIGIE activities are provided through interagency funding. CIGIE plans to spend $7.1 million in 2014 for operations to support its mission and goals, of which $4.6 million will be for CIGIE's Training Institute. Of the $4.6 million for the Training Institute, $0.9 million is planned for the Leadership/Mission Support Academy, $1.5 million is for the Investigative Training Academy, $1.0 million is for the Audit, Inspections and Evaluations Academy, and $1.2 million is for infrastructure and administrative operations associated with the Training Institute. Additionally, the Council expects to collect tuition for Training Institute courses in the amount of $0.7 million, which assists in recovering expenses associated with individual training courses.

Object Classification (in millions of dollars)

Identification code 95–4592–0–4–808	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time Permanent	1	1	1
12.1 Civilian personnel benefits	.....	1	1
25.2 Other Services - Non Federal - Administrative	1	2	2
25.2 Other Services - Non Federal - Training Institute	4	3	3
99.9 Total new obligations	6	7	7

Employment Summary

Identification code 95–4592–0–4–808	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment	6	7	7

COURT SERVICES AND OFFENDER SUPERVISION AGENCY FOR THE DISTRICT OF COLUMBIA

Federal Funds

FEDERAL PAYMENT TO THE COURT SERVICES AND OFFENDER SUPERVISION AGENCY FOR THE DISTRICT OF COLUMBIA

For salaries and expenses, including the transfer and hire of motor vehicles, of the Court Services and Offender Supervision Agency for the District of Columbia, as authorized by the National Capital Revitalization and Self-Government Improvement Act of 1997, $227,968,000, of which not to exceed $2,000 is for official reception and representation expenses related to Community Supervision and Pretrial Services Agency programs; of which not to exceed $25,000 is for dues and assessments relating to the implementation of the Court Services and Offender Supervision Agency Interstate Supervision Act of 2002; of which $168,449,000 shall be for necessary expenses of Community Supervision and Sex Offender Registration, to include expenses relating to the supervision of adults subject to protection orders or the provision of services for or related to such persons; of which $59,519,000 shall be available to the Pretrial Services Agency: Provided, That, notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies: Provided further, That not less than $1,000,000 shall be available for reentrant housing in the District of Columbia: Provided further, That the Director is authorized to accept and use gifts in the form of in-kind contributions of space and hospitality to support offender and defendant programs; and equipment, supplies, and vocational training services necessary to sustain, educate, and train offenders and defendants, including their dependent children: Provided further, That the Director shall keep accurate and detailed records of the acceptance and use of any gift or donation under the previous proviso, and shall make such records available for audit and public inspection: Provided further, That the Court Services and Offender Supervision Agency Director is authorized to accept and use reimbursement from the District of Columbia Government for space and services provided on a cost reimbursable basis.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–1734–0–1–752	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Community supervision program	153	154	168
0002 Pretrial Services Agency	58	60	60
0799 Total direct obligations	211	214	228
0801 Reimbursable program	1	1	1
0900 Total new obligations	212	215	229
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	213	214	228
1160 Appropriation, discretionary (total)	213	214	228
Spending authority from offsetting collections, discretionary:			
1700 Collected	.....	1	1
1750 Spending auth from offsetting collections, disc (total)	.....	1	1
1900 Budget authority (total)	213	215	229
1930 Total budgetary resources available	213	215	229
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–1	.....	.....
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	40	30	46
3010 Obligations incurred, unexpired accounts	212	215	229
3011 Obligations incurred, expired accounts	1	.....	.....
3020 Outlays (gross)	–215	–199	–226
3041 Recoveries of prior year unpaid obligations, expired	–8	.....	.....
3050 Unpaid obligations, end of year	30	46	49
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–1	.....	.....
3071 Change in uncollected pymts, Fed sources, expired	1	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	39	30	46
3200 Obligated balance, end of year	30	46	49
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	213	215	229
Outlays, gross:			
4010 Outlays from new discretionary authority	185	171	182
4011 Outlays from discretionary balances	30	28	44
4020 Outlays, gross (total)	215	199	226
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–1	–1	–1
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1	.....	.....
4070 Budget authority, net (discretionary)	213	214	228
4080 Outlays, net (discretionary)	214	198	225
4180 Budget authority, net (total)	213	214	228
4190 Outlays, net (total)	214	198	225

The National Capital Revitalization and Self-Government Improvement Act of 1997 established the Court Services and Offender Supervision Agency (CSOSA) for the District of Columbia as an independent Federal agency to perform community supervision of D.C. Code offenders. The new agency assumed the adult probation function from the D.C. Superior Court and the parole supervision function from the D.C. Board of Parole. The Pretrial Services Agency for the District of Columbia, responsible for supervising pretrial defendants, became an independent entity within CSOSA with its own budget and organizational structure. The mission of CSOSA is to increase public safety, prevent crime, reduce recidivism and support the fair administration of justice in close collaboration with the community.

The CSOSA appropriation supports the Community Supervision Program and the Pretrial Services Agency.

FEDERAL PAYMENT TO THE COURT SERVICES AND OFFENDER SUPERVISION AGENCY FOR THE DISTRICT OF COLUMBIA—Continued

Community Supervision Program.—This activity provides supervision in the community of adult offenders on probation, parole, or supervised release, consistent with a crime prevention strategy that emphasizes public safety and successful reintegration. The Community Supervision Program employs an integrated system of close supervision, routine drug testing, graduated sanctions, treatment, transitional housing and other offender support services, including community and faith-based collaborations. The activity also develops and provides the courts and the U.S. Parole Commission with critical information for probation, parole and supervised release decisions. The Budget proposes additional resources for CSOSA physical and information technology security and to relocate Community Supervision Program offender supervision field offices.

Pretrial Services Agency.—This activity assists judicial officers in both the D.C. Superior Court and the U.S. District Court for the District of Columbia by formulating release recommendations and providing supervision and treatment services to defendants that reasonably assure that those on conditional release return to court and do not engage in criminal activity pending their trial and/or sentencing. The Pretrial Services Agency is further responsible for enforcing conditions of release, conducting drug testing, administering graduated sanctions, referring defendants to treatment and other social services, and reporting to the courts defendants' compliance with their conditions of release.

Object Classification (in millions of dollars)

Identification code 95–1734–0–1–752		2012 actual	2013 CR	2014 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	100	102	105
11.3	Other than full-time permanent	1	1	1
11.5	Other personnel compensation	2	1	1
11.9	Total personnel compensation	103	104	107
12.1	Civilian personnel benefits	39	40	41
21.0	Travel and transportation of persons	2	2	2
23.1	Rental payments to GSA	7	8	9
23.2	Rental payments to others	9	9	9
23.3	Communications, utilities, and miscellaneous charges	3	2	3
25.1	Advisory and assistance services	6	5	5
25.2	Other services from non-Federal sources	31	32	34
25.3	Other goods and services from Federal sources	2	1	1
25.4	Operation and maintenance of facilities	.....	1	1
25.6	Medical care	2	2	2
25.7	Operation and maintenance of equipment	1	.....	.....
26.0	Supplies and materials	3	4	4
31.0	Equipment	3	3	3
32.0	Land and structures	.....	1	7
99.0	Direct obligations	211	214	228
99.0	Reimbursable obligations	1	1	1
99.9	Total new obligations	212	215	229

Employment Summary

Identification code 95–1734–0–1–752		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	1,242	1,267	1,284

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PUBLIC DEFENDER SERVICE

For salaries and expenses, including the transfer and hire of motor vehicles, of the District of Columbia Public Defender Service, as authorized by the National Capital Revitalization and Self-Government Improvement Act of 1997, $40,607,000: Provided, That, notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of Federal agencies: Provided further, That, notwithstanding section 1342 of title 31, United States Code, and in addition to the authority provided by District of Columbia Code section 2–1607(b), upon approval of the Board of Trustees, the District of Columbia Public Defender Service may accept and use voluntary and uncompensated (gratuitous) services for the purpose of aiding or facilitating the work of the District of Columbia Public Defender Service: Provided further, That, notwithstanding District of Columbia Code section 2–1603(d), for the purpose of any action brought against the Board of Trustees of the District of Columbia Public Defender Service, the trustees shall be deemed to be employees of the District of Columbia Public Defender Service.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–1733–0–1–754		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0001	Public Defender Service	37	37	41
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	1	1
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	37	37	41
1160	Appropriation, discretionary (total)	37	37	41
1930	Total budgetary resources available	38	38	42
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	4	4	4
3010	Obligations incurred, unexpired accounts	37	37	41
3020	Outlays (gross)	–37	–37	–41
3050	Unpaid obligations, end of year	4	4	4
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	4	4	4
3200	Obligated balance, end of year	4	4	4
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	37	37	41
	Outlays, gross:			
4010	Outlays from new discretionary authority	33	33	37
4011	Outlays from discretionary balances	4	4	4
4020	Outlays, gross (total)	37	37	41
4180	Budget authority, net (total)	37	37	41
4190	Outlays, net (total)	37	37	41

The Public Defender Service for the District of Columbia (PDS) is a federally funded, independent organization governed by an eleven-member Board of Trustees. PDS was created in 1970 by a Federal statute (Pub. L. No. 91–358, Title III, Sec. 301(1970); see also D.C. Code Sec. 2–1601, et seq., 2001 ed.) implementing the constitutional mandate to provide criminal defense counsel for individuals who cannot afford to hire a lawyer (Gideon v. Wainwright, 372 U.S. 335 (1963)). PDS's mission is to provide and promote quality legal representation to indigent adults and children facing a loss of liberty in the District of Columbia justice system and thereby protect society's interest in the fair administration of justice.

PDS specializes in representation in the most complex and resource-intensive criminal and delinquency cases. PDS also represents individuals facing involuntary civil commitment in the District's mental health system or parole revocation for D.C. Code offenses.

Object Classification (in millions of dollars)

Identification code 95–1733–0–1–754	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	22	22	23
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	23	23	24
12.1 Civilian personnel benefits	5	5	6
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	2	2	1
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	2	2	3
25.3 Other goods and services from Federal sources	2	2	4
26.0 Supplies and materials	1	1	1
99.9 Total new obligations	37	37	41

Employment Summary

Identification code 95–1733–0–1–754	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	213	213	218

DEFENSE NUCLEAR FACILITIES SAFETY BOARD

Federal Funds

Salaries and Expenses

For necessary expenses of the Defense Nuclear Facilities Safety Board in carrying out activities authorized by the Atomic Energy Act of 1954, as amended by Public Law 100–456, section 1441, $29,915,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–3900–0–1–999	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	29	30	30
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	29	29	30
1160 Appropriation, discretionary (total)	29	29	30
1930 Total budgetary resources available	30	30	30
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	.....	.....
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	8	9
3010 Obligations incurred, unexpired accounts	29	30	30
3020 Outlays (gross)	–27	–29	–31
3050 Unpaid obligations, end of year	8	9	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	8	9
3200 Obligated balance, end of year	8	9	8
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	29	29	30
Outlays, gross:			
4010 Outlays from new discretionary authority	23	22	23
4011 Outlays from discretionary balances	4	7	8
4020 Outlays, gross (total)	27	29	31
4180 Budget authority, net (total)	29	29	30
4190 Outlays, net (total)	27	29	31

The Defense Nuclear Facilities Safety Board, an independent, non-regulatory agency within the executive branch, is responsible for evaluating the content and implementation of the standards relating to the design, construction, operation, and decommissioning of Department of Energy (DOE) defense nuclear facilities. The Board also reviews the design of new DOE defense nuclear facilities and periodically reviews and monitors construction of such facilities to ensure adequate protection of public and worker health and safety. The Board is also responsible for investigating any event or practice at a defense nuclear facility that has or may adversely affect public health and safety. The Board makes specific recommendations to the Secretary of Energy on measures that should be adopted to protect both public and employee health and safety.

Object Classification (in millions of dollars)

Identification code 95–3900–0–1–999	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	15	16	16
12.1 Civilian personnel benefits	5	5	5
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	2	2
25.1 Advisory and assistance services	1	1	1
25.2 Other services from non-Federal sources	2	2	2
25.3 Other goods and services from Federal sources	1	1	1
31.0 Equipment	1	1	1
99.0 Direct obligations	28	29	29
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	29	30	30

Employment Summary

Identification code 95–3900–0–1–999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	109	119	120

DELTA REGIONAL AUTHORITY

Federal Funds

Salaries and Expenses

For necessary expenses of the Delta Regional Authority and to carry out its activities, as authorized by the Delta Regional Authority Act of 2000, as amended, notwithstanding sections 382C(b)(2), 382F(d), 382M, and 382N of said Act, $11,319,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–0750–0–1–452	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	17	12	11
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	.....	1	2
1021 Recoveries of prior year unpaid obligations	6	1	1
1050 Unobligated balance (total)	6	2	3
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	12	12	11
1160 Appropriation, discretionary (total)	12	12	11
1930 Total budgetary resources available	18	14	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	2	3

SALARIES AND EXPENSES—Continued

Program and Financing—Continued

Identification code 95–0750–0–1–452	2012 actual	2013 CR	2014 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	35	32	13
3010 Obligations incurred, unexpired accounts	17	12	11
3020 Outlays (gross)	–14	–30	–15
3040 Recoveries of prior year unpaid obligations, unexpired	–6	–1	–1
3050 Unpaid obligations, end of year	32	13	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	35	32	13
3200 Obligated balance, end of year	32	13	8
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	12	12	11
Outlays, gross:			
4010 Outlays from new discretionary authority	6	12	11
4011 Outlays from discretionary balances	8	18	4
4020 Outlays, gross (total)	14	30	15
4180 Budget authority, net (total)	12	12	11
4190 Outlays, net (total)	14	30	15

The Delta Regional Authority (DRA) was established as a Federal-State partnership to assist the eight-state, 252 county/parish Mississippi Delta region in obtaining the economic development essential to create and sustain strong local economies. In 2014, DRA will continue to focus on multi-state planning and the facilitation of regional investments towards its statutory mission, with specific emphases on and investments into: projects, initiatives and developments of region-wide import or impact; small business development and entrepreneurship; and innovative green-economy related job creation and retention.

Object Classification (in millions of dollars)

Identification code 95–0750–0–1–452	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
41.0 Grants, subsidies, and contributions	16	11	10
99.9 Total new obligations	17	12	11

Employment Summary

Identification code 95–0750–0–1–452	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	4	4	4

DENALI COMMISSION

Federal Funds

DENALI COMMISSION

For expenses of the Denali Commission including the purchase, construction, and acquisition of plant and capital equipment as necessary and other expenses, $7,396,000, to remain available until expended, notwithstanding the limitations contained in section 306(g) of the Denali Commission Act of 1998: Provided, That funds shall be available for construction projects in an amount not to exceed 80 percent of total project cost for distressed communities, as defined by section 307 of the Denali Commission Act of 1998 (division C, title III, Public Law 105–277), as amended by section 701 of appendix D, title VII, Public Law 106–113 (113 Stat. 1501A–280), and an amount not to exceed 50 percent for non-distressed communities.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–1200–0–1–452	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0101 Direct program activity	17	7	7
0801 Reimbursable program activity	3	8	10
0900 Total new obligations	20	15	17
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	.....	1	10
1021 Recoveries of prior year unpaid obligations	5	5	5
1050 Unobligated balance (total)	5	6	15
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	11	11	7
1121 Appropriations transferred from other accts [69–1134]	5	.....	.....
1160 Appropriation, discretionary (total)	16	11	7
Spending authority from offsetting collections, discretionary:			
1700 Collected	.....	8	10
1750 Spending auth from offsetting collections, disc (total)	.....	8	10
1900 Budget authority (total)	16	19	17
1930 Total budgetary resources available	21	25	32
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	10	15
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	96	74	17
3010 Obligations incurred, unexpired accounts	20	15	17
3020 Outlays (gross)	–37	–67	–12
3040 Recoveries of prior year unpaid obligations, unexpired	–5	–5	–5
3050 Unpaid obligations, end of year	74	17	17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	96	74	17
3200 Obligated balance, end of year	74	17	17
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	16	19	17
Outlays, gross:			
4010 Outlays from new discretionary authority	5	6	8
4011 Outlays from discretionary balances	32	61	4
4020 Outlays, gross (total)	37	67	12
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	.....	–8	–10
4180 Budget authority, net (total)	16	11	7
4190 Outlays, net (total)	37	59	2

The Denali Commission was established by the Denali Commission Act of 1998 (P.L. 105–277) and is composed of seven members including the Federal Co-Chair. The Commission's mission is to promote and provide sustainable infrastructure improvement, job training, and other economic development services that improve health, safety, and economic self-sufficiency within rural communities in Alaska. In 2014, the Commission will continue to coordinate cost-shared utilities and infrastructure projects with a focus on the most distressed communities. The 2014 Budget proposes to continue a 50% matching requirement to the Commission's funding of construction projects. This provision, common to other Federal regional economic development agencies, ensures that communities have a stake in their Commission-funded projects. Grants to distressed communities will have a lower matching requirement (20%). This match may be provided by the State of Alaska. In order to improve performance measures, in 2014 the Commission will continue to place an emphasis on gathering output and outcome results from its program partners and grantees.

Object Classification (in millions of dollars)

Identification code 95–1200–0–1–452	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
41.0 Grants, subsidies, and contributions	15	5	5
99.0 Direct obligations	17	7	7
99.0 Reimbursable obligations	3	8	10
99.9 Total new obligations	20	15	17

Employment Summary

Identification code 95–1200–0–1–452	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	13	14	14

Trust Funds

DENALI COMMISSION TRUST FUND

Program and Financing (in millions of dollars)

Identification code 95–8056–0–7–452	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0101 Direct program activity	7	7	7
0900 Total new obligations (object class 41.0)	7	7	7
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	7	7	7
1160 Appropriation, discretionary (total)	7	7	7
1930 Total budgetary resources available	7	7	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	11	10	1
3010 Obligations incurred, unexpired accounts	7	7	7
3020 Outlays (gross)	–8	–16	–7
3050 Unpaid obligations, end of year	10	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	11	10	1
3200 Obligated balance, end of year	10	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	7	7	7
Outlays, gross:			
4010 Outlays from new discretionary authority	1	7	7
4011 Outlays from discretionary balances	7	9	.....
4020 Outlays, gross (total)	8	16	7
4180 Budget authority, net (total)	7	7	7
4190 Outlays, net (total)	8	16	7

The Omnibus Consolidated and Emergency Supplemental Appropriations Act of 1999 (P.L. 105–277) established the annual transfer of interest from the investment of the Trans-Alaska Pipeline Liability Fund balance into the Oil Spill Liability Trust Fund for subsequent transfer to the Denali Commission. As required by the Act, the Denali Commission, in consultation with the Coast Guard, developed a program to use these funds to repair or replace bulk fuel storage tanks in Alaska that are not in compliance with Federal law, including the Oil Pollution Act of 1990, or State law.

DISTRICT OF COLUMBIA

DISTRICT OF COLUMBIA COURTS

Federal Funds

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA COURTS

For salaries and expenses for the District of Columbia Courts, $222,667,316 to be allocated as follows: for the District of Columbia Court of Appeals, $13,374,726, of which not to exceed $1,500 is for official reception and representation expenses; for the District of Columbia Superior Court, $112,566,340, of which not to exceed $1,500 is for official reception and representation expenses; for the District of Columbia Court System, $68,987,250, of which not to exceed $1,500 is for official reception and representation expenses; and $27,739,000, to remain available until September 30, 2015, for capital improvements for District of Columbia courthouse facilities: Provided, That funds made available for capital improvements shall be expended consistent with the District of Columbia Courts master plan study and building evaluation report: Provided further, That, notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies: Provided further, That, 30 days after providing written notice to the Committees on Appropriations of the House of Representatives and the Senate, the District of Columbia Courts may reallocate not more than $3,000,000 of the funds provided under this heading among the items and entities funded under this heading but no such allocation shall be increased by more than 4 percent.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–1712–0–1–806	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Court of Appeals	11	13	13
0002 Superior Court	115	116	114
0003 Court system	66	67	69
0004 Capital improvements	52	41	31
0900 Total new obligations	244	237	227
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	12	10
1021 Recoveries of prior year unpaid obligations	12	.....	.....
1050 Unobligated balance (total)	15	12	10
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	233	234	223
1121 Appropriations transferred from other accts [95–1736]	10	.....	.....
1160 Appropriation, discretionary (total)	243	234	223
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	1	1
1750 Spending auth from offsetting collections, disc (total)	1	1	1
1900 Budget authority (total)	244	235	224
1930 Total budgetary resources available	259	247	234
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–3	.....	.....
1941 Unexpired unobligated balance, end of year	12	10	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	166	136	161
3010 Obligations incurred, unexpired accounts	244	237	227
3011 Obligations incurred, expired accounts	3	.....	.....
3020 Outlays (gross)	–263	–212	–230
3040 Recoveries of prior year unpaid obligations, unexpired	–12	.....	.....
3041 Recoveries of prior year unpaid obligations, expired	–2	.....	.....
3050 Unpaid obligations, end of year	136	161	158
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	166	136	161
3200 Obligated balance, end of year	136	161	158

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA COURTS—Continued

Program and Financing—Continued

Identification code 95–1712–0–1–806	2012 actual	2013 CR	2014 est.
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	244	235	224
Outlays, gross:			
4010 Outlays from new discretionary authority	175	148	141
4011 Outlays from discretionary balances	88	64	89
4020 Outlays, gross (total)	263	212	230
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Policy Program [Text]	–3	–1	–1
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	2	.....	.....
4070 Budget authority, net (discretionary)	243	234	223
4080 Outlays, net (discretionary)	260	211	229
4180 Budget authority, net (total)	243	234	223
4190 Outlays, net (total)	260	211	229

Under the National Capital Revitalization and Self-Government Improvement Act of 1997, the Federal Government is required to finance the District of Columbia Courts. This Federal payment to the District of Columbia Courts funds the operations of the District of Columbia Court of Appeals, Superior Court, the Court System, and the Capital Improvement Program. Capital improvements include completing the permanent home for the D.C. Family Court in the Moultrie Courthouse, as well as modernization and renovation work on several court buildings in Judiciary Square.

The 2014 Budget provides resources to support the Courts' core functions, as well as resources for the Capital Improvement Program to maintain court facilities.

By law, the annual budget includes estimates of the expenditures for the operations of the District of Columbia Courts prepared by the Joint Committee on Judicial Administration in the District of Columbia and the President's recommendation for funding the District of Columbia Courts. The President's recommended level of $223 million includes: $195 million for District of Columbia Court of Appeals, Superior Court of the District of Columbia, and the District of Columbia Court System operations and $28 million for capital improvements for District courthouse facilities. Under a separate transmittal to the Congress, the District of Columbia Courts are requesting $342 million: $199 million for operations and $143 million for capital improvements.

Object Classification (in millions of dollars)

Identification code 95–1712–0–1–806	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments	108	112	114
12.1 Civilian personnel benefits	27	27	27
21.0 Travel and transportation of persons	1	1	1
23.2 Rental payments to others	5	6	5
23.3 Communications, utilities, and miscellaneous charges	8	9	9
25.1 Advisory and assistance services	33	19	18
25.2 Other services from non-Federal sources	18	9	8
25.3 Other goods and services from Federal sources	1	1	1
25.4 Operation and maintenance of facilities	6	4	4
25.7 Operation and maintenance of equipment	5	2	2
26.0 Supplies and materials	3	2	2
31.0 Equipment	7	3	4
32.0 Land and structures	21	41	31
99.0 Direct obligations	243	236	226
99.0 Reimbursable obligations	1	1	1
99.9 Total new obligations	244	237	227

FEDERAL PAYMENT FOR DEFENDER SERVICES IN DISTRICT OF COLUMBIA COURTS

For payments authorized under section 11–2604 and section 11–2605, D.C. Official Code (relating to representation provided under the District of Columbia Criminal Justice Act), payments for counsel appointed in proceedings in the Family Court of the Superior Court of the District of Columbia under chapter 23 of title 16, D.C. Official Code, or pursuant to contractual agreements to provide guardian ad litem representation, training, technical assistance, and such other services as are necessary to improve the quality of guardian ad litem representation, payments for counsel appointed in adoption proceedings under chapter 3 of title 16, D.C. Official Code, and payments authorized under section 21–2060, D.C. Official Code (relating to services provided under the District of Columbia Guardianship, Protective Proceedings, and Durable Power of Attorney Act of 1986), $49,890,000, to remain available until expended: Provided, That funds provided under this heading shall be administered by the Joint Committee on Judicial Administration in the District of Columbia: Provided further, That, notwithstanding any other provision of law, this appropriation shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for expenses of other Federal agencies.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–1736–0–1–806	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	56	55	50
0900 Total new obligations (object class 25.2)	56	55	50
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	15	4	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	55	55	50
1120 Appropriations transferred to other accts [95–1712]	–10	.....	.....
1160 Appropriation, discretionary (total)	45	55	50
1930 Total budgetary resources available	60	59	54
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	4	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	26	31	24
3010 Obligations incurred, unexpired accounts	56	55	50
3020 Outlays (gross)	–51	–62	–59
3050 Unpaid obligations, end of year	31	24	15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	26	31	24
3200 Obligated balance, end of year	31	24	15
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	45	55	50
Outlays, gross:			
4010 Outlays from new discretionary authority	35	41	38
4011 Outlays from discretionary balances	16	21	21
4020 Outlays, gross (total)	51	62	59
4180 Budget authority, net (total)	45	55	50
4190 Outlays, net (total)	51	62	59

The District of Columbia Courts appoint and compensate attorneys to represent persons who are financially unable to obtain such representation under three Defender Services programs: the Criminal Justice Act (CJA) program, which provides court-appointed attorneys to indigent persons who are charged with criminal offenses; the Counsel for Child Abuse and Neglect (CCAN) program, which provides court-appointed attorneys for family proceedings in which child neglect is alleged, or where the

termination of the parent-child relationship is under consideration and the parent, guardian, or custodian of the child is indigent; the Guardianship program, which provides for the representation and protection of mentally incapacitated individuals and minors whose parents are deceased. In addition to legal representation, these programs provide indigent persons with services such as transcripts of court proceedings, expert witness testimony, foreign and sign language interpretation, and investigations, and genetic testing. The President's recommended funding level for Defender Services is $50 million. Under a separate transmittal to the Congress, the Courts are also requesting $50 million for Defender Services.

Crime Victims Compensation Fund

The D.C. Superior Court has a Crime Victims Compensation Program that assists innocent victims of violent crime and their families with crime-related expenses such as funeral and burial costs, medical and mental health costs, lost wages, loss of support and services, clean-up of a crime scene, and the cost of temporary shelter for victims of domestic violence or arson. Through the services of a victim advocate, crime victims receive assistance in filing applications; locating victim service programs, support groups, or mental health counselors; and handling quality of life issues that arise after victimization. Monies in the fund consist of assessments imposed upon criminal defendants, a grant from the U.S. Department of Justice Office for Victims of Crime, and a portion of the general revenue of the court. Of any unobligated balances remaining in the Fund at the end of each year, 50 percent is made available to the D.C. Courts for direct compensation to crime victims and 50 percent is transferred to the District of Columbia for outreach activities.

Federal Payment to the District of Columbia Judicial Retirement and Survivors Annuity Fund

Program and Financing (in millions of dollars)

Identification code 20–1713–0–1–752	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Payment to Judicial Retirement Fund	10	10	9
0900 Total new obligations (object class 42.0)	10	10	9
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	10	10	9
1260 Appropriations, mandatory (total)	10	10	9
1930 Total budgetary resources available	10	10	9
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	10	10	9
3020 Outlays (gross)	–10	–10	–9
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	10	10	9
Outlays, gross:			
4100 Outlays from new mandatory authority	10	10	9
4180 Budget authority, net (total)	10	10	9
4190 Outlays, net (total)	10	10	9

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended , requires the Secretary of the Treasury to make payments at the end of each fiscal year, beginning in 1998, from the General Fund of the Treasury into the District of Columbia Judicial Retirement and Survivors Annuity Fund (Judicial Fund). Annual payments consist of amounts necessary to amortize the original unfunded liability over 30 years, the net experienced gain or loss over 10 years, and any other changes in actuarial liability over 20 years; and amounts necessary to fund the normal cost and covered administrative expenses for the year. This account receives the annual payments from the General Fund and immediately transfers those amounts to the Judicial Fund through an expenditure transfer.

Trust Funds

District of Columbia Judicial Retirement and Survivors Annuity Fund

Special and Trust Fund Receipts (in millions of dollars)

Identification code 20–8212–0–7–602	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	130	134	136
Receipts:			
0200 Deductions from Employees Salaries, District of Columbia Judicial Retirement and Survivors Annuity Fund	1	1	1
0240 Earnings on Investments, District of Columbia Judicial Retirement and Survivors Annuity Fund	4	3	3
0241 Federal Payments, D.C. Judicial Retirement and Survivors Annuity	10	10	9
0299 Total receipts and collections	15	14	13
0400 Total: Balances and collections	145	148	149
Appropriations:			
0500 District of Columbia Judicial Retirement and Survivors Annuity Fund	–15	–14	–13
0501 District of Columbia Judicial Retirement and Survivors Annuity Fund	4	2	1
0599 Total appropriations	–11	–12	–12
0799 Balance, end of year	134	136	137

Program and Financing (in millions of dollars)

Identification code 20–8212–0–7–602	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Retirement payments	10	11	11
0002 Administrative Costs	1	1	1
0900 Total new obligations	11	12	12
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	15	14	13
1235 Appropriations precluded from obligation	–4	–2	–1
1260 Appropriations, mandatory (total)	11	12	12
1930 Total budgetary resources available	11	12	12
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 Obligations incurred, unexpired accounts	11	12	12
3020 Outlays (gross)	–11	–12	–12
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	11	12	12
Outlays, gross:			
4100 Outlays from new mandatory authority	11	12	12
4180 Budget authority, net (total)	11	12	12
4190 Outlays, net (total)	11	12	12
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	132	136	140
5001 Total investments, EOY: Federal securities: Par value	136	140	141

DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND—Continued

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), established the District of Columbia Judicial Retirement and Survivors Annuity Fund (Judicial Fund) to pay retirement benefits for District of Columbia judges and to pay any necessary expenses to administer the Fund or expenses incurred by the Secretary of the Treasury in carrying out the responsibilities regarding such retirement benefits. The Judicial Fund consists of: amounts contributed by the judges; proceeds of accumulated pension assets transferred from the District of Columbia and liquidated, pursuant to the Act; income earned from the investment of the assets in public debt securities; and amounts appropriated to the Fund.

Object Classification (in millions of dollars)

Identification code 20–8212–0–7–602	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources	1	1	1
42.0 Payments to annuitants	10	11	11
99.9 Total new obligations	11	12	12

Employment Summary

Identification code 20–8212–0–7–602	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	2	2	2

DISTRICT OF COLUMBIA GENERAL AND SPECIAL PAYMENTS

The District of Columbia annually receives direct Federal payments for a number of local programs in recognition of the District's unique status as the seat of the Federal Government. These General and Special Payments are separate from and in addition to the District's local budget, which is funded through local revenues. Consistent with the principle of home rule, it is the Administration's view that the District's local budget should be authorized to take effect without a separate annual Federal appropriations bill. The Administration will work with Congress and the Mayor to provide the District local budget autonomy, as proposed in the Budget.

Federal Funds

FEDERAL PAYMENT FOR RESIDENT TUITION SUPPORT

For a Federal payment to the District of Columbia, to be deposited into a dedicated account, for a nationwide program to be administered by the Mayor, for District of Columbia resident tuition support, $35,000,000, to remain available until expended: Provided, That such funds, including any interest accrued thereon, may be used on behalf of eligible District of Columbia residents to pay an amount based upon the difference between in-State and out-of-State tuition at public institutions of higher education, or to pay up to $2,500 each year at eligible private institutions of higher education: Provided further, That the awarding of such funds may be prioritized on the basis of a resident's academic merit, the income and need of eligible students and such other factors as may be authorized: Provided further, That the District of Columbia government shall maintain a dedicated account for the Resident Tuition Support Program that shall consist of the Federal funds appropriated to the Program in this Act and any subsequent appropriations, any unobligated balances from prior fiscal years, and any interest earned in this or any fiscal year: Provided further, That the account shall be under the control of the District of Columbia Chief Financial Officer, who shall use those funds solely for the purposes of carrying out the Resident Tuition Support Program: Provided further, That the Office of the Chief Financial Officer shall provide a quarterly financial report to the Committees on Appropriations of the House of Representatives and the Senate for these funds showing, by object class, the expenditures made and the purpose therefor.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 20–1736–0–1–502	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	30	30	35
0900 Total new obligations (object class 41.0)	30	30	35
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	30	30	35
1160 Appropriation, discretionary (total)	30	30	35
1930 Total budgetary resources available	30	30	35
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	30	30	35
3020 Outlays (gross)	–30	–30	–35
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	30	30	35
Outlays, gross:			
4010 Outlays from new discretionary authority	30	30	35
4180 Budget authority, net (total)	30	30	35
4190 Outlays, net (total)	30	30	35

The D.C. Tuition Assistance Grant program enables students from the District of Columbia to attend eligible public universities and colleges nationwide at in-state tuition rates. The program also provides grants for students to attend private institutions in the D.C. metropolitan area or private historically Black colleges and universities nationwide, as well as public 2-year community colleges. To date, the Tuition Assistance Grant program has assisted over 19,635 students. The 2014 Budget changes the annual household income threshold for program elibility from $1,000,000 to $450,000 starting in the 2014–2015 school year. This change will not affect current grant recipients whose family annual income exceeds $450,000. These students will continue to be eligible for the grants until graduation.

FEDERAL PAYMENT FOR SCHOOL IMPROVEMENT

For a Federal payment for a school improvement program in the District of Columbia, $52,200,000, to remain available until expended, as authorized under the Scholarship for Opportunity and Results Act (division C of Public Law 112–10), to be allocated as follows: for the District of Columbia Public Schools, $30,000,000 to improve public school education in the District of Columbia; for the State Education Office, $20,000,000 to expand quality public charter schools in the District of Columbia; and for the activities specified in sections 3007(b)-3007(d) and 3009 of the Act, $2,200,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 20–1817–0–1–501	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Department of Education allocation account	20	20	2
0002 DC public schools	20	20	30

0003	DC public charter schools	20	20	20
0900	Total new obligations (object class 41.0)	60	60	52
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	60	60	52
1160	Appropriation, discretionary (total)	60	60	52
1930	Total budgetary resources available	60	60	52
	Change in obligated balance:			
	Unpaid obligations:			
3010	Obligations incurred, unexpired accounts	60	60	52
3020	Outlays (gross)	–60	–60	–52
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	60	60	52
	Outlays, gross:			
4010	Outlays from new discretionary authority	60	60	52
4180	Budget authority, net (total)	60	60	52
4190	Outlays, net (total)	60	60	52

The 2014 Budget provides $52.2 million to support kindergarten through high school education in the District of Columbia. This includes $30 million for D.C. public schools for continued support of the District's efforts to transform its public education system into an innovative and high-achieving system that could be used as a model for urban school district reform across the nation. The Budget provides $20 million for D.C. charter schools to support facilities and other unmet needs. The Budget provides $2.2 million for the D.C. Opportunity Scholarship program, a private school voucher program re-authorized in 2011, to carry-out the evaluation and administration activities of the program. Between this request and the amount carried forward from prior fiscal years, the program is expected to have sufficient funding to meet costs through the 2014–2015 school year.

Federal Support for Economic Development and Management Reforms in the District

Federal Payment to the District of Columbia Water and Sewer Authority

For a Federal payment to the District of Columbia Water and Sewer Authority, $14,500,000, to remain available until expended, to continue implementation of the Combined Sewer Overflow Long-Term Plan: Provided, That the District of Columbia Water and Sewer Authority provides a 100 percent match for this payment.

Federal Payment to the Criminal Justice Coordinating Council

For a Federal payment to the Criminal Justice Coordinating Council, $1,800,000, to remain available until expended, to support initiatives related to the coordination of Federal and local criminal justice resources in the District of Columbia.

Federal Payment for Judicial Commissions

For a Federal payment, to remain available until September 30, 2015, to the Commission on Judicial Disabilities and Tenure, $295,000, and for the Judicial Nomination Commission, $205,000.

Federal Payment for the District of Columbia National Guard

For a Federal payment to the District of Columbia National Guard, $500,000, to remain available until expended .

Federal Payment for Testing and Treatment of HIV/AIDS

For a Federal payment to the District of Columbia for the testing of individuals for, and the treatment of individuals with, human immunodeficiency virus and acquired immunodeficiency syndrome in the District of Columbia, $5,000,000.

Federal Payment for Redevelopment of the St. Elizabeths Hospital Campus

For a Federal Payment to the District of Columbia, $9,800,000, for activities to support redevelopment efforts at the site of the former St. Elizabeths Hospital in the District of Columbia.

Federal Payment for D.C. Commission on the Arts and Humanities Grants

For a Federal payment to the District of Columbia Commission on the Arts and Humanities, $1,000,000, to fund competitively-awarded grants for non-profit fine and performing arts organizations based in and primarily serving the District of Columbia.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 20–1707–0–1–999		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0001	Water and Sewer Authority	15	15	14
0002	Criminal Justice Coordinating Council	.....	1	2
0003	DC National Guard	2	1	1
0004	St. Elizabeths	.....	.....	9
0005	Arts and Cultural Affairs Grants	.....	.....	1
0019	Judicial Commissions	1	1	1
0025	HIV/AIDS Prevention	5	5	5
0900	Total new obligations (object class 41.0)	23	23	33
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	23	23	33
1160	Appropriation, discretionary (total)	23	23	33
1930	Total budgetary resources available	23	23	33
	Change in obligated balance:			
	Unpaid obligations:			
3010	Obligations incurred, unexpired accounts	23	23	33
3020	Outlays (gross)	–23	–23	–33
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	23	23	33
	Outlays, gross:			
4010	Outlays from new discretionary authority	23	23	33
4180	Budget authority, net (total)	23	23	33
4190	Outlays, net (total)	23	23	33

The Budget includes $9.8 million for infrastructure improvements related to redevelopment of the St. Elizabeths East Campus. The Budget provides $5 million to fund the D.C. Department of Health's continued efforts to prevent the spread of HIV/AIDS in the District. This funding will allow the District to focus on service saturation in areas of combined high risk and high poverty in order to ensure that ward-level counseling and testing, prevention, and treatment services are readily available and fully utilized. Funding will also be used to bolster social marketing and outreach campaigns for these important public health programs. The Budget includes $14.5 million for DC Water to support critical infrastructure needs and $1 million for grants to D.C. based fine and performing arts organizations.

Federal Payment for Emergency Planning and Security Costs in the District of Columbia

For a Federal payment of necessary expenses, as determined by the Mayor of the District of Columbia in written consultation with the elected county or city officials of surrounding jurisdictions, $14,900,000, to remain available until expended , for the costs of providing public safety at events

Federal Payment for Emergency Planning and Security Costs in the District of Columbia—Continued

related to the presence of the national capital in the District of Columbia, including support requested by the Director of the United States Secret Service Division in carrying out protective duties under the direction of the Secretary of Homeland Security, and for the costs of providing support to respond to immediate and specific terrorist threats or attacks in the District of Columbia or surrounding jurisdictions.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 20–1771–0–1–806	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Emergency Planning Fund	15	15	15
0002 Planning for the 57th Presidential Inauguration	.....	10	.....
0900 Total new obligations (object class 41.0)	15	25	15
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	15	25	15
1160 Appropriation, discretionary (total)	15	25	15
1930 Total budgetary resources available	15	25	15
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	15	25	15
3020 Outlays (gross)	–15	–25	–15
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	15	25	15
Outlays, gross:			
4010 Outlays from new discretionary authority	15	25	15
4180 Budget authority, net (total)	15	25	15
4190 Outlays, net (total)	15	25	15

The 2014 Budget includes $14.9 million for emergency planning and security costs related to the presence of the Federal Government in the District of Columbia, including costs associated with providing support requested by the Director of the U.S. Secret Service.

Federal Payment to the District of Columbia Pension Fund

Program and Financing (in millions of dollars)

Identification code 20–1714–0–1–601	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Payment to Federal Pension Fund	482	502	501
0900 Total new obligations (object class 42.0)	482	502	501
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	482	502	501
1260 Appropriations, mandatory (total)	482	502	501
1930 Total budgetary resources available	482	502	501
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	482	502	501
3020 Outlays (gross)	–482	–502	–501
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	482	502	501
Outlays, gross:			
4100 Outlays from new mandatory authority	482	502	501
4180 Budget authority, net (total)	482	502	501
4190 Outlays, net (total)	482	502	501

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended , requires the Secretary of the Treasury to make payments at the end of each fiscal year from the General Fund of the Treasury into the District of Columbia Federal Pension Fund. This account receives the annual payments from the General Fund and immediately transfers those amounts to the District of Columbia Federal Pension Fund. Annual payments consist of amounts necessary to amortize the original unfunded liability over 30 years, the net experienced gain or loss over 10 years, and any other changes in actuarial liability over 20 years; and amounts necessary to fund covered administrative expenses for the year.

District of Columbia Federal Pension Fund

Special and Trust Fund Receipts (in millions of dollars)

Identification code 20–5511–0–2–601	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	3,591	3,571	3,571
Receipts:			
0240 Federal Contribution, DC Federal Pension Fund	482	502	501
0241 Earnings on Investments, DC Federal Pension Fund	52	74	83
0299 Total receipts and collections	534	576	584
0400 Total: Balances and collections	4,125	4,147	4,155
Appropriations:			
0500 District of Columbia Federal Pension Fund	–534	–576	–584
0501 District of Columbia Federal Pension Fund	–20	.....	.....
0599 Total appropriations	–554	–576	–584
0799 Balance, end of year	3,571	3,571	3,571

Program and Financing (in millions of dollars)

Identification code 20–5511–0–2–601	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Retirement payments	542	542	547
0002 Administrative costs	17	17	17
0900 Total new obligations	559	559	564
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	.....	.....	17
1021 Recoveries of prior year unpaid obligations	5	.....	.....
1050 Unobligated balance (total)	5	.....	17
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	534	576	584
1203 Appropriation (previously unavailable)	20	.....	.....
1260 Appropriations, mandatory (total)	554	576	584
1900 Budget authority (total)	554	576	584
1930 Total budgetary resources available	559	576	601
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	.....	17	37
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	60	62	3
3010 Obligations incurred, unexpired accounts	559	559	564
3020 Outlays (gross)	–552	–618	–564
3040 Recoveries of prior year unpaid obligations, unexpired	–5	.....	.....
3050 Unpaid obligations, end of year	62	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	60	62	3
3200 Obligated balance, end of year	62	3	3

	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	554	576	584
	Outlays, gross:			
4100	Outlays from new mandatory authority	550	559	564
4101	Outlays from mandatory balances	2	59	.....
4110	Outlays, gross (total)	552	618	564
4180	Budget authority, net (total)	554	576	584
4190	Outlays, net (total)	552	618	564
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	3,659	3,643	3,661
5001	Total investments, EOY: Federal securities: Par value	3,643	3,661	3,681

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended , established the District of Columbia Federal Pension Fund to pay retirement benefits for District of Columbia firefighters, police officers, and teachers, and to pay any necessary expenses to administer the Fund or expenses incurred by the Secretary of the Treasury in carrying out his responsibilities regarding such retirement benefits. The District of Columbia Federal Pension Fund consists of: amounts deposited into the Fund; amounts appropriated to the Fund; and income earned from the investment of the assets in public debt securities.

Object Classification (in millions of dollars)

Identification code 20–5511–0–2–601	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	3
25.2 Other services from non-Federal sources	14	14	14
42.0 Payments to annuitants	542	542	547
99.9 Total new obligations	559	559	564

Employment Summary

Identification code 20–5511–0–2–601	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	20	20	20

FEDERAL PAYMENT FOR WATER AND SEWER SERVICES

Program and Financing (in millions of dollars)

Identification code 20–4446–0–3–806	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 Reimbursable program activity	51	56	56
0900 Total new obligations (object class 23.3)	51	56	56
Budgetary Resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	50	56	56
1801 Change in uncollected payments, Federal sources	1	.....	.....
1850 Spending auth from offsetting collections, mand (total)	51	56	56
1930 Total budgetary resources available	51	56	56
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	.....	1	1
3010 Obligations incurred, unexpired accounts	51	56	56
3020 Outlays (gross)	–50	–56	–56
3050 Unpaid obligations, end of year	1	1	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	.....	–1	–1
3070 Change in uncollected pymts, Fed sources, unexpired	–1	.....	.....
3090 Uncollected pymts, Fed sources, end of year	–1	–1	–1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	51	56	56
Outlays, gross:			
4100 Outlays from new mandatory authority	50	56	56
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	.....	–56	–56
4123 Non-Federal sources	–50	.....	.....
4130 Offsets against gross budget authority and outlays (total)	–50	–56	–56
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	–1	.....	.....

The 1990 District of Columbia Appropriations Act established a system "to improve the means by which the District of Columbia (now the D.C. Water and Sewer Authority, DC Water) is paid for water and sanitary sewer services furnished to the Government of the United States or any department, agency, or independent establishment thereof." Each agency is required to pay 25 percent of its estimated yearly bill each quarter by depositing its payment into this account. If an agency fails to pay its obligation on time, the Treasury Department is authorized to pay the full Government-wide bill, making up the difference through a permanent, indefinite appropriation which must then be reimbursed by the appropriate agencies.

Object Classification (in millions of dollars)

Identification code 20–4446–0–3–806	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
23.3 Communications, utilities, and miscellaneous charges	51	56	56
99.0 Reimbursable obligations	51	56	56

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
95–322070 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	.....	1	1
General Fund Offsetting receipts from the public	.....	1	1

TITLE VIII—GENERAL PROVISIONS—DISTRICT OF COLUMBIA

(INCLUDING TRANSFER OF FUNDS)

SEC. 801. There are appropriated from the applicable funds of the District of Columbia such sums as may be necessary for making refunds and for the payment of legal settlements or judgments that have been entered against the District of Columbia government.

SEC. 802. None of the Federal funds provided in this Act shall be used for publicity or propaganda purposes or implementation of any policy including boycott designed to support or defeat legislation pending before Congress or any State legislature.

SEC. 803. (a) None of the Federal funds provided under this Act to the agencies funded by this Act, both Federal and District government agencies, that remain available for obligation or expenditure in fiscal year 2014, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditures for an agency through a reprogramming of funds which—

(1) creates new programs;

(2) eliminates a program, project, or responsibility center;

(3) establishes or changes allocations specifically denied, limited or increased under this Act;

(4) increases funds or personnel by any means for any program, project, or responsibility center for which funds have been denied or restricted;

(5) re-establishes any program or project previously deferred through reprogramming;

(6) augments any existing program, project, or responsibility center through a reprogramming of funds in excess of $3,000,000 or 10 percent, whichever is less; or

(7) increases by 20 percent or more personnel assigned to a specific program, project or responsibility center,

unless the Committees on Appropriations of the House of Representatives and the Senate are notified in writing 15 days in advance of the reprogramming.

(b) The District of Columbia government is authorized to approve and execute reprogramming and transfer requests of local funds under this title through November 7, 2014.

SEC. 804. None of the Federal funds provided in this Act may be used by the District of Columbia to provide for salaries, expenses, or other costs associated with the offices of United States Senator or United States Representative under section 4(d) of the District of Columbia Statehood Constitutional Convention Initiatives of 1979 (D.C. Law 3–171; D.C. Official Code, sec. 1–123).

SEC. 805. Except as otherwise provided in this section, none of the funds made available by this Act or by any other Act may be used to provide any officer or employee of the District of Columbia with an official vehicle unless the officer or employee uses the vehicle only in the performance of the officer's or employee's official duties. For purposes of this section, the term "official duties'' does not include travel between the officer's or employee's residence and workplace, except in the case of—

(1) an officer or employee of the Metropolitan Police Department who resides in the District of Columbia or a District of Columbia government employee as may otherwise be designated by the Chief of the Department;

(2) at the discretion of the Fire Chief, an officer or employee of the District of Columbia Fire and Emergency Medical Services Department who resides in the District of Columbia and is on call 24 hours a day or is otherwise designated by the Fire Chief;

(3) at the discretion of the Director of the Department of Corrections, an officer or employee of the District of Columbia Department of Corrections who resides in the District of Columbia and is on call 24 hours a day or is otherwise designated by the Director;

(4) the Mayor of the District of Columbia; and

(5) the Chairman of the Council of the District of Columbia.

SEC. 806. (a) None of the Federal funds contained in this Act may be used by the District of Columbia Attorney General or any other officer or entity of the District government to provide assistance for any petition drive or civil action which seeks to require Congress to provide for voting representation in Congress for the District of Columbia.

(b) Nothing in this section bars the District of Columbia Attorney General from reviewing or commenting on briefs in private lawsuits, or from consulting with officials of the District government regarding such lawsuits.

SEC. 807. None of the Federal funds contained in this Act may be used to distribute any needle or syringe for the purpose of preventing the spread of blood borne pathogens in any location that has been determined by the local public health or local law enforcement authorities to be inappropriate for such distribution.

SEC. 808. Nothing in this Act may be construed to prevent the Council or Mayor of the District of Columbia from addressing the issue of the provision of contraceptive coverage by health insurance plans, but it is the intent of Congress that any legislation enacted on such issue should include a "conscience clause'' which provides exceptions for religious beliefs and moral convictions.

SEC. 809. None of the Federal funds contained in this Act may be used to enact or carry out any law, rule, or regulation to legalize or otherwise reduce penalties associated with the possession, use, or distribution of any schedule I substance under the Controlled Substances Act (21 U.S.C. 801 et seq.) or any tetrahydrocannabinols derivative.

SEC. 810. None of the Federal funds appropriated under this Act shall be expended for any abortion except where the life of the mother would be endangered if the fetus were carried to term or where the pregnancy is the result of an act of rape or incest.

SEC. 811. (a) No later than 30 calendar days after the date of the enactment of this Act, the Chief Financial Officer for the District of Columbia shall submit to the appropriate committees of Congress, the Mayor, and the Council of the District of Columbia, a revised appropriated funds operating budget in the format of the budget that the District of Columbia government submitted pursuant to section 442 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1–204.42), for all agencies of the District of Columbia government for fiscal year 2014 that is in the total amount of the approved appropriation and that realigns all budgeted data for personal services and other-than-personal services, respectively, with anticipated actual expenditures.

(b) This section shall apply only to an agency for which the Chief Financial Officer for the District of Columbia certifies that a reallocation is required to address unanticipated changes in program requirements.

SEC. 812. No later than 30 calendar days after the date of the enactment of this Act, the Chief Financial Officer for the District of Columbia shall submit to the appropriate committees of Congress, the Mayor, and the Council for the District of Columbia, a revised appropriated funds operating budget for the District of Columbia Public Schools that aligns schools budgets to actual enrollment. The revised appropriated funds budget shall be in the format of the budget that the District of Columbia government submitted pursuant to section 442 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1–204.42).

SEC. 813. (a) Amounts appropriated in this Act as operating funds may be transferred to the District of Columbia's enterprise and capital funds and such amounts, once transferred, shall retain appropriation authority consistent with the provisions of this Act.

(b) The District of Columbia government is authorized to reprogram or transfer for operating expenses any local funds transferred or reprogrammed in this or the four prior fiscal years from operating funds to capital funds, and such amounts, once transferred or reprogrammed, shall retain appropriation authority consistent with the provisions of this Act.

(c) The District of Columbia government may not transfer or reprogram for operating expenses any funds derived from bonds, notes, or other obligations issued for capital projects.

SEC. 814. Except as expressly provided otherwise, any reference to "this Act'' contained in this title or in title IV shall be treated as referring only to the provisions of this title or of title IV.

SEC. 815. Section 446 (D.C. Official Code, sec. 1–204.46), is amended—

(a) in the third sentence, to read as follows:

"The Mayor shall submit to the President of the United States for transmission to Congress the portion of the budget so adopted with respect to federal funds and the Mayor shall notify the Speaker of the House of Representatives, and the President of the Senate, as to the portion of the budget so adopted with respect to local funds; provided, that in a control year (as defined in section 305(4) of the District of Columbia Financial Responsibility and Management Assistance Act of 1995 (D.C. Official Code, sec. 47–393(4)), the Mayor shall submit to the President of the United States for transmission to Congress the budget so adopted."; and

(b) in fifth sentence, by striking "the Mayor shall not transmit any annual budget or amendments or supplements thereto, to the President of the United States" and inserting in lieu thereof, "the Mayor shall not submit to the President of the United States, or, for a fiscal year which is not a control year, notify the Speaker of the House of Representatives and the President of the Senate regarding, any annual budget or amendments or supplements thereto".

SEC. 816. (a) Subpart 1 of part D of title IV of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1–204.41 et seq.) is amended by inserting after section 446B the following new section:

"BUDGET AND FISCAL YEAR AUTONOMY

"Sec. 446C. (a) BUDGET AUTONOMY.—Notwithstanding the fourth sentence of section 446 of the Home Rule Act (D.C. Official Code, sec.1–204.46), the second and third sentences of section 447 of the Home Rule Act (D.C. Official Code, sec. 1–204.47), section 602(c) of the Home Rule Act (D.C. Official Code, sec.1–206.02(c)), or sections 816 and 817 of the Financial Services and General Government Appropriations Act, 2009 (D.C. Official Code, secs. 47–369.01 and 47–369.02), upon the enactment by the District of Columbia of the annual budget, or any amendments or supplements thereto, for a fiscal year, officers and employees of the District of Columbia government may obligate and expend

District of Columbia funds and hire employees in accordance with that budget.

"(b) FISCAL YEAR AUTONOMY.—Notwithstanding section 441 of the Home Rule Act (D.C. Official Code, sec. 1–204.41), the fiscal year of the District government and any entity of the District government shall commence and end on such dates as may be established by the District of Columbia.

"(c) EXCEPTION FOR CONTROL YEAR.—Subsection (a) shall not apply in the case of any fiscal year that is a control year, as defined in section 305(4) of the District of Columbia Financial Responsibility and Management Assistance Act of 1995 (D.C. Official Code, sec. 47–393(4)).

"(d) EFFECTIVE DATE.—This section shall apply with respect to fiscal year 2014 and each succeeding fiscal year.".

(b) The table of contents of such Act is amended by inserting after the item relating to section 446B the following new item:

"Sec. 446C. Budget and fiscal year autonomy.".

SEC. 817. (a) If the Attorney General of the District of Columbia enters into a contract with private counsel for the provision of legal services in claims and other legal matters affecting the interests of the District of Columbia and the contract includes a contingency fee arrangement, the District of Columbia may make payments pursuant to such arrangement without regard to whether the funds used for the payments are deposited in accounts of the District of Columbia or provided in an appropriation, notwithstanding any provision of title 31, United States Code, the fourth sentence of section 446 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1–204.46), or any other District of Columbia law.

(b) Any contract described in subsection (a) shall be subject to the requirements of the Procurement Practices Reform Act of 2010 (D.C. Official Code, sec. 2–351.01 et seq.). The amount of the fee payable for legal services furnished under any such contract may not exceed the fee that counsel engaged in the private practice of law in the District of Columbia typically charges clients for furnishing similar legal services, as determined by the Attorney General of the District of Columbia.

(c) The District of Columbia may not enter into a contingency fee arrangement in a claim or other legal matter seeking the recovery of federal funds.

(d) In this section, a "contingency fee arrangement" means a provision in a contract described in subsection (a) under which the costs, expenses, and fees the private counsel charges for legal services are payable from the amount recovered.

SEC. 818. Subparagraph (G) of section 3(c)(2) of the District of Columbia College Access Act of 1999 (Public Law 106–98), as amended, is further amended:

(a) by inserting after "(G)", "(i) for individuals who began an undergraduate course of study prior to school year 2014–2015,", and

(b) by inserting the following before the period at the end: "and (ii) for individuals who begin an undergraduate course of study in or after school year 2014–2015, is from a family with a taxable annual income of less than $450,000. Beginning with school year 2015–2016, the Mayor shall adjust the amounts in clauses (i) and (ii) for inflation, as measured by the percentage increase, if any, from the preceding fiscal year in the consumer Price Index for All Urban Consumers, published by the Bureau of Labor Statistics of the Department of Labor".

ELECTION ASSISTANCE COMMISSION

Federal Funds

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out the Help America Vote Act of 2002 (Public Law 107–252), $11,062,500, of which $2,750,000 shall be transferred to the National Institute of Standards and Technology for election reform activities authorized under the Help America Vote Act of 2002.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–1650–0–1–808	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Election Assistance Commission	8	9	9
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	12	12	12
1120 Appropriations transferred to other accts [13–0500]	–3	–3	–3
1160 Appropriation, discretionary (total)	9	9	9
1930 Total budgetary resources available	9	9	9
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–1	.....	.....
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	1	2
3010 Obligations incurred, unexpired accounts	8	9	9
3011 Obligations incurred, expired accounts	1	.....	.....
3020 Outlays (gross)	–8	–8	–9
3041 Recoveries of prior year unpaid obligations, expired	–2	.....	.....
3050 Unpaid obligations, end of year	1	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	1	2
3200 Obligated balance, end of year	1	2	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	9	9	9
Outlays, gross:			
4010 Outlays from new discretionary authority	6	7	7
4011 Outlays from discretionary balances	2	1	2
4020 Outlays, gross (total)	8	8	9
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–1	.....	.....
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	1	.....	.....
4070 Budget authority, net (discretionary)	9	9	9
4080 Outlays, net (discretionary)	7	8	9
4180 Budget authority, net (total)	9	9	9
4190 Outlays, net (total)	7	8	9

The Election Assistance Commission is responsible for assisting State and local efforts to enhance election equipment, improve the administration of Federal elections, and meet minimum voting standards established by the Help America Vote Act of 2002 (P.L. 107–252). Of the amounts proposed for 2014, $2.75 million will be transferred to the National Institute of Standards and Technology to continue its work to support the Technical Guidelines Development Committee in developing a comprehensive set of testing guidelines for voting system hardware and software.

Object Classification (in millions of dollars)

Identification code 95–1650–0–1–808	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	4	4
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	.....	1	1
23.1 Rental payments to GSA	1	1	1
25.2 Other services from non-Federal sources	1	1	1
25.5 Research and development contracts	1	1	1
99.9 Total new obligations	8	9	9

Employment Summary

Identification code 95–1650–0–1–808	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	32	29	29

ELECTION REFORM PROGRAMS

Program and Financing (in millions of dollars)

Identification code 95–1651–0–1–808	2012 actual	2013 CR	2014 est.
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
1930 Total budgetary resources available	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	30	24	19
3020 Outlays (gross)	–6	–5	–5
3050 Unpaid obligations, end of year	24	19	14
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	30	24	19
3200 Obligated balance, end of year	24	19	14
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	6	5	5
4190 Outlays, net (total)	6	5	5

The Budget does not provide additional resources for election reform grants to States. The Election Assistance Commission is responsible for distributing grant funding in accordance with the requirements of the Help America Vote Act of 2002, and for auditing the use of grant funding once it has been distributed. To date, the Federal government has provided over $3.2 billion in support to States for election administration modernization and improvement.

ELECTION DATA COLLECTION GRANTS

Program and Financing (in millions of dollars)

Identification code 95–1652–0–1–808	2012 actual	2013 CR	2014 est.
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
1930 Total budgetary resources available	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2

ELECTRIC RELIABILITY ORGANIZATION

Federal Funds

ELECTRIC RELIABILITY ORGANIZATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5522–0–2–276	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	.....	.....
Receipts:			
0200 Fees, Electric Reliability Organization	100	100	100
0400 Total: Balances and collections	100	100	100
Appropriations:			
0500 Electric Reliability Organization	–100	–100	–100
0799 Balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 95–5522–0–2–276	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	100	100	100
0900 Total new obligations (object class 25.2)	100	100	100
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	100	100	100
1260 Appropriations, mandatory (total)	100	100	100
1930 Total budgetary resources available	100	100	100
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	100	100	100
3020 Outlays (gross)	–100	–100	–100
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	100	100	100
Outlays, gross:			
4100 Outlays from new mandatory authority	100	100	100
4180 Budget authority, net (total)	100	100	100
4190 Outlays, net (total)	100	100	100

The Energy Policy Act of 2005 (P.L. 109–58) authorizes the Federal Energy Regulatory Commission (FERC) to certify an Electric Reliability Organization (ERO) to establish and enforce reliability standards for the electric bulk-power system. These standards include requirements for operating existing bulk-power system facilities, including cybersecurity protection, and design of planned additions or modifications to these facilities to provide for reliable operation, but does not include requirements to construct new transmission or generation capacity. On July 20, 2006, FERC certified the North American Electric Reliability Corporation as the ERO. ERO is funded by fees on end users of the bulk-power system. Since the ERO does not report budget data to Treasury, ERO funding is based on estimates.

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Equal Employment Opportunity Commission as authorized by title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act of 1967, the Equal Pay Act of 1963, the Americans with Disabilities Act of 1990, Section 501 of the Rehabilitation Act of 1973, the Civil Rights Act of 1991, the Genetic Information Non-Discrimination Act (GINA) of 2008 (Public Law 110–233), the ADA Amendments Act of 2008 (Public Law 110–325), and the Lilly Ledbetter Fair Pay Act of 2009 (Public Law 111–2), including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); nonmonetary awards to private citizens; and up to $29,500,000 for payments to State and local enforcement agencies for authorized services to the Commission, $372,923,000: Provided, That the Commission is authorized to make available for official reception and representation expenses not to exceed $2,250 from available funds: Provided further, That the Chair is authorized to accept and use any gift or donation to carry out the work of the Commission.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 45–0100–0–1–751	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Private sector	360	292	302
0002 Federal sector	.....	40	41
0003 State and local	.....	30	30

0900	Total new obligations	360	362	373
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	360	362	373
1160	Appropriation, discretionary (total)	360	362	373
1930	Total budgetary resources available	360	362	373
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	42	42	47
3010	Obligations incurred, unexpired accounts	360	362	373
3011	Obligations incurred, expired accounts	4	.....	.....
3020	Outlays (gross)	–360	–357	–372
3041	Recoveries of prior year unpaid obligations, expired	–4	.....	.....
3050	Unpaid obligations, end of year	42	47	48
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	42	42	47
3200	Obligated balance, end of year	42	47	48
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	360	362	373
	Outlays, gross:			
4010	Outlays from new discretionary authority	327	315	325
4011	Outlays from discretionary balances	33	42	47
4020	Outlays, gross (total)	360	357	372
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4033	Non-Federal sources	–1	.....	.....
	Additional offsets against gross budget authority only:			
4052	Offsetting collections credited to expired accounts	1	.....	.....
4070	Budget authority, net (discretionary)	360	362	373
4080	Outlays, net (discretionary)	359	357	372
4180	Budget authority, net (total)	360	362	373
4190	Outlays, net (total)	359	357	372

The Equal Employment Opportunity Commission (EEOC) is the Federal agency responsible for enforcement of: Title VII of the Civil Rights Act of 1964, as amended; the Age Discrimination in Employment Act of 1967; the Equal Pay Act of 1963; the Americans with Disabilities Act of 1990; the Civil Rights Act of 1991; the Genetic Information Non-Discrimination Act (GINA) of 2008; the ADA Amendments Act of 2008; the Lilly Ledbetter Fair Pay Act of 2009; and in the Federal sector only, section 501 of the Rehabilitation Act of 1973. These Acts prohibit employment discrimination based on race, sex, religion, national origin, age, disability status, or genetic information. EEOC is also responsible for carrying out Executive Order 12067, which promotes coordination and minimizes conflict and duplication among Federal agencies that administer statutes or regulations involving employment discrimination.

TOTAL WORKLOAD

	2012 actual	2013 CR	2014 est.
Private sector enforcement	181,451	170,040	173,630
Federal sector program:			
Hearings	15,684	15,613	15,276
Appeals	8,687	8,772	9,009
Total workload	205,822	194,425	197,915

The 2014 Budget for EEOC aligns the agency's staffing and funding request with the new Strategic Plan for fiscal years 2012–2016. The new plan establishes a framework for achieving the EEOC's mission to "Stop and Remedy Unlawful Employment Discrimination". The plan has three strategic objectives: 1) Combat employment discrimination through strategic law enforcement; 2) Prevent employment discrimination through education and outreach; and 3) Deliver excellent and consistent service through a skilled and diverse workforce and effective systems. The structure of this budget is based on our new Strategic Plan to continue our standards of providing quality service to the public through enforcement and prevention activities. EEOC will continue to make the agency more accessible and responsive to citizens' needs through business process reform and the infusion of new technologies. EEOC's enforcement responsibilities are in two areas: the private sector and the Federal sector.

Private sector.—EEOC addresses equal employment opportunity in several ways. The agency investigates charges alleging employment discrimination; makes findings on the allegations; resolves charges through mediation; negotiates settlement or conciliation; and litigates cases of employment discrimination by enforcing compliance with existing laws and regulations. The priority for agency resources continues to be litigating systemic cases and maintaining a manageable inventory of cases.

PRIVATE SECTOR ENFORCEMENT WORKLOAD PROJECTIONS

Workload/Workflow	2012 actual	2013 CR	2014 est.
Total pending	80,729	70,312	73,902
Total receipts	99,412	98,418	98,418
Net FEPA transfers/deferrals	1,310	1,310	1,310
Total workload	181,451	170,040	173,630
Resolutions:			
Successful mediation	8,714	7,612	7,317
From contract	336	315	315
From staff	8,378	7,297	7,002
Administrative enforcement resolutions	102,425	88,525	85,738
Total resolutions	111,139	96,137	93,055
Pending ending	70,312	73,903	80,575

State and Local Program.—EEOC contracts with Fair Employment Practices Agencies (FEPAs) that are responsible for addressing employment discrimination within their respective State and local jurisdictions. In addition, the agency works with Tribal Employment Rights Organizations (TEROs) to promote employment opportunities for Native Americans on or near a reservation.

STATE AND LOCAL WORKLOAD PROJECTIONS

Workload	2012 actual	2013 CR	2014 est.
Charges/complaints pending	47,696	46,992	46,288
Charges/complaints received	43,467	43,467	43,467
Total Workload	91,163	90,459	89,755
Charges/complaints resolved	42,861	42,861	42,861
Charges/complaints deferred to EEOC	1,310	1,310	1,310
Charges/complaints pending ending	46,992	46,288	45,584

Federal sector.—EEOC holds hearings on complaints of discrimination filed in Federal agencies; decides appeals of complaints of discriminations; and engages in activities to prevent or remove discriminatory barriers to employment opportunities in the Federal Government.

FEDERAL SECTOR PROGRAMS HEARINGS WORKLOAD PROJECTIONS

Workload	2012 actual	2013 CR	2014 est.
Hearings pending	8,060	8,146	8,009
Hearings requests received	7,728	7,629	7,429
Hearings requests consolidated after initial processing	(104)	(162)	(162)
Total workload	15,684	15,613	15,276
Hearings resolved	7,583	7,604	7,604
Hearings pending ending	8,146	8,009	7,672

FEDERAL SECTOR PROGRAMS APPEALS WORKLOAD PROJECTIONS

Workload	2012 actual	2013 CR	2014 est.
Appeals pending	4,337	4,422	4,659
Appeals received	4,350	4,350	4,350
Total workload	8,687	8,772	9,009
Appeals resolved	4,265	4,113	3,825
Appeals pending ending	4,422	4,659	5,184

SALARIES AND EXPENSES—Continued

Object Classification (in millions of dollars)

Identification code 45–0100–0–1–751	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	196	197	200
11.3 Other than full-time permanent	2	2	3
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	200	201	205
12.1 Civilian personnel benefits	60	60	61
21.0 Travel and transportation of persons	4	4	4
23.1 Rental payments to GSA	28	29	30
23.2 Rental payments to others	1	1	1
23.3 Communications, utilities, and miscellaneous charges	5	5	6
25.1 State and Local Contracts	.....	30	30
25.2 Other services from non-Federal sources	57	22	26
25.3 Other goods and services from Federal sources	.....	5	5
26.0 Supplies and materials	4	4	4
31.0 Equipment	1	1	1
99.9 Total new obligations	360	362	373

Employment Summary

Identification code 45–0100–0–1–751	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	2,332	2,212	2,239

EEOC EDUCATION, TECHNICAL ASSISTANCE, AND TRAINING REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 45–4019–0–3–751	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 Reimbursable program activity	5	4	4
0809 Reimbursable program activities, subtotal	5	4	4
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	1	1
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	3	4	4
1850 Spending auth from offsetting collections, mand (total)	3	4	4
1930 Total budgetary resources available	6	5	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	2	4
3010 Obligations incurred, unexpired accounts	5	4	4
3020 Outlays (gross)	–4	–2	–1
3050 Unpaid obligations, end of year	2	4	7
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	2	4
3200 Obligated balance, end of year	2	4	7
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	3	4	4
Outlays, gross:			
4100 Outlays from new mandatory authority	3	1	1
4101 Outlays from mandatory balances	1	1	.....
4110 Outlays, gross (total)	4	2	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–1	.....	.....
4123 Non-Federal sources	–2	–4	–4
4130 Offsets against gross budget authority and outlays (total)	–3	–4	–4
4170 Outlays, net (mandatory)	1	–2	–3
4190 Outlays, net (total)	1	–2	–3

The EEOC Education, Technical Assistance, and Training Revolving Fund Act of 1992 created a revolving fund to pay for the cost of providing education, technical assistance and training relating to the laws administered by the EEOC.

Object Classification (in millions of dollars)

Identification code 45–4019–0–3–751	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services from non-Federal sources	4	3	3
99.9 Total new obligations	5	4	4

Employment Summary

Identification code 45–4019–0–3–751	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment	14	14	14

EXPORT-IMPORT BANK OF THE UNITED STATES

Federal Funds

INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, $5,100,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 83–0105–0–1–155	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0009 Administrative Expenses	3	4	5
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4	4	5
1160 Appropriation, discretionary (total)	4	4	5
1930 Total budgetary resources available	5	6	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 Obligations incurred, unexpired accounts	3	4	5
3020 Outlays (gross)	–3	–4	–5
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	4	4	5
Outlays, gross:			
4010 Outlays from new discretionary authority	2	3	4
4011 Outlays from discretionary balances	1	1	1
4020 Outlays, gross (total)	3	4	5
4180 Budget authority, net (total)	4	4	5
4190 Outlays, net (total)	3	4	5

Object Classification (in millions of dollars)

Identification code 83–0105–0–1–155	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	3
25.2 Other services from non-Federal sources	1	2	2
99.9 Total new obligations	3	4	5

Employment Summary

Identification code 83–0105–0–1–155	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	21	21	28

Program Account

The Export-Import Bank of the United States is authorized to make such expenditures within the limits of funds and borrowing authority available to such corporation, and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by section 104 of the Government Corporation Control Act, as may be necessary in carrying out the program for the current fiscal year for such corporation: Provided, That none of the funds available during the current fiscal year may be used to make expenditures, contracts, or commitments for the export of nuclear equipment, fuel, or technology to any country, other than a nuclear-weapon state as defined in Article IX of the Treaty on the Non-Proliferation of Nuclear Weapons eligible to receive economic or military assistance under this Act, that has detonated a nuclear explosive after the date of the enactment of this Act.

Administrative Expenses

For administrative expenses to carry out the direct and guaranteed loan and insurance programs, including hire of passenger motor vehicles and services as authorized by 5 U.S.C. 3109, and not to exceed $30,000 for official reception and representation expenses for members of the Board of Directors, not to exceed $114,900,000, to remain available until September 30, 2015: Provided, That the Export-Import Bank may accept, and use, payment or services provided by transaction participants for legal, financial, or technical services in connection with any transaction for which an application for a loan, guarantee or insurance commitment has been made: Provided further, That, notwithstanding subsection (b) of section 117 of the Export Enhancement Act of 1992, subsection (a) thereof shall remain in effect until September 30, 2015: Provided further, That the Export-Import Bank shall charge fees for necessary expenses (including special services performed on a contract or fee basis, but not including other personal services) in connection with the collection of moneys owed the Export-Import Bank, repossession or sale of pledged collateral or other assets acquired by the Export-Import Bank in satisfaction of moneys owed the Export-Import Bank, or the investigation or appraisal of any property, or the evaluation of the legal, financial, or technical aspects of any transaction for which an application for a loan, guarantee or insurance commitment has been made, or systems infrastructure directly supporting transactions: Provided further, That, in addition to other funds appropriated for administrative expenses, such fees shall be credited to this account, to remain available until expended.

In addition, for renovation expenses of the Export-Import Bank's headquarters, not to exceed $10,500,000, to remain available until expended.

Receipts Collected

Receipts collected pursuant to the Export-Import Bank Act of 1945, as amended, and the Federal Credit Reform Act of 1990, as amended, in an amount not to exceed the amount appropriated herein, shall be credited as offsetting collections to this account: Provided, That the sums herein appropriated from the General Fund shall be reduced on a dollar-for-dollar basis by such offsetting collections so as to result in a final fiscal year appropriation from the General Fund estimated at $0: Provided further, That amounts collected in fiscal year 2014 in excess of obligations, up to $10,000,000, shall become available on September 1, 2014, and shall remain available until September 30, 2017.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 83–0100–0–1–155	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy	.....	15	15
0702 Loan guarantee subsidy	72	35	.....
0705 Reestimates of direct loan subsidy	517	482	.....
0706 Interest on reestimates of direct loan subsidy	180	59	.....
0707 Reestimates of loan guarantee subsidy	38	428	.....
0708 Interest on reestimates of loan guarantee subsidy	58	55	.....
0709 Administrative expenses	90	90	115
0715 Other	12	31	41
0900 Total new obligations	967	1,195	171
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	953	596	222
1021 Recoveries of prior year unpaid obligations	10	.....	.....
1050 Unobligated balance (total)	963	596	222
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced	–400	–400	.....
1160 Appropriation, discretionary (total)	–400	–400	.....
Appropriations, mandatory:			
1200 Appropriation	793	1,023	.....
1260 Appropriations, mandatory (total)	793	1,023	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected	216	50	21
1700 Offsetting collections (Subsidy)	.....	58	.....
1700 Offsetting collections (Admin Expense)	.....	90	115
1750 Spending auth from offsetting collections, disc (total)	216	198	136
1900 Budget authority (total)	609	821	136
1930 Total budgetary resources available	1,572	1,417	358
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–9	.....	.....
1941 Unexpired unobligated balance, end of year	596	222	187
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	98	118	104
3010 Obligations incurred, unexpired accounts	967	1,195	171
3011 Obligations incurred, expired accounts	5	.....	.....
3020 Outlays (gross)	–931	–1,209	–169
3040 Recoveries of prior year unpaid obligations, unexpired	–10	.....	.....
3041 Recoveries of prior year unpaid obligations, expired	–11	.....	.....
3050 Unpaid obligations, end of year	118	104	106
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	98	118	104
3200 Obligated balance, end of year	118	104	106
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	–184	–202	136
Outlays, gross:			
4010 Outlays from new discretionary authority	875	157	113
4011 Outlays from discretionary balances	19	29	56
4020 Outlays, gross (total)	894	186	169
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	–218	–198	–136
Additional offsets against gross budget authority only:			
4052 Offsetting collections credited to expired accounts	2	.....	.....
4070 Budget authority, net (discretionary)	–400	–400	.....
4080 Outlays, net (discretionary)	676	–12	33
Mandatory:			
4090 Budget authority, gross	793	1,023	.....
Outlays, gross:			
4101 Outlays from mandatory balances	37	1,023	.....
4180 Budget authority, net (total)	393	623	.....
4190 Outlays, net (total)	713	1,011	33

EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT—Continued

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 83–0100–0–1–155	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Direct Loans: Export Financing	11,765	50	100
115002 Direct Loans: Tied Aid War Chest	.....	50	50
115999 Total direct loan levels	11,765	100	150
Direct loan subsidy (in percent):			
132001 Direct Loans: Export Financing	–13.69	–0.03	–0.17
132002 Direct Loans: Tied Aid War Chest	.....	30.08	29.45
132999 Weighted average subsidy rate	–13.69	15.03	9.70
Direct loan subsidy budget authority:			
133001 Direct Loans: Export Financing	–1,611	.....	.....
133002 Direct Loans: Tied Aid War Chest	.....	15	15
133999 Total subsidy budget authority	–1,611	15	15
Direct loan subsidy outlays:			
134001 Direct Loans: Export Financing	–397	.....	.....
134999 Total subsidy outlays	–397	.....	.....
Direct loan upward reestimates:			
135001 Direct Loans: Export Financing	697	541	.....
135999 Total upward reestimate budget authority	697	541	.....
Direct loan downward reestimates:			
137001 Direct Loans: Export Financing	–178	–198	.....
137999 Total downward reestimate budget authority	–178	–198	.....
Guaranteed loan levels supportable by subsidy budget authority:			
215004 Long Term Guarantees	14,880	29,430	32,441
215005 Medium Term Guarantees	187	180	194
215006 Short Term Insurance	8,788	8,567	6,962
215007 Medium Term Insurance	165	195	207
215008 Working Capital Fund	.....	.....	2,727
215999 Total loan guarantee levels	24,020	38,372	42,531
Guaranteed loan subsidy (in percent):			
232004 Long Term Guarantees	–2.74	–4.12	–3.41
232005 Medium Term Guarantees	7.04	3.99	–0.10
232006 Short Term Insurance	0.48	0.23	–0.01
232007 Medium Term Insurance	10.13	3.88	–0.12
232008 Working Capital Fund	.....	.....	–0.01
232999 Weighted average subsidy rate	–1.40	–3.07	–2.60
Guaranteed loan subsidy budget authority:			
233004 Long Term Guarantees	–408	–1,213	–1,106
233005 Medium Term Guarantees	13	7	.....
233006 Short Term Insurance	42	20	–1
233007 Medium Term Insurance	17	8	.....
233999 Total subsidy budget authority	–336	–1,178	–1,107
Guaranteed loan subsidy outlays:			
234001 Risk Category A	–407	.....	.....
234004 Long Term Guarantees	.....	–692	–836
234005 Medium Term Guarantees	8	8	8
234006 Short Term Insurance	22	17	18
234007 Medium Term Insurance	11	13	12
234999 Total subsidy outlays	–366	–654	–798
Guaranteed loan upward reestimates:			
235003 Guarantee and Insurance Reestimates	96	483	.....
235999 Total upward reestimate budget authority	96	483	.....
Guaranteed loan downward reestimates:			
237003 Guarantee and Insurance Reestimates	–513	–249	.....
237999 Total downward reestimate subsidy budget authority	–513	–249	.....
Administrative expense data:			
3510 Budget authority	90	90	115
3580 Outlays from balances	10	10	10
3590 Outlays from new authority	80	94	105

The purpose of the Export-Import Bank (Ex-Im Bank or the Bank) is to sustain U.S. jobs by financing U.S. exports. To accomplish its objectives, the Bank's authority and resources are used to: assume commercial and political risks that exporters or private institutions are unwilling or unable to undertake; overcome maturity and other limitations in private sector export financing; assist U.S. exporters to meet officially sponsored foreign export credit competition; and provide leadership and guidance in export financing to the U.S. exporting and banking communities and to foreign borrowers. The Bank provides its export credit support through direct loan, loan guarantee, and insurance programs. The Bank is actively assisting small- and medium-sized businesses.

The 2014 Budget estimates that the Bank's export credit support will total $42.7 billion, and will be funded entirely by receipts collected from the Bank's customers. The Bank estimates it will collect $972.1 million in 2014 in receipts in excess of expected losses on transactions authorized in 2014 and prior years. These amounts will be used to cover administrative expenses in an amount not to exceed $114.9 million, of which $20.0 million is for technology expenses, and $7 million is for continued support of small business development efforts. Amounts collected in fiscal year 2014 in excess of obligations, up to $10.0 million, shall become available on September 1, 2014 and shall remain available until September 30, 2017. Any excess above $10.0 million will be deposited in the General Fund of the Treasury.

As required by the Federal Credit Reform Act of 1990, this account records, for Ex-Im Bank, the subsidy costs associated with direct loans and direct grants obligated, and loan guarantees and insurance committed in 1992 and beyond, as well as administrative expenses. The subsidy amounts are estimated on a present value basis; administrative expenses are estimated on a cash basis.

Object Classification (in millions of dollars)

Identification code 83–0100–0–1–155	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	48	48	55
12.1 Civilian personnel benefits	14	14	15
21.0 Travel and transportation of persons	2	2	1
23.1 Rental payments to GSA	7	7	15
23.3 Communications, utilities, and miscellaneous charges	1	1	2
25.2 Other services from non-Federal sources	15	15	21
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	2	5
41.0 Grants, subsidies, and contributions	877	1,105	56
99.9 Total new obligations	967	1,195	171

Employment Summary

Identification code 83–0100–0–1–155	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	390	390	436

DEBT REDUCTION FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 83–4028–0–3–155	2012 actual	2013 CR	2014 est.
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	10	.....
1022 Capital transfer of unobligated balances to general fund	.....	–10	.....
1050 Unobligated balance (total)	10	.....	.....
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (repayments)	22	3	3
1820 Capital transfer of spending authority from offsetting collections to general fund	–22	–3	–3
1930 Total budgetary resources available	10	.....	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	.....	.....
Financing authority and disbursements, net:			
Mandatory:			
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4123 Non-Federal sources - Principal	–22	–2	–2

4123	Non-Federal sources - Interest	.....	–1	–1
4130	Offsets against gross financing auth and disbursements (total)	–22	–3	–3
4160	Financing authority, net (mandatory)	–22	–3	–3
4170	Financing disbursements, net (mandatory)	–22	–3	–3
4180	Financing authority, net (total)	–22	–3	–3
4190	Financing disbursements, net (total)	–22	–3	–3

Status of Direct Loans (in millions of dollars)

Identification code 83–4028–0–3–155		2012 actual	2013 CR	2014 est.
	Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	113	113	111
1251	Repayments: Repayments and prepayments	.....	–2	–2
1290	Outstanding, end of year	113	111	109

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from restructuring either loans or claims against guarantees made by the Export-Import Bank of the U.S.

Balance Sheet (in millions of dollars)

Identification code 83–4028–0–3–155		2011 actual	2012 actual
	ASSETS:		
	Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross	113	113
1405	Allowance for subsidy cost (-)	–113	–113
1499	Net present value of assets related to direct loans	.....	.....
1999	Total upward reestimate subsidy BA [11–0091]	.....	.....

Export-Import Bank Direct Loan Financing Account

Program and Financing (in millions of dollars)

Identification code 83–4161–0–3–155		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0003	Other obligations	.....	10	10
	Credit program obligations:			
0710	Direct loan obligations	11,765	100	150
0713	Payment of interest to Treasury	524	623	744
0740	Negative subsidy obligations	1,611	.....	.....
0742	Downward reestimate paid to receipt account	135	129	.....
0743	Interest on downward reestimates	43	69	.....
0791	Direct program activities, subtotal	14,078	921	894
0900	Total new obligations	14,078	931	904
	Budgetary Resources:			
	Unobligated balance:			
1021	Recoveries of prior year unpaid obligations	216	1	.....
1050	Unobligated balance (total)	216	1	.....
	Financing authority:			
	Borrowing authority, mandatory:			
1400	Borrowing authority	13,641	100	150
1440	Borrowing authority, mandatory (total)	13,641	100	150
	Spending authority from offsetting collections, mandatory:			
1800	Spending authority from offsetting collections (cash)	2,136	2,500	2,925
1801	Change in uncollected payments, Federal sources	17	.....	.....
1820	Capital transfer of spending authority from offsetting collections to general fund	–11	.....	.....
1825	Spending authority from offsetting collections applied to repay debt	–1,921	–1,670	–2,171
1850	Spending auth from offsetting collections, mand (total)	221	830	754
1900	Financing authority (total)	13,862	930	904
1930	Total budgetary resources available	14,078	931	904
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	9,572	17,228	18,058
3010	Obligations incurred, unexpired accounts	14,078	931	904
3020	Financing disbursements (gross)	–6,206	–100	–150
3040	Recoveries of prior year unpaid obligations, unexpired	–216	–1	.....
3050	Unpaid obligations, end of year	17,228	18,058	18,812
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	.....	–17	–17
3070	Change in uncollected pymts, Fed sources, unexpired	–17	.....	.....
3090	Uncollected pymts, Fed sources, end of year	–17	–17	–17
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	9,572	17,211	18,041
3200	Obligated balance, end of year	17,211	18,041	18,795
	Financing authority and disbursements, net:			
	Mandatory:			
4090	Financing authority, gross	13,862	930	904
	Financing disbursements:			
4110	Financing disbursements, gross	6,206	100	150
	Offsets against gross financing authority and disbursements:			
	Offsetting collections (collected) from:			
4120	Federal sources: Upward reestimate	–698	–540	.....
4122	Interest on uninvested funds	–133	–200	–300
4123	Repayments and prepayments	–1,305	–1,760	–2,625
4130	Offsets against gross financing auth and disbursements (total)	–2,136	–2,500	–2,925
	Additional offsets against financing authority only (total):			
4140	Change in uncollected pymts, Fed sources, unexpired	–17	.....	.....
4160	Financing authority, net (mandatory)	11,709	–1,570	–2,021
4170	Financing disbursements, net (mandatory)	4,070	–2,400	–2,775
4180	Financing authority, net (total)	11,709	–1,570	–2,021
4190	Financing disbursements, net (total)	4,070	–2,400	–2,775

Status of Direct Loans (in millions of dollars)

Identification code 83–4161–0–3–155		2012 actual	2013 CR	2014 est.
	Position with respect to appropriations act limitation on obligations:			
1131	Direct loan obligations exempt from limitation	11,765	100	150
1150	Total direct loan obligations	11,765	100	150
	Cumulative balance of direct loans outstanding:			
1210	Outstanding, start of year	8,110	11,895	10,225
1231	Disbursements: Direct loan disbursements	5,091	100	150
1251	Repayments: Repayments and prepayments	–1,305	–1,760	–2,625
1263	Write-offs for default: Direct loans	–1	–10	–10
1290	Outstanding, end of year	11,895	10,225	7,740

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account reflects direct loan activity through 2014.

Balance Sheet (in millions of dollars)

Identification code 83–4161–0–3–155		2011 actual	2012 actual
	ASSETS:		
1101	Federal assets: Fund balances with Treasury	162	162
	Net value of assets related to post-1991 direct loans receivable:		
1401	Direct loans receivable, gross	11,895	11,895
1402	Interest receivable	97	97
1405	Allowance for subsidy cost (-)	–1,206	–1,206
1499	Net present value of assets related to direct loans	10,786	10,786
1901	Other Federal assets: Other assets	540	540
1999	Total assets	11,488	11,488
	LIABILITIES:		
	Federal liabilities:		
2101	Accounts payable	187	187
2103	Debt	11,301	11,301
2999	Total liabilities	11,488	11,488
4999	Total liabilities and net position	11,488	11,488

Export-Import Bank Guaranteed Loan Financing Account

Program and Financing (in millions of dollars)

Identification code 83–4162–0–3–155	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0003 Payment Certificates	7	8	8
0004 Other claim expenses	7	8	8
0091 Direct program activities, subtotal	14	16	16
Credit program obligations:			
0711 Default claim payments on principal	36	44	44
0740 Negative subsidy obligations	408	1,213	1,106
0742 Downward reestimate paid to receipt account	350	137	.....
0743 Interest on downward reestimates	163	112	.....
0791 Direct program activities, subtotal	957	1,506	1,150
0900 Total new obligations	971	1,522	1,166
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,274	1,399	1,418
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Spending authority from offsetting collections (cash)	975	1,541	1,906
1801 Change in uncollected payments, Federal sources	125	.....	.....
1820 Capital transfer of spending authority from offsetting collections to general fund	–4	.....	.....
1850 Spending auth from offsetting collections, mand (total)	1,096	1,541	1,906
1930 Total budgetary resources available	2,370	2,940	3,324
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,399	1,418	2,158
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	1,025
3010 Obligations incurred, unexpired accounts	971	1,522	1,166
3020 Financing disbursements (gross)	–971	–499	–1,106
3050 Unpaid obligations, end of year	2	1,025	1,085
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	.....	–125	–125
3070 Change in uncollected pymts, Fed sources, unexpired	–125	.....	.....
3090 Uncollected pymts, Fed sources, end of year	–125	–125	–125
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	–123	900
3200 Obligated balance, end of year	–123	900	960
Financing authority and disbursements, net:			
Mandatory:			
4090 Financing authority, gross	1,096	1,541	1,906
Financing disbursements:			
4110 Financing disbursements, gross	971	499	1,106
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal Sources: Payments from program account	–137	–521	–38
4122 Interest on uninvested funds	–46	–120	–150
4123 Fees, premiums, claim recoveries	–792	–900	–1,718
4130 Offsets against gross financing auth and disbursements (total)	–975	–1,541	–1,906
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired	–125	.....	.....
4160 Financing authority, net (mandatory)	–4	.....	.....
4170 Financing disbursements, net (mandatory)	–4	–1,042	–800
4180 Financing authority, net (total)	–4	.....	.....
4190 Financing disbursements, net (total)	–4	–1,042	–800

Status of Guaranteed Loans (in millions of dollars)

Identification code 83–4162–0–3–155	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2131 Guaranteed loan commitments exempt from limitation	24,020	38,372	42,531
2150 Total guaranteed loan commitments	24,020	38,372	42,531
2199 Guaranteed amount of guaranteed loan commitments	24,020	38,372	42,531
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	48,861	56,823	62,450
2231 Disbursements of new guaranteed loans	22,273	24,400	26,750
2251 Repayments and prepayments	–14,117	–18,580	–14,150
2263 Adjustments: Terminations for default that result in claim payments	–194	–193	–44
2290 Outstanding, end of year	56,823	62,450	75,006
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	56,823	62,450	62,100

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account reflects actual and expected loan guarantee activity through 2014.

Balance Sheet (in millions of dollars)

Identification code 83–4162–0–3–155	2011 actual	2012 actual
ASSETS:		
1101 Federal assets: Fund balances with Treasury	1,220	1,814
1999 Total assets	1,220	1,814
LIABILITIES:		
2204 Non-Federal liabilities: Liabilities for loan guarantees	1,220	1,814
4999 Total liabilities and net position	1,220	1,814

Export-Import Bank of the United States Liquidating Account

Program and Financing (in millions of dollars)

Identification code 83–4027–0–3–155	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0006 Claim payments, gross	11	1	1
0900 Total new obligations (object class 33.0)	11	1	1
Budgetary Resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	34	18	15
1820 Capital transfer of spending authority from offsetting collections to general fund	–23	–17	–14
1850 Spending auth from offsetting collections, mand (total)	11	1	1
1930 Total budgetary resources available	11	1	1
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	11	1	1
3020 Outlays (gross)	–11	–1	–1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	11	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority	11	1	1
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	–34	–18	–15
4180 Budget authority, net (total)	–23	–17	–14
4190 Outlays, net (total)	–23	–17	–14

Status of Direct Loans (in millions of dollars)

Identification code 83–4027–0–3–155	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	479	459	441
1251 Repayments: Repayments and prepayments	–20	–18	–15
1290 Outstanding, end of year	459	441	426

Status of Guaranteed Loans (in millions of dollars)

Identification code 83–4027–0–3–155	2012 actual	2013 CR	2014 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	5	.....	.....
2251 Repayments and prepayments	–5	.....	.....
2290 Outstanding, end of year	.....	.....	.....
Addendum:			
Cumulative balance of defaulted guaranteed loans that result in loans receivable:			
2310 Outstanding, start of year	94	74	59
2351 Repayments of loans receivable	–20	–15	–15
2390 Outstanding, end of year	74	59	44

Operating results and financial condition.—The Ex-Im Bank is a wholly-owned Government corporation. Capital stock of $1 billion was purchased by the U.S. Treasury.

The Ex-Im Bank has a reserve for possible credit losses, which provides for the risk of loss inherent in the lending process. This reserve is a general reserve, available to absorb credit losses related to the total loan portfolio. The reserve is increased by provisions charged to expenses and decreased by charge-offs, net of recoveries.

The provision for possible credit losses is based on the Bank's evaluation of the adequacy of the reserve, taking into consideration a variety of factors, including repayment status of loans, future risk factors, the relationship of the reserve to the portfolio, and worldwide economic conditions. Providing for such possible losses does not imply that any loans will be written off. It simply recognizes the fact that the prospects for collection of some of the Bank's loans are impaired. It does not provide for losses on a country-by-country basis and is intended only to provide an overall revaluation of the loan portfolio.

The Ex-Im Bank's Net Excess of Program Costs over Revenue $751.5 million in 2012. Total Government Net Position in the corporation was (763.0) million on September 30, 2012.

As required by the Federal Credit Reform Act of 1990, this account records, for Ex-Im Bank, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees and insurance committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond is recorded in corresponding program and financing accounts.

Balance Sheet (in millions of dollars)

Identification code 83–4027–0–3–155	2011 actual	2012 actual
ASSETS:		
1601 Direct loans, gross	479	459
1603 Allowance for estimated uncollectible loans and interest (-)	–329	–380
1699 Value of assets related to direct loans	150	79
1701 Defaulted guaranteed loans, gross	94	74
1703 Allowance for estimated uncollectible loans and interest (-)	–74	–61
1799 Value of assets related to loan guarantees	20	13
1999 Total assets	170	92
LIABILITIES:		
Non-Federal liabilities:		
2203 Debt	50	40
2207 Other	.....	1
2999 Total liabilities	50	41
NET POSITION:		
3300 Cumulative results of operations	1,000	1,000
3300 Cumulative results of operations	–880	–949
3999 Total net position	120	51
4999 Total liabilities and net position	170	92

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
83–272710 Export-Import Bank Loans, Negative Subsidies	804	692	836
83–272730 Export-Import Bank Loans, Downward Reestimates of Subsidies	691	447	.....
83–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	16	.....	.....
General Fund Offsetting receipts from the public	1,511	1,139	836

FARM CREDIT ADMINISTRATION

Federal Funds

LIMITATION ON ADMINISTRATIVE EXPENSES

Not to exceed $63,300,000 (from assessments collected from farm credit institutions, including the Federal Agricultural Mortgage Corporation) shall be obligated during the current fiscal year for administrative expenses as authorized under 12 U.S.C. 2249: Provided, That this limitation shall not apply to expenses associated with receiverships.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 78–4131–0–3–351	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 Reimbursable program activity	52	62	64
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	29	32	32
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	55	62	64
1850 Spending auth from offsetting collections, mand (total)	55	62	64
1930 Total budgetary resources available	84	94	96
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	32	32	32
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	8	9
3010 Obligations incurred, unexpired accounts	52	62	64
3020 Outlays (gross)	–53	–61	–66
3050 Unpaid obligations, end of year	8	9	7
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–1	–1	–1
3090 Uncollected pymts, Fed sources, end of year	–1	–1	–1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	8	7	8
3200 Obligated balance, end of year	7	8	6
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	55	62	64
Outlays, gross:			
4100 Outlays from new mandatory authority	49	57	57
4101 Outlays from mandatory balances	4	4	9
4110 Outlays, gross (total)	53	61	66
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–1	–1	–1
4121 Interest on Federal securities	–1	–1	–1

LIMITATION ON ADMINISTRATIVE EXPENSES—Continued

Program and Financing—Continued

Identification code 78–4131–0–3–351	2012 actual	2013 CR	2014 est.
4123 Non-Federal sources	–53	–60	–62
4130 Offsets against gross budget authority and outlays (total)	–55	–62	–64
4170 Outlays, net (mandatory)	–2	–1	2
4190 Outlays, net (total)	–2	–1	2
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	36	39	37
5001 Total investments, EOY: Federal securities: Par value	39	37	37

The Farm Credit Administration (FCA) is an independent Federal agency that examines and regulates the Farm Credit System (System) for safety and soundness and program compliance. The System is a cooperative agricultural credit system of farm credit banks and associations that lend to farmers, ranchers, and their cooperatives; farm-related businesses; rural homeowners; and rural utilities. FCA also performs the examination and general supervision of Farmer Mac. In addition, FCA examines the National Consumer Cooperative Bank, which is not a System institution.

As of October 1, 2012, the System was composed of three Farm Credit Banks, one Agricultural Credit Bank, 82 associations, five service corporations, the Federal Farm Credit Banks Funding Corporation, and Farmer Mac.

Assessments based upon estimated administrative expenses are collected from institutions in the System, including Farmer Mac, and are available for administrative expenses. Obligations are incurred within fiscal year budgets approved by the FCA Board. Section 6(f)(1) of the Inspector General Act of 1978, as amended, (IG Act) requires an Inspector General (IG) to include specific information in the budget request the IG submits to the head of the department or designated Federal entity to which the IG reports. To fulfill the requirement of Section 6(f)(2) of the IG Act as it pertains to FCA, the FCA Board must in turn include this same information in the budget request that the Agency submits to the President.

The information that the IG Act requires to be included is provided below:

The aggregate budget request for the Office of Inspector General (OIG) is $1,224,116.

The amount needed for OIG training is $20,135 (tuition).

The amount needed to support the Council of the Inspectors General on Integrity and Efficiency is $3,400.

The FCA IG's budget request for 2014 is being submitted unchanged by the FCA Board.

Object Classification (in millions of dollars)

Identification code 78–4131–0–3–351	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	33	37	39
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	34	38	40
12.1 Civilian personnel benefits	10	13	13
21.0 Travel and transportation of persons	3	4	4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	3	4	4
26.0 Supplies and materials	.....	1	1
31.0 Equipment	1	1	1
99.9 Total new obligations	52	62	64

Employment Summary

Identification code 78–4131–0–3–351	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment	288	300	305

FARM CREDIT SYSTEM INSURANCE CORPORATION

Federal Funds

FARM CREDIT SYSTEM INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 78–4171–0–3–351	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Farm credit system insurance fund	3	4	4
0801 Reimbursable program activity	222	.....	.....
0900 Total new obligations	225	4	4
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3,221	3,101	3,305
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	109	208	182
1801 Change in uncollected payments, Federal sources	–4	.....	.....
1850 Spending auth from offsetting collections, mand (total)	105	208	182
1930 Total budgetary resources available	3,326	3,309	3,487
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3,101	3,305	3,483
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	225	4	4
3020 Outlays (gross)	–225	–4	–4
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–20	–16	–16
3070 Change in uncollected pymts, Fed sources, unexpired	4	.....	.....
3090 Uncollected pymts, Fed sources, end of year	–16	–16	–16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	–20	–16	–16
3200 Obligated balance, end of year	–16	–16	–16
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	105	208	182
Outlays, gross:			
4100 Outlays from new mandatory authority	105	4	4
4101 Outlays from mandatory balances	120	.....	.....
4110 Outlays, gross (total)	225	4	4
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities	–12	–51	–53
4123 Non-Federal sources	–97	–157	–129
4130 Offsets against gross budget authority and outlays (total)	–109	–208	–182
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	4	.....	.....
4170 Outlays, net (mandatory)	116	–204	–178
4190 Outlays, net (total)	116	–204	–178
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	3,212	3,095	3,341
5001 Total investments, EOY: Federal securities: Par value	3,095	3,341	3,540

The Farm Credit System Insurance Corporation (Corporation) was established to ensure the timely payment of principal and interest on insured System debt obligations purchased by investors. The Corporation is managed by a three member Board of Directors that consists of the same individuals as the Farm Credit Administration Board. The Corporation derives its revenues from insurance premiums collected from insured System banks and from the investment income earned on its investment

portfolio. Insurance premiums are assessed on System banks based on the level of adjusted insured obligations outstanding at each bank. Congress established a secure base amount of 2 percent of adjusted outstanding insured System obligations, or such other amount determined by the Corporation's Board of Directors to be actuarially sound to maintain in the Insurance Fund. Due to slightly higher than anticipated growth in insured obligations during the first three quarters of 2012, the Insurance Fund was $73 million below the 2 percent secure base amount as of September 30, 2012 at 1.96 percent. For 2012, the Corporation is assessing insurance premiums at 5 basis points on adjusted insured debt obligations and 10 basis points on non-accrual loans and other-than-temporarily impaired investments. Changes to the Corporation's premium authorities were included in the Food, Conservation, and Energy Act of 2008. The authorities changed the assessment base from loans to adjusted insured obligations and raised the assessment limit to 20 basis points, plus an additional 10 basis points on non-accrual loans and other-than-temporarily impaired investments. In January 2013, the Corporation's Board will determine insurance premium rates for 2013.

The Insurance Fund is available for payment of insured System obligations if a System bank defaults on its primary liability. The Insurance Fund is also available to ensure the retirement of certain eligible borrower stock, and to pay the operating costs of the Corporation. The Corporation can exercise its authority to make loans, borrow, purchase System bank assets or obligations, provide other financial assistance and otherwise act to reduce its exposure to losses.

The Corporation has the authority to make refunds of excess Insurance Fund balances. No refunds are anticipated in 2013.

Balance Sheet (in millions of dollars)

Identification code 78–4171–0–3–351	2011 actual	2012 actual
ASSETS:		
Federal assets: Investments in US securities:		
1102 Treasury securities, par	3,262	3,187
Non-Federal assets:		
1206 Accrued interest receivable	20	16
1206 Premium receivable	74	65
1999 Total assets	3,356	3,268
NET POSITION:		
3300 Cumulative results of operations	3,356	3,268
4999 Total liabilities and net position	3,356	3,268

Object Classification (in millions of dollars)

Identification code 78–4171–0–3–351	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
25.3 Other goods and services from Federal sources	1	2	2
43.0 Interest and dividends	222	.....	.....
99.9 Total new obligations	225	4	4

Employment Summary

Identification code 78–4171–0–3–351	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment	11	11	11

FEDERAL COMMUNICATIONS COMMISSION

Federal Funds

Salaries and Expenses

For necessary expenses of the Federal Communications Commission, as authorized by law, including uniforms and allowances therefor, as authorized by 5 U.S.C. 5901–5902; not to exceed $4,000 for official reception and representation expenses; purchase and hire of motor vehicles; special counsel fees; and services as authorized by 5 U.S.C. 3109, $359,299,000, to remain available until expended: Provided, That $359,299,000 of offsetting collections shall be assessed and collected pursuant to section 9 of title I of the Communications Act of 1934, shall be retained and used for necessary expenses and shall remain available until expended: Provided further, That the sum herein appropriated shall be reduced as such offsetting collections are received during fiscal year 2014 so as to result in a final fiscal year 2014 appropriation estimated at $0: Provided further, That any offsetting collections received in excess of $359,299,000 in fiscal year 2014 shall not be available for obligation: Provided further, That remaining offsetting collections from prior years collected in excess of the amount specified for collection in each such year and otherwise becoming available on October 1, 2013, shall not be available for obligation: Provided further, That, notwithstanding 47 U.S.C. 309(j)(8)(B), proceeds from the use of a competitive bidding system that may be retained and made available for obligation shall not exceed $89,400,000 for fiscal year 2014, including not to exceed $993,758 for obligation by the Office of the Inspector General: Provided further, That, of the amount appropriated under this heading, not less than $11,124,612 shall be for the salaries and expenses of the Office of Inspector General.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 27–0100–0–1–376	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 Reimbursable program activity	444	448	454
0809 Reimbursable program activities, subtotal	444	448	454
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	21	11	10
1012 Unobligated balance transfers between expired and unexpired accounts	4	.....	.....
1050 Unobligated balance (total)	25	11	10
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections (Reimbursables)	5	6	6
1700 Offsetting collections (Auctions)	85	99	89
1700 Offsetting collections (Reg Fees)	340	342	359
1701 Change in uncollected payments, Federal sources	5	.....	.....
1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations)	–4	.....	.....
1750 Spending auth from offsetting collections, disc (total)	431	447	454
1930 Total budgetary resources available	456	458	464
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–1	.....	.....
1941 Unexpired unobligated balance, end of year	11	10	10
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	79	90	150
3010 Obligations incurred, unexpired accounts	444	448	454
3011 Obligations incurred, expired accounts	1	.....	.....
3020 Outlays (gross)	–428	–388	–517
3041 Recoveries of prior year unpaid obligations, expired	–6	.....	.....
3050 Unpaid obligations, end of year	90	150	87
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	.....	–5	–5
3070 Change in uncollected pymts, Fed sources, unexpired	–5	.....	.....
3090 Uncollected pymts, Fed sources, end of year	–5	–5	–5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	79	85	145
3200 Obligated balance, end of year	85	145	82
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	431	447	454
Outlays, gross:			
4010 Outlays from new discretionary authority	363	384	391

SALARIES AND EXPENSES—Continued

Program and Financing—Continued

Identification code 27–0100–0–1–376	2012 actual	2013 CR	2014 est.
4011 Outlays from discretionary balances	65	4	126
4020 Outlays, gross (total)	428	388	517
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–6	–6	–6
4033 Non-Federal sources	–85	–99	–89
4034 Offsetting governmental collections	–340	–342	–359
4040 Offsets against gross budget authority and outlays (total)	–431	–447	–454
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	–5	.....	.....
4052 Offsetting collections credited to expired accounts	1	.....	.....
4060 Additional offsets against budget authority only (total)	–4	.....	.....
4070 Budget authority, net (discretionary)	–4	.....	.....
4080 Outlays, net (discretionary)	–3	–59	63
4180 Budget authority, net (total)	–4	.....	.....
4190 Outlays, net (total)	–3	–59	63
Memorandum (non-add) entries:			
5090 Unavailable balance, SOY: Offsetting collections	66	70	70
5091 Unavailable balance, EOY: Offsetting collections	70	70	70

The Federal Communications Commission (FCC) works to ensure that rapid and efficient communications are available across the country at a reasonable cost. In support of this mission, the FCC's strategic goals include ensuring a competitive framework across communications services; promoting availability of broadband services in the marketplace through conducive regulatory policy; enhancing efficient and effective use of the non-Federal radio spectrum; promoting competition and diversity in media; supporting public safety and homeland security communications; and modernizing the agency to promote administrative efficiency and effectiveness. The 2014 Budget includes funding to improve space utilization, support FCC information technology upgrades, and enhance the Inspector General's oversight capabilities. Funding for the Inspector General will not be less than $12.1 million

Object Classification (in millions of dollars)

Identification code 27–0100–0–1–376	2012 actual	2013 CR	2014 est.
99.9 Total new obligations	444	448	454

Employment Summary

Identification code 27–0100–0–1–376	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment	1,721	1,776	1,821

UNIVERSAL SERVICE FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 27–5183–0–2–376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	.....	.....
Receipts:			
0200 Universal Service Fund	10,014	10,118	9,846
0240 Earnings on Federal Investments, Universal Service Fund	28	9	11
0299 Total receipts and collections	10,042	10,127	9,857
0400 Total: Balances and collections	10,042	10,127	9,857
Appropriations:			
0500 Universal Service Fund	–10,017	–10,118	–9,846
0501 Universal Service Fund	–25	–9	–11
0599 Total appropriations	–10,042	–10,127	–9,857
0799 Balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 27–5183–0–2–376	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Universal service fund	10,512	11,428	11,023
0002 Program support	108	125	128
0900 Total new obligations (object class 41.0)	10,620	11,553	11,151
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,684	3,178	2,514
1021 Recoveries of prior year unpaid obligations	1,058	762	850
1050 Unobligated balance (total)	3,742	3,940	3,364
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special fund)—Receipts	10,017	10,118	9,846
1201 Appropriation (special fund)—Interest	25	9	11
1260 Appropriations, mandatory (total)	10,042	10,127	9,857
Spending authority from offsetting collections, mandatory:			
1800 Collected	14	.....	.....
1850 Spending auth from offsetting collections, mand (total)	14	.....	.....
1900 Budget authority (total)	10,056	10,127	9,857
1930 Total budgetary resources available	13,798	14,067	13,221
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3,178	2,514	2,070
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3,335	3,492	3,995
3010 Obligations incurred, unexpired accounts	10,620	11,553	11,151
3020 Outlays (gross)	–9,405	–10,288	–10,228
3040 Recoveries of prior year unpaid obligations, unexpired	–1,058	–762	–850
3050 Unpaid obligations, end of year	3,492	3,995	4,068
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3,335	3,492	3,995
3200 Obligated balance, end of year	3,492	3,995	4,068
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	10,056	10,127	9,857
Outlays, gross:			
4100 Outlays from new mandatory authority	6,122	6,226	6,095
4101 Outlays from mandatory balances	3,283	4,062	4,133
4110 Outlays, gross (total)	9,405	10,288	10,228
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	–14	.....	.....
4180 Budget authority, net (total)	10,042	10,127	9,857
4190 Outlays, net (total)	9,391	10,288	10,228
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	5,815	6,541	6,719
5001 Total investments, EOY: Federal securities: Par value	6,541	6,719	6,430

Under the Telecommunications Act of 1996, telecommunications carriers that provide interstate and international telecommunications services are required to contribute funds for the preservation and advancement of universal service. The contributions provided, in turn, by each carrier's subscribers, are used to provide services eligible for universal service support as determined by the FCC. Eligible telecommunications carriers receive support from the universal service funds if they (1) provide service to high-cost areas, (2) provide eligible services at a discount to schools, libraries or rural health care providers, or (3) provide subsidized service or subsidized telephone installation to low-income consumers. Interest income on these funds is utilized to reduce carrier contributions. Contributions also fund the administrative costs of the program. In 2011, the FCC issued an order reforming the high-cost portion of the Universal Service Fund to modernize support in a fiscally responsible manner and promote broadband availability and adoption. The FCC is continuing to

explore ways to reform all of the Universal Service Fund's programs to promote financial accountability and modern communication capabilities.

Spectrum Auction Program Account

Program and Financing (in millions of dollars)

Identification code 27–0300–0–1–376	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
Credit program obligations:			
0705 Reestimates of direct loan subsidy	3	.....	.....
0706 Interest on reestimates of direct loan subsidy	15	.....	.....
0709 Administrative expenses	1	4	2
0900 Total new obligations	19	4	2
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	3	3
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	18	4	2
1260 Appropriations, mandatory (total)	18	4	2
1930 Total budgetary resources available	22	7	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	1	1
3010 Obligations incurred, unexpired accounts	19	4	2
3020 Outlays (gross)	–20	–4	–2
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	18	4	2
Outlays, gross:			
4100 Outlays from new mandatory authority	.....	4	2
4101 Outlays from mandatory balances	20	.....	.....
4110 Outlays, gross (total)	20	4	2
4180 Budget authority, net (total)	18	4	2
4190 Outlays, net (total)	20	4	2

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 27–0300–0–1–376	2012 actual	2013 CR	2014 est.
Direct loan upward reestimates:			
135001 Spectrum Auction	18	.....	.....
135999 Total upward reestimate budget authority	18	.....	.....
Direct loan downward reestimates:			
137001 Spectrum Auction	.....	–1	.....
137999 Total downward reestimate budget authority	.....	–1	.....
Administrative expense data:			
3510 Budget authority	1	1	1
3590 Outlays from new authority	1	1	1

This program provided direct loans for the purpose of purchasing spectrum licenses at the Federal Communications Commission's auctions. The licenses were purchased on an installment basis, which constitutes an extension of credit. The first year of activity for this program was 1996.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis and administrative expenses are estimated on a cash basis. The FCC no longer offers credit terms on purchases through spectrum auctions. Program activity relates to maintenance and close-out of existing loans.

Object Classification (in millions of dollars)

Identification code 27–0300–0–1–376	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	.....	1	1
25.2 Other services from non-Federal sources	.....	1	.....
25.3 Other goods and services from Federal sources	.....	2	1
41.0 Grants, subsidies, and contributions	19	.....	.....
99.9 Total new obligations	19	4	2

Employment Summary

Identification code 27–0300–0–1–376	2012 actual	2013 CR	2014 est.
1001 Spectrum Auction [27–4133]	4	5	5

Spectrum Auction Direct Loan Financing Account

Program and Financing (in millions of dollars)

Identification code 27–4133–0–3–376	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
Credit program obligations:			
0713 Payment of interest to Treasury	2	7	7
0715 Refunds and Downward Subsidy	1	.....	.....
0743 Interest on downward reestimates	.....	1	.....
0900 Total new obligations	3	8	7
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	37	5	4
1023 Unobligated balances applied to repay debt	–33	.....	.....
1050 Unobligated balance (total)	4	5	4
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	1	7	7
1440 Borrowing authority, mandatory (total)	1	7	7
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections	21	.....	.....
1825 Spending authority from offsetting collections applied to repay debt	–18	.....	.....
1850 Spending auth from offsetting collections, mand (total)	3	.....	.....
1900 Financing authority (total)	4	7	7
1930 Total budgetary resources available	8	12	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	4	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	.....	.....	1
3010 Obligations incurred, unexpired accounts	3	8	7
3020 Financing disbursements (gross)	–3	–7	–7
3050 Unpaid obligations, end of year	.....	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	.....	.....	1
3200 Obligated balance, end of year	.....	1	1
Financing authority and disbursements, net:			
Mandatory:			
4090 Financing authority, gross	4	7	7
Financing disbursements:			
4110 Financing disbursements, gross	3	7	7
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources	–18	.....	.....
4122 Interest on uninvested funds	–3	.....	.....
4130 Offsets against gross financing auth and disbursements (total)	–21	.....	.....

SPECTRUM AUCTION DIRECT LOAN FINANCING ACCOUNT—Continued

Program and Financing—Continued

Identification code 27–4133–0–3–376	2012 actual	2013 CR	2014 est.
4160 Financing authority, net (mandatory)	–17	7	7
4170 Financing disbursements, net (mandatory)	–18	7	7
4180 Financing authority, net (total)	–17	7	7
4190 Financing disbursements, net (total)	–18	7	7

Status of Direct Loans (in millions of dollars)

Identification code 27–4133–0–3–376	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	132	112	88
1263 Write-offs for default: Direct loans	–20	–24	–24
1290 Outstanding, end of year	112	88	64

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

Identification code 27–4133–0–3–376	2011 actual	2012 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	37	4
Investments in US securities:		
1106 Receivables, net	18	.....
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	132	112
1402 Interest receivable	9	8
1405 Allowance for subsidy cost (-)	–141	–119
1499 Net present value of assets related to direct loans	.....	1
1999 Total assets	55	5
LIABILITIES:		
Federal liabilities:		
2103 Resources payable to Treasury	50	.....
2105 Other	5	5
2999 Total liabilities	55	5
4999 Total liabilities and net position	55	5

BROADBAND TECHNOLOGY OPPORTUNITIES PROGRAM, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 27–0200–0–1–503	2012 actual	2013 CR	2014 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3011 Obligations incurred, expired accounts	4	.....	.....
3020 Outlays (gross)	–4	.....	.....
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	4	.....	.....
4190 Outlays, net (total)	4	.....	.....

As directed in the American Recovery and Reinvestment Act of 2009 (P.L. 111–5), the FCC developed a national broadband plan and supported the National Telecommunications and Information Administration's (NTIA) implementation of the Broadband Technology Opportunities Program. The Secretary of Commerce, in consultation with the FCC and following Congressional notification, transferred $20 million to the FCC for carrying out these responsibilities.

TV BROADCASTER RELOCATION FUND

Program and Financing (in millions of dollars)

Identification code 27–5610–0–2–376	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 TV Broadcaster Relocation	.....	.....	500
0900 Total new obligations (object class 41.0)	.....	.....	500
Budgetary Resources:			
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	.....	.....	500
1440 Borrowing authority, mandatory (total)	.....	.....	500
1900 Budget authority (total)	.....	.....	500
1930 Total budgetary resources available	.....	.....	500
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	.....	.....	500
3020 Outlays (gross)	.....	.....	–25
3050 Unpaid obligations, end of year	.....	.....	475
Memorandum (non-add) entries:			
3200 Obligated balance, end of year	.....	.....	475
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	.....	.....	500
Outlays, gross:			
4100 Outlays from new mandatory authority	.....	.....	25
4180 Budget authority, net (total)	.....	.....	500
4190 Outlays, net (total)	.....	.....	25

SPECTRUM LICENSE USER FEE

To promote efficient use of the electromagnetic spectrum, the Administration proposes to provide the FCC with new authority to use other economic mechanisms, such as fees, as a spectrum management tool. The FCC would be authorized to set user fees on unauctioned spectrum licenses based on spectrum-management principles. Fees would be phased in over time as part of an ongoing rulemaking process to determine the appropriate application and level for fees. Fee collections are estimated to begin in 2013 and total $4.8 billion through 2023.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
27–089600 Spectrum License User Fees	.....	50	200
27–242900 Fees for Services	25	23	23
27–247400 Auction Receipts	.....	.....	25
27–273630 Spectrum Auction Direct Loan, Downward Reestimates of Subsidies	1	1	.....
27–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	1	3	3
General Fund Offsetting receipts from the public	27	77	251

FEDERAL DEPOSIT INSURANCE CORPORATION

The Federal Deposit Insurance Corporation (FDIC) was created by the Banking Act of 1933 to provide protection for bank depositors and to foster sound banking practices.

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (P.L. 101–73) established the Bank Insurance Fund (BIF), the Savings Association Insurance Fund (SAIF), and the Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund (FRF). Under the Deposit Insurance Reform Act of 2005, the BIF and SAIF were merged into a new Deposit Insurance Fund (DIF) in 2006. The Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203), enacted July 21, 2010, permanently increased the deposit insurance coverage level to $250,000 per account.

The Federal Deposit Insurance Corporation Improvement Act of 1991 generally requires FDIC to use the least costly method to resolve failed banks, and mandates that the FDIC take prompt corrective action against under-capitalized financial institutions. In order to accomplish its varied functions to protect depositors, FDIC is authorized to promulgate and enforce rules and regulations relating to the supervision of insured institutions and to perform other regulatory and supervisory duties consistent with its responsibilities as an insurer.

DEPOSIT INSURANCE

Federal Funds

DEPOSIT INSURANCE FUND

Program and Financing (in millions of dollars)

Identification code 51–4596–0–4–373	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0002 Insurance	224	191	198
0003 Supervision	885	683	706
0004 Receivership Management	248	758	783
0005 General and Administrative	221	160	165
0091 Total operating expenses	1,578	1,792	1,852
0101 Working Capital Outlays	8,676	10,863	16,754
0102 Net Case Resolution - Losses	.....	12,826	10,094
0103 Receivership Funding	.....	1,150	1,198
0191 Total Capital Investment	8,676	24,839	28,046
0900 Total new obligations	10,254	26,631	29,898
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	35,272	37,455	24,469
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1710 Spending authority from offsetting collections transferred to other accounts [51–4595]	.....	–45	–35
1750 Spending auth from offsetting collections, disc (total)	.....	–45	–35
Spending authority from offsetting collections, mandatory:			
1800 Collected	11,851	13,690	36,570
1801 Change in uncollected payments, Federal sources	615	.....	.....
1810 Spending authority from offsetting collections transferred to other accounts [51–4595]	–29	.....	.....
1850 Spending auth from offsetting collections, mand (total)	12,437	13,690	36,570
1900 Budget authority (total)	12,437	13,645	36,535
1930 Total budgetary resources available	47,709	51,100	61,004
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	37,455	24,469	31,106
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	147	151	53
3010 Obligations incurred, unexpired accounts	10,254	26,631	29,898
3020 Outlays (gross)	–10,250	–26,729	–29,862
3050 Unpaid obligations, end of year	151	53	89
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–498	–1,113	–1,113
3070 Change in uncollected pymts, Fed sources, unexpired	–615	.....	.....
3090 Uncollected pymts, Fed sources, end of year	–1,113	–1,113	–1,113
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	–351	–962	–1,060
3200 Obligated balance, end of year	–962	–1,060	–1,024
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	.....	–45	–35
Outlays, gross:			
4010 Outlays from new discretionary authority	.....	–45	–35
Mandatory:			
4090 Budget authority, gross	12,437	13,690	36,570
Outlays, gross:			
4101 Outlays from mandatory balances	10,250	26,774	29,897
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–6,616	–1,113	.....
4121 Interest on Federal securities	252	–693	–576
4123 Non-Federal sources	–5,487	–11,884	–35,994
4130 Offsets against gross budget authority and outlays (total)	–11,851	–13,690	–36,570
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	–615	.....	.....
4160 Budget authority, net (mandatory)	–29	.....	.....
4170 Outlays, net (mandatory)	–1,601	13,084	–6,673
4180 Budget authority, net (total)	–29	–45	–35
4190 Outlays, net (total)	–1,601	13,039	–6,708
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	34,926	36,498	23,522
5001 Total investments, EOY: Federal securities: Par value	36,498	23,522	30,160

The primary purpose of the Deposit Insurance Fund (DIF) is to insure deposits and protect the depositors of failed banking institutions. Under the Deposit Insurance Reform Act of 2005, the FDIC's Bank Insurance Fund (BIF) and its Savings Association Insurance Fund (SAIF) were merged into the new Deposit Insurance Fund on March 31, 2006. Through the DIF, the FDIC will resolve and recover funds disbursed from the assets of failed institutions. The FDIC is authorized to charge risk-based premiums on member institutions to restore and maintain adequate fund reserves, which must be a designated percentage of estimated insured deposits as set by the FDIC before the beginning of each year. The Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203), enacted July 21, 2010, increased the minimum DIF reserve ratio (ratio of the DIF to total insured deposits) to 1.35 percent, up from 1.15 percent. In addition to raising the minimum reserve ratio, the Act also: 1) eliminated the FDIC's requirement to rebate premiums when the reserve ratio is between 1.35 and 1.5 percent; 2) gave the FDIC discretion to suspend or limit rebates when the DIF reserve ratio is at least 1.5 percent, effectively removing the 1.5 percent cap on the DIF; 3) required the FDIC to offset the effect of small insured depository institutions (defined as banks with assets less than $10 billion) when setting assessments to raise the reserve ratio from 1.15 to 1.35 percent, and 4) permanently increased the insured deposit level to $250,000 per account at banks insured by the FDIC. The FDIC Board has issued a final rule setting a long-term (greater than 10 years) DIF reserve ratio target of 2 percent, with the goal of maintaining a positive fund balance during any future economic crises and maintaining a moderate, steady, long-term assessment rate that provides transparency and predictability to the banking sector.

The number of insured institutions on the FDIC's "problem list" (institutions with the highest risk ratings) is on the decline. As of September 30, 2012, there were 694 institutions on the "problem list," which is approximately an 18 percent decrease from the number of problem institutions listed on September 30, 2011. In addition, the number of assets held by problem institutions

DEPOSIT INSURANCE FUND—Continued

decreased by 23 percent year-over-year. As of September 30, 2012, the DIF fund balance stood at $25.2 billion, on an accrual basis measuring expected losses to current balances. This level is equivalent to a reserve ratio of 0.35 percent, or $72.6 billion below the level that would meet the target reserve ratio. The growth in the DIF fund balance is a result of fewer bank failures and higher assessment revenue.

Pursuant to the Act, the restoration period for the DIF reserve ratio to reach 1.35 percent was extended to 2020. (Prior to the Act, the DIF reserve ratio was required to reach the minimum target of 1.15 percent by 2017.) The Budget projects that net outflows in 2013 will reduce the DIF reserve ratio to 0.22 at the year end. From 2014, however, the DIF reserve ratio is expected to continue to increase, reaching the statutorily required 1.35 percent level by 2020.

For more information, please see the Credit and Insurance chapter in the Analytical Perspectives volume of the Budget.

Object Classification (in millions of dollars)

Identification code 51–4596–0–4–373		2012 actual	2013 CR	2014 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	762	865	894
12.1	Civilian personnel benefits	268	305	315
21.0	Travel and transportation of persons	72	82	84
23.2	Rental payments to others	38	44	45
23.3	Communications, utilities, and miscellaneous charges	47	53	55
24.0	Printing and reproduction	3	4	4
25.2	Other services from non-Federal sources	298	337	350
26.0	Supplies and materials	7	8	8
31.0	Equipment	78	89	92
32.0	Land and structures	5	5	5
42.0	Resolution Outlays	8,676	24,839	28,046
99.9	Total new obligations	10,254	26,631	29,898

Employment Summary

Identification code 51–4596–0–4–373		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	8,000	7,850	7,454

NON-INTEREST BEARING TRANSACTION ACCOUNT GUARANTEE

On October 14, 2008, using its existing authority, the FDIC created the Temporary Liquidity Guarantee Program (TLGP), aimed at freeing up funding for banks. Under the Non-Interest Bearing Transaction Account Guarantee (TAG), a component of the TLGP, the FDIC extended an unlimited guarantee to participating insured depository intuitions for non-interest bearing transaction account deposits, which included low-interest negotiable order of withdrawal (NOW) accounts and Interest on Lawyers Trust Accounts (IOLTA). The FDIC Board adopted a final rule in October 2010, stating that the TAG will not be extended beyond its December 31, 2010 expiration date.

The Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203) authorized the FDIC to provide two years of unlimited insurance coverage, through the Deposit Insurance Fund, for non-interest bearing transaction account deposits starting on December 31, 2010, (excluding NOW accounts and IOLTAs). However, the Permanent Federal Deposit Insurance Coverage for Interest on Lawyers Trust Accounts Act (P.L. 111–343) passed on December 29, 2010, extended the two years of unlimited coverage to IOTLAs. The NOW accounts are still excluded. This temporary unlimited coverage is in addition to, and separate from, the coverage of at least $250,000 available to depositors under FDIC general deposit insurance. The coverage extended through the Act is provided to all insured institutions and there are no separate fees associated with this coverage.

The Budget reflects TAG account transactions for the first quarter of 2011, after which losses on non-interest bearing transaction accounts are reflected in the FDIC's Deposit Insurance Fund.

SENIOR UNSECURED DEBT GUARANTEE

Program and Financing (in millions of dollars)

Identification code 51–4457–0–3–373		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0801	TLGP Payments (TAG)	6,724	1,113	.....
0900	Total new obligations (object class 42.0)	6,724	1,113	.....
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	7,301	1,103	.....
	Budget authority:			
	Spending authority from offsetting collections, mandatory:			
1800	Collected	526	10	.....
1850	Spending auth from offsetting collections, mand (total)	526	10	.....
1930	Total budgetary resources available	7,827	1,113	.....
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1,103	.....	.....
	Change in obligated balance:			
	Unpaid obligations:			
3010	Obligations incurred, unexpired accounts	6,724	1,113	.....
3020	Outlays (gross)	–6,724	–1,113	.....
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	526	10	.....
	Outlays, gross:			
4101	Outlays from mandatory balances	6,724	1,113	.....
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4121	Interest on Federal securities	–84	–10	.....
4123	Non-Federal sources	–442	.....	.....
4130	Offsets against gross budget authority and outlays (total)	–526	–10	.....
4170	Outlays, net (mandatory)	6,198	1,103	.....
4190	Outlays, net (total)	6,198	1,103	.....
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	7,301	1,104	.....
5001	Total investments, EOY: Federal securities: Par value	1,104	.....	.....

On October 14, 2008, using its existing authority, the FDIC created the Temporary Liquidity Guarantee Program (TLGP), aimed at freeing up funding for banks. Under the Debt Guarantee Program, a component of the TLGP, the FDIC guaranteed qualifying bank and bank holding company debt. If a bank defaulted on its debt, the FDIC made required principal and interest payments to unsecured senior debt holders. The FDIC charged additional premiums for any banks that voluntarily opted into this program. The program was designed to promote liquidity by allowing banks to roll over existing debt. Originally, the guarantee was limited to unsecured debt issued between October 14, 2008 and June 30, 2009, and the FDIC guarantee extended through June 30, 2012. On March 17, 2009, the FDIC extended coverage to debt issued through October 31, 2009, and extended the guarantee through December 31, 2012. The FDIC also levied a surcharge on debt issued between April 1, 2009 and October 31, 2009, which was transferred to the Deposit Insurance Fund. To reduce market disruption and ensure orderly phase-out at the conclusion of the Debt Guarantee Program, on June 3, 2009 the FDIC issued a final rule that extended the period during which participating entities could issue FDIC-guaranteed debt through

October 31, 2009. The rule also established a limited, six-month emergency guarantee facility upon expiration of the program; however, this facility was never utilized. As of September 30, 2012, there was $39.4 billion of debt outstanding in the senior unsecured debt guarantee program. By December 31, 2012, all the remaining senior unsecured debt matured.

Object Classification (in millions of dollars)

Identification code 51–4457–0–3–373	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
42.0 Debt Guarantee Payments	6,724	1,113	.....
99.0 Reimbursable obligations	6,724	1,113	.....

FSLIC Resolution

Federal Funds

FSLIC Resolution Fund

Program and Financing (in millions of dollars)

Identification code 51–4065–0–3–373	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 Goodwill settlements	50	537	.....
0803 Receivership management	3	3	3
0804 General administrative	2	3	2
0809 Reimbursable program activities, subtotal	55	543	5
0900 Total new obligations	55	543	5
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3,519	3,588	3,625
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	50	537	.....
1260 Appropriations, mandatory (total)	50	537	.....
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections	76	43	4
1801 Change in uncollected payments, Federal sources	–2	.....	.....
1850 Spending auth from offsetting collections, mand (total)	74	43	4
1900 Budget authority (total)	124	580	4
1930 Total budgetary resources available	3,643	4,168	3,629
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3,588	3,625	3,624
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	2	1
3010 Obligations incurred, unexpired accounts	55	543	5
3020 Outlays (gross)	–56	–544	–5
3050 Unpaid obligations, end of year	2	1	1
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–2	.....	.....
3070 Change in uncollected pymts, Fed sources, unexpired	2	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	2	1
3200 Obligated balance, end of year	2	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	124	580	4
Outlays, gross:			
4101 Outlays from mandatory balances	56	544	5
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities	–2	–2	–2
4123 Non-Federal sources	–74	–41	–2
4130 Offsets against gross budget authority and outlays (total)	–76	–43	–4
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	2	.....	.....
4160 Budget authority, net (mandatory)	50	537	.....
4170 Outlays, net (mandatory)	–20	501	1
4180 Budget authority, net (total)	50	537	.....
4190 Outlays, net (total)	–20	501	1
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	3,374	3,424	3,467
5001 Total investments, EOY: Federal securities: Par value	3,424	3,467	3,471

The FSLIC Resolution Fund (FRF) is the successor to FSLIC assets and liabilities from thrift resolutions prior to August 1989. Beginning in August 1989, the Resolution Trust Corporation (RTC) assumed responsibility for the FSLIC's unresolved cases. On December 31, 1995, the RTC was terminated and its assets and liabilities were transferred to FRF.

Funds for FRF operations have come from: income earned on its assets; liquidation proceeds from receiverships; the proceeds of the sale of bonds by the Financing Corporation; and, a portion of insurance premiums paid by Savings Association Insurance Fund (SAIF) members prior to 1993. The Financial Institutions Reform, Recovery, and Enforcement Act (P.L. 101–73) authorizes appropriations to make up for any shortfall. The FRF will terminate upon the disposition of all of its assets, and any net proceeds will be deposited into the General Fund of the Treasury. Net proceeds from the former RTC will be paid to the Resolution Funding Corporation. Based on information provided by the FDIC, the Budget projects this dissolution to occur in 2016.

Object Classification (in millions of dollars)

Identification code 51–4065–0–3–373	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	.....
25.2 Other services from non-Federal sources	2	3	3
42.0 Insurance claims and indemnities	50	537	.....
99.9 Total new obligations	55	543	5

Employment Summary

Identification code 51–4065–0–3–373	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment	1	1	1

Orderly Liquidation

Federal Funds

Orderly Liquidation Fund

Special and Trust Fund Receipts (in millions of dollars)

Identification code 51–5586–0–2–373	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	.....	.....
Receipts:			
0200 Risk-Based Assessments, Orderly Liquidation Fund	.....	6	158
0400 Total: Balances and collections	.....	6	158
Appropriations:			
0500 Orderly Liquidation Fund	.....	–6	–158
0799 Balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 51–5586–0–2–373	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Orderly Liquidation	.....	645	1,549
0002 Administrative Expenses	.....	1	2
0003 Interest to Treasury	.....	3	17
0900 Total new obligations	.....	649	1,568

ORDERLY LIQUIDATION FUND—Continued

Program and Financing—Continued

Identification code 51–5586–0–2–373	2012 actual	2013 CR	2014 est.
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	.....	6	158
1260 Appropriations, mandatory (total)	.....	6	158
Borrowing authority, mandatory:			
1400 Borrowing authority	.....	643	1,410
1440 Borrowing authority, mandatory (total)	.....	643	1,410
1900 Budget authority (total)	.....	649	1,568
1930 Total budgetary resources available	.....	649	1,568
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	.....	649	1,568
3020 Outlays (gross)	.....	–649	–1,568
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	.....	649	1,568
Outlays, gross:			
4100 Outlays from new mandatory authority	.....	649	1,568
4180 Budget authority, net (total)	.....	649	1,568
4190 Outlays, net (total)	.....	649	1,568

Title II of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203) established a new Orderly Liquidation Authority and appointed the FDIC as receiver of financial institutions whose failure is determined to pose a systemic risk to the financial stability of the United States. The aim of the Orderly Liquidation Authority is to efficiently and effectively resolve the failure of a large, interconnected financial institution, while limiting the disruptions to the financial markets and the economy.

The Orderly Liquidation receivership mechanism applies to financial institutions that are "systemically significant." Bank holding companies with more than $50 billion in assets are automatically deemed to be systemically significant, and nonbank institutions that meet certain criteria can be determined to be systemically significant by the Financial Stability Oversight Council (FSOC). The Federal Reserve and the prudential regulator (FDIC or the Securities Exchange Commission) or the Federal Insurance Office recommend in writing, upon a 2/3 vote of each party, that the Treasury Secretary appoint FDIC as receiver for a failing, systemically significant financial company. The Treasury Secretary must then, in consultation with the President, determine whether circumstances warrant liquidating the failing institution. If the Secretary of the Treasury determines that unwinding the firm under the Orderly Liquidation Authority is necessary and appropriate, he/she may appoint the FDIC as receiver. The FDIC's authorities as receiver under an Orderly Liquidation receivership are largely comparable to its current receivership authority over failed depository institutions.

The Act states that "no taxpayer funds shall be used to prevent the liquidation of any financial company" and, therefore, establishes an ex-post Orderly Liquidation Fund to recoup all expenses associated with the exercise of the Orderly Liquidation Authority. This procedure ensures that there is no net expenditure of taxpayer funds resulting from the use of the fund in liquidation of a covered institution. The FDIC is authorized to charge "eligible financial institutions" (bank and non-bank financial institutions with consolidated assets greater than $50 billion) risk-based assessments over a 5-year period to recoup all Orderly Liquidation related expenses. While the Budget does not forecast any specific systemic failure, estimates are derived from a probabilistic model that incorporates historic systemic failure information in OECD countries. For more details, please see the Financial Stabilization and Their Budgetary Effects chapter in the Analytical Perspectives volume.

Object Classification (in millions of dollars)

Identification code 51–5586–0–2–373	2012 actual	2013 CR	2014 est.
Direct obligations:			
43.0 Admin	.....	1	2
43.0 Orderly Liquidation	.....	3	17
43.0 Orderly Liquidation	.....	645	1,549
99.9 Total new obligations	.....	649	1,568

FDIC—OFFICE OF INSPECTOR GENERAL

Federal Funds

OFFICE OF THE INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, $34,568,000, to be derived from the Deposit Insurance Fund or, only when appropriate, the FSLIC Resolution Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 51–4595–0–4–373	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 Reimbursable program activity	29	45	35
Budgetary Resources:			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1711 Transferred from other accounts [51–4596]	29	45	35
1750 Spending auth from offsetting collections, disc (total)	29	45	35
1930 Total budgetary resources available	29	45	35
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	29	45	35
3020 Outlays (gross)	–29	–45	–35
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	29	45	35
Outlays, gross:			
4010 Outlays from new discretionary authority	29	45	35
4180 Budget authority, net (total)	29	45	35
4190 Outlays, net (total)	29	45	35

FDIC's Office of Inspector General (OIG) is an independent unit within FDIC that conducts audits, evaluations, and investigations of corporate activities. In addition, the OIG assists the FDIC in preventing and detecting fraud, waste, abuse, and mismanagement. The OIG was established by the FDIC Board of Directors pursuant to the Inspector General Act amendments of 1988 (P.L. 100–504). The Resolution Trust Corporation Completion Act (P.L. 103–204), enacted December 17, 1993, provided that the FDIC Inspector General be appointed by the President and confirmed by the Senate. The Completion Act thus added FDIC to the list of establishments whose OIGs have separate appropriation accounts under Section 1105(a) of Title 31, United States Code. The OIG's appropriations are derived from the Deposit Insurance Fund; however, to the extent that the OIG performs work in connection with the FSLIC Resolution Fund (FRF), the cost of such work shall be derived from the FRF.

Object Classification (in millions of dollars)

Identification code 51–4595–0–4–373	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	18	27	21
11.3 Other than full-time permanent	1	.....	.....
11.9 Total personnel compensation	19	27	21
12.1 Civilian personnel benefits	7	10	8
21.0 Travel and transportation of persons	1	4	3
25.2 Other services from non-Federal sources	2	3	2
31.0 Equipment	.....	1	1
99.9 Total new obligations	29	45	35

Employment Summary

Identification code 51–4595–0–4–373	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment	128	130	130

FEDERAL DRUG CONTROL PROGRAMS

Federal Funds

High Intensity Drug Trafficking Areas Program

(including transfers of funds)

For necessary expenses of the Office of National Drug Control Policy's High Intensity Drug Trafficking Areas Program, $193,400,000, to remain available until September 30, 2015, for drug control activities consistent with the approved strategy for each of the designated High Intensity Drug Trafficking Areas ("HIDTAs"), of which not less than 51 percent shall be transferred to State and local entities for drug control activities and shall be obligated not later than 120 days after enactment of this Act: Provided, That up to 49 percent may be transferred to Federal agencies and departments in amounts determined by the Director of the Office of National Drug Control Policy, of which up to $2,700,000 may be used for auditing services and associated activities: Provided further, That upon a determination that all or part of the funds so transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: Provided further, That, notwithstanding the requirements of Public Law 106–58, any unexpended funds obligated prior to fiscal year 2012 may be used for any other approved activities of that HIDTA, subject to reprogramming requirements.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 11–1070–0–1–754	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0002 Grants and federal transfers	229	237	190
0003 Auditing services and activities	3	3	3
0900 Total new obligations	232	240	193
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	13	5	5
1010 Unobligated balance transfer to other accts [15–1100]	–1	.....	.....
1021 Recoveries of prior year unpaid obligations	6	.....	.....
1050 Unobligated balance (total)	18	5	5
Budget authority:			
Appropriations, discretionary:			
1100 New budget authority (gross), detail	239	240	193
1120 Appropriations transferred to other accts [70–0540]	–1	.....	.....
1120 Appropriations transferred to other accts [15–1100]	–15	.....	.....
1120 Appropriations transferred to other accts [15–0200]	–2	.....	.....
1120 Appropriations transferred to other accts [15–0322]	–1	.....	.....
1120 Appropriations transferred to other accts [15–0324]	–1	.....	.....
1160 Appropriation, discretionary (total)	219	240	193
1930 Total budgetary resources available	237	245	198
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	5	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	236	244	180
3010 Obligations incurred, unexpired accounts	232	240	193
3011 Obligations incurred, expired accounts	2	.....	.....
3020 Outlays (gross)	–217	–304	–192
3040 Recoveries of prior year unpaid obligations, unexpired	–6	.....	.....
3041 Recoveries of prior year unpaid obligations, expired	–3	.....	.....
3050 Unpaid obligations, end of year	244	180	181
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	236	244	180
3200 Obligated balance, end of year	244	180	181
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	219	240	193
Outlays, gross:			
4010 Outlays from new discretionary authority	35	60	48
4011 Outlays from discretionary balances	182	244	144
4020 Outlays, gross (total)	217	304	192
4180 Budget authority, net (total)	219	240	193
4190 Outlays, net (total)	217	304	192

The High Intensity Drug Trafficking Areas (HIDTA) program was established by the Anti-Drug Abuse Act of 1988, as amended, and the Office of National Drug Control Policy Reauthorization Act of 2006, to provide assistance to Federal, state, local, and tribal law enforcement entities operating in those areas most adversely affected by drug trafficking.

The HIDTA program provides resources to Federal, state, local, and tribal agencies in each HIDTA region to carry out activities that address the specific drug threats of that region. A central feature of the HIDTA program is the discretion granted to HIDTA Executive Boards to design and carry out activities that reflect the specific drug trafficking threats found in each HIDTA region. This discretion ensures that each HIDTA Executive Board can tailor its strategy and initiatives closely to local conditions and can respond quickly to changes in those conditions. Among the types of activities funded by the HIDTA program are: drug enforcement task forces comprised of multiple Federal, state, local, and tribal agencies designed to dismantle and disrupt drug trafficking organizations (DTOs); multi-agency intelligence centers that provide drug intelligence to HIDTA initiatives and participating agencies; initiatives to establish or improve interoperability of communications and information systems between and among law enforcement agencies; and investments in technology infrastructure. Law enforcement agencies have substantial experience in implementing problem-oriented policing strategies and are well positioned to promote and participate in community-based drug prevention programs. To that end, ONDCP has funded prevention initiatives to increase coordination between law enforcement and prevention communities.

Object Classification (in millions of dollars)

Identification code 11–1070–0–1–754	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Auditing services and activities	3	3	3
41.0 Grants and federal transfers	229	237	190
99.9 Total new obligations	232	240	193

OTHER FEDERAL DRUG CONTROL PROGRAMS

(INCLUDING TRANSFERS OF FUNDS)

For other drug control activities authorized by the Office of National Drug Control Policy Reauthorization Act of 2006 (Public Law 109–469), $95,376,000, to remain available until expended, which shall be available as follows: $85,676,000 for the Drug-Free Communities Program, of which $2,000,000 shall be made available as directed by section 4 of Public Law 107–82, as amended by Public Law 109–469 (21 U.S.C. 1521 note); $7,800,000 for anti-doping activities; and $1,900,000 for the United States membership dues to the World Anti-Doping Agency: Provided, That amounts made available under this heading may be transferred to other Federal departments and agencies to carry out such activities.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 11–1460–0–1–802	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 National Youth Anti-Drug Media Campaign	5	.....	.....
0002 Drug-Free Communities Program	93	93	86
0006 Anti-Doping Activities	9	9	8
0007 Drug Court Training and Technical Assistance	.....	1	.....
0008 Section 1105 of Public Law 109–469	.....	1	.....
0009 World Anti-Doping Agency Dues	2	2	2
0900 Total new obligations (object class 25.2)	109	106	96
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	21	12	6
1010 Unobligated balance transfer to other accts [15–0404]	–1	.....	.....
1021 Recoveries of prior year unpaid obligations	1	.....	.....
1050 Unobligated balance (total)	21	12	6
Budget authority:			
Appropriations, discretionary:			
1100 New budget authority (gross), detail	106	106	96
1130 Appropriations permanently reduced	.....	–6	.....
1131 Unobligated balance of appropriations permanently reduced	–6	.....	.....
1160 Appropriation, discretionary (total)	100	100	96
1900 Budget authority (total)	100	100	96
1930 Total budgetary resources available	121	112	102
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	12	6	6
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	141	17	17
3010 Obligations incurred, unexpired accounts	109	106	96
3020 Outlays (gross)	–232	–106	–96
3040 Recoveries of prior year unpaid obligations, unexpired	–1	.....	.....
3050 Unpaid obligations, end of year	17	17	17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	141	17	17
3200 Obligated balance, end of year	17	17	17
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	100	100	96
Outlays, gross:			
4010 Outlays from new discretionary authority	94	90	86
4011 Outlays from discretionary balances	138	16	10
4020 Outlays, gross (total)	232	106	96
4180 Budget authority, net (total)	100	100	96
4190 Outlays, net (total)	232	106	96

The Anti-Drug Abuse Act of 1988, as amended, and the Office of National Drug Control Policy Reauthorization Act of 2006, established this account to be administered by the Director of the Office of National Drug Control Policy (ONDCP). The funds appropriated to the program support high-priority drug control programs and may be transferred to drug control agencies.

For 2014, funds appropriated to this account, will be used for the following activities:

Drug Free Communities Support Program.—The Drug Free Communities Support (DFC) Program provides small grants (no more than $125,000 per year for an initial 5-year period) to established local community drug free coalitions. The grants are awarded competitively to community coalitions that organize multiple sectors of a community to focus on local needs as a means for reducing and/or preventing youth substance use.

Anti-Doping Efforts.—This funding continues the effort to educate athletes on the dangers of drug use and to eliminate illegal drug use in Olympic and associated sports in the United States.

World Anti-Doping Agency Dues.—ONDCP represents the United States in the World Anti-Doping Agency which promotes and coordinates international activities against doping in sport, in all its forms, and is responsible for the payment of U.S. dues.

Employment Summary

Identification code 11–1460–0–1–802	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	1	1	1

COUNTERDRUG TECHNOLOGY ASSESSMENT CENTER

Program and Financing (in millions of dollars)

Identification code 11–1461–0–1–754	2012 actual	2013 CR	2014 est.
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	.....	.....
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced	–5	.....	.....
1160 Appropriation, discretionary (total)	–5	.....	.....
1900 Budget authority (total)	–5	.....	.....
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	7	4
3020 Outlays (gross)	–2	–3	–2
3050 Unpaid obligations, end of year	7	4	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	7	4
3200 Obligated balance, end of year	7	4	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	–5	.....	.....
Outlays, gross:			
4011 Outlays from discretionary balances	2	3	2
4180 Budget authority, net (total)	–5	.....	.....
4190 Outlays, net (total)	2	3	2

FEDERAL ELECTION COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to carry out the provisions of the Federal Election Campaign Act of 1971, $65,791,000, of which not to exceed $5,000 shall be available for reception and representation expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–1600–0–1–808	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Federal Election Commission	66	67	66
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	66	67	66
1160 Appropriation, discretionary (total)	66	67	66
1930 Total budgetary resources available	66	67	66
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	11	10	5
3010 Obligations incurred, unexpired accounts	66	67	66
3020 Outlays (gross)	–67	–72	–66
3050 Unpaid obligations, end of year	10	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	11	10	5
3200 Obligated balance, end of year	10	5	5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	66	67	66
Outlays, gross:			
4010 Outlays from new discretionary authority	58	61	60
4011 Outlays from discretionary balances	9	11	6
4020 Outlays, gross (total)	67	72	66
4180 Budget authority, net (total)	66	67	66
4190 Outlays, net (total)	67	72	66

The Federal Election Commission is responsible for facilitating transparency in the Federal election process through public disclosure of campaign finance activity, and for encouraging voluntary compliance with the Federal Election Campaign Act by providing information and policy guidance to the public, media, political committees and election officials on the Act and on Commission regulations. The Commission is also responsible for enforcing the Act through audits, investigations and civil litigation, and for developing the law by administering and interpreting the Act, as well as the Presidential Election Campaign Fund Act and the Presidential Primary Matching Payment Account Act.

The Budget proposes that Senate Campaign Committees be required to file campaign finance reports electronically with the Federal Election Commission, which is consistent with the reporting requirements for all other Federal political committees. This measure will save at least $430,000 annually by reducing costs for manual data entry and will also promote transparency by expediting the process by which the reports are made available to the public.

The Commission is authorized to submit, concurrently, budget estimates to the President and the Congress. The Commission endorses the President's 2014 request.

Object Classification (in millions of dollars)

Identification code 95–1600–0–1–808	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	35	35	35
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	36	36	36
12.1 Civilian personnel benefits	10	11	11
23.1 Rental payments to GSA	6	6	6
25.2 Other services from non-Federal sources	11	11	10
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	2	2
99.9 Total new obligations	66	67	66

Employment Summary

Identification code 95–1600–0–1–808	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	347	375	375

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL

Federal Funds

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL ACTIVITIES

Program and Financing (in millions of dollars)

Identification code 95–5547–0–2–376	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 FFIEC activities	14	15	19
Budgetary Resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	14	15	19
1850 Spending auth from offsetting collections, mand (total)	14	15	19
1930 Total budgetary resources available	14	15	19
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	14	15	19
3020 Outlays (gross)	–14	–15	–19
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	14	15	19
Outlays, gross:			
4100 Outlays from new mandatory authority	14	15	19
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–14	–15	–19

The Federal Financial Institutions Examination Council (FFIEC) was established on March 10, 1979, pursuant to Title X of the Financial Institutions Regulatory and Interest Rate Control Act of 1978 (FIRA) (P.L. 95–630). In 1989, Title XI of the Financial Institutions Reform, Recovery and Enforcement Act of 1989 (FIRREA) established the Appraisal Subcommittee (ASC) within the Examination Council.

The Council is a formal interagency body empowered to prescribe uniform principles, standards, and report forms for the Federal examination of financial institutions by its members: the Board of Governors of the Federal Reserve System (FRB), the Federal Deposit Insurance Corporation (FDIC), the National Credit Union Administration (NCUA), and the Office of the Comptroller of the Currency (OCC), and to make recommendations to promote uniformity in the supervision of financial institutions.

The Council was given additional statutory responsibilities by section 340 of the Housing and Community Development Act of 1980 to facilitate public access to data that depository institutions must disclose under the Home Mortgage Disclosure Act of 1975 (HMDA) and the aggregation of annual HMDA data, by census tract, for each metropolitan statistical area (MSA). The Council has established, in accordance with the requirement of the statute, an advisory State Liaison Committee (SLC) composed of five representatives of State supervisory agencies. In 2006, the State Liaison Committee was added to the Council as a voting member. The SLC includes representatives from the Conference of State Bank Supervisors (CSBS), the American Council of State Savings

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL ACTIVITIES—Continued

Supervisors (ACSSS), and the National Association of State Credit Union Supervisors (NASCUS).

The Budget estimates the Council will spend approximately $15 million during 2014 from resources provided by its members and other fees and reimbursements.

Object Classification (in millions of dollars)

Identification code 95–5547–0–2–376	2012 actual	2013 CR	2014 est.
99.9 Total new obligations	14	15	19

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL APPRAISAL SUBCOMMITTEE

Federal Funds

REGISTRY FEES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5026–0–2–376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	.....	.....
Receipts:			
0200 Registry Fees, Appraisal Subcommittee, Federal Institution Examination Council	3	3	3
0400 Total: Balances and collections	3	3	3
Appropriations:			
0500 Registry Fees	–3	–3	–3
0799 Balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 95–5026–0–2–376	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Administrative expenses	3	2	2
0002 Grants, subsidies and contributions	.....	1	1
0900 Total new obligations	3	3	3
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	4	4
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	3	3	3
1260 Appropriations, mandatory (total)	3	3	3
1930 Total budgetary resources available	7	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	4	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 Obligations incurred, unexpired accounts	3	3	3
3020 Outlays (gross)	–3	–3	–3
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	3	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority	3	3	3
4180 Budget authority, net (total)	3	3	3
4190 Outlays, net (total)	3	3	3

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (P.L. 101–73) established the Appraisal Subcommittee of the Federal Financial Institutions Examination Council (ASC). Subsequent legislation (P.L. 101–235) authorized the Secretary of the Department of Housing and Urban Development to designate a member of the ASC. On July 21, 2010, the President signed into law the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010 which authorized the heads of Bureau of Consumer Financial Protection and the Federal Housing Finance Agency to designate a member of the ASC.

The ASC is charged with ensuring that real estate appraisals used in Federally-related transactions are performed in accordance with uniform standards by appraisers certified and licensed by the States. Its responsibilities include: (1) monitoring the requirements established by the States for the certification and licensing of appraisers, and for registration and supervision of the operations and activities of an appraisal management company; (2) monitoring the requirements established by the Federal financial institutions' regulatory agencies regarding appraisal standards for federally related transactions under their jurisdiction; (3) monitoring and reviewing the practices, procedures, activities, and organization of the Appraisal Foundation; (4) maintaining a national registry of licensed and certified appraisers, and of appraisal management companies; (5) transmit an annual report to Congress not later than June 15th; and (6) make grants to State Appraiser certifying and licensing agencies.

Subcommittee activities, including grants awarded to the Appraisal Foundation, were initially funded from a one-time appropriation of $5 million. These funds were repaid to Treasury at the end of 1998 in accordance with the Economic Growth and Regulatory Paperwork Reduction Act of 1996. The Subcommittee is now operating on fee income from State-licensed and -certified real estate appraisers in the national registry.

The Budget projects that the Subcommittee will spend approximately $3 million in 2014.

Object Classification (in millions of dollars)

Identification code 95–5026–0–2–376	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
41.0 Grants, subsidies, and contributions	2	2	2
99.9 Total new obligations	3	3	3

Employment Summary

Identification code 95–5026–0–2–376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	11	13	13

FEDERAL HOUSING FINANCE AGENCY

Federal Funds

FEDERAL HOUSING FINANCE AGENCY, ADMINISTRATIVE EXPENSES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5532–0–2–371	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	.....	.....
Receipts:			
0200 FHFA, Fees on GSEs for Administrative Expenses	224	225	237
0400 Total: Balances and collections	224	225	237
Appropriations:			
0500 Federal Housing Finance Agency, Administrative Expenses	–224	–225	–237
0799 Balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 95–5532–0–2–371	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	218	235	199
0801 Reimbursable program activity	3	3	3
0900 Total new obligations	221	238	202
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	19	36	26
1021 Recoveries of prior year unpaid obligations	11	.....	.....
1050 Unobligated balance (total)	30	36	26
Budget authority:			
Appropriations, discretionary:			
1120 Appropriations transferred to other accts [95–5564]	.....	.....	–48
1160 Appropriation, discretionary (total)	.....	.....	–48
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	224	225	237
1260 Appropriations, mandatory (total)	224	225	237
Spending authority from offsetting collections, mandatory:			
1800 Collected	3	3	3
1850 Spending auth from offsetting collections, mand (total)	3	3	3
1900 Budget authority (total)	227	228	192
1930 Total budgetary resources available	257	264	218
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	36	26	16
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	61	43	53
3010 Obligations incurred, unexpired accounts	221	238	202
3020 Outlays (gross)	–228	–228	–199
3040 Recoveries of prior year unpaid obligations, unexpired	–11	.....	.....
3050 Unpaid obligations, end of year	43	53	56
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	61	43	53
3200 Obligated balance, end of year	43	53	56
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	.....	.....	–48
Outlays, gross:			
4010 Outlays from new discretionary authority	.....	.....	–41
Mandatory:			
4090 Budget authority, gross	227	228	240
Outlays, gross:			
4100 Outlays from new mandatory authority	177	181	190
4101 Outlays from mandatory balances	51	47	50
4110 Outlays, gross (total)	228	228	240
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–3	–3	–3
4180 Budget authority, net (total)	224	225	189
4190 Outlays, net (total)	225	225	196
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	78	77	78
5001 Total investments, EOY: Federal securities: Par value	77	78	78

The Federal Housing Finance Agency (FHFA) is the regulator of the housing Government-Sponsored Enterprises (GSEs) which include Fannie Mae, Freddie Mac, and the twelve Federal Home Loan Banks. FHFA was established by the Housing and Economic Recovery Act of 2008 (P.L. 110–289) which amended the Federal Housing Enterprise Safety and Soundness Act of 1992. FHFA's strategic goals are: 1) Safe and Sound Housing GSEs, 2) Stability, Liquidity and Access in Housing Finance, 3) Preserve and Conserve Enterprise Assets, and 4) Prepare for the Future of Housing Finance in the U.S. FHFA receives direct funding for its activities from mandatory assessments on the GSEs.

Object Classification (in millions of dollars)

Identification code 95–5532–0–2–371	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	81	91	92
11.3 Other than full-time permanent	1	.....	.....
11.5 Other personnel compensation	4	.....	.....
11.9 Total personnel compensation	86	91	92
12.1 Civilian personnel benefits	28	32	33
21.0 Travel and transportation of persons	3	4	4
23.2 Rental payments to others	9	21	21
23.3 Communications, utilities, and miscellaneous charges	2	.....	.....
25.1 Advisory and assistance services	1	.....	.....
25.2 Other services from non-Federal sources	32	37	37
25.3 Other goods and services from Federal sources	4	3	3
25.7 Operation and maintenance of equipment	1	.....	.....
26.0 Supplies and materials	3	1	1
31.0 Equipment	9	8	8
32.0 Land and structures	1	.....	.....
94.0 Financial transfers	39	38	.....
99.0 Direct obligations	218	235	199
99.0 Reimbursable obligations	3	3	3
99.9 Total new obligations	221	238	202

Employment Summary

Identification code 95–5532–0–2–371	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	551	598	610

Office of Inspector General

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, $48,000,000, to remain available until September 30, 2015, to be derived from assessments collected from the Federal National Mortgage Association, Federal Home Loan Mortgage Corporation, and the Federal Home Loan Banks under section 1106 of the Housing and Economic Recovery Act of 2008.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–5564–0–2–371	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Office of Inspector General	38	48	48
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	9	10	.....
Budget authority:			
Appropriations, discretionary:			
1121 Appropriations transferred from other accts [95–5532]	.....	.....	48
1160 Appropriation, discretionary (total)	.....	.....	48
Spending authority from offsetting collections, mandatory:			
1800 Collected	39	38	.....
1850 Spending auth from offsetting collections, mand (total)	39	38	.....
1900 Budget authority (total)	39	38	48
1930 Total budgetary resources available	48	48	48
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	.....	.....
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	10	10
3010 Obligations incurred, unexpired accounts	38	48	48
3020 Outlays (gross)	–34	–48	–49
3050 Unpaid obligations, end of year	10	10	9
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	10	10

OFFICE OF INSPECTOR GENERAL—Continued

Program and Financing—Continued

Identification code 95–5564–0–2–371	2012 actual	2013 CR	2014 est.
3200 Obligated balance, end of year	10	10	9
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	.....	.....	48
Outlays, gross:			
4010 Outlays from new discretionary authority	.....	.....	41
Mandatory:			
4090 Budget authority, gross	39	38	.....
Outlays, gross:			
4100 Outlays from new mandatory authority	29	38	.....
4101 Outlays from mandatory balances	5	10	8
4110 Outlays, gross (total)	34	48	8
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–39	–38	.....
4180 Budget authority, net (total)	.....	.....	48
4190 Outlays, net (total)	–5	10	49

The Federal Housing Finance Agency Office of Inspector General (FHFA-OIG), established in the Housing and Economic Recovery Act of 2008, has duties and responsibilities that are intended to facilitate the efficient and effective conduct of FHFA in its capacity as the primary regulator of the housing Government-Sponsored Enterprises (GSEs) and conservator of Fannie Mae and Freddie Mac. The IG is currently funded through FHFA's direct assessments on the housing GSEs. In order to preserve the independence of the IG and provide congressional review of funding levels, the Budget requests an appropriation of $48 million for the FHFA-OIG derived from FHFA's assessments.

Object Classification (in millions of dollars)

Identification code 95–5564–0–2–371	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	15	21	21
11.5 Other personnel compensation	1	2	2
11.9 Total personnel compensation	16	23	23
12.1 Civilian personnel benefits	6	7	7
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	5	1	1
25.1 Advisory and assistance services	1	5	4
25.2 Other services from non-Federal sources	.....	1	2
25.3 Other goods and services from Federal sources	6	8	8
25.7 Operation and maintenance of equipment	1	.....	.....
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
99.9 Total new obligations	38	48	48

Employment Summary

Identification code 95–5564–0–2–371	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	115	150	150

FEDERAL LABOR RELATIONS AUTHORITY

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Federal Labor Relations Authority, pursuant to Reorganization Plan Numbered 2 of 1978, and the Civil Service Reform Act of 1978, including services authorized by 5 U.S.C. 3109, and including hire of experts and consultants, hire of passenger motor vehicles, and including official reception and representation expenses (not to exceed $1,500) and rental of conference rooms in the District of Columbia and elsewhere, $25,490,000: Provided, That public members of the Federal Service Impasses Panel may be paid travel expenses and per diem in lieu of subsistence as authorized by law (5 U.S.C. 5703) for persons employed intermittently in the Government service, and compensation as authorized by 5 U.S.C. 3109: Provided further, That, notwithstanding 31 U.S.C. 3302, funds received from fees charged to non-Federal participants at labor-management relations conferences shall be credited to and merged with this account, to be available without further appropriation for the costs of carrying out these conferences.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 54–0100–0–1–805	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Authority	14	14	14
0002 Office of the General Counsel	10	10	10
0003 Federal Service Impasses Panel	1	1	1
0900 Total new obligations	25	25	25
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	25	25	25
1160 Appropriation, discretionary (total)	25	25	25
1930 Total budgetary resources available	25	25	25
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	2	2
3010 Obligations incurred, unexpired accounts	25	25	25
3020 Outlays (gross)	–25	–25	–25
3041 Recoveries of prior year unpaid obligations, expired	–1	.....	.....
3050 Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	2	2
3200 Obligated balance, end of year	2	2	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	25	25	25
Outlays, gross:			
4010 Outlays from new discretionary authority	22	23	23
4011 Outlays from discretionary balances	3	2	2
4020 Outlays, gross (total)	25	25	25
4180 Budget authority, net (total)	25	25	25
4190 Outlays, net (total)	25	25	25

The Federal Labor Relations Authority (FLRA) is an independent administrative Federal agency created by Title VII of the Civil Service Reform Act of 1978 (the Statute) with a mission to carry out five statutory responsibilities: (1) determining the appropriateness of units for Labor organization representation; (2) resolving complaints of unfair labor practices; (3) adjudicating exceptions to arbitrators' awards; (4) adjudicating legal issues relating to duty to bargain; and (5) resolving impasses during negotiations. All work throughout the agency is undertaken to support a single program—to administer and enforce the Statute by determining the respective rights of employees, agencies, and labor organizations in their relations with one another.

FLRA's authority is divided by law and by delegation among a three-member Authority and an Office of General Counsel, appointed by the President and subject to Senate confirmation; and the Federal Service Impasses Panel, which consists of seven part-time members appointed by the President.

FLRA does not initiate cases. Proceedings before FLRA originate from filings arising through the actions of Federal employees, Federal agencies, or Federal labor organizations. Nationwide,

FLRA includes seven Regional Offices, one satellite office, and a Headquarters site in Washington, D.C.

Authority.—The Authority adjudicates appeals filed by either Federal agencies or Federal labor organizations on negotiability issues, exceptions to arbitration awards, appeals of representation decisions, eligibility of labor organizations for national consultation rights, and unfair labor practice complaints.

Office of the General Counsel.—The General Counsel investigates allegations of unfair labor practices and processes representation petitions. In addition, the General Counsel conducts elections concerning the exclusive recognition of labor organizations and certifies the results of elections.

Federal Service Impasses Panel.—The Panel resolves labor negotiation impasses between Federal agencies and labor organizations.

Object Classification (in millions of dollars)

Identification code 54–0100–0–1–805	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	14	15	15
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	15	16	16
12.1 Civilian personnel benefits	4	4	4
23.1 Rental payments to GSA	3	3	3
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	1	1	1
99.0 Direct obligations	24	25	25
99.5 Below reporting threshold	1	.....	.....
99.9 Total new obligations	25	25	25

Employment Summary

Identification code 54–0100–0–1–805	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	130	129	134

FEDERAL MARITIME COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Federal Maritime Commission as authorized by section 201(d) of the Merchant Marine Act, 1936, as amended (46 U.S.C. 307), including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); and uniforms or allowances therefore, as authorized by 5 U.S.C. 5901–5902, $25,000,000: Provided, That not to exceed $2,000 shall be available for official reception and representation expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 65–0100–0–1–403	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Formal proceedings	8	8	8
0002 Inspector General	1	1	1
0003 Operational and Administrative	15	16	16
0900 Total new obligations	24	25	25
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	24	25	25
1160 Appropriation, discretionary (total)	24	25	25
1930 Total budgetary resources available	24	25	25
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	4	4
3010 Obligations incurred, unexpired accounts	24	25	25
3020 Outlays (gross)	–23	–25	–26
3050 Unpaid obligations, end of year	4	4	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	4	4
3200 Obligated balance, end of year	4	4	3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	24	25	25
Outlays, gross:			
4010 Outlays from new discretionary authority	21	24	24
4011 Outlays from discretionary balances	2	1	2
4020 Outlays, gross (total)	23	25	26
4180 Budget authority, net (total)	24	25	25
4190 Outlays, net (total)	23	25	26

The Federal Maritime Commission (Commission) regulates oceanborne transportation in the foreign commerce of the United States. The Commission administers the Shipping Act of 1984 as amended by the Ocean Shipping Reform Act of 1998 (OSRA); section 19 of the Merchant Marine Act, 1920 (1920 Act); the Foreign Shipping Practices Act of 1988 (FSPA); and Sections 2 and 3 of Public Law 89–777.

The Commission monitors the activities of ocean common carriers, marine terminal operators (MTOs), ports and ocean transportation intermediaries (OTIs) who operate in the U.S. foreign commerce to ensure that they maintain just and reasonable practices, issues licenses to qualified OTIs operating in the U.S. and ensures that U.S. OTIs are bonded or maintain other evidence of financial responsibility; and ensures that passenger vessel operators demonstrate adequate financial responsibility to indemnify passengers in the event of nonperformance of voyages or passenger injury or death. The Commission also maintains trade monitoring and enforcement programs designed to assist regulated entities in achieving compliance and to detect and appropriately remedy malpractices and violations of the prohibited acts set forth in section 10 of the 1984 Act; offers a dispute resolution program to resolve disputes impeding the transportation of cargo; reviews competitive activities of common carrier alliances and other agreements among common carriers and/or terminal operators; monitors the laws and practices of foreign governments which could have a discriminatory or otherwise adverse impact on shipping conditions in U.S. trades, and imposes remedial action, as appropriate, pursuant to section 19 of the 1920 Act or FSPA; enforces special regulatory requirements applicable to carriers owned or controlled by foreign governments; processes and reviews agreements, service contracts and service arrangements pursuant to the 1984 Act for compliance with statutory requirements; and reviews common carriers' privately published tariff systems for accessibility, accuracy, and reasonable terms.

Object Classification (in millions of dollars)

Identification code 65–0100–0–1–403	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	14	15	15
12.1 Civilian personnel benefits	4	4	4
23.1 Rental payments to GSA	3	3	3
25.2 Other services from non-Federal sources	3	3	3
99.9 Total new obligations	24	25	25

SALARIES AND EXPENSES—Continued

Employment Summary

Identification code 65–0100–0–1–403	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	123	132	132

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
65–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	.....	1	1
General Fund Offsetting receipts from the public	.....	1	1

FEDERAL MEDIATION AND CONCILIATION SERVICE

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Federal Mediation and Conciliation Service ("Service") to carry out the functions vested in it by the Labor-Management Relations Act, 1947, including hire of passenger motor vehicles; for expenses necessary for the Labor-Management Cooperation Act of 1978; and for expenses necessary for the Service to carry out the functions vested in it by the Civil Service Reform Act, $47,620,000, including $400,000 to remain available through September 30, 2015, for activities authorized by the Labor-Management Cooperation Act of 1978: Provided, That notwithstanding 31 U.S.C. 3302, fees charged, up to full-cost recovery, for special training activities and other conflict resolution services and technical assistance, including those provided to foreign governments and international organizations, and for arbitration services shall be credited to and merged with this account, and shall remain available until expended: Provided further, That fees for arbitration services shall be available only for education, training, and professional development of the agency workforce: Provided further, That the Director of the Service is authorized to accept and use on behalf of the United States gifts of services and real, personal, or other property in the aid of any projects or functions within the Director's jurisdiction.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 93–0100–0–1–505	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Dispute mediation and preventive mediation, public information, and grants	36	36	37
0002 Arbitration services	1	1	1
0003 Management and administrative support	9	9	9
0091 Total direct program	46	46	47
0101 Reimbursable program	2	2	2
0900 Total new obligations	48	48	49
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	4	4
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	46	46	48
1160 Appropriation, discretionary (total)	46	46	48
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	2	2
1750 Spending auth from offsetting collections, disc (total)	2	2	2
1900 Budget authority (total)	48	48	50
1930 Total budgetary resources available	52	52	54
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	4	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	6	7	7
3010 Obligations incurred, unexpired accounts	48	48	49
3011 Obligations incurred, expired accounts	1	.....	.....
3020 Outlays (gross)	–47	–48	–50
3041 Recoveries of prior year unpaid obligations, expired	–1	.....	.....
3050 Unpaid obligations, end of year	7	7	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	6	7	7
3200 Obligated balance, end of year	7	7	6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	48	48	50
Outlays, gross:			
4010 Outlays from new discretionary authority	40	44	46
4011 Outlays from discretionary balances	7	4	4
4020 Outlays, gross (total)	47	48	50
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–1	–1	–1
4033 Non-Federal sources	–1	–1	–1
4040 Offsets against gross budget authority and outlays (total)	–2	–2	–2
4070 Budget authority, net (discretionary)	46	46	48
4080 Outlays, net (discretionary)	45	46	48
4180 Budget authority, net (total)	46	46	48
4190 Outlays, net (total)	45	46	48

The Federal Mediation and Conciliation Service (FMCS) provides assistance to parties in labor disputes in industries affecting commerce through conciliation and mediation.

Dispute Mediation.—FMCS assists labor and management in the mediation and prevention of disputes, other than those involving rail and air transportation, whenever such disputes threaten to cause a substantial interruption of interstate commerce or a major impairment to the national defense. FMCS also makes mediation and conciliation services available to Federal agencies and organizations representing Federal employees in the resolution of negotiation disputes. FMCS provides mandatory mediation and, where necessary, impartial boards of inquiry to assist in resolving labor disputes involving private nonprofit health care institutions. The workload shown below includes assignments in both the private and public sectors. These numbers include collective bargaining and grievance mediation.

DISPUTE MEDIATION WORKLOAD DATA

	2010 actual	2011 actual	2012 actual	2013 est.	2014 est.
Dispute mediation assignments	16,251	15,680	14,951	15,600	15,600
Total active mediations	7,003	6,570	6,312	6,664	6,664

PREVENTIVE MEDIATION WORKLOAD DATA

	2010 actual	2011 actual	2012 actual	2013 est.	2014 est.
Total preventive mediation cases conducted	2,200	2,301	2,128	2,300	2,300

Preventive Mediation, Public Information, and Educational Activities.—Through its preventive mediation program, FMCS initiates and develops labor-management committees, training programs, conferences, and specialized workshops dealing with issues in collective bargaining. Mediators also participate in education, advocacy and outreach (EAO) activities such as lectures, seminars, and conferences.

Arbitration Services.—FMCS assists parties in disputes by utilizing the arbitration process for the resolution of disputes arising under or in the negotiation of collective bargaining agreements in the private and public sectors.

ARBITRATION SERVICES WORKLOAD DATA

	2010 actual	2011 actual	2012 actual	2013 est.	2014 est.
Number of panels issued	16,486	13,500	13,538	14,000	14,000
Number of arbitrators appointed	6,870	5,575	6,129	5,782	5,782

Management and Administrative Support.—This activity provides for overall management and administration, policy planning, research and evaluation, and employee development.

Labor-Management Cooperation Project.—The Labor Management Cooperation Act of 1978 (29 U.S.C. 175a) authorizes FMCS to carry out this program of contracts and grants to support the establishment and operation of plant, area, and industry labor-management committees.

Alternative Dispute Resolution (ADR) Projects.—FMCS assists other Federal agencies by providing mediation and technical assistance in the area of ADR. The ADR cases reduce litigation costs and speed Federal processes. FMCS is funded for this work through interagency reimbursable agreements.

ALTERNATIVE DISPUTE RESOLUTION (ADR) WORKLOAD DATA

	2010 actual	2011 actual	2012 actual	2013 est.	2014 est.
Number of ADR Cases	1,392	1,320	1,110	1,300	1,300

Object Classification (in millions of dollars)

Identification code 93–0100–0–1–505		2012 actual	2013 CR	2014 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	26	26	27
12.1	Civilian personnel benefits	8	8	8
21.0	Travel and transportation of persons	2	2	2
23.1	Rental payments to GSA	5	6	6
23.3	Communications, utilities, and miscellaneous charges	1	1	1
25.2	Other services from non-Federal sources	3	2	2
31.0	Equipment	1	.....	.....
41.0	Grants, subsidies, and contributions	.....	1	1
99.0	Direct obligations	46	46	47
99.0	Reimbursable obligations	2	2	2
99.9	Total new obligations	48	48	49

Employment Summary

Identification code 93–0100–0–1–505		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	231	231	238
2001	Reimbursable civilian full-time equivalent employment	8	8	8

FEDERAL MINE SAFETY AND HEALTH REVIEW COMMISSION

Federal Funds

Salaries and Expenses

For expenses necessary for the Federal Mine Safety and Health Review Commission, $16,423,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–2800–0–1–554		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0001	Commission review	6	6	5
0002	Administrative law judge determinations	11	12	11
0900	Total new obligations	17	18	16
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	.....	1	1
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	18	18	16
1160	Appropriation, discretionary (total)	18	18	16
1930	Total budgetary resources available	18	19	17
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	2	5	6
3010	Obligations incurred, unexpired accounts	17	18	16
3020	Outlays (gross)	–14	–17	–16
3050	Unpaid obligations, end of year	5	6	6
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	2	5	6
3200	Obligated balance, end of year	5	6	6
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	18	18	16
	Outlays, gross:			
4010	Outlays from new discretionary authority	12	15	14
4011	Outlays from discretionary balances	2	2	2
4020	Outlays, gross (total)	14	17	16
4180	Budget authority, net (total)	18	18	16
4190	Outlays, net (total)	14	17	16

The Federal Mine Safety and Health Review Commission reviews and decides contested enforcement actions of the Secretary of Labor under the Federal Mine Safety and Health Act of 1977, as amended by the Mine Improvement and New Emergency Response Act of 2006. The Commission also adjudicates claims by miners and miners' representatives concerning their rights under law. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

SELECTED WORKLOAD DATA

	2012 Actual	2013 est.	2014 est.
Commission review activities:			
Cases pending beginning of year	108	159	189
New cases received	229	280	270
Total case workload	337	402	459
Cases decided	178	250	262
Cases pending end of year	159	189	197
Administrative law judge activities:			
Cases pending beginning of year	15,788	12,982	11,682
New cases received	9,078	11,000	11,000
Total case workload	24,866	23,982	22,682
Cases decided	11,884	12,300	11,000
Cases pending end of year	12,982	11,682	11,682

Object Classification (in millions of dollars)

Identification code 95–2800–0–1–554		2012 actual	2013 CR	2014 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	7	10	8
12.1	Civilian personnel benefits	2	2	2
23.1	Rental payments to GSA	2	2	2
25.2	Other services from non-Federal sources	3	3	3
26.0	Supplies and materials	1	1	1
31.0	Equipment	2	.....	.....
99.9	Total new obligations	17	18	16

Employment Summary

Identification code 95–2800–0–1–554		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	69	74	76

FEDERAL RETIREMENT THRIFT INVESTMENT BOARD

Federal Funds

PROGRAM EXPENSES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 26–5290–0–2–602	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	.....	.....
Receipts:			
0220 Reimbursement for Program Expenses, Federal Retirement Thrift Investment Board	143	170	205
0400 Total: Balances and collections	143	170	205
Appropriations:			
0500 Program Expenses	–143	–170	–205
0799 Balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 26–5290–0–2–602	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Administrative expenses	130	170	205
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	.....	13	13
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	143	170	205
1260 Appropriations, mandatory (total)	143	170	205
1930 Total budgetary resources available	143	183	218
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	13	13	13
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	130	170	205
3020 Outlays (gross)	–130	–170	–205
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	143	170	205
Outlays, gross:			
4100 Outlays from new mandatory authority	130	170	205
4180 Budget authority, net (total)	143	170	205
4190 Outlays, net (total)	130	170	205

The Federal Retirement Thrift Investment Board is responsible for managing the Thrift Savings Fund. Program administration for the Fund is financed from the Fund. Program expenses are derived first from Fund forfeitures of agency one percent automatic contributions for employees who separate from the Federal Government prior to vesting and then from earnings on all participant and agency contributions to the Fund.

The Thrift Savings Fund is a special tax-deferred savings fund established by the Federal Employees' Retirement System Act of 1986. Due to the fiduciary nature of the Fund, it is not included in the totals of the Federal budget. Information on the financial status and activities of the Fund follows this account.

Object Classification (in millions of dollars)

Identification code 26–5290–0–2–602	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	11	17	21
12.1 Civilian personnel benefits	3	6	7
21.0 Travel and transportation of persons	.....	1	1
23.2 Rental payments to others	4	4	4
23.3 Communications, utilities, and miscellaneous charges	11	13	14
24.0 Printing and reproduction	3	3	3
25.1 Advisory and assistance services	2	3	3
25.2 Other services from non-Federal sources	85	108	125
25.3 Other goods and services from Federal sources	1	1	1
26.0 Supplies and materials	1	.....	.....
31.0 Equipment	9	14	26
99.9 Total new obligations	130	170	205

Employment Summary

Identification code 26–5290–0–2–602	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	100	163	181

INFORMATION SCHEDULES FOR THE THRIFT SAVINGS FUND

The Fund is composed of individual accounts maintained by the Federal Retirement Thrift Investment Board on behalf of the individual Federal employee participants in the Fund. All Federal civilian employees and members of the uniformed services are eligible to contribute to the Fund. However, only those civilian employees covered by the Federal Employees' Retirement System (or equivalent retirement systems) and a limited category of uniformed services personnel may have their contributions matched by the employing agencies in accordance with the formulas prescribed by law. Employees can invest in five investment funds: a U.S. Government securities investment fund; a fixed income index investment fund; a common stock index investment fund; a small capitalization stock index investment fund; an international stock index investment fund; or in five lifecycle funds, which were introduced in August 2005. These funds are composed of varying allocations of the five core investment funds. The allocations are based on the target maturity date of each fund.

The estimated status of the Fund is shown below:

STATUS OF THRIFT SAVINGS FUND

[In millions of dollars]

	2011 actual	2012 est.	2013 est.
Thrift Savings Fund investment balance, start of year	264,013	272,587	282,239
Receipts during the year:			
Employee contributions	18,125	18,669	19,229
Contributions on behalf of employees[1]	7,360	7,581	7,808
Earnings and adjustments[2]	(5,798)	(5,151)	(4,518)
Total receipts	19,687	21,098	22,519
Outlays during the year:			
Withdrawals	10,539	10,855	11,181
Loans to employees, net of repayments	460	473	488
Administrative expenses	114	118	121
Total cash outlays	11,113	11,446	11,790
Thrift Savings Fund investment balance, end of year[3]	272,587	282,239	292,969

Notes:

\1\2011 Employer contributions included:	
Automatic contributions for FERS employees:	1,707
Matching contributions for FERS employees:	5,654
\2\2011 Earnings included:	
Return on investment in Government Securities	3,317
Return on investment in non-government instruments	(9,374)
Interest on loans to employees	249
Agency payments for lost earnings	9
\3\Investment Balances at 9/30/2011 were:	
Government Securities Investment Fund	139,325
Barclays U.S. Debt Index Fund	21,880
Barclays Equity Index Fund	68,841
Barclays Extended Equity Market Fund	22,519
Barclays EAFE Index Fund	20,022

FEDERAL TRADE COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Federal Trade Commission, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902; services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles; and not to exceed $2,000 for official reception and representation expenses, $300,000,000, to remain available until expended: Provided, That not to exceed $300,000 shall be available for use to contract with a person or persons for collection services in accordance with the terms of 31 U.S.C. 3718: Provided further, That, notwithstanding any other provision of law, not to exceed $102,300,000 of offsetting collections derived from fees collected for premerger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18a), regardless of the year of collection, shall be retained and used for necessary expenses in this appropriation: Provided further, That, notwithstanding any other provision of law, not to exceed $15,000,000 in offsetting collections derived from fees sufficient to implement and enforce the Telemarketing Sales Rule, promulgated under the Telemarketing and Consumer Fraud and Abuse Prevention Act (15 U.S.C. 6101 et seq.), shall be credited to this account, and be retained and used for necessary expenses in this appropriation: Provided further, That the sum herein appropriated from the general fund shall be reduced as such offsetting collections are received during fiscal year 2014, so as to result in a final fiscal year 2014 appropriation from the general fund estimated at not more than $182,700,000: Provided further, That none of the funds made available to the Federal Trade Commission may be used to implement subsection (e)(2)(B) of section 43 of the Federal Deposit Insurance Act (12 U.S.C. 1831t).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 29–0100–0–1–376	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Protect Consumers	161	195	181
0002 Maintain Competition	119	153	140
0192 Subtotal, direct program	280	348	321
0799 Total direct obligations	280	348	321
0803 Reimbursable program	.....	1	1
0900 Total new obligations	280	349	322
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	21	57	23
1021 Recoveries of prior year unpaid obligations	4	.....	.....
1050 Unobligated balance (total)	25	57	23
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	210	185	184
1160 Appropriation, discretionary (total)	210	185	184
Spending authority from offsetting collections, discretionary:			
1700 Offsetting collections (cash) - HSR	88	108	102
1700 Offsetting collections (cash) - Do Not Call	14	21	15
1700 Offsetting collections (cash) - Reimb	.....	1	1
1750 Spending auth from offsetting collections, disc (total)	102	130	118
1900 Budget authority (total)	312	315	302
1930 Total budgetary resources available	337	372	325
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	57	23	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	88	80	140
3010 Obligations incurred, unexpired accounts	280	349	322
3020 Outlays (gross)	–284	–289	–311
3040 Recoveries of prior year unpaid obligations, unexpired	–4	.....	.....
3050 Unpaid obligations, end of year	80	140	151
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	88	80	140
3200 Obligated balance, end of year	80	140	151
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	312	315	302
Outlays, gross:			
4010 Outlays from new discretionary authority	239	211	207
4011 Outlays from discretionary balances	45	78	104
4020 Outlays, gross (total)	284	289	311
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	.....	–1	–1
4034 Offsetting governmental collections	–102	–129	–117
4040 Offsets against gross budget authority and outlays (total)	–102	–130	–118
4070 Budget authority, net (discretionary)	210	185	184
4080 Outlays, net (discretionary)	182	159	193
4180 Budget authority, net (total)	210	185	184
4190 Outlays, net (total)	182	159	193

The mission of the Federal Trade Commission (the Commission or FTC) is to prevent business practices that are anticompetitive or deceptive or unfair to consumers; to enhance informed consumer choice and public understanding of the competitive process; and to accomplish this without unduly burdening legitimate business activity. The FTC's mission is based on a vision of the U.S. economy characterized by vigorous competition and consumer access to accurate information, which yields a wide range of products at competitive prices and rewards efficiency, innovation, and consumer choice.

Protect Consumers.—This goal is to prevent fraud, deception, and unfair business practices in the marketplace. The agency works to accomplish this goal through five objectives: (1) identify fraud, deception, and unfair practices that cause the greatest consumer injury; (2) stop fraud, deception, unfairness, and other unlawful practices through law enforcement; (3) prevent consumer injury through education; (4) enhance consumer protection through research, reports, rulemaking, and advocacy; and (5) protect American consumers in the global marketplace by providing sound policy and technical input to foreign governments and international organizations to promote sound consumer policy.

Maintain Competition.—This goal is to prevent anticompetitive mergers and other anticompetitive business practices in the marketplace. The agency works to accomplish this goal through four objectives: (1) take action against anticompetitive mergers and practices that may cause significant consumer injury; (2) prevent consumer injury through education; (3) enhance consumer benefit through research, reports, and advocacy; and (4) protect American consumers in the global marketplace by providing sound policy recommendations and technical advice to foreign governments and international organizations to promote sound competition policy.

The 2014 Budget includes a program level for the Commission of $301 million, funded by $183.7 million from the General Fund of the U.S. Treasury and offsetting collections from two sources: $102.3 million from fees for Hart-Scott-Rodino Act premerger notification filings as authorized by 15 U.S.C. 18a and $15 million from fees sufficient to implement and enforce the Telemarketing Sales Rule, promulgated under the Telemarketing and Consumer Fraud and Abuse Prevention Act (15 U.S.C. 6101 et seq., as amended).

The Budget proposes to increase the Hart-Scott-Rodino fees and index them for the percentage annual change in the gross national product. The fee proposal would also create a new merger fee category for mergers valued at over $1 billion. Under the proposal, the fee increase would take effect in FY 2015.

SALARIES AND EXPENSES—Continued

Object Classification (in millions of dollars)

Identification code 29–0100–0–1–376	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	125	136	136
11.3 Other than full-time permanent	9	9	9
11.5 Other personnel compensation	2	2	2
11.8 Special personal services payments	1	1	1
11.9 Total personnel compensation	137	148	148
12.1 Civilian personnel benefits	38	41	41
21.0 Travel and transportation of persons	2	3	3
23.1 Rental payments to GSA	26	31	51
23.3 Communications, utilities, and miscellaneous charges	6	8	7
24.0 Printing and reproduction	2	2	2
25.1 Advisory and assistance services	42	39	38
25.2 Other services from non-Federal sources	4	4	5
25.3 Other goods and services from Federal sources	6	8	8
25.4 Operation and maintenance of facilities	2	2	2
25.7 Operation and maintenance of equipment	2	3	3
26.0 Supplies and materials	1	1	1
31.0 Equipment	12	58	12
99.0 Direct obligations	280	348	321
99.0 Reimbursable obligations	.....	1	1
99.9 Total new obligations	280	349	322

Employment Summary

Identification code 29–0100–0–1–376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	1,131	1,176	1,176
2001 Reimbursable civilian full-time equivalent employment	1	1	1

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
29–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	15	.....	.....
General Fund Offsetting receipts from the public	15	.....	.....

HARRY S TRUMAN SCHOLARSHIP FOUNDATION

Federal Funds

Program and Financing (in millions of dollars)

Identification code 95–0950–0–1–502	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	1	1	.....
0900 Total new obligations (object class 94.0)	1	1	.....
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	1	.....
1160 Appropriation, discretionary (total)	1	1	.....
1930 Total budgetary resources available	2	2	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	1	1	.....
3020 Outlays (gross)	–1	–1	.....
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1	1	.....
Outlays, gross:			
4010 Outlays from new discretionary authority	1	1	.....
4180 Budget authority, net (total)	1	1	.....
4190 Outlays, net (total)	1	1	.....

Trust Funds

HARRY S TRUMAN MEMORIAL SCHOLARSHIP TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–8296–0–7–502	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	30	31	32
Receipts:			
0240 Interest on Investments, Harry S. Truman Memorial Scholarship Trust Fund	2	4	4
0241 General Fund Payment, Harry S Truman Scholarship Trust Fun	1	.....	.....
0299 Total receipts and collections	3	4	4
0400 Total: Balances and collections	33	35	36
Appropriations:			
0500 Harry S Truman Memorial Scholarship Trust Fund	–2	–3	–3
0799 Balance, end of year	31	32	33

Program and Financing (in millions of dollars)

Identification code 95–8296–0–7–502	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Scholarship awards	1	2	2
0002 Program administration	1	1	1
0900 Total new obligations	2	3	3
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	25	25	25
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	2	3	3
1260 Appropriations, mandatory (total)	2	3	3
1930 Total budgetary resources available	27	28	28
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	25	25	25
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	2	3	3
3020 Outlays (gross)	–2	–3	–3
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	2	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority	2	2	2
4101 Outlays from mandatory balances	.....	1	1
4110 Outlays, gross (total)	2	3	3
4180 Budget authority, net (total)	2	3	3
4190 Outlays, net (total)	2	3	3
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	48	53	38
5001 Total investments, EOY: Federal securities: Par value	53	38	38

Public Law 93–642 established the Harry S Truman Scholarship Foundation to operate the scholarship program that is the permanent Federal memorial to the 33rd President of the United States. The Foundation awards scholarships for up to four years to qualified students who demonstrate outstanding potential for and interest in careers in public service at the local, State, or Federal level or in the non-profit sector.

In its annual competition, the Foundation selects up to 75 new Truman Scholars. The maximum award is $30,000 toward a graduate level degree program.

Scholarship awards.—This activity is comprised of scholarships awarded to cover eligible educational expenses.

Program administration.—This activity covers all costs of operating the program, including annual program announce- ment, interview and selection of Truman Scholars, calculation and disbursement of scholarship awards, monitoring of student progress, and special services and activities for scholars, including an orientation week for new scholars, a summer education and internship program, and workshops and conferences.

Object Classification (in millions of dollars)

Identification code 95–8296–0–7–502	2012 actual	2013 CR	2014 est.
41.0 Direct obligations: Grants, subsidies, and contributions	2	2	2
99.5 Below reporting threshold	.....	1	1
99.9 Total new obligations	2	3	3

Employment Summary

Identification code 95–8296–0–7–502	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	5	.....	.....

INDEPENDENT PAYMENT ADVISORY BOARD

Federal Funds

INDEPENDENT PAYMENT ADVISORY BOARD

Program and Financing (in millions of dollars)

Identification code 95–3746–0–1–571	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	.....	5	16
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	.....	.....	5
1020 Adjustment of unobligated bal brought forward, Oct 1	.....	5	.....
1050 Unobligated balance (total)	.....	5	5
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	.....	5	16
1850 Spending auth from offsetting collections, mand (total)	.....	5	16
1930 Total budgetary resources available	.....	10	21
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	.....	5	5
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	.....	5	16
3020 Outlays (gross)	.....	–5	–16
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	.....	5	16
Outlays, gross:			
4100 Outlays from new mandatory authority	.....	5	16
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	.....	–5	–16

The Affordable Care Act established the Independent Payment Advisory Board to reduce the per capita rate of growth in Medicare spending.

Object Classification (in millions of dollars)

Identification code 95–3746–0–1–571	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	.....	2	5
12.1 Civilian personnel benefits	.....	1	2
23.3 Communications, utilities, and miscellaneous charges	.....	.....	1
25.1 Advisory and assistance services	.....	2	8
99.9 Total new obligations	.....	5	16

Employment Summary

Identification code 95–3746–0–1–571	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	.....	15	45

INDIAN LAW AND ORDER COMMISSION

Federal Funds

INDIAN LAW AND ORDER COMMISSION

Program and Financing (in millions of dollars)

Identification code 48–2971–0–1–754	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Indian Law and Order Commission	1	1	.....
0900 Total new obligations (object class 25.2)	1	1	.....
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	1	.....
1930 Total budgetary resources available	2	1	.....
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	.....	.....
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 Obligations incurred, unexpired accounts	1	1	.....
3020 Outlays (gross)	–1	–1	.....
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	1	1	.....
4190 Outlays, net (total)	1	1	.....

INSTITUTE OF AMERICAN INDIAN AND ALASKA NATIVE CULTURE AND ARTS DEVELOPMENT

Federal Funds

PAYMENT TO THE INSTITUTE

For payment to the Institute of American Indian and Alaska Native Culture and Arts Development, as authorized by title XV of Public Law 99–498, as amended (20 U.S.C. 56 part A), $11,369,000, of which not to exceed $2,000,000 for school operations for the following school year shall become available on July 1, 2014.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

PAYMENT TO THE INSTITUTE—Continued

Program and Financing (in millions of dollars)

Identification code 95–2900–0–1–502	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Payment to the Institute	9	9	11
0900 Total new obligations (object class 41.0)	9	9	11
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	9	9	11
1160 Appropriation, discretionary (total)	9	9	11
1930 Total budgetary resources available	9	9	11
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	9	9	11
3020 Outlays (gross)	–9	–9	–11
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	9	9	11
Outlays, gross:			
4010 Outlays from new discretionary authority	9	9	11
4180 Budget authority, net (total)	9	9	11
4190 Outlays, net (total)	9	9	11

Title XV of Public Law 99–498 established the Institute of American Indian and Alaska Native Culture and Arts Development as an independent non-profit educational institution. The mission of the Institute is to serve as a multi-tribal center of higher education for Native Americans and is dedicated to the study, creative application, preservation and care of Indian arts and culture. The Institute is federally chartered and under the direction and control of a Board of Trustees appointed by the President of the United States.

Payment to the Institute.—This activity supports the operations of the Institute.

INSTITUTE OF MUSEUM AND LIBRARY SERVICES

Federal Funds

OFFICE OF MUSEUM AND LIBRARY SERVICES: GRANTS AND ADMINISTRATION

For carrying out the Museum and Library Services Act of 1996 and the National Museum of African American History and Culture Act, $225,812,538.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 59–0300–0–1–503	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	.....	1
Receipts:			
0220 Gifts and Donations, Institute of Museum Services	1	1	.....
0400 Total: Balances and collections	1	1	1
Appropriations:			
0500 Office of Museum and Library Services: Grants and Administration	–1	.....	.....
0799 Balance, end of year	.....	1	1

Program and Financing (in millions of dollars)

Identification code 59–0300–0–1–503	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Assistance for museums	31	31	33
0002 Assistance for libraries	186	186	177
0003 Administration	16	16	16
0900 Total new obligations	233	233	226
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	6	7
1021 Recoveries of prior year unpaid obligations	2	1	.....
1050 Unobligated balance (total)	6	7	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	232	233	226
1101 Appropriation (special or trust fund)	1	.....	.....
1160 Appropriation, discretionary (total)	233	233	226
1900 Budget authority (total)	233	233	226
1930 Total budgetary resources available	239	240	233
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	7	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	340	320	273
3010 Obligations incurred, unexpired accounts	233	233	226
3020 Outlays (gross)	–251	–279	–274
3040 Recoveries of prior year unpaid obligations, unexpired	–2	–1	.....
3050 Unpaid obligations, end of year	320	273	225
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	340	320	273
3200 Obligated balance, end of year	320	273	225
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	233	233	226
Outlays, gross:			
4010 Outlays from new discretionary authority	32	70	68
4011 Outlays from discretionary balances	219	209	206
4020 Outlays, gross (total)	251	279	274
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4180 Budget authority, net (total)	233	233	226
4190 Outlays, net (total)	251	279	274

The Institute of Museum and Library Services (IMLS) is the primary source of Federal support for the nation's 122,000 libraries and 17,500 museums. Through strategic grantmaking, policy development, data collection and research, IMLS supports libraries and museums as community anchors that provide vital learning experiences and broad access to content. IMLS provides leadership to help Americans build 21st century skills such as digital literacy; pursue education, training and workforce development; access early learning opportunities; and build civic engagement. The Institute's organization, mission, and functions are defined in the Museum and Library Services Act, Public Law 111–340, and the African American History and Culture Act, Public Law 108–184.

Object Classification (in millions of dollars)

Identification code 59–0300–0–1–503	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	7	7	7
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	2	2	2
25.2 Other services from non-Federal sources	5	5	5
41.0 Grants, subsidies, and contributions	217	217	210
99.9 Total new obligations	233	233	226

Employment Summary

Identification code 59–0300–0–1–503	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	65	69	69

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

Federal Funds

INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT

For necessary expenses of the Intelligence Community Management Account, $568,271,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–0401–0–1–054	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Intelligence community management	543	551	568
0801 Reimbursable program	18	10	10
0900 Total new obligations	561	561	578
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	.....	–6	.....
1021 Recoveries of prior year unpaid obligations	.....	6	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	548	551	568
1120 Appropriations transferred to other accts [97–0100]	–9	.....	.....
1121 Appropriations transferred from other accts [57–3600]	8	.....	.....
1160 Appropriation, discretionary (total)	547	551	568
Spending authority from offsetting collections, discretionary:			
1700 Collected	10	10	10
1701 Change in uncollected payments, Federal sources	2	.....	.....
1750 Spending auth from offsetting collections, disc (total)	12	10	10
1900 Budget authority (total)	559	561	578
1930 Total budgetary resources available	559	561	578
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–4	.....	.....
1941 Unexpired unobligated balance, end of year	–6	.....	.....
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	263	140	157
3010 Obligations incurred, unexpired accounts	561	561	578
3011 Obligations incurred, expired accounts	24	.....	.....
3020 Outlays (gross)	–688	–538	–548
3040 Recoveries of prior year unpaid obligations, unexpired	.....	–6	.....
3041 Recoveries of prior year unpaid obligations, expired	–20	.....	.....
3050 Unpaid obligations, end of year	140	157	187
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–2	–3	–3
3070 Change in uncollected pymts, Fed sources, unexpired	–2	.....	.....
3071 Change in uncollected pymts, Fed sources, expired	1	.....	.....
3090 Uncollected pymts, Fed sources, end of year	–3	–3	–3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	261	137	154
3200 Obligated balance, end of year	137	154	184
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	559	561	578
Outlays, gross:			
4010 Outlays from new discretionary authority	437	412	425
4011 Outlays from discretionary balances	251	126	123
4020 Outlays, gross (total)	688	538	548
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–14	–10	–10
4033 Non-Federal sources	–10	.....	.....
4040 Offsets against gross budget authority and outlays (total)	–24	–10	–10
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	–2	.....	.....
4052 Offsetting collections credited to expired accounts	14	.....	.....
4060 Additional offsets against budget authority only (total)	12	.....	.....
4070 Budget authority, net (discretionary)	547	551	568
4080 Outlays, net (discretionary)	664	528	538
4180 Budget authority, net (total)	547	551	568
4190 Outlays, net (total)	664	528	538

The Intelligence Community Management Account (ICMA) provides resources that directly support the Director of National Intelligence (DNI) and the Intelligence Community (IC) as a whole in coordinating cross-program activities, improving budget oversight, and strengthening Community Management. The ICMA funds selected oversight elements including the National Intelligence Council, the DNI Special Security Center, the President's Daily Briefing Staff, and other enterprise-wide functions.

These oversight elements are the DNI's principal source of advice and assistance in planning and executing his intelligence community management responsibilities. These responsibilities include: developing the National Intelligence Program budget, developing intelligence plans and requirements, and overseeing research and development activities. The National Intelligence Council provides analytical support to the DNI and to national policy makers. The DNI Special Security Center develops uniform IC-wide security policies. The President's Daily Briefing Staff supports the production of the daily intelligence briefing that is provided to the President and his senior staff.

Object Classification (in millions of dollars)

Identification code 95–0401–0–1–054	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	100	101	109
11.3 Other than full-time permanent	1	1	.....
11.5 Other personnel compensation	6	6	8
11.9 Total personnel compensation	107	108	117
12.1 Civilian personnel benefits	28	30	31
21.0 Travel and transportation of persons	13	15	15
23.2 Rental payments to others	4	2	3
24.0 Printing and reproduction	3	4	3
25.1 Advisory and assistance services	2	41	3
25.2 Other services from non-Federal sources	147	207	194
25.3 Other goods and services from Federal sources	210	122	190
25.5 Research and development contracts	3	1	.....
25.7 Operation and maintenance of equipment	23	17	9
26.0 Supplies and materials	1	2	2
31.0 Equipment	2	2	1
99.0 Direct obligations	543	551	568
99.0 Reimbursable obligations	18	10	10
99.9 Total new obligations	561	561	578

Employment Summary

Identification code 95–0401–0–1–054	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	760	760	793

INTERNATIONAL TRADE COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the U.S. International Trade Commission, including hire of passenger motor vehicles and services as authorized by 5 U.S.C. 3109, and not to exceed $2,250 for official reception and representation expenses, $85,102,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

SALARIES AND EXPENSES—Continued

Program and Financing (in millions of dollars)

Identification code 34–0100–0–1–153	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Research, investigations, and reports	84	80	85
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
1021 Recoveries of prior year unpaid obligations	4	.....	.....
1050 Unobligated balance (total)	5	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	80	80	85
1160 Appropriation, discretionary (total)	80	80	85
1930 Total budgetary resources available	85	81	86
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	15	14	5
3010 Obligations incurred, unexpired accounts	84	80	85
3020 Outlays (gross)	–81	–89	–85
3040 Recoveries of prior year unpaid obligations, unexpired	–4	.....	.....
3050 Unpaid obligations, end of year	14	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	15	14	5
3200 Obligated balance, end of year	14	5	5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	80	80	85
Outlays, gross:			
4010 Outlays from new discretionary authority	.....	75	80
4011 Outlays from discretionary balances	81	14	5
4020 Outlays, gross (total)	81	89	85
4180 Budget authority, net (total)	80	80	85
4190 Outlays, net (total)	81	89	85

The U.S. International Trade Commission (Commission) is an independent, quasi-judicial Federal agency with broad investigative responsibilities on matters of trade. The mission of the Commission is threefold: administer U.S. trade remedy laws within its mandate in a fair and objective manner; provide the President, the U. S. Trade Representative, and the Congress with independent, quality analysis, information, and support on matters of tariffs and international trade and competitiveness; and maintain the Harmonized Tariff Schedule of the United States. For 2014, the Commission requests an appropriation of $85.1 million to support its authorized operations. Pursuant to section 175 of the Trade Act of 1974, the budget estimates for the Commission are transmitted to Congress without revision by the President.

The Commission issued an Addendum to its Strategic Plan for FY 2009–2014 in February 2012. The Addendum described revisions to the existing performance goals and created new management goals concerning financial management, procurement, human resources, and information technology. The Commission began development of its Strategic Plan for FY 2014–2018 in FY 2012. During FY 2013, the Commission will seek feedback from its legislative and executive branch customers as well as the public. The Plan will be issued in February 2014. Although the Commission has one program activity set forth in the Budget of the United States, the Commission's Strategic Plan identifies five strategic Operations and sets strategic goals for each Operation. These Operations reflect the mission and mandates of the Commission, highlighting the diverse benefits the Commission provides in supporting an open trading system based on the rule of law and the economic interests of the United States. For each strategic goal, the Strategic Plan identifies performance goals and strategies to meet these goals. The Commission's annual measures provide targets by which the agency can assess whether it is making progress toward achieving its performance goals. The Commission's Strategic Operations are Import Injury Investigations, Intellectual Property-Based Import Investigations, Industry and Economic Analysis, Tariff and Trade Information Services, and Trade Policy Support. The Commission makes available its Strategic Plan, Agency Financial Report, Annual Performance Report and Budget Justification at http://www.usitc.gov.

Object Classification (in millions of dollars)

Identification code 34–0100–0–1–153	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	38	42	43
11.3 Other than full-time permanent	6	1	1
11.5 Other personnel compensation	.....	1	1
11.9 Total personnel compensation	44	44	45
12.1 Civilian personnel benefits	12	12	13
21.0 Travel and transportation of persons	.....	1	1
23.1 Rental payments to GSA	10	11	11
25.1 Advisory and assistance services	.....	2	2
25.2 Other services from non-Federal sources	11	5	6
25.3 Other goods and services from Federal sources	3	2	3
26.0 Supplies and materials	2	2	2
31.0 Equipment	2	1	2
99.9 Total new obligations	84	80	85

Employment Summary

Identification code 34–0100–0–1–153	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	394	390	411

JAMES MADISON MEMORIAL FELLOWSHIP FOUNDATION

Trust Funds

JAMES MADISON MEMORIAL FELLOWSHIP TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–8282–0–7–502	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	.....	.....
Receipts:			
0240 Earnings on Investments, James Madison Memorial Fellowship Foundation	2	2	2
0400 Total: Balances and collections	2	2	2
Appropriations:			
0500 James Madison Memorial Fellowship Trust Fund	–2	–2	–2
0799 Balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 95–8282–0–7–502	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Fellowship awards	2	1	1
0002 Program administration	.....	1	1
0900 Total new obligations	2	2	2
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	38	38	38
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	2	2	2
1260 Appropriations, mandatory (total)	2	2	2

1900	Budget authority (total)	2	2	2
1930	Total budgetary resources available	40	40	40
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	38	38	38
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1	1	1
3010	Obligations incurred, unexpired accounts	2	2	2
3020	Outlays (gross)	–2	–2	–2
3050	Unpaid obligations, end of year	1	1	1
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1	1	1
3200	Obligated balance, end of year	1	1	1
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	2	2	2
	Outlays, gross:			
4100	Outlays from new mandatory authority	2	2	2
4180	Budget authority, net (total)	2	2	2
4190	Outlays, net (total)	2	2	2
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	37	37	37
5001	Total investments, EOY: Federal securities: Par value	37	37	37

Public Laws 99–500, 101–208, and 102–221 established the James Madison Memorial Fellowship Foundation to operate a fellowship program to encourage graduate study of the framing, principles, and history of the American Constitution. Appropriations of $10 million in 1988 and 1989 established the foundation's trust fund. The funds have been invested by the Secretary of the Treasury in U.S. Treasury securities, and the interest earned on these funds is available for carrying out the activities of the foundation. Funds raised from private sources and the surcharges from commemorative coin sales are also placed in the trust fund.

The Foundation is authorized to award graduate fellowships of up to $24,000 to high school teachers of American history, American government, and social studies. College seniors and recent college graduates who want to become secondary school teachers of these subjects are also eligible.

Fellowship awards.—This activity is comprised of fellowship awards to cover educational expenses. It also supports the foundation's annual Summer Institute on the U.S. Constitution, which all current fellows are required to attend. The Institute is an intensive educational experience that will ensure that all fellows know the history of the framing, ratification, and implementation of the U.S. Constitution and the Bill of Rights.

Program administration.—This activity covers the costs of planning, fund-raising, and the operation of the fellowship program.

Object Classification (in millions of dollars)

Identification code 95–8282–0–7–502		2012 actual	2013 CR	2014 est.
41.0	Direct obligations: Grants, subsidies, and contributions	1	1	1
99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	2	2	2

Employment Summary

Identification code 95–8282–0–7–502		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	2	.....	.....

JAPAN-UNITED STATES FRIENDSHIP COMMISSION

Trust Funds

JAPAN-UNITED STATES FRIENDSHIP TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–8025–0–7–154		2012 actual	2013 CR	2014 est.
0100	Balance, start of year	37	37	37
	Receipts:			
0240	Interest on Investment in Public Debt Securities, Japan-United States Friendship Commission	2	3	3
0400	Total: Balances and collections	39	40	40
	Appropriations:			
0500	Japan-United States Friendship Trust Fund	–2	–3	–3
0799	Balance, end of year	37	37	37

Program and Financing (in millions of dollars)

Identification code 95–8025–0–7–154		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0001	Grants	2	2	2
0002	Administration	.....	1	1
0900	Total new obligations	2	3	3
	Budgetary Resources:			
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	2	3	3
1260	Appropriations, mandatory (total)	2	3	3
1930	Total budgetary resources available	2	3	3
	Change in obligated balance:			
	Unpaid obligations:			
3010	Obligations incurred, unexpired accounts	2	3	3
3020	Outlays (gross)	–2	–3	–3
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	2	3	3
	Outlays, gross:			
4100	Outlays from new mandatory authority	2	3	3
4180	Budget authority, net (total)	2	3	3
4190	Outlays, net (total)	2	3	3
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	39	38	38
5001	Total investments, EOY: Federal securities: Par value	38	38	38

The Japan-United States Friendship Act of 1975 established the Japan-United States Friendship Trust Fund and created the Japan-United States Friendship Commission (the Commission) to make grants for the promotion of scholarly, cultural, and artistic activities between Japan and the United States. The Commission is authorized to make expenditures from the fund in an amount not to exceed 5 percent annually of the fund's original principal to pay Commission expenses and make grants to support Japanese studies and Study of the United States, policy oriented activities and exchanges. The Commission's funding priorities are: arts and culture; education and public affairs; exchange and scholarship and global challenges.

Object Classification (in millions of dollars)

Identification code 95–8025–0–7–154		2012 actual	2013 CR	2014 est.
41.0	Direct obligations: Grants, subsidies, and contributions	2	2	2
99.5	Below reporting threshold	.....	1	1
99.9	Total new obligations	2	3	3

JAPAN-UNITED STATES FRIENDSHIP TRUST FUND—Continued

Employment Summary

Identification code 95–8025–0–7–154	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	4	4	4

LEGAL SERVICES CORPORATION

Federal Funds

PAYMENT TO THE LEGAL SERVICES CORPORATION

For payment to the Legal Services Corporation to carry out the purposes of the Legal Services Corporation Act of 1974, $430,000,000, of which $400,300,000 is for basic field programs and required independent audits; $4,200,000 is for the Office of Inspector General, of which such amounts as may be necessary may be used to conduct additional audits of recipients; $19,500,000 is for management and grants oversight; $3,500,000 is for client self-help and information technology; $1,500,000 is for a Pro Bono Innovation Fund; and $1,000,000 is for loan repayment assistance: Provided, That the Legal Services Corporation may continue to provide locality pay to officers and employees at a rate no greater than that provided by the Federal Government to Washington, DC-based employees as authorized by 5 U.S.C. 5304, notwithstanding section 1005(d) of the Legal Services Corporation Act, 42 U.S.C. 2996(d): Provided further, That the authorities provided in section 205 of this Act shall be applicable to the Legal Services Corporation.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 20–0501–0–1–752	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Payment to Legal Services Corporation	348	350	430
0801 Reimbursable program activity	3	.....	.....
0900 Total new obligations	351	350	430
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	.....	.....	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	348	350	430
1100 Appropriation	.....	1	.....
1160 Appropriation, discretionary (total)	348	351	430
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	.....	.....
1750 Spending auth from offsetting collections, disc (total)	3	.....	.....
1900 Budget authority (total)	351	351	430
1930 Total budgetary resources available	351	351	431
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	.....	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	44	39	31
3010 Obligations incurred, unexpired accounts	351	350	430
3020 Outlays (gross)	–356	–358	–423
3050 Unpaid obligations, end of year	39	31	38
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	44	39	31
3200 Obligated balance, end of year	39	31	38
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	351	351	430
Outlays, gross:			
4010 Outlays from new discretionary authority	316	321	393
4011 Outlays from discretionary balances	40	37	30
4020 Outlays, gross (total)	356	358	423
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–3	.....	.....
4180 Budget authority, net (total)	348	351	430
4190 Outlays, net (total)	353	358	423

The Legal Services Corporation (LSC) distributes appropriated funds to local non-profit organizations that provide free civil legal assistance, according to locally-determined priorities, to people living in poverty. The Congress chartered the corporation as a private, non-profit entity outside of the Federal Government. Funding for LSC helps ensure that low-income Americans have an opportunity to obtain access to the courts, due process and fair treatment.

Object Classification (in millions of dollars)

Identification code 20–0501–0–1–752	2012 actual	2013 CR	2014 est.
41.0 Direct obligations: Grants, subsidies, and contributions	348	350	430
99.0 Reimbursable obligations	3	.....	.....
99.9 Total new obligations	351	350	430

ADMINISTRATIVE PROVISION—LEGAL SERVICES CORPORATION

None of the funds appropriated in this Act to the Legal Services Corporation shall be expended for any purpose prohibited or limited by, or contrary to any of the provisions of, sections 501, 502, 503, 504, 505, and 506 of Public Law 105–119, and all funds appropriated in this Act to the Legal Services Corporation shall be subject to the same terms and conditions set forth in such sections, except that all references in sections 502 and 503 to 1997 and 1998 shall be deemed to refer instead to 2013 and 2014, respectively.

Section 504 of Public Law 104–134 is amended:

(1) in subsection (a) by striking "to provide financial assistance to" and inserting in lieu thereof "by";

(2) in subsection (a) by inserting "in a manner" after "(which may be referred to in this section as a 'recipient')"; and

(3) by deleting (a)(7) and (a)(13) and renumbering the remaining subsections accordingly.

MARINE MAMMAL COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Marine Mammal Commission as authorized by title II of Public Law 92–522, $3,431,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–2200–0–1–302	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Salaries and expenses	3	3	3
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	3	3
1160 Appropriation, discretionary (total)	3	3	3
1930 Total budgetary resources available	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1

3010	Obligations incurred, unexpired accounts	3	3	3
3020	Outlays (gross)	–3	–3	–3
3050	Unpaid obligations, end of year	1	1	1
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1	1	1
3200	Obligated balance, end of year	1	1	1
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	3	3	3
	Outlays, gross:			
4010	Outlays from new discretionary authority	2	2	2
4011	Outlays from discretionary balances	1	1	1
4020	Outlays, gross (total)	3	3	3
4180	Budget authority, net (total)	3	3	3
4190	Outlays, net (total)	3	3	3

The Commission recommends national and international marine mammal policies; recommends development of scientific and management programs; reviews the status of marine mammal populations; recommends to the Secretaries of Commerce, the Interior, Defense, and State steps to conserve marine mammals domestically and internationally; and manages a research program.

Object Classification (in millions of dollars)

Identification code 95–2200–0–1–302		2012 actual	2013 CR	2014 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent	1	1	1
99.0	Reimbursable obligations	1	1	1
99.5	Below reporting threshold	1	1	1
99.9	Total new obligations	3	3	3

Employment Summary

Identification code 95–2200–0–1–302		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	12	14	14

MERIT SYSTEMS PROTECTION BOARD

Federal Funds

Salaries and Expenses

(including transfer of funds)

For necessary expenses to carry out functions of the Merit Systems Protection Board pursuant to Reorganization Plan Numbered 2 of 1978, the Civil Service Reform Act of 1978, and the Whistleblower Protection Act of 1989 (5 U.S.C. 5509 note), including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, direct procurement of survey printing, and not to exceed $2,000 for official reception and representation expenses, $40,070,000, to remain available until September 30, 2015, together with not to exceed $2,345,000, to remain available until September 30, 2015, for administrative expenses to adjudicate retirement appeals to be transferred from the Civil Service Retirement and Disability Fund in amounts determined by the Merit Systems Protection Board.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 41–0100–0–1–805		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0001	Adjudication	32	32	33
0002	Merit systems studies	3	3	3
0003	Management support	4	4	4
0799	Total direct obligations	39	39	40
0801	Reimbursable program activity	2	2	2
0900	Total new obligations	41	41	42
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	.....	1	1
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	40	39	40
1160	Appropriation, discretionary (total)	40	39	40
	Spending authority from offsetting collections, discretionary:			
1700	Collected	2	2	2
1750	Spending auth from offsetting collections, disc (total)	2	2	2
1900	Budget authority (total)	42	41	42
1930	Total budgetary resources available	42	42	43
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	6	5	5
3010	Obligations incurred, unexpired accounts	41	41	42
3020	Outlays (gross)	–41	–41	–42
3041	Recoveries of prior year unpaid obligations, expired	–1	.....	.....
3050	Unpaid obligations, end of year	5	5	5
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	6	5	5
3200	Obligated balance, end of year	5	5	5
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	42	41	42
	Outlays, gross:			
4010	Outlays from new discretionary authority	37	38	39
4011	Outlays from discretionary balances	4	3	3
4020	Outlays, gross (total)	41	41	42
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–2	–2	–2
4180	Budget authority, net (total)	40	39	40
4190	Outlays, net (total)	39	39	40

The Merit Systems Protection Board (MSPB) is an independent agency in the Executive Branch of the Federal government that serves as the guardian of Federal merit systems. The Board's mission is to protect Federal merit systems and the rights of individuals within those systems. The MSPB accomplishes its mission by: hearing and deciding employee appeals from agency actions; hearing and deciding cases brought by the Special Counsel involving alleged abuses of the merit systems, and other cases arising under the Board's original jurisdiction; conducting studies of the civil service and other merit systems in the Executive Branch to determine whether they are free from prohibited personnel practices; and providing oversight of the significant actions and regulations of the Office of Personnel Management (OPM) to determine whether they are in accord with merit system principles. The MSPB's inception began in 1883, when Congress passed the Pendleton Act establishing the Civil Service Commission and a merit-based employment system for the Federal government. The Pendleton Act grew out of the 19th Century reform movement to curtail the excesses of political patronage in government. As the Commission's responsibilities multiplied, a growing consensus emerged that it could not properly and adequately perform managerial and adjudicatory functions simultaneously. Concern over the inherent conflict of interest in the Commission's role as both rule-maker and judge was a principal motivating factor behind the enactment by Congress of the Civil Service Reform Act of 1978. The Act replaced the Civil Service Commission with three new independent agencies: the OPM, which manages the Federal workforce; the Federal Labor Relations Authority, which oversees Federal labor-management relations;

SALARIES AND EXPENSES—Continued

and the MSPB. The MSPB assumed the employee appeals functions of the Commission and was given the new responsibilities to perform merit systems studies and to review the significant actions of the OPM.

Object Classification (in millions of dollars)

Identification code 41–0100–0–1–805	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	24	25	25
12.1 Civilian personnel benefits	6	5	6
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	3	4	4
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	2	1	1
25.3 Other goods and services from Federal sources	1	1	1
31.0 Equipment	1	1	1
99.0 Direct obligations	39	39	40
99.0 Reimbursable obligations	2	2	2
99.9 Total new obligations	41	41	42

Employment Summary

Identification code 41–0100–0–1–805	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	198	211	211
2001 Reimbursable civilian full-time equivalent employment	15	15	15

MORRIS K. UDALL AND STEWART L. UDALL FOUNDATION

Federal Funds

MORRIS K. UDALL AND STEWART L. UDALL TRUST FUND

For payment to the Morris K. Udall and Stewart L. Udall Trust Fund, pursuant to the Morris K. Udall and Stewart L. Udall Foundation Act (20 U.S.C. 5601 et seq.), $2,100,000, to remain available until expended, of which, notwithstanding sections 8 and 9 of such Act: (1) up to $50,000 shall be used to conduct financial audits pursuant to the Accountability of Tax Dollars Act of 2002 (Public Law 107–289); and (2) up to $1,000,000 shall be available to carry out the activities authorized by section 6(7) of Public Law 102–259 (20 U.S.C. 5604(7)).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–0900–0–1–502	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Federal payment to Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation	2	2	2
0900 Total new obligations (object class 94.0)	2	2	2
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	2	2	2
1160 Appropriation, discretionary (total)	2	2	2
1930 Total budgetary resources available	2	2	2
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	2	2	2
3020 Outlays (gross)	–2	–2	–2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2	2	2
Outlays, gross:			
4010 Outlays from new discretionary authority	2	2	2
4180 Budget authority, net (total)	2	2	2
4190 Outlays, net (total)	2	2	2

The Morris K. Udall and Stewart L. Udall Fund is invested in Treasury securities with maturities suitable to the needs of the Fund. Interest earnings from the investments are used to carry out the activities of the Udall Foundation. The Foundation awards scholarships, fellowships and grants, and, as required by its enabling legislation, funds specified activities of the Udall Center for Studies in Public Policy, based at the University of Arizona.

The Udall Foundation is authorized by 20 U.S.C. 5604(7) to establish training programs for professionals in Native American and Alaska Native health care and public policy. The Foundation provides these programs through the Native Nations Institute (NNI), which is housed at the University of Arizona and provides Native Americans and Alaska Natives with leadership and management training and assists in policy analysis relevant to tribes.

ENVIRONMENTAL DISPUTE RESOLUTION FUND

For payment to the Environmental Dispute Resolution Fund to carry out activities authorized in the Environmental Policy and Conflict Resolution Act of 1998, $3,600,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5415–0–2–306	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	.....	.....
Receipts:			
0220 Fees for Services, Non-federal Entities, Environmental Dispute Resolution Fund	6	.....	.....
0240 Fees for Services, Federal Entities, Environmental Dispute Resolution Fund	.....	4	4
0299 Total receipts and collections	6	4	4
0400 Total: Balances and collections	6	4	4
Appropriations:			
0500 Environmental Dispute Resolution Fund	–6	–4	–4
0799 Balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 95–5415–0–2–306	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Environmental dispute resolution fund	9	7	7
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	4	5
1001 Discretionary unobligated balance brought fwd, Oct 1	2	.....	.....
1021 Recoveries of prior year unpaid obligations	1	.....	.....
1050 Unobligated balance (total)	3	4	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	4	4	4
1160 Appropriation, discretionary (total)	4	4	4
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	6	4	4
1260 Appropriations, mandatory (total)	6	4	4
1900 Budget authority (total)	10	8	8
1930 Total budgetary resources available	13	12	13
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	5	6

	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	3	2	1
3010	Obligations incurred, unexpired accounts	9	7	7
3020	Outlays (gross)	–9	–8	–8
3040	Recoveries of prior year unpaid obligations, unexpired	–1	.....	.....
3050	Unpaid obligations, end of year	2	1	.....
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	3	2	1
3200	Obligated balance, end of year	2	1	.....
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	4	4	4
	Outlays, gross:			
4010	Outlays from new discretionary authority	1	4	4
4011	Outlays from discretionary balances	2	.....	.....
4020	Outlays, gross (total)	3	4	4
	Mandatory:			
4090	Budget authority, gross	6	4	4
	Outlays, gross:			
4100	Outlays from new mandatory authority	6	3	3
4101	Outlays from mandatory balances	.....	1	1
4110	Outlays, gross (total)	6	4	4
4180	Budget authority, net (total)	10	8	8
4190	Outlays, net (total)	9	8	8

In 1998, Public Law 105–56 created the U.S. Institute for Environmental Conflict Resolution as the only federal entity focused entirely on preventing and resolving environmental conflicts and promoting collaborative decision making. The Institute, part of the Udall Foundation, serves as an impartial, non-partisan institution providing assessment, mediation, facilitation, training, and other related services to resolve disputes involving agencies and instrumentalities of the United States involved in natural resource and public lands conflicts, including matters related to energy, transportation, and land use. The Institute helps parties determine whether collaborative problem solving is appropriate for specific environmental challenges, the most suitable methods for bringing the parties together, and whether a third-party neutral might be helpful in assisting the parties in their efforts to reach consensus or to resolve the conflict. In addition to providing services directly, the Institute maintains a roster of qualified professional facilitators and mediators with substantial experience in environmental collaboration and conflict resolution, including a roster of neutrals with expertise in dealing with Native American Tribal issues, and can help parties in selecting an appropriate neutral. (See *www.ecr.gov* for more information about the Institute.)

Object Classification (in millions of dollars)

Identification code 95–5415–0–2–306	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	3	3
21.0 Travel and transportation of persons	1	1	1
25.2 Other services from non-Federal sources	5	3	3
99.9 Total new obligations	9	7	7

Employment Summary

Identification code 95–5415–0–2–306	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	31	34	33

Trust Funds

MORRIS K. UDALL AND STEWART L. UDALL FOUNDATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–8615–0–7–502	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	42	43	44
Receipts:			
0240 General Fund Payments, Morris K. Udall Scholarship Fund	2	2	2
0241 Interest on Investments, Morris K. Udall Scholarship Fund	2	2	2
0299 Total receipts and collections	4	4	4
0400 Total: Balances and collections	46	47	48
Appropriations:			
0500 Morris K. Udall and Stewart L. Udall Foundation	–3	–3	–3
0799 Balance, end of year	43	44	45

Program and Financing (in millions of dollars)

Identification code 95–8615–0–7–502	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation	1	3	3
0900 Total new obligations (object class 41.0)	1	3	3
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	4	4
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	3	3	3
1260 Appropriations, mandatory (total)	3	3	3
1930 Total budgetary resources available	5	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	4	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	.....	.....
3010 Obligations incurred, unexpired accounts	1	3	3
3020 Outlays (gross)	–2	–3	–3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	.....	.....
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	3	3	3
Outlays, gross:			
4100 Outlays from new mandatory authority	1	3	3
4101 Outlays from mandatory balances	1	.....	.....
4110 Outlays, gross (total)	2	3	3
4180 Budget authority, net (total)	3	3	3
4190 Outlays, net (total)	2	3	3
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	32	27	44
5001 Total investments, EOY: Federal securities: Par value	27	44	45

Public Law 102–259 established the Udall Foundation to provide educational resources to promote studies in the natural environment and Native American public health and Tribal policy. In 2012, the Udall Foundation awarded 80 undergraduate scholarships. The graduate fellowship program was suspended due to funding concerns. Twelve participants in the Native American Congressional Summer Internship Program spent ten weeks in Congressional offices, the Council on Environmental Quality, and Executive Branch agencies participating in a program created by the Udall Foundation. In FY 2012 the Foundation maintained its current level of scholarships and internships; in FY 2013 the Foundation reduced the level of scholarships as a result of a decrease in interest generated by the Trust Fund. The fellowship is not being offered in FY 2012 and FY 2013 due to insufficient earnings on Trust Fund investments.

MORRIS K. UDALL AND STEWART L. UDALL FOUNDATION—Continued

Employment Summary

Identification code 95–8615–0–7–502	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	7	7	7

NATIONAL ARCHIVES AND RECORDS ADMINISTRATION

Federal Funds

OPERATING EXPENSES

For necessary expenses in connection with the administration of the National Archives and Records Administration and archived Federal records and related activities, as provided by law, and for expenses necessary for the review and declassification of documents, the activities of the Public Interest Declassification Board, the operations and maintenance of the electronic records archives, the hire of passenger motor vehicles, and for uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901), including maintenance, repairs, and cleaning, $370,706,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 88–0300–0–1–804	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Legislative Archives, Presidential Libraries, and Museum Services	116	110	110
0002 Research Services	94	98	98
0003 Agency and Related Services	83	86	86
0004 Facility Operations	51	52	48
0005 Archives II Facility	14	12	11
0006 Financial Transfer	15	17	18
0799 Total direct obligations	373	375	371
0888 Reimbursable program	2	2	2
0900 Total new obligations	375	377	373
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	.....	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	373	375	371
1160 Appropriation, discretionary (total)	373	375	371
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	2	2
1700 Offsetting collections (cash applied to repay debt)	15	17	18
1726 Spending authority from offsetting collections applied to repay debt	–15	–17	–18
1750 Spending auth from offsetting collections, disc (total)	3	2	2
1900 Budget authority (total)	376	377	373
1930 Total budgetary resources available	376	378	374
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	81	99	103
3010 Obligations incurred, unexpired accounts	375	377	373
3011 Obligations incurred, expired accounts	1	.....	.....
3020 Outlays (gross)	–353	–373	–355
3041 Recoveries of prior year unpaid obligations, expired	–5	.....	.....
3050 Unpaid obligations, end of year	99	103	121
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	81	99	103
3200 Obligated balance, end of year	99	103	121
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	376	377	373
Outlays, gross:			
4010 Outlays from new discretionary authority	285	289	287
4011 Outlays from discretionary balances	68	84	68
4020 Outlays, gross (total)	353	373	355
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–17	–19	–20
4033 Non-Federal sources	–1	.....	.....
4040 Offsets against gross budget authority and outlays (total)	–18	–19	–20
4070 Budget authority, net (discretionary)	358	358	353
4080 Outlays, net (discretionary)	335	354	335
4180 Budget authority, net (total)	358	358	353
4190 Outlays, net (total)	335	354	335

This appropriation provides for the operation of the Federal government's archives and records management activities, the preservation of permanently valuable historical records, and their access and use by the public. This appropriation also includes the Electronic Records Archives (ERA), which preserves, stores, and manages digital Federal government records for archival purposes, ensuring long-term access.

Legislative Archives, Presidential Libraries, and Museum Services.—This activity provides for the Center for Legislative Archives and the Office of Presidential Materials, which provide records management services to the Congress and the White House; the Presidential Libraries of thirteen former Presidents; and nationwide education, outreach, and exhibits programs, including the National Archives Experience in Washington, DC.

Research Services.—This activity provides for the archival storage and preservation of permanently valuable Federal government records and for continued access to those records by the researcher community and the general public at public research rooms in fifteen locations across the country and on-line, at *www.archives.gov*.

Agency and Related Services.—This activity provides for the services NARA provides to other Federal agencies, including records management, appropriate declassification of classified national security information, oversight of the classification system and controlled, unclassified information, and improvements to the administration of the Freedom of Information Act by the Office of Government Information Services; the electronic records management activities of the ERA system; and publication of the Federal Register, U.S. Statutes-at-Large, and Presidential Papers.

Facility Operations.—This activity provides for the operations and maintenance of NARA facilities, including interest payments and repayments of principal on debt associated with construction of the Archives II building in College Park, MD. Appropriations for repayments of principal ("redemption of debt") are excluded from NARA budget authority.

Object Classification (in millions of dollars)

Identification code 88–0300–0–1–804	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	124	125	126
11.3 Other than full-time permanent	5	6	6
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	131	133	134
12.1 Civilian personnel benefits	38	38	38
21.0 Travel and transportation of persons	2	2	2
22.0 Transportation of things	1	.....	.....
23.1 Rental payments to GSA	7	7	6
23.2 Rental payments to others	2	.....	.....
23.3 Communications, utilities, and miscellaneous charges	16	18	18
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	16	17	22
25.2 Other services from non-Federal sources	24	28	25
25.3 Other goods and services from Federal sources	16	16	16
25.4 Operation and maintenance of facilities	41	40	35
25.5 Research and development contracts	1	1	.....

25.7	Operation and maintenance of equipment	27	28	30
26.0	Supplies and materials	3	3	3
31.0	Equipment	16	13	11
32.0	Land and structures	2	1	1
43.0	Interest and dividends	14	12	11
94.0	Financial transfers	15	17	18
99.0	Direct obligations	373	375	371
99.0	Reimbursable obligations	2	2	2
99.9	Total new obligations	375	377	373

Employment Summary

Identification code 88–0300–0–1–804		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	1,664	1,674	1,664
2001	Reimbursable civilian full-time equivalent employment	28	26	26

Office of Inspector General

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Reform Act of 2008, Public Law 110–409, 122 Stat. 4302–16 (2008), and the Inspector General Act of 1978 (5 U.S.C. App.), and for the hire of passenger motor vehicles, $4,130,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 88–0305–0–1–804		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0001	Office of Inspector General	4	4	4
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	4	4	4
1160	Appropriation, discretionary (total)	4	4	4
1930	Total budgetary resources available	4	4	4
	Change in obligated balance:			
	Unpaid obligations:			
3010	Obligations incurred, unexpired accounts	4	4	4
3020	Outlays (gross)	–4	–4	–4
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	4	4	4
	Outlays, gross:			
4010	Outlays from new discretionary authority	4	4	4
4180	Budget authority, net (total)	4	4	4
4190	Outlays, net (total)	4	4	4

The Office of Inspector General (OIG) provides independent audits and investigations and serves as an independent, internal advocate to promote economy, efficiency, and effectiveness at NARA. The Inspector General Act of 1978, as amended, established the OIG's independent role and general responsibilities. The Inspector General reports to the Archivist of the United States. The OIG evaluates NARA's performance, makes recommendations for improvements, and follows up to ensure economical, efficient, and effective operations and compliance with laws, policies, and regulations.

Object Classification (in millions of dollars)

Identification code 88–0305–0–1–804		2012 actual	2013 CR	2014 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	2	2	2
12.1	Civilian personnel benefits	1	1	1
25.2	Other services from non-Federal sources	1	1	1
99.9	Total new obligations	4	4	4

Employment Summary

Identification code 88–0305–0–1–804		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	21	22	22

Electronic Record Archives

Program and Financing (in millions of dollars)

Identification code 88–0303–0–1–804		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0001	Electronic records archives	5	20	.....
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	20	.....
1021	Recoveries of prior year unpaid obligations	24	.....	.....
1050	Unobligated balance (total)	25	20	.....
1930	Total budgetary resources available	25	20	.....
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	20	.....	.....
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	53	15	10
3010	Obligations incurred, unexpired accounts	5	20	.....
3020	Outlays (gross)	–18	–25	–10
3040	Recoveries of prior year unpaid obligations, unexpired	–24	.....	.....
3041	Recoveries of prior year unpaid obligations, expired	–1	.....	.....
3050	Unpaid obligations, end of year	15	10	.....
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	53	15	10
3200	Obligated balance, end of year	15	10	.....
	Budget authority and outlays, net:			
	Discretionary:			
	Outlays, gross:			
4011	Outlays from discretionary balances	18	25	10
4190	Outlays, net (total)	18	25	10

Object Classification (in millions of dollars)

Identification code 88–0303–0–1–804		2012 actual	2013 CR	2014 est.
	Direct obligations:			
25.1	Advisory and assistance services	1	10	.....
31.0	Equipment	4	10	.....
99.9	Total new obligations	5	20	.....

Repairs and Restoration

For the repair, alteration, and improvement of archives facilities, and to provide adequate storage for holdings, $8,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 88–0302–0–1–804		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0001	Direct program activity	26	17	8

Repairs and Restoration—Continued

Program and Financing—Continued

Identification code 88–0302–0–1–804	2012 actual	2013 CR	2014 est.
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	34	17	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	9	9	8
1160 Appropriation, discretionary (total)	9	9	8
1930 Total budgetary resources available	43	26	17
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	17	9	9
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	18	23	11
3010 Obligations incurred, unexpired accounts	26	17	8
3020 Outlays (gross)	–21	–29	–17
3050 Unpaid obligations, end of year	23	11	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	18	23	11
3200 Obligated balance, end of year	23	11	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	9	9	8
Outlays, gross:			
4010 Outlays from new discretionary authority	6	6	6
4011 Outlays from discretionary balances	15	23	11
4020 Outlays, gross (total)	21	29	17
4180 Budget authority, net (total)	9	9	8
4190 Outlays, net (total)	21	29	17

This appropriation provides for the repair, alteration, and improvement of Archives facilities and Presidential Libraries nationwide. This appropriation allows NARA to maintain a safe environment for public visitors and researchers, NARA employees, and the permanently valuable Federal government records stored in NARA buildings.

Object Classification (in millions of dollars)

Identification code 88–0302–0–1–804	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.1 Advisory and assistance services	1	.....	.....
25.4 Operation and maintenance of facilities	2	.....	.....
32.0 Land and structures	23	17	8
99.9 Total new obligations	26	17	8

National Historical Publications and Records Commission

Grants Program

For necessary expenses for allocations and grants for historical publications and records as authorized by 44 U.S.C. 2504, $3,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 88–0301–0–1–804	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	5	5	3
0900 Total new obligations (object class 41.0)	5	5	3
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5	5	3
1160 Appropriation, discretionary (total)	5	5	3
1930 Total budgetary resources available	6	6	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	17	12	9
3010 Obligations incurred, unexpired accounts	5	5	3
3020 Outlays (gross)	–10	–8	–9
3050 Unpaid obligations, end of year	12	9	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	17	12	9
3200 Obligated balance, end of year	12	9	3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5	5	3
Outlays, gross:			
4010 Outlays from new discretionary authority	1	1	.....
4011 Outlays from discretionary balances	9	7	9
4020 Outlays, gross (total)	10	8	9
4180 Budget authority, net (total)	5	5	3
4190 Outlays, net (total)	10	8	9

The National Historical Publications and Records Commission grants program provides for grants to preserve and publish non-Federal records that document American history. This appropriation supports core programs and initiatives in the form of grants that publish, preserve, and make accessible important historical documents.

Records Center Revolving Fund

Program and Financing (in millions of dollars)

Identification code 88–4578–0–4–804	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 Reimbursable program	189	190	190
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	22	17
1021 Recoveries of prior year unpaid obligations	2	.....	.....
1050 Unobligated balance (total)	6	22	17
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	185	185	188
1701 Change in uncollected payments, Federal sources	20	.....	.....
1750 Spending auth from offsetting collections, disc (total)	205	185	188
1930 Total budgetary resources available	211	207	205
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	22	17	15
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	33	28	33
3010 Obligations incurred, unexpired accounts	189	190	190
3020 Outlays (gross)	–192	–185	–188
3040 Recoveries of prior year unpaid obligations, unexpired	–2	.....	.....
3050 Unpaid obligations, end of year	28	33	35
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–17	–37	–37
3070 Change in uncollected pymts, Fed sources, unexpired	–20	.....	.....
3090 Uncollected pymts, Fed sources, end of year	–37	–37	–37
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	16	–9	–4
3200 Obligated balance, end of year	–9	–4	–2

	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	205	185	188
	Outlays, gross:			
4010	Outlays from new discretionary authority	172	165	170
4011	Outlays from discretionary balances	20	20	18
4020	Outlays, gross (total)	192	185	188
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–183	–183	–186
4033	Non-Federal sources	–2	–2	–2
4040	Offsets against gross budget authority and outlays (total)	–185	–185	–188
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–20	.....	.....
4080	Outlays, net (discretionary)	7	.....	.....
4190	Outlays, net (total)	7	.....	.....

The full cost recovery revolving fund provides for the storage and related services that NARA Records Centers provide to Federal agency customers. NARA Federal Records Centers provide low-cost, high-quality storage and related services, including: transfer, reference, re-file, and disposal services for temporary and pre-archival Federal government records.

Object Classification (in millions of dollars)

Identification code 88–4578–0–4–804	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	51	51	52
11.3 Other than full-time permanent	9	9	9
11.5 Other personnel compensation	3	3	3
11.9 Total personnel compensation	63	63	64
12.1 Civilian personnel benefits	18	18	18
21.0 Travel and transportation of persons	1	1	1
22.0 Transportation of things	3	3	3
23.1 Rental payments to GSA	44	45	44
23.2 Rental payments to others	11	11	11
23.3 Communications, utilities, and miscellaneous charges	5	5	5
25.1 Advisory and assistance services	3	3	3
25.2 Other services from non-Federal sources	2	2	2
25.3 Other goods and services from Federal sources	13	13	13
25.4 Operation and maintenance of facilities	1	1	1
25.7 Operation and maintenance of equipment	14	14	14
26.0 Supplies and materials	2	2	2
31.0 Equipment	9	9	9
99.9 Total new obligations	189	190	190

Employment Summary

Identification code 88–4578–0–4–804	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment	1,382	1,384	1,384

Trust Funds

National Archives Gift Fund

Special and Trust Fund Receipts (in millions of dollars)

Identification code 88–8127–0–7–804	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	.....	2
Receipts:			
0220 Gifts and Bequests, National Archives Gift Fund	5	11	3
0221 Interest and Dividends on Non-Federal Securities, National Archives Gift Fund	1	1	1
0222 Proceeds from Non-Federal Securities not Immediately Reinvested, National Archives Gift Fund	1	1	1
0299 Total receipts and collections	7	13	5
0400 Total: Balances and collections	7	13	7
Appropriations:			
0500 National Archives Gift Fund	–7	–11	–3
0799 Balance, end of year	.....	2	4

Program and Financing (in millions of dollars)

Identification code 88–8127–0–7–804	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 Reimbursable program activity	7	12	3
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	3	2
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	7	11	3
1260 Appropriations, mandatory (total)	7	11	3
1930 Total budgetary resources available	10	14	5
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	2	2
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	4	2
3010 Obligations incurred, unexpired accounts	7	12	3
3020 Outlays (gross)	–4	–14	–3
3050 Unpaid obligations, end of year	4	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	4	2
3200 Obligated balance, end of year	4	2	2
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	7	11	3
Outlays, gross:			
4100 Outlays from new mandatory authority	4	11	3
4101 Outlays from mandatory balances	.....	3	.....
4110 Outlays, gross (total)	4	14	3
4180 Budget authority, net (total)	7	11	3
4190 Outlays, net (total)	4	14	3
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	3	5	5
5001 Total investments, EOY: Federal securities: Par value	5	5	5
5010 Total investments, SOY: non-Fed securities: Market value	15	16	25
5011 Total investments, EOY: non-Fed securities: Market value	16	25	25

The National Archives Trust Fund Board may accept conditional and unconditional gifts or bequests of money, securities, or other personal property for the benefit of NARA activities. NARA receives endowments from private foundations to offset the operating costs of Presidential Libraries. FY 2013 receipts and outlays include a gift from the George W. Bush Foundation to establish an endowment pursuant to 44 U.S.C. 2112(g) providing for the maintenance of the new G.W. Bush Library facility.

Object Classification (in millions of dollars)

Identification code 88–8127–0–7–804	2012 actual	2013 CR	2014 est.
99.9 Total new obligations	7	12	3

National Archives Trust Fund

Program and Financing (in millions of dollars)

Identification code 88–8436–0–8–804	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 Sales	7	8	7
0802 Presidential libraries	9	9	9
0900 Total new obligations	16	17	16
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	9	9
1021 Recoveries of prior year unpaid obligations	1	.....	.....
1050 Unobligated balance (total)	7	9	9

NATIONAL ARCHIVES TRUST FUND—Continued

Program and Financing—Continued

Identification code 88–8436–0–8–804	2012 actual	2013 CR	2014 est.
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	19	17	16
1801 Change in uncollected payments, Federal sources	–1	.....	.....
1850 Spending auth from offsetting collections, mand (total)	18	17	16
1930 Total budgetary resources available	25	26	25
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	9	9	9
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	2	2
3010 Obligations incurred, unexpired accounts	16	17	16
3020 Outlays (gross)	–16	–17	–16
3040 Recoveries of prior year unpaid obligations, unexpired	–1	.....	.....
3050 Unpaid obligations, end of year	2	2	2
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–2	–1	–1
3070 Change in uncollected pymts, Fed sources, unexpired	1	.....	.....
3090 Uncollected pymts, Fed sources, end of year	–1	–1	–1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	18	17	16
Outlays, gross:			
4100 Outlays from new mandatory authority	15	17	16
4101 Outlays from mandatory balances	1	.....	.....
4110 Outlays, gross (total)	16	17	16
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–3	–1	.....
4123 Non-Federal sources	–16	–16	–16
4130 Offsets against gross budget authority and outlays (total)	–19	–17	–16
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	1	.....	.....
4170 Outlays, net (mandatory)	–3	.....	.....
4190 Outlays, net (total)	–3	.....	.....
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	6	9	9
5001 Total investments, EOY: Federal securities: Par value	9	9	9
5010 Total investments, SOY: non-Fed securities: Market value	13	16	16
5011 Total investments, EOY: non-Fed securities: Market value	16	16	16

The Archivist of the United States furnishes, for a fee, copies of unrestricted records in the custody of the National Archives (44 U.S.C. 2116). Proceeds from the sale of copies of microfilm publications, reproductions, special works, and other publications, and admission fees to Presidential Library museum rooms are deposited in this fund (44 U.S.C. 2112, 2307).

Object Classification (in millions of dollars)

Identification code 88–8436–0–8–804	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	4	5	5
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	5	6	6
12.1 Civilian personnel benefits	2	2	2
24.0 Printing and reproduction	1	1	1
25.2 Other services from non-Federal sources	2	2	2
25.3 Other goods and services from Federal sources	2	2	2
26.0 Supplies and materials	2	2	2
31.0 Equipment	1	1	.....
33.0 Investments and loans	1	1	1
99.9 Total new obligations	16	17	16

Employment Summary

Identification code 88–8436–0–8–804	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment	108	111	111

NATIONAL CAPITAL PLANNING COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the National Capital Planning Commission under chapter 87 of title 40, United States Code, including services as authorized by 5 U.S.C. 3109, $8,084,000: Provided, That $21,268 may be used for official reception and representational expenses associated with hosting international visitors engaged in the planning and physical development of world capitals.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–2500–0–1–451	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Salaries and expenses	8	8	8
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	8	8	8
1160 Appropriation, discretionary (total)	8	8	8
1930 Total budgetary resources available	8	8	8
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	2
3010 Obligations incurred, unexpired accounts	8	8	8
3020 Outlays (gross)	–8	–8	–8
3050 Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	2
3200 Obligated balance, end of year	2	2	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	8	8	8
Outlays, gross:			
4010 Outlays from new discretionary authority	7	8	8
4011 Outlays from discretionary balances	1	.....	.....
4020 Outlays, gross (total)	8	8	8
4180 Budget authority, net (total)	8	8	8
4190 Outlays, net (total)	8	8	8

The National Capital Planning Commission (NCPC) is the central planning agency for the Federal Government in the National Capital Region. Through its planning initiatives and review of development proposals, NCPC helps guide Federal development, preserving the Capital City's unique resources through study, analysis, and advance planning. In 2014, NCPC will work with the District of Columbia and its Federal and regional partners to promote development plans that support the Federal interest and contribute to the best urban design, transportation, and land-use scenarios for the National Capital Region. NCPC will continue to ensure that all Federal development in the region meets the highest design standards; review Federal plans for capital improvements in the region; and continue to develop long-range planning initiatives that are coordinated with Federal, State, local, and private business interests.

Object Classification (in millions of dollars)

Identification code 95–2500–0–1–451	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	4	4
12.1 Civilian personnel benefits	1	1	1
23.1 Rental payments to GSA	2	2	2
25.1 Advisory and assistance services	1	1	1
99.9 Total new obligations	8	8	8

Employment Summary

Identification code 95–2500–0–1–451	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	38	43	41

NATIONAL COUNCIL ON DISABILITY

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the National Council on Disability as authorized by title IV of the Rehabilitation Act of 1973, $3,345,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–3500–0–1–506	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Salaries and expenses	3	3	3
0900 Total new obligations	3	3	3
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	3	3
1160 Appropriation, discretionary (total)	3	3	3
1930 Total budgetary resources available	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 Obligations incurred, unexpired accounts	3	3	3
3020 Outlays (gross)	–3	–3	–3
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority	3	3	3
4180 Budget authority, net (total)	3	3	3
4190 Outlays, net (total)	3	3	3

The National Council on Disability (NCD), an independent federal agency, is composed of 15 members appointed by the President . Established under the Rehabilitation Act of 1973, as amended, the NCD is responsible for reviewing the Federal Government's laws, programs, and policies which affect people with disabilities. The NCD also makes recommendations on issues affecting individuals with disabilities and their families to the President, Congress, the Rehabilitation Services Administration, the National Institute on Disability and Rehabilitation Research, and other Federal Departments and agencies.

Object Classification (in millions of dollars)

Identification code 95–3500–0–1–506	2012 actual	2013 CR	2014 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	1	1
99.5 Below reporting threshold	2	2	2
99.9 Total new obligations	3	3	3

Employment Summary

Identification code 95–3500–0–1–506	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	12	12	13

NATIONAL CREDIT UNION ADMINISTRATION

Federal Funds

OPERATING FUND

Program and Financing (in millions of dollars)

Identification code 25–4056–0–3–373	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 Examination and supervision	153	165	175
0803 Administration	63	79	78
0804 Office of Inspector General	4	4	4
0900 Total new obligations	220	248	257
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	33	67	59
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	220	240	255
1801 Change in uncollected payments, Federal sources	34	.....	.....
1850 Spending auth from offsetting collections, mand (total)	254	240	255
1930 Total budgetary resources available	287	307	314
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	67	59	57
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	23	27	27
3010 Obligations incurred, unexpired accounts	220	248	257
3020 Outlays (gross)	–216	–248	–257
3050 Unpaid obligations, end of year	27	27	27
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–4	–38	–38
3070 Change in uncollected pymts, Fed sources, unexpired	–34	.....	.....
3090 Uncollected pymts, Fed sources, end of year	–38	–38	–38
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	19	–11	–11
3200 Obligated balance, end of year	–11	–11	–11
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	254	240	255
Outlays, gross:			
4100 Outlays from new mandatory authority	194	221	230
4101 Outlays from mandatory balances	22	27	27
4110 Outlays, gross (total)	216	248	257
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–130	–146	–151
4121 Interest on Federal securities	.....	–1	–1
4123 Non-Federal sources	–1	.....	.....
4124 Offsetting governmental collections	–89	–93	–103
4130 Offsets against gross budget authority and outlays (total)	–220	–240	–255
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	–34	.....	.....
4170 Outlays, net (mandatory)	–4	8	2
4190 Outlays, net (total)	–4	8	2

Operating Fund—Continued

Program and Financing—Continued

Identification code 25–4056–0–3–373	2012 actual	2013 CR	2014 est.
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	52	56	48
5001 Total investments, EOY: Federal securities: Par value	56	48	46

The mission of the National Credit Union Administration (NCUA) is to facilitate the availability of credit union services to all eligible consumers, especially those of modest means, through an objective independent regulatory environment that protects credit union members. Credit unions are privately owned, cooperative associations organized for the purpose of promoting thrift among their members and creating a source of credit for provident and productive purposes.

The NCUA, through its operating fund, conducts activities prescribed by the Federal Credit Union Act of 1934, as amended, which include: 1) chartering new Federal credit unions, 2) determining field of membership of Federal credit unions, 3) promulgating rules and regulations, 4) performing regulatory and safety and soundness examinations and 5) conducting administrative activities of the National Credit Union Share Insurance Fund (Share Insurance Fund).

The NCUA funds its activities through assessments levied on all federally chartered credit unions and through reimbursements from the Share Insurance Fund for its share of administrative activities. In 2012, NCUA chartered three new Federal credit unions, bringing the total number of Federal credit unions to 4,113 with total assets of more than $534 billion. The Inspector General requests a 2014 budget of $3.7 million.

Object Classification (in millions of dollars)

Identification code 25–4056–0–3–373	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	122	131	136
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	123	132	137
12.1 Civilian personnel benefits	41	48	50
21.0 Travel and transportation of persons	26	28	28
23.3 Communications, utilities, and miscellaneous charges	5	5	5
25.2 Other services from non-Federal sources	24	34	36
31.0 Equipment	1	1	1
99.9 Total new obligations	220	248	257

Employment Summary

Identification code 25–4056–0–3–373	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment	1,189	1,257	1,257

Credit Union Share Insurance Fund

Program and Financing (in millions of dollars)

Identification code 25–4468–0–3–373	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 Payments to the operating fund for services and facilities	130	145	147
0802 Other Administrative	40	3	3
0803 Working Capital	135	51	49
0804 Liquidation Expenses	386	256	247
0900 Total new obligations	691	455	446
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10,786	10,342	10,644
1010 Unobligated balance transfer to other accts [25–4477]	–279	.....	.....
1050 Unobligated balance (total)	10,507	10,342	10,644
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	516	757	665
1801 Change in uncollected payments, Federal sources	10	.....	.....
1850 Spending auth from offsetting collections, mand (total)	526	757	665
1930 Total budgetary resources available	11,033	11,099	11,309
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10,342	10,644	10,863
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	11	8	8
3010 Obligations incurred, unexpired accounts	691	455	446
3020 Outlays (gross)	–694	–455	–446
3050 Unpaid obligations, end of year	8	8	8
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–71	–81	–81
3070 Change in uncollected pymts, Fed sources, unexpired	–10	.....	.....
3090 Uncollected pymts, Fed sources, end of year	–81	–81	–81
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	–60	–73	–73
3200 Obligated balance, end of year	–73	–73	–73
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	526	757	665
Outlays, gross:			
4100 Outlays from new mandatory authority	526	447	446
4101 Outlays from mandatory balances	168	8	.....
4110 Outlays, gross (total)	694	455	446
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–11	.....	.....
4121 Interest on Federal securities	–223	–193	–198
4123 Non-Federal sources	–164	–450	–416
4123 Non-Federal sources	–118	–114	–51
4130 Offsets against gross budget authority and outlays (total)	–516	–757	–665
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	–10	.....	.....
4170 Outlays, net (mandatory)	178	–302	–219
4190 Outlays, net (total)	178	–302	–219
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	10,733	10,297	10,599
5001 Total investments, EOY: Federal securities: Par value	10,297	10,599	10,818

Status of Guaranteed Loans (in millions of dollars)

Identification code 25–4468–0–3–373	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2131 Guaranteed loan commitments exempt from limitation	60	10	10
2150 Total guaranteed loan commitments	60	10	10
2199 Guaranteed amount of guaranteed loan commitments	60	10	10
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	5	60	10
2231 Disbursements of new guaranteed loans	60	10	10
2251 Repayments and prepayments	–5	–60	–10
2290 Outstanding, end of year	60	10	10
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	60	10	10

The primary purpose of the National Credit Union Share Insurance Fund is to provide insurance for deposits of member accounts (also known as insured member shares) in Federal credit unions and state-chartered credit unions that apply and qualify for insurance as authorized by Public Law 91–468. As of September 30, 2012, 6,888 natural person credit unions were insured by the Share Insurance Fund with insured member shares of $832 billion, an increase of $46 billion, or six percent, from 2011.

Following a cost allocation method to distribute costs of the National Credit Union Administration (NCUA) between its insurance and regulatory functions, the Share Insurance Fund reimburses the NCUA operating fund for its share of administrative costs. In 2012, the Share Insurance Fund paid reimbursements of $130 million to the operating fund.

In response to financial distress, the Helping Families Save Their Homes Act of 2009 (P.L. 111–22) provided relief to credit union member institutions by: 1) a segregating losses of corporate credit unions into the Temporary Corporate Credit Union Stabilization Fund (Stabilization Fund) and providing a mechanism for assessing losses related to the corporate credit unions to member institutions over an extended period of time, 2) allowing a restoration plan to spread insurance premium assessments over a period of up to eight years if the equity ratio falls below 1.2 percent, 3) increasing the Share Insurance Funds borrowing authority to $6 billion, and d) increasing the deposit insurance coverage to $250,000 (made permanent by the Dodd Frank Wall Street Reform and Consumer Protection Act, P.L. 111–203).

Each insured credit union is required to deposit and maintain one percent of its insured member share accounts in the Share Insurance Fund. If the Share Insurance Fund equity ratio falls below 1.2 percent, the Board shall establish and implement a restoration plan that will restore the equity ratio in a period of not more than eight years. The equity ratio is calculated as the ratio of the contributed one percent deposit plus cumulative results of operations, excluding net cumulative unrealized gains and losses on investments, to the aggregate amount of the insured shares in all insured credit unions. The primary means for increasing the equity ratio is through insurance premiums to member credit unions. If the equity ratio increases above the normal operating level, which the Board has set at 1.3 percent, a distribution is paid. The FY 2012 actual includes a $279 million distribution transfer to the Stabilization Fund. The FY 2013 budget does not reflect a distribution to the Stabilization Fund. Under 12 U.S.C. 1790e(c), distributions are paid to the Stabilization Fund when this fund has a loan from the U.S. Treasury.

Object Classification (in millions of dollars)

Identification code 25–4468–0–3–373	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
25.2 Other services from non-Federal sources	170	148	150
42.0 Insurance claims and indemnities	386	256	247
42.0 Insurance claims and indemnities	135	51	49
99.9 Total new obligations	691	455	446

Temporary Corporate Credit Union Stabilization Fund

Program and Financing (in millions of dollars)

Identification code 25–4477–0–3–373	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Guarantee Payments	76	3,532	38
0002 Interest on borrowings	6	8	10
0003 Administrative	7	18	6
0900 Total new obligations	89	3,558	54
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6,046	3,282	1,325
1011 Unobligated balance transfer from other accts [25–4468]	279	.....	.....
1020 Adjustment of unobligated bal brought forward, Oct 1	–3,500	.....	.....
1050 Unobligated balance (total)	2,825	3,282	1,325
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	300	.....	.....
1440 Borrowing authority, mandatory (total)	300	.....	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected	544	1,603	874
1801 Change in uncollected payments, Federal sources	2	–2	.....
1825 Spending authority from offsetting collections applied to repay debt	–300	.....	–700
1850 Spending auth from offsetting collections, mand (total)	246	1,601	174
1900 Budget authority (total)	546	1,601	174
1930 Total budgetary resources available	3,371	4,883	1,499
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3,282	1,325	1,445
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,152	5	5
3010 Obligations incurred, unexpired accounts	89	3,558	54
3020 Outlays (gross)	–2,236	–3,558	–54
3050 Unpaid obligations, end of year	5	5	5
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	.....	–2	.....
3070 Change in uncollected pymts, Fed sources, unexpired	–2	2	.....
3090 Uncollected pymts, Fed sources, end of year	–2	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2,152	3	5
3200 Obligated balance, end of year	3	5	5
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	546	1,601	174
Outlays, gross:			
4100 Outlays from new mandatory authority	84	793	54
4101 Outlays from mandatory balances	2,152	2,765	.....
4110 Outlays, gross (total)	2,236	3,558	54
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities	.....	–2	.....
4123 Non-Federal sources	–94	–809	–124
4123 Non-Federal sources	–80	.....	.....
4123 Non-Federal sources	–6	.....	.....
4124 Offsetting governmental collections	–364	–792	–750
4130 Offsets against gross budget authority and outlays (total)	–544	–1,603	–874
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	–2	2	.....
4160 Budget authority, net (mandatory)	.....	.....	–700
4170 Outlays, net (mandatory)	1,692	1,955	–820
4180 Budget authority, net (total)	.....	.....	–700
4190 Outlays, net (total)	1,692	1,955	–820
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	2,186	484	427
5001 Total investments, EOY: Federal securities: Par value	484	427	547

Status of Direct Loans (in millions of dollars)

Identification code 25–4477–0–3–373	2012 actual	2013 CR	2014 est.
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	3,500	3,200	5,100
1231 Disbursements: Direct loan disbursements	.....	1,900	.....
1251 Repayments: Repayments and prepayments	–300	.....	–700
1290 Outstanding, end of year	3,200	5,100	4,400

Status of Guaranteed Loans (in millions of dollars)

Identification code 25–4477–0–3–373	2012 actual	2013 CR	2014 est.
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	35,500	28,150	24,650
2251 Repayments and prepayments	–7,350	–3,500	–3,650
2290 Outstanding, end of year	28,150	24,650	21,000
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year	28,150	24,650	21,000

TEMPORARY CORPORATE CREDIT UNION STABILIZATION FUND—Continued

The Temporary Corporate Credit Union Stabilization Fund (Stabilization Fund) was created under the authority of the Helping Families Save Their Homes Act of 2009 (P.L. 111–22). The purposes of Stabilization Fund are to accrue the losses of the corporate credit union system and to provide for the assessment over time from federally insured credit unions for the recovery of such losses. The recovery of losses can be assessed to credit unions before funds are advanced through borrowings from the U.S. Treasury to pay such losses, as allowed under 12 U.S.C. 1790 (d). The Stabilization Fund has access to $6 billion in borrowing authority, which is shared with the Share Insurance Fund under 12 U.S.C. 1783(d)(1). Total losses are projected to range from approximately $6.0 to $8.9 billion. Of these losses, federally insured credit unions have been assessed a total of $4.1 billion. On June 18, 2009, the NCUA Board used its authority to legally obligate the Stabilization Fund for the costs of stabilizing the corporate credit union system. These actions included transferring the obligations of the Temporary Corporate Credit Union Liquidity Guarantee Program (Guarantee Program) to the Stabilization Fund, which were originally assigned to the Share Insurance Fund. The Guarantee Program was created in October 2008 to provide a guarantee on certain unsecured debt of participating corporate credit unions issued from October 16, 2008, through June 30, 2010, and maturing on or before June 30, 2017. The guarantee fee is priced to cover anticipated losses. This program ended in November 2012, when the last of the guaranteed notes matured. In September 2010, the NCUA Board approved the Corporate System Resolution Program, which set in motion actions to remove long-term threats to the corporate system. NCUA seized more than 98 percent of all impaired asset-backed securities and began an orderly disposition of conserved corporate credit unions. The plan resulted in securitizing cash flows from the impaired securities to raise liquidity, creating four (4) bridge corporate credit unions to effect the disposition of five (5) conserved corporate credit unions without interrupting service to customers and finalizing a new rule to ensure the remaining corporate credit unions operate with much stronger standards for safety and soundness. These actions, among other benefits, prevented disruption in service to consumer credit unions and their members. The budget reflects the implementation of the Corporate System Resolution Program, which results in system losses being paid for by federally insured credit unions over the life of the fund. Also in September 2010, NCUA extended the life of the Stabilization Fund, with the concurrence of the U.S. Treasury, through FY 2021. Accordingly, the Stabilization Fund is expected to sunset in FY 2021.

Object Classification (in millions of dollars)

Identification code 25–4477–0–3–373	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources	7	18	6
42.0 Insurance claims and indemnities	76	3,532	38
43.0 Interest and dividends	6	8	10
99.9 Total new obligations	89	3,558	54

CENTRAL LIQUIDITY FACILITY

During fiscal year 2014, gross obligations of the Central Liquidity Facility for the principal amount of new direct loans to member credit unions, as authorized by 12 U.S.C. 1795 et seq., shall be the amount authorized by section 307(a)(4)(A) of the Federal Credit Union Act (12 U.S.C. 1795f(a)(4)(A)): Provided, That administrative expenses of the Central Liquidity Facility in fiscal year 2014 shall not exceed $1,250,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 25–4470–0–3–373	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 Administration	1	1	1
0803 Dividends on capital stock	.....	.....	3
0804 Stock redemption	157	1,850	.....
0809 Reimbursable program activities, subtotal	158	1,851	4
0900 Total new obligations	158	1,851	4
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,097	1,941	191
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (cash, CCU Guarantee Program)	4	.....	.....
1800 Offsetting collections (interest)	.....	1	4
1800 Collected (subscribed stock)	.....	100	9
1801 Change in uncollected payments, Federal sources	–2	.....	.....
1850 Spending auth from offsetting collections, mand (total)	2	101	13
1900 Budget authority (total)	2	101	13
1930 Total budgetary resources available	2,099	2,042	204
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,941	191	200
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	.....	.....
3010 Obligations incurred, unexpired accounts	158	1,851	4
3020 Outlays (gross)	–159	–1,851	–4
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–2	.....	.....
3070 Change in uncollected pymts, Fed sources, unexpired	2	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	–1	.....	.....
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	2	101	13
Outlays, gross:			
4100 Outlays from new mandatory authority	2	1	4
4101 Outlays from mandatory balances	157	1,850	.....
4110 Outlays, gross (total)	159	1,851	4
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities	–4	–1	–4
4123 Non-Federal sources	.....	–100	–9
4130 Offsets against gross budget authority and outlays (total)	–4	–101	–13
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	2	.....	.....
4170 Outlays, net (mandatory)	155	1,750	–9
4190 Outlays, net (total)	155	1,750	–9
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	2,096	1,942	192
5001 Total investments, EOY: Federal securities: Par value	1,942	192	201

The purpose of the Central Liquidity Facility (CLF), established under Public Law 95–630, is to improve the general financial stability of credit unions by meeting their liquidity needs through short-term, seasonal and/or protracted adjustment credit. The two primary sources of funds for the Facility are stock subscriptions from credit unions and borrowings from the Federal Financing Bank. Through the recent economic crisis, CLF supported the credit union system with special liquidity programs designed to provide stability and confidence. The programs were instrumental in stabilizing liquidity in the corporate credit union system during the height of the financial crisis and gained NCUA important time to implement regulatory reforms and establish an orderly resolution of problems. On October 25, 2012, the conservator

for U.S. Central Bridge Federal Credit Union, the majority stockholder in the Facility, redeemed the credit unions stock in CLF in conjunction with its subsequent liquidation. The result of U.S. Central Bridges stock redemption was a reduction in CLFs total subscribed capital and surplus. This resulted in a reduction in CLFs total legal borrowing authority to an amount of approximately $2.3 billion.

Object Classification (in millions of dollars)

Identification code 25–4470–0–3–373	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
25.2 Other services from non-Federal sources	1	1	1
43.0 Interest and dividends	.....	.....	3
44.0 Refunds	157	1,850	.....
99.9 Total new obligations	158	1,851	4

Employment Summary

Identification code 25–4470–0–3–373	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	2	4	4

Credit Union System Investment Program

On December 9, 2008, the NCUA announced the Credit Union System Investment Program (SIP) to increase liquidity at corporate credit unions. Under SIP, NCUA's Central Liquidity Facility extended one- year credit advances to credit unions. Credit unions in turn invested those funds in corporate credit unions, providing a low cost source of liquidity for corporate credit unions that is guaranteed by the NCUA Temporary Corporate Credit Union Stabilization Fund. Under the program, $8.2 billion was advanced. The program terminated in March 2010 when the last outstanding advances were repaid.

Credit Union Homeowners Affordability Relief Program

On December 9, 2008, the NCUA announced the Credit Union Homeowners Affordability Relief Program (HARP). Under HARP, NCUA's Central Liquidity Facility made one-year, secured credit advances to credit unions. Credit unions in turn invested those funds in a special corporate credit union note. These advances were renewable for a term of one-year. Credit unions that reduced mortgage rates for their members within program guidelines qualified for a bonus coupon payment from the corporate credit union. The NCUA Temporary Corporate Credit Union Stabilization Fund (TCCUSF) guarantees the special corporate credit union debt, including the bonus payment. Through September 30, 2010, $164 million was advanced under this program. In December 2010, the remaining outstanding advance totaling $96 million matured and the program terminated.

Community Development Revolving Loan Fund

For the Community Development Revolving Loan Fund program as authorized by 42 U.S.C. 9812, 9822 and 9910, $1,127,650, shall be available until September 30, 2015, for technical assistance to low-income designated credit unions.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 25–4472–0–3–373	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Technical assistance	2	2	2
0801 Loans	.....	3	5
0900 Total new obligations	2	5	7
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	12	13	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	1	1
1160 Appropriation, discretionary (total)	1	1	1
Spending authority from offsetting collections, mandatory:			
1800 Collected	2	.....	1
1850 Spending auth from offsetting collections, mand (total)	2	.....	1
1900 Budget authority (total)	3	1	2
1930 Total budgetary resources available	15	14	11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	13	9	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	3	3
3010 Obligations incurred, unexpired accounts	2	5	7
3020 Outlays (gross)	–1	–5	–7
3050 Unpaid obligations, end of year	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	3	3
3200 Obligated balance, end of year	3	3	3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority	.....	1	1
4011 Outlays from discretionary balances	1	.....	.....
4020 Outlays, gross (total)	1	1	1
Mandatory:			
4090 Budget authority, gross	2	.....	1
Outlays, gross:			
4100 Outlays from new mandatory authority	.....	.....	1
4101 Outlays from mandatory balances	.....	4	5
4110 Outlays, gross (total)	.....	4	6
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	–2	.....	–1
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)	–1	5	6
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	8	13	9
5001 Total investments, EOY: Federal securities: Par value	13	9	4

Status of Direct Loans (in millions of dollars)

Identification code 25–4472–0–3–373	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation	1	1	1
1150 Total direct loan obligations	1	1	1
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	4	1	4
1231 Disbursements: Direct loan disbursements	.....	3	5
1251 Repayments: Repayments and prepayments	–3	.....	–1
1290 Outstanding, end of year	1	4	8

The Community Development Revolving Loan Fund (CDRLF) was established by Congress under Section 130(e) of the Federal Credit Union Act with a $6 million appropriation to enable low-income credit unions to: (1) provide financial services to their communities; (2) stimulate economic activities in their communities, resulting in increased income and employment; and (3) oper-

COMMUNITY DEVELOPMENT REVOLVING LOAN FUND—Continued

ate more efficiently. CDRLF, comprised of a revolving loan program and a technical assistance program, provides funding to low-income credit unions. Since the initial loan program appropriation in 1979, Congress has appropriated an additional $13.4 million for the revolving loan program and approximately $9.1 million for the technical assistance program. Credit unions use the loan and technical assistance funds to increase financial services to their communities, including providing financial counseling, new loan products, and enhanced electronic services. As of September 30, 2012, the CDRLF's revolving loan portfolio had $1.3 million in outstanding loans (18 loans outstanding to 18 credit unions). In FY 2012, CDRLF made 130 technical assistance awards totaling $1,492,872 from the multi-year appropriations received. As of September 30, 2012, total assets in CDRLF, including interest earned and appropriations, were $17.3 million.

Object Classification (in millions of dollars)

Identification code 25–4472–0–3–373	2012 actual	2013 CR	2014 est.
41.0 Direct obligations: Grants, subsidies, and contributions	2	2	2
Reimbursable obligations:			
33.0 Investments and loans	.....	3	5
99.0 Reimbursable obligations	.....	3	5
99.9 Total new obligations	2	5	7

NATIONAL ENDOWMENT FOR THE ARTS

Federal Funds

GRANTS AND ADMINISTRATION

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, as amended, $154,466,000 shall be available to the National Endowment for the Arts for the support of projects and productions in the arts, including arts education and public outreach activities, through assistance to organizations and individuals pursuant to section 5 of the Act, for program support, and for administering the functions of the Act, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 59–0100–0–1–503	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Promotion of the arts	117	120	128
0003 Program support	2	3	3
0004 Salaries and expenses	27	30	28
0005 Reimbursable Program	1	1	1
0900 Total new obligations	147	154	160
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	9	10	5
1021 Recoveries of prior year unpaid obligations	1	1	1
1050 Unobligated balance (total)	10	11	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	146	147	154
1160 Appropriation, discretionary (total)	146	147	154
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	2	2
1701 Change in uncollected payments, Federal sources	–1	–1	–1
1750 Spending auth from offsetting collections, disc (total)	1	1	1
1900 Budget authority (total)	147	148	155
1930 Total budgetary resources available	157	159	161
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	5	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	149	138	143
3010 Obligations incurred, unexpired accounts	147	154	160
3020 Outlays (gross)	–157	–148	–155
3040 Recoveries of prior year unpaid obligations, unexpired	–1	–1	–1
3050 Unpaid obligations, end of year	138	143	147
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–3	–2	–1
3070 Change in uncollected pymts, Fed sources, unexpired	1	1	1
3090 Uncollected pymts, Fed sources, end of year	–2	–1	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	146	136	142
3200 Obligated balance, end of year	136	142	147
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	147	148	155
Outlays, gross:			
4010 Outlays from new discretionary authority	48	46	48
4011 Outlays from discretionary balances	109	102	107
4020 Outlays, gross (total)	157	148	155
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–2	–2	–2
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	1	1	1
4070 Budget authority, net (discretionary)	146	147	154
4080 Outlays, net (discretionary)	155	146	153
4180 Budget authority, net (total)	146	147	154
4190 Outlays, net (total)	155	146	153

The mission of the National Endowment for the Arts is to advance artistic excellence, creativity, and innovation for the benefit of individuals and communities. The Arts Endowment achieves its mission primarily through grant programs, special initiatives and honorific awards. The Arts Endowment supports these projects with public and private partners, including the State arts agencies and regional arts organizations. In 2014, the Arts Endowment will continue to implement *Our Town*, a uniquely arts-based program to strengthen communities of all sizes, and the *NEA / Walter Reed Healing Arts Partnership*, an arts partnership with the Department of Defense bringing creative arts therapy programs to patients at Walter Reed National Military Medical Center.

The National Foundation on the Arts and the Humanities Act of 1965, as amended, also authorizes the Arts Endowment to receive money and other donated property; such gifts may be used, sold, or otherwise disposed of to support arts projects and activities. This presentation also includes the Arts and Artifacts Indemnity Fund, which the Arts Endowment administers on behalf of the Federal Council on the Arts and the Humanities.

Object Classification (in millions of dollars)

Identification code 59–0100–0–1–503	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	14	14	14
11.3 Other than full-time permanent	2	2	2
11.9 Total personnel compensation	16	16	16
12.1 Civilian personnel benefits	5	5	5
23.1 Rental payments to GSA	3	3	3
25.1 Advisory and assistance services	2	2	2
25.2 Other services from non-Federal sources	2	3	2
25.3 Other goods and services from Federal sources	1	3	1
25.8 Subsistence and support of persons	1	1	1
41.0 Grants, subsidies, and contributions	115	119	127
99.0 Direct obligations	145	152	157
99.0 Reimbursable obligations	1	1	1
99.5 Below reporting threshold	1	1	2

99.9	Total new obligations	147	154	160

Employment Summary

Identification code 59–0100–0–1–503		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	158	164	162

Trust Funds

GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE ARTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 59–8040–0–7–503		2012 actual	2013 CR	2014 est.
0100	Balance, start of year	.....	.....	.....
	Receipts:			
0220	Gifts and Donations, National Endowment for the Arts	1	1	1
0400	Total: Balances and collections	1	1	1
	Appropriations:			
0500	Gifts and Donations, National Endowment for the Arts	–1	–1	–1
0799	Balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 59–8040–0–7–503		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0102	Permanent authority	.....	1	1
0900	Total new obligations (object class 99.5)	.....	1	1
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2	3	3
	Budget authority:			
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	1	1	1
1260	Appropriations, mandatory (total)	1	1	1
1930	Total budgetary resources available	3	4	4
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	3	3	3
	Change in obligated balance:			
	Unpaid obligations:			
3010	Obligations incurred, unexpired accounts	.....	1	1
3020	Outlays (gross)	.....	–1	–1
	Budget authority and outlays, net:			
	Mandatory:			
4090	Budget authority, gross	1	1	1
	Outlays, gross:			
4100	Outlays from new mandatory authority	.....	1	1
4180	Budget authority, net (total)	1	1	1
4190	Outlays, net (total)	.....	1	1
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	1	.....	1
5001	Total investments, EOY: Federal securities: Par value	.....	1	1

NATIONAL ENDOWMENT FOR THE HUMANITIES

Federal Funds

GRANTS AND ADMINISTRATION

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, $154,465,000, to remain available until expended, of which $143,215,000 shall be available for support of activities in the humanities, pursuant to section 7(c) of the Act and for administering the functions of the Act; and $11,250,000 shall be available to carry out the matching grants program pursuant to section 10(a)(2) of the Act including $8,850,000 for the purposes of section 7(h): Provided, That appropriations for carrying out section 10(a)(2) shall be available for obligation only in such amounts as may be equal to the total amounts of gifts, bequests, and devises of money, and other property accepted by the chairman or by grantees of the Endowment under the provisions of subsections 11(a)(2)(B) and 11(a)(3)(B) during the current and preceding fiscal years for which equal amounts have not previously been appropriated.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 59–0200–0–1–503		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0001	Promotion of the humanities	120	123	129
0004	Administration	28	30	27
0900	Total new obligations	148	153	156
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	4	4	.....
1021	Recoveries of prior year unpaid obligations	2	2	2
1050	Unobligated balance (total)	6	6	2
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	146	147	154
1160	Appropriation, discretionary (total)	146	147	154
1900	Budget authority (total)	146	147	154
1930	Total budgetary resources available	152	153	156
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	4	.....	.....
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	151	146	142
3010	Obligations incurred, unexpired accounts	148	153	156
3020	Outlays (gross)	–151	–155	–153
3040	Recoveries of prior year unpaid obligations, unexpired	–2	–2	–2
3050	Unpaid obligations, end of year	146	142	143
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	151	146	142
3200	Obligated balance, end of year	146	142	143
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	146	147	154
	Outlays, gross:			
4010	Outlays from new discretionary authority	62	74	77
4011	Outlays from discretionary balances	89	81	76
4020	Outlays, gross (total)	151	155	153
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4180	Budget authority, net (total)	146	147	154
4190	Outlays, net (total)	151	155	153

The National Endowment for the Humanities (NEH) supports education, scholarship, and research and development in the humanities; preserves America's cultural and intellectual resources; and provides opportunities for all Americans to engage in learning in the humanities. In 2014, NEH will continue to support partnerships with state humanities councils; the strengthening of humanities teaching and learning in the nation's schools and institutions of higher education; basic research and original scholarship in the humanities; innovative use of digital information technology; efforts to preserve and increase access to books, U.S. newspapers, documents, and other reference materials; and museum exhibitions, documentary films, radio programming, and reading programs that reach millions of Americans. In 2014, NEH will also support a special initiative, "Bridging Cultures," that will enhance understanding of America's rich cultural heritage, as well as the cultural complexity of the world in which we live.

GRANTS AND ADMINISTRATION—Continued

Support is provided through outright grants, matching grants, and a combination of the two. Eligible applicants include state humanities councils, educational institutions, libraries, archives, museums, historical organizations, and other scholarly and cultural institutions and organizations. Support is also provided to individuals for advanced research and scholarship in the humanities.

This presentation also includes the Gifts and Donations account. The National Foundation on the Arts and the Humanities Act of 1965, as amended, authorizes the Humanities Endowment to receive money and other donated property. Such gifts may be used, sold, or otherwise disposed of to support humanities projects and activities. Budget authority in this schedule reflects cash received each year by the Endowment.

Object Classification (in millions of dollars)

Identification code 59–0200–0–1–503	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	16	15	15
12.1 Civilian personnel benefits	4	4	4
23.1 Rental payments to GSA	3	3	3
25.2 Other services from non-Federal sources	5	8	5
41.0 Grants, subsidies, and contributions	120	123	129
99.9 Total new obligations	148	153	156

Employment Summary

Identification code 59–0200–0–1–503	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	158	154	154
2001 Reimbursable civilian full-time equivalent employment	3	3	.....

Trust Funds

GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE HUMANITIES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 59–8050–0–7–503	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	.....	.....
Receipts:			
0220 Gifts and Donations, National Endowment for the Humanities	1	1	1
0400 Total: Balances and collections	1	1	1
Appropriations:			
0500 Gifts and Donations, National Endowment for the Humanities	–1	–1	–1
0799 Balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 59–8050–0–7–503	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Promotion of the humanities	2	1	1
0900 Total new obligations (object class 41.0)	2	1	1
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	.....	.....
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1	1	1
1260 Appropriations, mandatory (total)	1	1	1
1930 Total budgetary resources available	2	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	.....	1	1
3010 Obligations incurred, unexpired accounts	2	1	1
3020 Outlays (gross)	–1	–1	–1
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	.....	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority	1	1	1
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)	1	1	1

ADMINISTRATIVE PROVISIONS

None of the funds appropriated to the National Foundation on the Arts and the Humanities may be used to process any grant or contract documents which do not include the text of 18 U.S.C. 1913: Provided, That none of the funds appropriated to the National Foundation on the Arts and the Humanities may be used for official reception and representation expenses: Provided further, That funds from nonappropriated sources may be used as necessary for official reception and representation expenses: Provided further, That the Chairperson of the National Endowment for the Arts may approve grants of up to $10,000, if in the aggregate this amount does not exceed 5 percent of the sums appropriated for grantmaking purposes per year: Provided further, That such small grant actions are taken pursuant to the terms of an expressed and direct delegation of authority from the National Council on the Arts to the Chairperson.

NATIONAL INFRASTRUCTURE BANK

Federal Funds

NATIONAL INFRASTRUCTURE BANK PROGRAM ACCOUNT

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 95–3740–4–1–452	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy	.....	.....	58
0702 Loan guarantee subsidy	.....	.....	18
0709 Administrative expenses	.....	.....	14
0900 Total new obligations	.....	.....	90
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	.....	.....	10,000
1260 Appropriations, mandatory (total)	.....	.....	10,000
1930 Total budgetary resources available	.....	.....	10,000
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	.....	.....	9,910
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	.....	.....	90
3020 Outlays (gross)	.....	.....	–22
3050 Unpaid obligations, end of year	.....	.....	68
Memorandum (non-add) entries:			
3200 Obligated balance, end of year	.....	.....	68
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	.....	.....	10,000
Outlays, gross:			
4100 Outlays from new mandatory authority	.....	.....	22
4180 Budget authority, net (total)	.....	.....	10,000
4190 Outlays, net (total)	.....	.....	22

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 95–3740–4–1–452	2012 actual	2013 CR	2014 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Infrastructure Direct Loans (Legislative Proposal)	.....	.....	500
115999 Total direct loan levels	.....	.....	500
Direct loan subsidy (in percent):			
132001 Infrastructure Direct Loans (Legislative Proposal)	.....	.....	11.57
132999 Weighted average subsidy rate	.....	.....	11.57
Direct loan subsidy budget authority:			
133001 Infrastructure Direct Loans (Legislative Proposal)	.....	.....	58
133999 Total subsidy budget authority	.....	.....	58
Direct loan subsidy outlays:			
134001 Infrastructure Direct Loans (Legislative Proposal)	.....	.....	6
134999 Total subsidy outlays	.....	.....	6
Guaranteed loan levels supportable by subsidy budget authority:			
215001 Infrastructure Loan Guarantees (Legislative Proposal)	.....	.....	200
215999 Total loan guarantee levels	.....	.....	200
Guaranteed loan subsidy (in percent):			
232001 Infrastructure Loan Guarantees (Legislative Proposal)	.....	.....	8.85
232999 Weighted average subsidy rate	.....	.....	8.85
Guaranteed loan subsidy budget authority:			
233001 Infrastructure Loan Guarantees (Legislative Proposal)	.....	.....	18
233999 Total subsidy budget authority	.....	.....	18
Guaranteed loan subsidy outlays:			
234001 Infrastructure Loan Guarantees (Legislative Proposal)	.....	.....	2
234999 Total subsidy outlays	.....	.....	2
Guaranteed loan downward reestimates:			
Administrative expense data:			
3510 Budget authority	.....	.....	14
3590 Outlays from new authority	.....	.....	14

To direct Federal resources for infrastructure to projects that demonstrate the most merit and may be difficult to fund under the current patchwork of Federal programs, the President has called for the creation of an independent, non-partisan National Infrastructure Bank (NIB), led by infrastructure and financial experts. The NIB would offer broad eligibility and unbiased selection for transportation, water, and energy infrastructure projects. Projects would have a clear public benefit, meet rigorous economic, technical and environmental standards, and be backed by a dedicated revenue stream. Geographic, sector, and size considerations would also be taken into account. Interest rates on loans issued by the NIB would be indexed to United States Treasury rates, and the maturity could be extended up to 35 years, giving the NIB the ability to be a patient partner side-by-side with State, local, and private co-investors. To maximize leverage from Federal investments, the NIB would finance no more than 50 percent of the total costs of any project.

Object Classification (in millions of dollars)

Identification code 95–3740–4–1–452	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	.....	.....	10
25.1 Advisory and assistance services	.....	.....	2
25.2 Other services from non-Federal sources	.....	.....	2
33.0 Investments and loans	.....	.....	76
99.9 Total new obligations	.....	.....	90

Employment Summary

Identification code 95–3740–4–1–452	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	.....	.....	50

National Infrastructure Bank Direct Loan Financing Account

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 95–4427–4–3–452	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	.....	.....	500
0900 Total new obligations	.....	.....	500
Budgetary Resources:			
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	.....	.....	49
1440 Borrowing authority, mandatory (total)	.....	.....	49
Spending authority from offsetting collections, mandatory:			
1800 Collected	.....	.....	6
1850 Spending auth from offsetting collections, mand (total)	.....	.....	6
1900 Financing authority (total)	.....	.....	55
1930 Total budgetary resources available	.....	.....	55
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	.....	.....	–445
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	.....	.....	500
3020 Financing disbursements (gross)	.....	.....	–56
3050 Unpaid obligations, end of year	.....	.....	444
Memorandum (non-add) entries:			
3200 Obligated balance, end of year	.....	.....	444
Financing authority and disbursements, net:			
Mandatory:			
4090 Financing authority, gross	.....	.....	55
Financing disbursements:			
4110 Financing disbursements, gross	.....	.....	56
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources	.....	.....	–6
4180 Financing authority, net (total)	.....	.....	49
4190 Financing disbursements, net (total)	.....	.....	50

Status of Direct Loans (in millions of dollars)

Identification code 95–4427–4–3–452	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation	.....	.....	500
1150 Total direct loan obligations	.....	.....	500
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	.....	.....	.....
1231 Disbursements: Direct loan disbursements	.....	.....	56
1290 Outstanding, end of year	.....	.....	56

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records cash flows to and from the Government resulting from direct loans made from the National Infrastructure Bank. The amounts in this account are a means of financing and are not included in the budget totals.

National Infrastructure Bank Loan Guarantee Financing Account

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 95–4428–4–3–452	2012 actual	2013 CR	2014 est.
Budgetary Resources:			
Financing authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	.....	.....	2

NATIONAL INFRASTRUCTURE BANK LOAN GUARANTEE FINANCING ACCOUNT—Continued

Program and Financing—Continued

Identification code 95–4428–4–3–452	2012 actual	2013 CR	2014 est.
1850 Spending auth from offsetting collections, mand (total)			2
1900 Financing authority (total)			2
1930 Total budgetary resources available			2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			2
Financing authority and disbursements, net:			
Mandatory:			
4090 Financing authority, gross			2
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources			–2
4190 Financing disbursements, net (total)			–2

Status of Guaranteed Loans (in millions of dollars)

Identification code 95–4428–4–3–452	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2131 Guaranteed loan commitments exempt from limitation			200
2150 Total guaranteed loan commitments			200
2199 Guaranteed amount of guaranteed loan commitments			160
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year			
2231 Disbursements of new guaranteed loans			22
2290 Outstanding, end of year			22
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year			18

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records cash flows to and from the Government resulting from loans guarantees made from the National Infrastructure Bank. The amounts in this account are a means of financing and are not included in the budget totals.

NATIONAL LABOR RELATIONS BOARD

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the National Labor Relations Board to carry out the functions vested in it by the Labor-Management Relations Act, 1947, and other laws, $284,991,000: Provided, That no part of this appropriation shall be available to organize or assist in organizing agricultural laborers or used in connection with investigations, hearings, directives, or orders concerning bargaining units composed of agricultural laborers as referred to in section 2(3) of the Act of July 5, 1935, and as amended by the Labor-Management Relations Act, 1947, and as defined in section 3(f) of the Act of June 25, 1938, and including in said definition employees engaged in the maintenance and operation of ditches, canals, reservoirs, and waterways when maintained or operated on a mutual, nonprofit basis and at least 95 percent of the water stored or supplied thereby is used for farming purposes.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 63–0100–0–1–505	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Field investigation	224	226	230
0002 Administrative law judge hearing	12	12	12
0003 Board adjudication	26	26	26
0004 Securing compliance with Board orders	15	15	16
0005 Internal Review	1	1	1
0900 Total new obligations	278	280	285
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	278	280	285
1160 Appropriation, discretionary (total)	278	280	285
1930 Total budgetary resources available	278	280	285
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	22	21	26
3010 Obligations incurred, unexpired accounts	278	280	285
3020 Outlays (gross)	–277	–275	–285
3041 Recoveries of prior year unpaid obligations, expired	–2		
3050 Unpaid obligations, end of year	21	26	26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	22	21	26
3200 Obligated balance, end of year	21	26	26
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	278	280	285
Outlays, gross:			
4010 Outlays from new discretionary authority	260	258	262
4011 Outlays from discretionary balances	17	17	23
4020 Outlays, gross (total)	277	275	285
4180 Budget authority, net (total)	278	280	285
4190 Outlays, net (total)	277	275	285

The Board resolves representation disputes in industry and also remedies and prevents specified unfair labor practices by employers or labor organizations. Case intake and additional program statistics appear in the table below.

PROGRAM STATISTICS

	2012 actual	2013 est.	2014 est.
Case intake:			
Unfair labor practice cases	21,622	21,700	21,700
Representation cases	2,646	2,700	2,700
Administrative law judges:			
Hearings closed	219	225	228
Decisions issued	207	210	211
Board adjudication:			
Contested Board decisions issued	277	279	280
Regional director decisions	169	169	169
Board decisions requiring court enforcement	81	85	92

Field investigation.—Charges of unfair labor practices and petitions for elections to resolve representation disputes are investigated by regional office personnel. Approximately 90–96 percent of merit unfair labor practice cases are closed by settlement, dismissal, or withdrawal. The remainder are prepared for public hearing. About 85–90 percent of representation elections are held pursuant to agreement of the parties. The agency strives to maximize the voluntary settlement of all cases and to avoid litigation.

Administrative law judge hearing.—Administrative law judges conduct public hearings in unfair labor practice cases. Their findings and recommendations are set forth in their decisions.

Board adjudication.—In an unfair labor practice case, a judge's decision becomes a Board order if no exceptions are filed. About 30 percent of these decisions become automatic Board orders or are complied with voluntarily. The remainder, with exceptions filed, require a Board decision. In representation cases, regional directors initially decide the issues by Board delegation. The Board itself decides representation issues on referral from regional directors or by granting a request for review of a regional dir-

ector's decision. The Board also rules on objection and challenge questions in election cases.

Securing compliance with Board orders.—Unlike other federal agencies, Board orders are not self-enforcing in the absence of a timely petition to review. If the parties do not voluntarily comply with a Board order involving unfair labor practices, the Board must request that an appellate court enforce the decision.

Object Classification (in millions of dollars)

Identification code 63–0100–0–1–505		2012 actual	2013 CR	2014 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	169	172	175
12.1	Civilian personnel benefits	45	45	46
21.0	Travel and transportation of persons	3	3	4
23.1	Rental payments to GSA	26	27	27
23.3	Communications, utilities, and miscellaneous charges	7	7	7
25.2	Other services from non-Federal sources	24	23	23
26.0	Supplies and materials	1	1	1
31.0	Equipment	3	2	2
99.9	Total new obligations	278	280	285

Employment Summary

Identification code 63–0100–0–1–505		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	1,640	1,655	1,680

NATIONAL MEDIATION BOARD

Federal Funds

SALARIES AND EXPENSES

For expenses necessary to carry out the provisions of the Railway Labor Act, including emergency boards appointed by the President, $13,347,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–2400–0–1–505		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0001	Mediatory services	6	7	7
0002	Representation services	3	3	3
0003	Arbitration services	4	3	3
0900	Total new obligations	13	13	13
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	13	13	13
1160	Appropriation, discretionary (total)	13	13	13
1930	Total budgetary resources available	13	13	13
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	2	2	2
3010	Obligations incurred, unexpired accounts	13	13	13
3020	Outlays (gross)	–13	–13	–13
3050	Unpaid obligations, end of year	2	2	2
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	2	2	2
3200	Obligated balance, end of year	2	2	2
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	13	13	13
	Outlays, gross:			
4010	Outlays from new discretionary authority	11	12	12
4011	Outlays from discretionary balances	2	1	1
4020	Outlays, gross (total)	13	13	13
4180	Budget authority, net (total)	13	13	13
4190	Outlays, net (total)	13	13	13

Mediatory and alternative dispute resolution (ADR) services.—The National Mediation Board mediates disputes over wages, hours, and working conditions for some 746 rail and air carriers and approximately 795,000 employees in the two industries.

The Board also provides technical assistance to enable labor and industry representatives to explore informally the relevant economic and noneconomic problems that condition collective bargaining in the railroad and airline industries. The Board's ADR program provides collective bargaining training, facilitation, and grievance mediation services to the labor-management community.

	2012 actual	2013 est.	2014 est.
Mediation & ADR cases:			
Pending, start of year	114	124	119
Received during year	85	85	80
Closed during year	75	90	80
Pending, end of year	124	119	119

Employee Representation.—The Board investigates representation disputes involving the various crafts or classes of railroad and airline employees to determine their choice of representatives for the purpose of collective bargaining.

	2012 actual	2013 est.	2014 est.
Representation cases:			
Pending, start of year	2	7	5
Received during year	42	53	53
Closed during year	37	55	55
Pending, end of year	7	5	3
Freedom of Information Act (FOIA) requests received	25	26	27
Investigation cases closed	59	74	60

Emergency disputes.—When the parties fail to resolve their disputes through mediation, they are urged to submit their differences to arbitration. If neither mediation nor voluntary arbitration is successful, the President, when notified of disputes which substantially threaten to interrupt essential service, may appoint emergency boards to investigate and report on the dispute. Such reports usually serve as a basis for resolving the disputes.

	2012 actual	2013 est.	2014 est.
Board created:			
Emergency (sec. 160)	1	1	1
Emergency (sec. 159a)	0	1	1

Arbitration services.—Arbitration is governed by sections 3 and 7 of the Railway Labor Act. Railroad employee grievances resulting from disputes over the interpretation or application of collective bargaining contracts may be brought for settlement to the National Railroad Adjustment Board (NRAB). The divisions of the NRAB are composed of an equal number of carrier and union representatives compensated by the party or parties they represent. Public Law 89–456 provides for the adjustment of disputes involving grievances resulting from interpretation or application of bargaining agreements in the railroad industry and for disputes otherwise referable to the NRAB. In these disputes, the National Mediation Board compensates the neutral party selected to help resolve these grievances.

Administrative direction and support for the public law boards, special boards of adjustment, and the NRAB are provided by Federal employees who are compensated by the National Mediation Board.

	2012 actual	2013 est.	2014 est.
Arbitration cases:			
Pending, start of year	2,384	2,084	2,922
Received during year	3,569	4,659	4,659
Closed during year	3,869	3,821	3,821
Pending, end of year	2,084	2,922	3,760

SALARIES AND EXPENSES—Continued

Object Classification (in millions of dollars)

Identification code 95–2400–0–1–505	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	7	6	6
11.8 Special personal services payments	2	2	2
11.9 Total personnel compensation	9	8	8
12.1 Civilian personnel benefits	1	1	1
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	1	1	1
25.2 Other services from non-Federal sources	1	1	1
99.0 Direct obligations	13	12	12
99.5 Below reporting threshold	.....	1	1
99.9 Total new obligations	13	13	13

Employment Summary

Identification code 95–2400–0–1–505	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	49	48	50

NATIONAL RAILROAD PASSENGER CORPORATION OFFICE OF INSPECTOR GENERAL

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Office of Inspector General for the National Railroad Passenger Corporation to carry out the provisions of the Inspector General Act of 1978, as amended, $25,300,000: Provided, That the Inspector General shall have all necessary authority, in carrying out the duties specified in the Inspector General Act, as amended (5 U.S.C. App. 3), to investigate allegations of fraud, including false statements to the government (18 U.S.C. 1001), by any person or entity that is subject to regulation by the National Railroad Passenger Corporation: Provided further, That the Inspector General may enter into contracts and other arrangements for audits, studies, analyses, and other services with public agencies and with private persons, subject to the applicable laws and regulations that govern the obtaining of such services within the National Railroad Passenger Corporation: Provided further, That the Inspector General may select, appoint, and employ such officers and employees as may be necessary for carrying out the functions, powers, and duties of the Office of Inspector General, subject to the applicable laws and regulations that govern such selections, appointments, and employment within Amtrak: Provided further, That concurrent with the President's budget request for fiscal year 2014, the Inspector General shall submit to the House and Senate Committees on Appropriations a budget request for fiscal year 2014 in similar format and substance to those submitted by executive agencies of the Federal Government.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 48–2996–0–1–401	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Payment to Amtrak IG	20	21	25
0900 Total new obligations (object class 41.0)	20	21	25
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	.....	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	21	21	25
1160 Appropriation, discretionary (total)	21	21	25
1930 Total budgetary resources available	21	22	26
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	7	5	4
3010 Obligations incurred, unexpired accounts	20	21	25
3020 Outlays (gross)	–22	–22	–26
3050 Unpaid obligations, end of year	5	4	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	7	5	4
3200 Obligated balance, end of year	5	4	3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	21	21	25
Outlays, gross:			
4010 Outlays from new discretionary authority	16	21	25
4011 Outlays from discretionary balances	6	1	1
4020 Outlays, gross (total)	22	22	26
4180 Budget authority, net (total)	21	21	25
4190 Outlays, net (total)	22	22	26

The 2014 Budget requests $25 million for the Office of Inspector General (OIG) within the National Railroad Passenger Corporation (Amtrak).

NATIONAL TRANSPORTATION SAFETY BOARD

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the National Transportation Safety Board, including hire of passenger motor vehicles and aircraft; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for a GS–15; uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901–5902), $103,027,000, of which not to exceed $2,000 may be used for official reception and representation expenses. The amounts made available to the National Transportation Safety Board in this Act include amounts necessary to make lease payments on an obligation incurred in fiscal year 2001 for a capital lease.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–0310–0–1–407	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Policy and Direction	13	14	14
0002 Communications	6	6	6
0003 Aviation Safety	31	32	32
0004 Information Technology and Services	8	8	8
0005 Research and Engineering	12	12	12
0006 NTSB Training Center	1	1	1
0007 Administrative Law Judges	2	2	2
0008 Highway Safety	6	7	7
0009 Marine Safety	4	4	4
0010 Railroad, Pipeline, and Hazardous Materials Safety	8	9	9
0011 Administrative Support	12	8	8
0100 Sub-total, Direct obligations	103	103	103
0799 Total direct obligations	103	103	103
0806 Training Center	1	1	1
0811 Subleases	1	1	1
0899 Total reimbursable obligations	2	2	2
0900 Total new obligations	105	105	105
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	6	4	4

	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	102	103	103
1160	Appropriation, discretionary (total)	102	103	103
	Spending authority from offsetting collections, discretionary:			
1700	Collected	2	2	2
1750	Spending auth from offsetting collections, disc (total)	2	2	2
1900	Budget authority (total)	104	105	105
1930	Total budgetary resources available	110	109	109
	Memorandum (non-add) entries:			
1940	Unobligated balance expiring	–1	.....	.....
1941	Unexpired unobligated balance, end of year	4	4	4
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	18	23	24
3010	Obligations incurred, unexpired accounts	105	105	105
3011	Obligations incurred, expired accounts	1	.....	.....
3020	Outlays (gross)	–99	–104	–104
3041	Recoveries of prior year unpaid obligations, expired	–2	.....	.....
3050	Unpaid obligations, end of year	23	24	25
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	18	23	24
3200	Obligated balance, end of year	23	24	25
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	104	105	105
	Outlays, gross:			
4010	Outlays from new discretionary authority	86	84	84
4011	Outlays from discretionary balances	13	20	20
4020	Outlays, gross (total)	99	104	104
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–1	–1	–1
4033	Non-Federal sources	–1	–1	–1
4040	Offsets against gross budget authority and outlays (total)	–2	–2	–2
4070	Budget authority, net (discretionary)	102	103	103
4080	Outlays, net (discretionary)	97	102	102
4180	Budget authority, net (total)	102	103	103
4190	Outlays, net (total)	97	102	102

The National Transportation Safety Board (NTSB) is an independent nonregulatory agency that promotes transportation safety by maintaining independence and objectivity; conducting objective, precise accident investigations and safety studies; performing fair and objective airman and mariner certification appeals; and advocating and promoting NTSB safety recommendations. The NTSB also provides assistance to victims of transportation accidents and their families.

In 2014, the Administration requests a total funding level of $103 million for NTSB Salaries and Expenses to allow the NTSB to fulfill its role in improving safety on the Nation's transportation system.

Object Classification (in millions of dollars)

Identification code 95–0310–0–1–407	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	46	48	48
11.3 Other than full-time permanent	2	3	3
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	50	53	53
12.1 Civilian personnel benefits	15	15	15
21.0 Travel and transportation of persons	3	4	4
23.1 Rental payments to GSA	9	9	9
23.2 Rental payments to others	2	2	2
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	20	15	15
31.0 Equipment	3	4	4
99.0 Direct obligations	103	103	103
99.0 Reimbursable obligations	2	2	2
99.9 Total new obligations	105	105	105

Employment Summary

Identification code 95–0310–0–1–407	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	412	426	423

Emergency Fund

Program and Financing (in millions of dollars)

Identification code 95–0311–0–1–407	2012 actual	2013 CR	2014 est.
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
1930 Total budgetary resources available	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2

The National Transportation Safety Board is mandated by Congress to investigate all catastrophic transportation accidents and; therefore, has no control over the frequency of costly accident investigations. The emergency fund provides a funding mechanism by which periodic accident investigation cost fluctuations can be met without delaying critical phases of the investigations. The current balance of $2 million is sufficient to cover unanticipated costs associated with an increased number of accidents, and thus the Administration does not propose new funding in 2014.

NEIGHBORHOOD REINVESTMENT CORPORATION

Federal Funds

Payment to the Neighborhood Reinvestment Corporation

For payment to the Neighborhood Reinvestment Corporation for use in neighborhood reinvestment activities, as authorized by the Neighborhood Reinvestment Corporation Act (42 U.S.C. 8101–8107), $127,100,000: Provided, That in addition, $77,000,000 shall be made available until expended to the Neighborhood Reinvestment Corporation for mortgage foreclosure mitigation activities, under the following terms and conditions:

(1) The Neighborhood Reinvestment Corporation ("NRC") shall make grants to counseling intermediaries approved by the Department of Housing and Urban Development (HUD) (with match to be determined by the NRC based on affordability and the economic conditions of an area; a match also may be waived by the NRC based on the aforementioned conditions) to provide mortgage foreclosure mitigation assistance primarily to States and areas with high rates of defaults and foreclosures to help eliminate the default and foreclosure of mortgages of owner-occupied single-family homes that are at risk of such foreclosure. Other than areas with high rates of defaults and foreclosures, grants may also be provided to approved counseling intermediaries based on a geographic analysis of the Nation by the NRC which determines where there is a prevalence of mortgages that are risky and likely to fail, including any trends for mortgages that are likely to default and face foreclosure. A State Housing Finance Agency may also be eligible where the State Housing Finance Agency meets all the requirements under this paragraph. A HUD-approved counseling intermediary shall meet certain mortgage foreclosure mitigation assistance counseling requirements, as determined by the NRC, and shall be approved by HUD or the NRC as meeting these requirements.

(2) Mortgage foreclosure mitigation assistance shall only be made available to homeowners of owner-occupied homes with mortgages in default or in danger of default. These mortgages shall likely be subject to a foreclosure action and homeowners will be provided such assistance that shall consist of activities that are likely to prevent foreclosures and result in the long-term affordability of the mortgage retained pursuant to such activity or another positive outcome for the homeowner. No funds made available under this paragraph may be provided directly to lenders or homeowners to discharge outstanding mortgage balances or for any other direct debt reduction payments.

PAYMENT TO THE NEIGHBORHOOD REINVESTMENT CORPORATION—Continued

(3) The use of Mortgage Foreclosure Mitigation Assistance by approved counseling intermediaries and State Housing Finance Agencies shall involve a reasonable analysis of the borrower's financial situation, an evaluation of the current value of the property that is subject to the mortgage, counseling regarding the assumption of the mortgage by another non-Federal party, counseling regarding the possible purchase of the mortgage by a non-Federal third party, counseling and advice of all likely restructuring and refinancing strategies or the approval of a workout strategy by all interested parties.

(4) NRC may provide up to 15 percent of the total funds under this paragraph to its own charter members with expertise in foreclosure prevention counseling, subject to a certification by the NRC that the procedures for selection do not consist of any procedures or activities that could be construed as an unacceptable conflict of interest or have the appearance of impropriety.

(5) HUD-approved counseling entities and State Housing Finance Agencies receiving funds under this paragraph shall have demonstrated experience in successfully working with financial institutions as well as borrowers facing default, delinquency and foreclosure as well as documented counseling capacity, outreach capacity, past successful performance and positive outcomes with documented counseling plans (including post mortgage foreclosure mitigation counseling), loan workout agreements and loan modification agreements. NRC may use other criteria to demonstrate capacity in underserved areas.

(6) Of the total amount made available under this paragraph, up to $3,000,000 may be made available to build the mortgage foreclosure and default mitigation counseling capacity of counseling intermediaries through NRC training courses with HUD-approved counseling intermediaries and their partners, except that private financial institutions that participate in NRC training shall pay market rates for such training.

(7) Of the total amount made available under this paragraph, up to 6 percent may be used for associated administrative expenses for the NRC to carry out activities provided under this section.

(8) Mortgage foreclosure mitigation assistance grants may include a budget for outreach and advertising, and training, as determined by the NRC.

(9) The NRC shall continue to report bi-annually to the House and Senate Committees on Appropriations as well as the Senate Banking Committee and House Financial Services Committee on its efforts to mitigate mortgage default.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 82–1300–0–1–451	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Payment for operations and grants	135	136	127
0002 Foreclosure Prevention	80	80	77
0900 Total new obligations (object class 41.0)	215	216	204
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	215	216	204
1160 Appropriation, discretionary (total)	215	216	204
1930 Total budgetary resources available	215	216	204
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	215	216	204
3020 Outlays (gross)	–215	–216	–204
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	215	216	204
Outlays, gross:			
4010 Outlays from new discretionary authority	215	216	204
4180 Budget authority, net (total)	215	216	204
4190 Outlays, net (total)	215	216	204

The Neighborhood Reinvestment Corporation (NRC), also known as "NeighborWorks America," was established by the Congress in 1978 as a community/public/private partnership providing financial support, technical assistance, and training for affordable housing and community-based revitalization efforts nationwide. Through its core activities, NRC supports more than 3,300 community development organizations across the United States, including more than 235 chartered community-based non-profit organizations that comprise the NeighborWorks network, through professional training and certification, symposiums, development and promotion of industry standards, and provision of information. NRC created its NeighborWorks Center for Foreclosure Solutions and since 2008 has administered the National Foreclosure Mitigation Counseling program. NRC receives both Federal and non-Federal funding to finance its program activities. The Budget proposes $204 million for NRC: $127 million for its operations and grants to network members, and $77 million for foreclosure prevention counseling.

Balance Sheet (in millions of dollars)

Identification code 82–1300–0–1–451	2011 actual	2012 actual
ASSETS:		
Other Federal assets:		
1801 Cash and other monetary assets	14	14
1803 Property, plant and equipment, net	4	4
1999 Total assets	18	18
LIABILITIES:		
Non-Federal liabilities:		
2201 Accounts payable	5	5
2207 Other	2	2
2999 Total liabilities	7	7
NET POSITION:		
3300 Cumulative results of operations	11	11
4999 Total liabilities and net position	18	18

NORTHERN BORDER REGIONAL COMMISSION

Federal Funds

NORTHERN BORDER REGIONAL COMMISSION

For necessary expenses of the Northern Border Regional Commission in carrying out activities authorized by subtitle V of title 40, United States Code, $1,355,000, to remain available until expended: Provided, That such amounts shall be available for administrative expenses, notwithstanding section 15751(b) of title 40, United States Code.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–3742–0–1–452	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Northern Border Regional Commission	1	1	1
0900 Total new obligations (object class 41.0)	1	1	1
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	2	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	1	1
1160 Appropriation, discretionary (total)	1	1	1
1930 Total budgetary resources available	3	3	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	2	2	2

	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1	1	1
3010	Obligations incurred, unexpired accounts	1	1	1
3020	Outlays (gross)	–1	–1	–1
3050	Unpaid obligations, end of year	1	1	1
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1	1	1
3200	Obligated balance, end of year	1	1	1
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	1	1	1
	Outlays, gross:			
4010	Outlays from new discretionary authority	1	1	1
4180	Budget authority, net (total)	1	1	1
4190	Outlays, net (total)	1	1	1

The Northern Border Regional Commission (NBRC), authorized by P.L. 110–234, was established as a Federal-State partnership to provide a comprehensive approach to addressing persistent economic distress in the northern border region. Covering portions of Maine, New Hampshire, New York, and Vermont, NBRC helps coordinate Federal efforts to develop the basic building blocks for economic development, such as transportation and basic public infrastructure, job skills training, and business development.

Employment Summary

Identification code 95–3742–0–1–452	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	1	1	1

NUCLEAR REGULATORY COMMISSION

Federal Funds

Salaries and Expenses

For necessary expenses of the Commission in carrying out the purposes of the Energy Reorganization Act of 1974, as amended, and the Atomic Energy Act of 1954, as amended, including official representation expenses (not to exceed $25,000), $1,043,937,000, to remain available until expended: Provided, That revenues from licensing fees, inspection services, and other services and collections estimated at $920,721,000 in fiscal year 2014 shall be retained and used for necessary salaries and expenses in this account, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: Provided further, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year 2014 so as to result in a final fiscal year 2014 appropriation estimated at not more than $123,216,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 31–0200–0–1–276	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	1	1
Receipts:			
0260 Nuclear Facility Fees, Nuclear Regulatory Commission	895	864	877
0261 Nuclear Facility Fees, Nuclear Regulatory Commission	.....	51	54
0299 Total receipts and collections	895	915	931
0400 Total: Balances and collections	895	916	932
Appropriations:			
0500 Salaries and Expenses	–884	–905	–921
0501 Office of Inspector General	–10	–10	–10
0599 Total appropriations	–894	–915	–931
0799 Balance, end of year	1	1	1

Program and Financing (in millions of dollars)

Identification code 31–0200–0–1–276	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Nuclear Reactor Safety	822	826	812
0005 Nuclear Materials and Waste Safety	203	239	232
0799 Total direct obligations	1,025	1,065	1,044
0801 Reimbursable program	9	10	10
0900 Total new obligations	1,034	1,075	1,054
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	48	62	45
1021 Recoveries of prior year unpaid obligations	14	14	14
1050 Unobligated balance (total)	62	76	59
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation (General Fund)	143	128	123
1101 Appropriation (NRC receipts)	884	905	921
1160 Appropriation, discretionary (total)	1,027	1,033	1,044
Spending authority from offsetting collections, discretionary:			
1700 Collected	12	11	11
1701 Change in uncollected payments, Federal sources	–5	.....	.....
1750 Spending auth from offsetting collections, disc (total)	7	11	11
1900 Budget authority (total)	1,034	1,044	1,055
1930 Total budgetary resources available	1,096	1,120	1,114
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	62	45	60
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	359	302	297
3010 Obligations incurred, unexpired accounts	1,034	1,075	1,054
3020 Outlays (gross)	–1,077	–1,066	–1,058
3040 Recoveries of prior year unpaid obligations, unexpired	–14	–14	–14
3050 Unpaid obligations, end of year	302	297	279
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–13	–8	–8
3070 Change in uncollected pymts, Fed sources, unexpired	5	.....	.....
3090 Uncollected pymts, Fed sources, end of year	–8	–8	–8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	346	294	289
3200 Obligated balance, end of year	294	289	271
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,034	1,044	1,055
Outlays, gross:			
4010 Outlays from new discretionary authority	800	786	794
4011 Outlays from discretionary balances	277	280	264
4020 Outlays, gross (total)	1,077	1,066	1,058
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–4	–5	–5
4033 Non-Federal sources	–8	–6	–6
4040 Offsets against gross budget authority and outlays (total)	–12	–11	–11
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	5	.....	.....
4070 Budget authority, net (discretionary)	1,027	1,033	1,044
4080 Outlays, net (discretionary)	1,065	1,055	1,047
4180 Budget authority, net (total)	1,027	1,033	1,044
4190 Outlays, net (total)	1,065	1,055	1,047

Nuclear Reactor Safety.—The Nuclear Reactor Safety program encompasses NRC efforts to license, regulate, and oversee civilian nuclear power and research and test reactors to ensure they are operated in a manner that adequately protects public health and safety and the environment. This program also provides high assurance of the physical security of facilities and contributes to the NRC's Safety and Security goals through activities of the Operating Reactors and New Reactors Business Lines. Activities include: licensing, oversight, rulemaking, international activities, homeland security, event response, and research. These efforts

SALARIES AND EXPENSES—Continued

include the safe and secure development of new power reactors from design, site approval, and construction to operational status.

Nuclear Materials and Waste Safety.—Nuclear Materials and Safety program encompasses the NRC's efforts to license, regulate, and oversee nuclear materials and waste in a manner that adequately protects public health and safety and the environment. This program provides high assurance of physical security of the most risk-significant materials and waste, and protection against radiological sabotage, theft, or diversion of nuclear materials. Through this program, the NRC regulates: uranium processing and fuel facilities; research and pilot facilities; nuclear materials users (medical, industrial, research, academic); spent fuel storage; spent fuel storage casks and transportation packaging; decontamination and decommissioning of facilities; and low-level and high-level radioactive waste. This program contributes to the NRC's Safety and Security goals through the activities of the Fuel Facilities, Nuclear Materials Users, Spent Fuel Storage and Transportation, and Decommissioning and Low-Level Waste Business Lines, which are responsible for regulating byproduct, source, and special nuclear materials.

Object Classification (in millions of dollars)

Identification code 31–0200–0–1–276		2012 actual	2013 CR	2014 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	441	452	459
11.3	Other than full-time permanent	6	6	6
11.5	Other personnel compensation	11	12	12
11.8	Special personal services payments	1	1	1
11.9	Total personnel compensation	459	471	478
12.1	Civilian personnel benefits	131	134	137
21.0	Travel and transportation of persons	27	30	28
22.0	Transportation of things	1	2	2
23.1	Rental payments to GSA	35	38	36
23.2	Rental payments to others	2	2	2
23.3	Communications, utilities, and miscellaneous charges	9	12	10
24.0	Printing and reproduction	1	2	2
25.2	Other services from non-Federal sources	180	182	180
25.3	Other goods and services from Federal sources	91	95	95
25.4	Operation and maintenance of facilities	6	9	9
25.7	Operation and maintenance of equipment	13	17	16
26.0	Supplies and materials	3	5	4
31.0	Equipment	20	22	21
32.0	Land and structures	22	24	22
41.0	Grants, subsidies, and contributions	25	20	2
99.0	Direct obligations	1,025	1,065	1,044
99.0	Reimbursable obligations	9	10	10
99.9	Total new obligations	1,034	1,075	1,054

Employment Summary

Identification code 31–0200–0–1–276		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	3,774	3,870	3,829
2001	Reimbursable civilian full-time equivalent employment	14	23	16

OFFICE OF INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, $11,105,000, to remain available until September 30, 2015: Provided, That revenues from licensing fees, inspection services, and other services and collections estimated at $9,994,000 in fiscal year 2014 shall be retained and be available until September 30, 2015, for necessary salaries and expenses in this account, notwithstanding section 3302 of title 31, United States Code: Provided further, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year 2014 so as to result in a final fiscal year 2014 appropriation estimated at not more than $1,111,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 31–0300–0–1–276		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0001	Inspector General	11	11	11
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	1	1
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	1	1	1
1101	Appropriation (special or trust fund)	10	10	10
1160	Appropriation, discretionary (total)	11	11	11
1930	Total budgetary resources available	12	12	12
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1	2	2
3010	Obligations incurred, unexpired accounts	11	11	11
3020	Outlays (gross)	–10	–11	–11
3050	Unpaid obligations, end of year	2	2	2
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	1	2	2
3200	Obligated balance, end of year	2	2	2
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	11	11	11
	Outlays, gross:			
4010	Outlays from new discretionary authority	10	9	9
4011	Outlays from discretionary balances	.....	2	2
4020	Outlays, gross (total)	10	11	11
4180	Budget authority, net (total)	11	11	11
4190	Outlays, net (total)	10	11	11

In accordance with the Inspector General Act of 1978, as amended, the OIG's mission is to (1) independently and objectively conduct and supervise audits and investigations related to NRC programs and operations, (2) prevent and detect fraud, waste, and abuse, and (3) promote economy, efficiency and effectiveness in the NRC programs and operations. The OIG carries out its mission through its Audit and Investigations Programs.

Object Classification (in millions of dollars)

Identification code 31–0300–0–1–276		2012 actual	2013 CR	2014 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	6	7	7
12.1	Civilian personnel benefits	3	3	3
25.2	Other services from non-Federal sources	2	1	1
99.9	Total new obligations	11	11	11

Employment Summary

Identification code 31–0300–0–1–276	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	58	58	58

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
31–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	.....	1	1
General Fund Offsetting receipts from the public	.....	1	1

NUCLEAR WASTE TECHNICAL REVIEW BOARD

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Nuclear Waste Technical Review Board, as authorized by Public Law 100–203, section 5051, $3,400,000 to be derived from the Nuclear Waste Fund, and to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 48–0500–0–1–271	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Technical and scientific activities	3	3	3
0900 Total new obligations	3	3	3
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	3	3	3
1160 Appropriation, discretionary (total)	3	3	3
1930 Total budgetary resources available	4	4	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 Obligations incurred, unexpired accounts	3	3	3
3020 Outlays (gross)	–3	–3	–3
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	3	3
Outlays, gross:			
4010 Outlays from new discretionary authority	3	3	3
4180 Budget authority, net (total)	3	3	3
4190 Outlays, net (total)	3	3	3

As mandated by the Nuclear Waste Policy Amendments Act of 1987, the Nuclear Waste Technical Review Board (Board) evaluates the technical and scientific validity of all activities undertaken by the Department of Energy (DOE) related to the management and disposition of spent nuclear fuel and high level radioactive waste. The purpose of the Board is to provide independent expert advice to DOE and the Congress on technical issues and to review DOE's efforts to implement the Nuclear Waste Policy Act. The Board must report its findings, conclusions and recommendations at least two times per year to Congress and the Secretary of Energy.

Object Classification (in millions of dollars)

Identification code 48–0500–0–1–271	2012 actual	2013 CR	2014 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	2	2	2
99.5 Below reporting threshold	1	1	1
99.9 Total new obligations	3	3	3

Employment Summary

Identification code 48–0500–0–1–271	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	12	12	13

OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION

Federal Funds

SALARIES AND EXPENSES

For expenses necessary for the Occupational Safety and Health Review Commission, $12,634,830.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–2100–0–1–554	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Commission review	5	5	5
0002 Administrative law judge determinations	4	5	6
0003 Executive direction	2	2	2
0900 Total new obligations	11	12	13
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	.....	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	12	12	13
1160 Appropriation, discretionary (total)	12	12	13
1930 Total budgetary resources available	12	13	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	4
3010 Obligations incurred, unexpired accounts	11	12	13
3020 Outlays (gross)	–11	–10	–12
3050 Unpaid obligations, end of year	2	4	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	2	4
3200 Obligated balance, end of year	2	4	5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	12	12	13
Outlays, gross:			
4010 Outlays from new discretionary authority	10	10	11
4011 Outlays from discretionary balances	1	.....	1

SALARIES AND EXPENSES—Continued

Program and Financing—Continued

Identification code 95–2100–0–1–554	2012 actual	2013 CR	2014 est.
4020 Outlays, gross (total)	11	10	12
4180 Budget authority, net (total)	12	12	13
4190 Outlays, net (total)	11	10	12

The Occupational Safety and Health Review Commission, established by the Occupational Safety and Health Act of 1970, adjudicates contested enforcement actions of the Secretary of Labor. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

Object Classification (in millions of dollars)

Identification code 95–2100–0–1–554	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	7	7	8
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	1	1	2
99.0 Direct obligations	10	10	12
99.5 Below reporting threshold	1	2	1
99.9 Total new obligations	11	12	13

Employment Summary

Identification code 95–2100–0–1–554	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	59	65	69

OFFICE OF GOVERNMENT ETHICS

Federal Funds

SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Government Ethics pursuant to the Ethics in Government Act of 1978, the Ethics Reform Act of 1989, and the STOCK Act of 2012, including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and not to exceed $1,500 for official reception and representation expenses, $15,325,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–1100–0–1–805	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	14	19	15
0801 Reimbursable program activity	.....	1	1
0900 Total new obligations	14	20	16
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	14	19	15
1160 Appropriation, discretionary (total)	14	19	15
Spending authority from offsetting collections, discretionary:			
1700 Collected	.....	1	1
1750 Spending auth from offsetting collections, disc (total)	.....	1	1
1900 Budget authority (total)	14	20	16
1930 Total budgetary resources available	14	20	16
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	3	3
3010 Obligations incurred, unexpired accounts	14	20	16
3020 Outlays (gross)	–14	–20	–16
3050 Unpaid obligations, end of year	3	3	3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	3	3
3200 Obligated balance, end of year	3	3	3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	14	20	16
Outlays, gross:			
4010 Outlays from new discretionary authority	12	18	14
4011 Outlays from discretionary balances	2	2	2
4020 Outlays, gross (total)	14	20	16
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	.....	–1	–1
4180 Budget authority, net (total)	14	19	15
4190 Outlays, net (total)	14	19	15

The United States Office of Government Ethics (OGE) was established by the Ethics in Government Act of 1978 (EIGA) to provide direction and oversight of, and accountability for, policies designed to prevent and resolve conflicts of interest involving Executive Branch officers and employees. OGE is charged with promoting high ethical standards for Executive Branch employees. To carry out these responsibilities, OGE promulgates and maintains enforceable regulations governing ethical conduct for approximately four million civilian employees and uniformed service members serving in more than 130 Executive Branch agencies as well as the White House. OGE oversees two financial disclosure systems. The first covers more than 28,000 public financial disclosure reports in a system that reaches, among others, the 1,200 most senior officials in the Executive Branch, appointed by the President with the advice and consent of the Senate, who must be certified by the OGE Director as being in compliance with all applicable ethics laws. The second, a confidential financial disclosure report system, reaches another 325,000 employees who serve in less senior positions but who nonetheless have responsibilities that create a higher risk for conflict of interest. OGE exercises its oversight responsibilities by reviewing and evaluating agency ethic programs across the Executive Branch to ensure compliance with the conflict of interest laws and ethics regulations and to enhance agencies' abilities to meet ethics program requirements. The agency also provides education and training to nearly 6,000 ethics officials throughout the Executive Branch to help ensure that the services provided to employees are current and informed. OGE promotes good governance through mutually informative interactions with the private sector, non-profit groups, and the general public, as well as by sharing good practices with and providing technical assistance to state, local, and foreign governments, and international organizations.

Object Classification (in millions of dollars)

Identification code 95–1100–0–1–805	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	8	9	8
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	9	10	9
12.1 Civilian personnel benefits	2	2	2
23.1 Rental payments to GSA	2	2	2
25.2 Other services from non-Federal sources	.....	4	2
25.3 Other goods and services from Federal sources	1	1	.....
99.0 Direct obligations	14	19	15
99.0 Reimbursable obligations	.....	1	1

99.9	Total new obligations	14	20	16

Employment Summary

Identification code 95–1100–0–1–805		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	71	82	82

OFFICE OF NAVAJO AND HOPI INDIAN RELOCATION

Federal Funds

Salaries and Expenses

For necessary expenses of the Office of Navajo and Hopi Indian Relocation as authorized by Public Law 93–531, $8,100,000, to remain available until expended, pursuant to 25 U.S.C. 640d-10.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 48–1100–0–1–808		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0001	Operation of relocation office	5	5	5
0003	Relocation payments (housing)	3	3	3
0900	Total new obligations	8	8	8
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	8	8	8
1160	Appropriation, discretionary (total)	8	8	8
1930	Total budgetary resources available	8	8	8
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	3	2	2
3010	Obligations incurred, unexpired accounts	8	8	8
3020	Outlays (gross)	–9	–8	–8
3050	Unpaid obligations, end of year	2	2	2
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	3	2	2
3200	Obligated balance, end of year	2	2	2
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	8	8	8
	Outlays, gross:			
4010	Outlays from new discretionary authority	8	6	6
4011	Outlays from discretionary balances	1	2	2
4020	Outlays, gross (total)	9	8	8
4180	Budget authority, net (total)	8	8	8
4190	Outlays, net (total)	9	8	8

The Office of Navajo and Hopi Indian Relocation was established by Public Law 93–531 to plan and conduct relocation activities associated with the settlement of a land dispute in northern Arizona between the two tribes.

Bonuses are paid to clients who volunteered for relocation prior to July 7, 1985. Relocation of clients includes such activities as certification, housing acquisition and construction, and land acquisition. Discretionary funds will be used for activities which will facilitate and expedite the overall relocation effort.

Object Classification (in millions of dollars)

Identification code 48–1100–0–1–808		2012 actual	2013 CR	2014 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	3	3	3
12.1	Civilian personnel benefits	1	1	1
25.2	Other services from non-Federal sources	1	1	1
32.0	Land and structures	3	3	3
99.9	Total new obligations	8	8	8

Employment Summary

Identification code 48–1100–0–1–808		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	39	39	39

OFFICE OF SPECIAL COUNSEL

Federal Funds

Salaries and Expenses

For necessary expenses to carry out functions of the Office of Special Counsel pursuant to Reorganization Plan Numbered 2 of 1978, the Civil Service Reform Act of 1978 (Public Law 95–454), the Whistleblower Protection Act of 1989 (Public Law 101–12), as amended Public Law 107–304, the Whistleblower Protection Enhancement Act of 2012 (Public Law 112–199), and the Uniformed Services Employment and Reemployment Rights Act of 1994 (Public Law 103–353), including services as authorized by 5 U.S.C. 3109, payment of fees and expenses for witnesses, rental of conference rooms in the District of Columbia and elsewhere, and hire of passenger motor vehicles; $20,639,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 62–0100–0–1–805		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0001	Investigation and prosecution of reprisals for whistle blowing	19	20	21
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	.....	1	1
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	19	20	21
1160	Appropriation, discretionary (total)	19	20	21
	Spending authority from offsetting collections, discretionary:			
1700	Collected	1	.....	.....
1750	Spending auth from offsetting collections, disc (total)	1	.....	.....
1900	Budget authority (total)	20	20	21
1930	Total budgetary resources available	20	21	22
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1	1	1
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	3	2	4
3010	Obligations incurred, unexpired accounts	19	20	21
3020	Outlays (gross)	–20	–18	–20
3050	Unpaid obligations, end of year	2	4	5
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	3	2	4
3200	Obligated balance, end of year	2	4	5
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	20	20	21
	Outlays, gross:			
4010	Outlays from new discretionary authority	18	18	19

SALARIES AND EXPENSES—Continued

Program and Financing—Continued

Identification code 62–0100–0–1–805	2012 actual	2013 CR	2014 est.
4011 Outlays from discretionary balances	2	.....	1
4020 Outlays, gross (total)	20	18	20
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–1	.....	.....
4180 Budget authority, net (total)	19	20	21
4190 Outlays, net (total)	19	18	20

The Office of Special Counsel (OSC): 1) investigates Federal employee and applicant allegations of prohibited personnel practices (including reprisal for whistleblowing) and other activities prohibited by civil service law, and when appropriate, prosecutes before the Merit Systems Protection Board (MSPB); 2) provides a safe channel for whistleblowing by Federal employees and applicants; 3) investigates and enforces the Uniform Services Employment and Reemployment Rights Act (USERRA); and 4) advises on and enforces the Hatch Act. OSC may transmit whistleblower allegations to the agency head concerned and require an agency investigation. OSC then submits a report to the Congress and the President when appropriate.

Overall in 2012 a record 4,796 cases were submitted to OSC for assistance or action by Federal employees and other persons, an increase of 19 percent over the previous year. Of this total, 2,969 were prohibited personnel practice cases, an increase of almost 15 percent from the prior year. In 2012, OSC resolved 4,374 matters, 11 percent more than it had just two years prior, and more than any one-year in the agency's 35-year history. At the same time, OSC also obtained 159 favorable actions on behalf of whistleblowers and the merit system, an 89 percent increase over the prior year, and an all-time high. OSC also obtained 34 corrective actions in response to Hatch Act complaints of prohibited political activity in the public workplace, and provided guidance in response to thousands of requests for assistance during an election year.

During 2012, OSC further increased its efficiency and capacity for resolving cases by enhancing its mediation program. A record 18 cases were successfully resolved through alternative dispute resolution, an alternative to costly and time-consuming investigations. During 2012, OSC's Disclosure Unit, which processed and closed a record 1,053 whistleblower disclosures of fraud, waste and abuse, referred 36 disclosures to the President and Congress—an increase of 63% over the prior year. OSC also received a record 176 USERRA cases in 2012, and achieved employment relief or other corrective action on behalf of returning service members in approximately 25% of resolved cases.

Case type	Case Received 2012	Case Process 2012
Prohibited personnel practice complaints	2,969	2,750
Hatch Act complaints	503	449
Whistleblower disclosures	1,148	1,053
USERRA cases	176	122
Totals	4,796	4,374

For 2013, OSC projects intakes for whistleblower disclosure, Hatch Act, and prohibited personnel practice cases will increase 14 percent, on top of the 19% increase in FY 2012. OSC's caseload will continue to increase in light of Congressional enactment of the Whistleblower Protection Enhancement Act, which passed on November 28, 2012. This law expands OSC's jurisdiction to investigate allegations of whistleblower reprisal, and places new mandates on OSC to investigate and correct instances of retaliation in the Federal government. Successful implementation of the law and strong protections for whistleblowers will help to curb instances of waste, fraud, and abuse in government operations.

Overall, the funding requested for 2014 will enable OSC to implement new mandates from Congress, including the Whistleblower Protection Enhancement Act, protect the employment rights of returning service members, manage historically high intake levels, and protect the federal merit system from prohibited personnel and political practices.

Object Classification (in millions of dollars)

Identification code 62–0100–0–1–805	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	13	13	13
12.1 Civilian personnel benefits	4	3	4
23.1 Rental payments to GSA	1	2	2
25.2 Other services from non-Federal sources	1	2	2
99.9 Total new obligations	19	20	21

Employment Summary

Identification code 62–0100–0–1–805	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	114	109	120

OFFICE OF THE FEDERAL COORDINATOR FOR ALASKA NATURAL GAS TRANSPORTATION PROJECTS

Federal Funds

OFFICE OF THE FEDERAL COORDINATOR FOR ALASKA NATURAL GAS TRANSPORTATION PROJECTS

For necessary expenses for the Office of the Federal Coordinator for Alaska Natural Gas Transportation Projects pursuant to the Alaska Natural Gas Pipeline Act of 2004, $1,000,000, to remain available until expended: Provided, That any fees, charges, or commissions received pursuant to section 802 of Public Law 110–140 in fiscal year 2014 in excess of $2,402,000 shall not be available for obligation until appropriated in a subsequent Act of Congress.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–2850–0–1–271	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	.....	.....
Receipts:			
0220 Fees, Charges, and Commissions	.....	2	2
0400 Total: Balances and collections	.....	2	2
Appropriations:			
0500 Office of the Federal Coordinator for Alaska Natural Gas Transportation	.....	–2	–2
0799 Balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 95–2850–0–1–271	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Coordination and review	3	3	3
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	.....	.....

	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	1	1	1
1160	Appropriation, discretionary (total)	1	1	1
	Appropriations, mandatory:			
1201	Appropriation (special or trust fund)	.....	2	2
1260	Appropriations, mandatory (total)	.....	2	2
1900	Budget authority (total)	1	3	3
1930	Total budgetary resources available	3	3	3
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	.....	1	1
3010	Obligations incurred, unexpired accounts	3	3	3
3020	Outlays (gross)	–2	–3	–3
3050	Unpaid obligations, end of year	1	1	1
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	.....	1	1
3200	Obligated balance, end of year	1	1	1
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	1	1	1
	Outlays, gross:			
4010	Outlays from new discretionary authority	1	1	1
4011	Outlays from discretionary balances	1	.....	.....
4020	Outlays, gross (total)	2	1	1
	Mandatory:			
4090	Budget authority, gross	.....	2	2
	Outlays, gross:			
4100	Outlays from new mandatory authority	.....	2	2
4180	Budget authority, net (total)	1	3	3
4190	Outlays, net (total)	2	3	3

The Office of the Federal Coordinator for Alaska Natural Gas Transportation Projects (OFC), established by Public Law 108–324, is an independent agency in the Executive Branch, pursuant to the Alaska Natural Gas Pipeline Act of 2004. The Federal Coordinator is responsible for coordinating all Federal activities for an Alaska natural gas transportation project, including joint surveillance and monitoring with the State of Alaska during construction of a project and for one year following the completion of the project. An Alaska natural gas transportation project could deliver significant natural gas supply to the U.S. lower 48 states.

The five main roles of the OFC are: (1) coordinate the expeditious discharge of all activities by all Federal agencies with respect to an Alaska natural gas transportation project; (2) ensure compliance of a project with either ANGPA or ANGTA; (3) ensure that implementation or enforcement actions do not exceed the limitations established in ANGPA; (4) provide a liaison function to ensure adequate communication with Congress, State of Alaska, Federal and Canadian agencies; and (5) enter into a joint surveillance and monitoring agreement with the State of Alaska for the purpose of monitoring the construction of the Project.

Object Classification (in millions of dollars)

Identification code 95–2850–0–1–271	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
23.1 Rental payments to GSA	1	1	1
25.2 Other services from non-Federal sources	1	1	1
99.9 Total new obligations	3	3	3

Employment Summary

Identification code 95–2850–0–1–271	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	8	4	4

OTHER COMMISSIONS AND BOARDS

Federal Funds

Commission for the Preservation of America's Heritage Abroad

Salaries and Expenses

For necessary expenses for the Commission for the Preservation of America's Heritage Abroad, $690,150, as authorized by section 1303 of Public Law 99–83.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–9911–0–1–999	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	1	1	1
0900 Total new obligations (object class 25.2)	1	1	1
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	1	1
1160 Appropriation, discretionary (total)	1	1	1
1930 Total budgetary resources available	2	2	2
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	1	1	1
3020 Outlays (gross)	–1	–1	–1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority	1	1	1
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)	1	1	1

The Other Commissions and Boards account presents data on small independent commissions and other entities on a consolidated basis.

This consolidated account includes the request for the Commission for the Preservation of America's Heritage Abroad, which helps preserve cultural sites associated with the foreign heritage of Americans by identifying properties, negotiating U.S. agreements with foreign governments, and facilitating private restoration, preservation, and memorialization efforts.

Employment Summary

Identification code 95–9911–0–1–999	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	.....	1	3

PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

Federal Funds

PAYMENT TO THE PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

Program and Financing (in millions of dollars)

Identification code 95–1299–0–1–552	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 General Fund Payment	150	150	150
0900 Total new obligations (object class 94.0)	150	150	150
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	150	150	150
1260 Appropriations, mandatory (total)	150	150	150
1930 Total budgetary resources available	150	150	150
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	150	150	150
3020 Outlays (gross)	–150	–150	–150
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	150	150	150
Outlays, gross:			
4100 Outlays from new mandatory authority	150	150	150
4180 Budget authority, net (total)	150	150	150
4190 Outlays, net (total)	150	150	150

This fund exists for issuance of general fund appropriations to the Patient-Centered Outcomes Research Trust Fund. In accordance with Public Law 111–148, annual appropriations will continue through 2019.

Trust Funds

PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–8299–0–7–552	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	.....	.....
Receipts:			
0200 Fees on Health Insurance and Self-insured Health Plans, PCORTF	.....	178	365
0240 Interest Received by Trust Funds, PCORTF	.....	.....	1
0241 Payment from the General Fund, Patient-Centered Outcomes Research Trust Fund	150	150	150
0242 Transfers from FHI Trust Fund, PCORTF	.....	25	50
0243 Transfers from FSMI Trust Fund, PCORTF	.....	27	57
0299 Total receipts and collections	150	380	623
0400 Total: Balances and collections	150	380	623
Appropriations:			
0500 Patient-Centered Outcomes Research Trust Fund	–150	–380	–623
0799 Balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 95–8299–0–7–552	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Payment to PCORI	120	304	498
0002 Transfer to HHS	30	76	125
0799 Total direct obligations	150	380	623
0801 Reimbursable Collections	.....	120	.....
0900 Total new obligations	150	500	623
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	150	380	623
1260 Appropriations, mandatory (total)	150	380	623
Spending authority from offsetting collections, mandatory:			
1800 Collected	.....	120	.....
1850 Spending auth from offsetting collections, mand (total)	.....	120	.....
1900 Budget authority (total)	150	500	623
1930 Total budgetary resources available	150	500	623
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	41	.....	349
3010 Obligations incurred, unexpired accounts	150	500	623
3020 Outlays (gross)	–191	–151	–325
3050 Unpaid obligations, end of year	.....	349	647
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	41	.....	349
3200 Obligated balance, end of year	.....	349	647
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	150	500	623
Outlays, gross:			
4100 Outlays from new mandatory authority	150	151	220
4101 Outlays from mandatory balances	41	.....	105
4110 Outlays, gross (total)	191	151	325
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	.....	–120	.....
4180 Budget authority, net (total)	150	380	623
4190 Outlays, net (total)	191	31	325
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	41	.....	349
5001 Total investments, EOY: Federal securities: Par value	.....	349	646

Public Law 111–148 authorized the establishment of the Patient-Centered Outcomes Research Trust Fund (PCORTF) to receive amounts from general fund appropriations, fees on health insurance and self-insured plans, transfers from the Federal Hospital Insurance and Federal Supplementary Medical Insurance Trust Funds, and interest earned on investments. Amounts appropriated or credited to the PCORTF are available to the Patient-Centered Outcomes Research Institute and the Secretary of Health and Human Services for carrying out part D of Title XI of the Social Security Act and section 937 of the Public Health Service Act, respectively.

Object Classification (in millions of dollars)

Identification code 95–8299–0–7–552	2012 actual	2013 CR	2014 est.
94.0 Direct obligations: Financial transfers	150	380	623
99.0 Reimbursable obligations	.....	120	.....
99.9 Total new obligations	150	500	623

POSTAL SERVICE

Federal Funds

PAYMENT TO THE POSTAL SERVICE FUND

For payment to the Postal Service Fund for revenue forgone on free and reduced rate mail, pursuant to subsections (c) and (d) of section 2401 of title 39, United States Code, $70,751,000 which shall not be available for obligation until October 1, 2014: Provided, That mail for overseas voting and mail for the blind shall continue to be free: Provided further, That none of the funds made available to the Postal Service by this Act shall be used to implement any rule, regulation, or policy of charging any officer or employee of any State or local child support enforcement agency, or any individual participating in a State or local program of child support enforcement, a fee for information requested or provided concerning an ad-

dress of a postal customer: Provided further, That none of the funds provided in this Act shall be used to consolidate or close small rural and other small post offices .

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 18–1001–0–1–372	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0004 Advance Appropriation from the previous year	74	78	78
0900 Total new obligations (object class 41.0)	74	78	78
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
Advance appropriations, discretionary:			
1170 Advance appropriation	74	78	78
1180 Advanced appropriation, discretionary (total)	74	78	78
1900 Budget authority (total)	74	78	78
1930 Total budgetary resources available	74	78	78
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	74	78	78
3020 Outlays (gross)	–74	–78	–78
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	74	78	78
Outlays, gross:			
4010 Outlays from new discretionary authority	74	78	78
4180 Budget authority, net (total)	74	78	78
4190 Outlays, net (total)	74	78	78

The Budget reflects $78,153,000 for Payment to the Postal Service Fund in 2014. This amount represents an advance appropriation from 2013 for the 2013 costs and the 2010 reconciliation adjustment for free mail for the blind and overseas voting. These resources were made available to the U.S. Postal Service in 2013 (pursuant to P.L. 112–175, the Consolidated Appropriations Resolution, 2013).

In addition, the Budget proposes $70,751,000 as an advance appropriation for 2015 for the 2014 costs ($71,800,000) and the 2011 reconciliation adjustment (-$1,049,000) for actual mail volume of free mail for the blind and overseas voting costs.

Pursuant to Public Law 93–328, the 2014 appropriation request of the U.S. Postal Service for Payment to the Postal Service Fund is $63,235,000. This amount includes $64,284,000 requested for free mail for the blind and overseas voting and -$1,049,000 as reconciliation adjustment for 2011 actual mail volume of free mail for the blind and overseas voting.

Postal Service Fund

Program and Financing (in millions of dollars)

Identification code 18–4020–0–3–372	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 Postal field operations	47,805	46,302	45,460
0802 Transportation	6,630	6,650	6,906
0803 Building occupancy	1,906	1,922	1,946
0804 Supplies and services	2,328	2,498	2,386
0805 Research and development	17	17	17
0806 Administration and area operations	8,400	12,234	12,469
0807 Interest	191	193	195
0808 Servicewide expenses	115	116	117
0809 Reimbursable program activities, subtotal	67,392	69,932	69,496
0810 Capital Investment	673	1,000	2,334
0900 Total new obligations	68,065	70,932	71,830
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	.....	256	.....
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	2,000	.....	.....
1440 Borrowing authority, mandatory (total)	2,000	.....	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected	.....	257	255
1710 Transferred to other accounts [18–0100]	.....	–241	–241
1710 Transferred to other accounts [18–0200]	.....	–14	–14
1750 Spending auth from offsetting collections, disc (total)	.....	2	.....
Spending authority from offsetting collections, mandatory:			
1800 Collected	66,576	64,284	64,642
1810 Spending authority from offsetting collections transferred to other accounts [18–0100]	–241	.....	.....
1810 Spending authority from offsetting collections transferred to other accounts [18–0200]	–14	.....	.....
1850 Spending auth from offsetting collections, mand (total)	66,321	64,284	64,642
1900 Budget authority (total)	68,321	64,286	64,642
1930 Total budgetary resources available	68,321	64,542	64,642
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	256	.....	.....
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	671	.....	–1,269
3010 Obligations incurred, unexpired accounts	68,065	70,932	71,830
3020 Outlays (gross)	–68,736	–72,201	–71,623
3050 Unpaid obligations, end of year	.....	–1,269	–1,062
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	671	.....	–1,269
3200 Obligated balance, end of year	.....	–1,269	–1,062
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	.....	2	.....
Outlays, gross:			
4010 Outlays from new discretionary authority	.....	2	.....
Mandatory:			
4090 Budget authority, gross	68,321	64,284	64,642
Outlays, gross:			
4100 Outlays from new mandatory authority	68,065	72,199	71,623
4101 Outlays from mandatory balances	671	.....	.....
4110 Outlays, gross (total)	68,736	72,199	71,623
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–891	–901	–861
4121 Interest on Federal securities	–1	.....	.....
4123 Non-Federal sources	–65,429	–63,640	–64,036
4130 Offsets against gross budget authority and outlays (total)	–66,321	–64,541	–64,897
4160 Budget authority, net (mandatory)	2,000	–257	–255
4170 Outlays, net (mandatory)	2,415	7,658	6,726
4180 Budget authority, net (total)	2,000	–255	–255
4190 Outlays, net (total)	2,415	7,660	6,726
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	1,815	2,590	2,590
5001 Total investments, EOY: Federal securities: Par value	2,590	2,590	2,590
Unfunded deficiencies:			
7000 Unfunded deficiency, start of year	.....	.....	–6,390
Change in deficiency during the year:			
7010 New deficiency	.....	–6,390	–7,188
7020 Unfunded deficiency, end of year	.....	–6,390	–13,578

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Budget Authority	2,000	–255	–255
Outlays	2,415	7,660	6,726
Amounts included in the adjusted baseline:			
Outlays	.....	–5,600	.....

POSTAL SERVICE FUND—Continued

Summary of Budget Authority and Outlays—Continued

	2012 actual	2013 CR	2014 est.
Legislative proposal, subject to PAYGO:			
Outlays	.....	–2,678	–11,818
Total:			
Budget Authority	2,000	–255	–255
Outlays	2,415	–618	–5,092

The Postal Reorganization Act of 1970, Public Law 91–375, converted the Post Office Department into the U.S. Postal Service (USPS), an independent establishment within the executive branch. The Postal Service commenced operations July 1, 1971. This agency is charged with providing patrons with reliable mail service at reasonable rates and fees.

The U.S. Postal Service is governed by an 11-member Board of Governors, including nine Governors appointed by the President, a Postmaster General who is selected by the Governors, and a Deputy Postmaster General who is selected by the Governors and the Postmaster General.

Effective in 1986, the Postal Service Fund (Fund) was included in the congressional and executive budget process and taken into account in making calculations under the Balanced Budget and Emergency Deficit Control Act of 1985 (Gramm-Rudman-Hollings). The Omnibus Budget Reconciliation Act of 1989 amended title 39 of the U.S. Code by adding a new section, 2009a, which provides that, beginning in 1990, the receipts and disbursements of the Fund shall not be considered as part of the congressional and executive budget process and shall not be taken into account in making calculations under Gramm-Rudman-Hollings.

Programs.—Included are all postal activities providing window services; processing, delivery, and transportation of mail; research and development; administration of postal field activities; and associated expenses of providing facilities and equipment.

The Postal Accountability and Enhancement Act (P.L. 109–435), was signed by the President on December 20, 2006. The Act made a number of changes affecting the operations and oversight of the Postal Service. The Act provided for separate accounting and reporting for Postal Service activities related to: (1) products where the Postal Service dominates the market; and (2) products where the Postal Service is in a competitive market. The Act amended the process for determining rate increases for market-dominant products, in part by imposing a limitation on rate increases for at least the next 10 years linked to the Consumer Price Index for All Urban Consumers (CPI-U). This was intended to provide the Postal Service with pricing flexibility and ratepayers with a degree of rate predictability. The Act also replaced the Postal Rate Commission with a Postal Regulatory Commission with expanded authorities, including subpoena powers.

Financing.—The activities of the U.S. Postal Service are financed from the following sources: (1) mail and services revenue; (2) reimbursements from Federal and non-Federal sources; (3) proceeds from borrowing; (4) interest from U.S. securities and other investments; and (5) appropriations by the Congress. All receipts and deposits are made to the Postal Service Fund and are available without fiscal year limitation for payment of all expenses incurred, retirement of obligations, investment in capital assets, and investment in obligations and securities.

Separate legislation also increased the Postal Service's statutory borrowing authority beginning in 1991. Section 2005 of title 39, United States Code, as amended, increased the Postal Service's borrowing authority by $2.5 billion in 1991 for a revised ceiling of $12.5 billion and an additional $2.5 billion in 1992 for a revised total ceiling of $15 billion. The total annual increase in net outstanding debt was also increased to annually grow by up to $2.0 billion in obligations issued for the purpose of capital improvements and by $1.0 billion for the purpose of paying operating expenses. P.L. 109–435 removed the separate limitations on borrowing for capital improvements and operating expenses so that under the $15 billion debt cap, the annual increase in outstanding debt cannot now exceed a combined total of $3.0 billion. As of September 30, 2012 the total debt instruments issued and outstanding pursuant to this authority amounts to the full $15 billion.

Operating.—According to USPS estimates, revenue will total approximately $65 billion in 2014. Total expenses are estimated at approximately $72 billion in 2014.

The Postal Reorganization Act of 1970 established the Postal Service as a self-sufficient, independent entity. Postal revenues were to cover the full costs of postal operations. When the Act was passed, the Postal Service received substantial taxpayer subsidies, both appropriated and unappropriated. Consistent with the intent of the 1970 Act, the Congress has taken steps over time to reduce these subsidies, particularly by requiring the Postal Service to assume greater portions of its personnel-related costs. Since 1982 the Postal Service had not received any public-service appropriations. At the end of 2011, the Postal Service employed 645,950 persons. Under the 1974 Civil Service Retirement Fund Postal Employee Benefits Act, the Postal Service assumed responsibility for paying unfunded retirement costs from wage schedule increases under Postal labor contracts that are not covered by normal employee/employer contributions to the retirement fund. The 1985 Reconciliation Act shifted responsibility for paying health benefit costs of Postal annuitants retiring after 1986 from the Office of Personnel Management (OPM) to the Postal Service. The 1987 Reconciliation Act had the Postal Service make one-time payments to defray annuitant health benefit costs in 1988 and 1989, and retirement COLA costs in 1988. (Retirement COLAs, like wage schedule increases, result in retirement liabilities not covered by normal retirement fund contributions.) Under the 1989 Reconciliation Act, the Postal Service assumed responsibility for paying health benefits of survivors of post-86 annuitants and unfunded retirement COLA liabilities for post-86 annuitants.

The Omnibus Budget Reconciliation Act of 1990 superseded certain existing legislation and expanded the Postal Service's responsibility for benefit costs of Postal annuitants. Effective October 1, 1990, the Postal Service was required to fund Civil Service Retirement System (CSRS) COLAs and the employer's share of Federal Employees Health Benefits Program (FEHBP) premiums for Postal annuitants who retired after June 30, 1971, and their survivors. In addition, the Postal Service was required to fund the retroactive CSRS COLA and FEHBP premium costs for which the Postal Service would have been liable if the provisions of this new legislation had been in effect as of July 1, 1971.

Under the Omnibus Reconciliation Act of 1993, the Postal Service was required to make certain payments for past COLAs and health benefits, over and above any other payments required by law. This amounted to $693 million to the Civil Service Retirement and Disability Fund, and $348 million to the Employees Health Benefits Fund. These two amounts were made in three equal annual installments, beginning in fiscal year 1996.

The Balanced Budget Act of 1997 repealed the authorization for transitional appropriations to the Postal Service which had funded the liabilities of the former Post Office Department to the Employees' Compensation Fund. Effective October 1, 1997, these remaining claims became liabilities of the Postal Service payable out of the Postal Service Fund.

Early in 2003, OPM determined that, at the then-current rate of funding, the Postal Service would pay substantially more than needed to fund the estimated future benefits of postal employees and retirees participating in the Civil Service Retirement System. This projected over-funding resulted from interest earned by the fund in excess of the assumed statutory rate of five percent. As a result, the Administration proposed and the Congress passed CSRS reform legislation that was enacted on April 23, 2003 (P.L. 108–18). The provisions of P.L. 108–18 eliminated all future retirement liability payments related to general wage increases and the retirement COLA payments, and the Postal Service became responsible for the Civil Service retirement obligations related to military service of Postal Service employees. In addition, the Postal Service funded CSRS retirement benefits at 17.4 percent of current CSRS employees' wages, beginning in May 2003. This was a dynamic funding requirement, not a static requirement, thus employer contributions could change based on interest earnings and amounts that are needed to fund the full cost of the future benefit. Annually, OPM was directed to calculate the amount of any potential supplemental retirement liability and the Postal Service was required to fund any such liability in annual payments through September 30, 2043.

P.L. 109–435 created the Postal Service Retiree Health Benefits (RHB) Fund to put the Postal Service on a path that fully funds its substantial retiree (annuitant) health benefits liabilities. This new Fund receives from the Postal Service: 1) The pension savings provided to the Postal Service by the Postal Civil Service Retirement System Funding Reform Act of 2003 (P.L. 108–18) that were held in escrow during 2006; 2) A 10-year stream of payments defined within P.L 109–435 to begin the liquidation of the Postal Services unfunded liability for post-retirement health benefits; 3) Beginning in 2017, payments for the actuarial cost of Postal Service contributions for the post-retirement health benefits for its current employees; 4) Beginning in 2017, a 40-year amortization payment to fund any remaining unfunded liabilities associated with post-retirement health benefits of USPS employees; and 5) The surplus resources of the Civil Service Retirement and Disability Fund that are not needed to finance future retirement benefits under CSRS to current or former employees of the Postal Service that are attributable to civilian employment with the Postal Service, including the savings from shifting the responsibility for retirement credit related to military service from the Postal Service to the Treasury (effectively eliminating the need for the dynamic CSRS funding payments and supplemental liability payments noted in the previous paragraph). As a result, beginning in 2017, the Postal Service will no longer pay annual premiums for its post-1971 annuitants. Instead, these premium payments will be paid from the Postal Service Retiree Health Benefit Fund. Payments for the portion of the premium costs of Postal Service annuitants pre-1971 service will continue to be paid by the General Fund of the Treasury through the Government Payment for Annuitants, Employees Health Benefits account.

Section 164 of Division B of P.L. 111–68, the Continuing Appropriations Resolution, 2010, reduced the 2009 amount USPS was required to contribute toward the liquidation of its post-retirement health benefits liability (item 2 in the preceding paragraph) from $5.4 billion to $1.4 billion. This reduction had the effect of increasing the size of 40-year amortization payment for the remaining unfunded liability that USPS is required to make starting in 2017 (item 4 in the preceding paragraph).

Section 623 of Division C of P.L. 112–74, the Consolidated Appropriations Act, 2012, amended Title 5, United States Code by striking the date specified in Sec. 8909a(d)(3)(A)(v) of September 30, 2011 and inserting August 1, 2012 for the scheduled payment of $5.5 billion to the Postal Service Retiree Health Benefit (RHB) Fund. However, the Postal Service was unable to make any payments on its $11.1 billion in scheduled RHB payments due in FY 2012.

In its June 2012 notification letter to the White House and the Congress that it would not make two statutory RHB prefunding payments due in 2012, the USPS also indicated that, absent changes to its financial forecast (largely from legislative action), it would likely default on a $5.6 billion RHB prefunding payment due September 30, 2013. The USPS has since confirmed this likelihood. As such, the Budget includes two baselines to address this. The baseline required under Section 257 of the Balanced Budget and Emergency Deficit Control Act of 1985 (BBEDCA), as amended, reflects the 2013 RHB payment being made as required under current law. An adjusted baseline, which appears in the Budget, reflects adjustments to the BBEDCA baseline to account for the more realistic assumption that the USPS will not make its 2013 payment, as it has indicated in writing.

Statement of Annual Operations (estimates per USPS and on an accrual accounting basis)

	2011 actual	2012 actual	2013 est.	2014 est.
Revenue	65,739	65,248	64,541	64,897
Expense	–70,806	–81,154	–72,454	–71,878
Net income or loss from operations (-)	(5,067)	(15,906)	(7,913)	(6,981)

Object Classification (in millions of dollars)

Identification code 18–4020–0–3–372		2012 actual	2013 CR	2014 est.
	Reimbursable obligations:			
	Personnel compensation:			
11.1	Full-time permanent	27,740	26,722	25,985
11.3	Other than full-time permanent	4,341	4,168	4,099
11.5	Other personnel compensation	4,175	4,020	3,905
11.9	Total personnel compensation	36,256	34,910	33,989
12.1	Civilian personnel benefits	15,079	18,129	18,257
13.0	Benefits for former personnel	2,828	3,185	3,372
21.0	Travel and transportation of persons	119	113	112
22.0	Transportation of things	7,249	7,264	7,530
23.1	Rental payments to GSA	.....	42	43
23.2	Rental payments to others	940	1,020	1,041
23.3	Communications, utilities, and miscellaneous charges	813	834	836
24.0	Printing and reproduction	68	58	56
25.2	Other services from non-Federal sources	2,415	2,829	2,744
26.0	Supplies and materials	1,484	1,257	1,222
31.0	Equipment	207	627	1,668
32.0	Land and structures	320	375	669
42.0	Insurance claims and indemnities	95	96	97
43.0	Interest and dividends	192	193	194
99.9	Total new obligations	68,065	70,932	71,830

Employment Summary

Identification code 18–4020–0–3–372		2012 actual	2013 CR	2014 est.
2001	Reimbursable civilian full-time equivalent employment	586,100	568,571	544,990

POSTAL SERVICE FUND

(Amounts included in the adjusted baseline)

Program and Financing (in millions of dollars)

Identification code 18–4020–7–3–372		2012 actual	2013 CR	2014 est.
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	.....	.....	5,600
3020	Outlays (gross)	.....	5,600	.....
3050	Unpaid obligations, end of year	.....	5,600	5,600
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	.....	.....	5,600
3200	Obligated balance, end of year	.....	5,600	5,600

POSTAL SERVICE FUND—Continued

Program and Financing—Continued

Identification code 18–4020–7–3–372	2012 actual	2013 CR	2014 est.
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4100 Outlays from new mandatory authority	.....	–5,600	.....
4190 Outlays, net (total)	.....	–5,600	.....

This account reflects adjustments to the baseline to reflect the realistic assumption that the United States Postal Service will not make its statutory $5.6 billion payment to prefund retiree health benefits, which is due to the Office of Personnel Management's Postal Service Retiree Health Benefits Fund by September 30, 2013.

POSTAL SERVICE FUND

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 18–4020–4–3–372	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 Postal field operations	.....	–2,600	–4,430
0806 Administration and area operations	.....	–73	–7,378
0809 Reimbursable program activities, subtotal	.....	–2,673	–11,808
0900 Total new obligations	.....	–2,673	–11,808
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	.....	.....	2,678
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	.....	5	10
1850 Spending auth from offsetting collections, mand (total)	.....	5	10
1900 Budget authority (total)	.....	5	10
1930 Total budgetary resources available	.....	5	2,688
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	.....	2,678	14,496
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	.....	–2,673	–11,808
3020 Outlays (gross)	.....	2,673	11,808
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	.....	5	10
Outlays, gross:			
4100 Outlays from new mandatory authority	.....	–2,673	–11,808
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	.....	–5	–10
4190 Outlays, net (total)	.....	–2,678	–11,818

The Administration recognizes the enormous value of the Postal Service (USPS) to the Nation's commerce and communications, as well as the urgent need for reform to ensure the future viability of USPS. Therefore, the Budget proposes specific authorities to improve USPS efficiency and net revenue, along with financial relief measures, grounded in principles of fiscal responsibility as well as sound financial management. The Administration will work with the Congress and postal stakeholders to secure these necessary reforms.

As to the structure of relief, the Budget would first improve USPS financial condition by returning to USPS surplus amounts it has paid into its OPM account for its share of Federal Employee Retirement System costs, and require that OPM calculate these costs using factors specific to the demographics of the Postal Service workforce. OPM has determined this surplus, as of September 30, 2011 and based on government-wide demographic assumptions, is approximately $2.6 billion. Given the amount of time necessary for OPM to re-calculate this surplus for Postal-specific factors, the Budget would provide the current OPM calculation in 2013, and the remainder of any recalculated surplus in 2014 and 2015. Until OPM has re-calculated the surplus amount using Postal-specific factors, the Budget assumes as a placeholder a total surplus of $11.5 billion, as estimated by the Postal Service Office of Inspector General in December 2012 (and based on USPS investment returns, salary growth rates, cost of living adjustments granted to Postal retirees, and Postal Service demographic trends).

Second, the Budget proposes to restructure USPS retiree health benefits payments that are currently specified in the Postal Accountability and Enhancement Act of 2006. This change would still prudently pre-fund retiree health liabilities, but on an accruing cost basis rather than the amounts fixed in current law. This restructuring would provide USPS with approximately $10 billion in temporary financial relief through 2016. The Budget also proposes to codify the two missed RHB payments in 2012; although these amounts are incorporated in the 40-year amortization schedule starting in 2017, they currently remain as outstanding liabilities on the Postal Service financial statement in 2012. See the Office of Personnel Management section of this Appendix for more information on these aspects of the proposal.

In addition, the Budget proposes operational reforms that would do the following: 1) reduce USPS operating costs by giving USPS authority to reduce mail delivery frequency from six days to five days, starting in June 2013; 2) allow USPS to increase collaboration with State and local governments; and 3) give the USPS the ability to better align the costs of postage with the costs of mail delivery by permitting USPS Board of Governors to enact a modest one-time increase in postage rates among market-dominant products, such as first-class and standard mail.

All together, these reforms would provide USPS with over $30 billion in cash relief, operational savings, and revenue through 2016, and produce PAYGO savings of $23 billion over 11 years.

Object Classification (in millions of dollars)

Identification code 18–4020–4–3–372	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
12.1 Civilian personnel benefits	.....	–2,173	–9,808
22.0 Transportation of things	.....	–500	–2,000
99.9 Total new obligations	.....	–2,673	–11,808

UNSPECIFIED ADJUSTMENTS TO OPERATIONS

Program and Financing (in millions of dollars)

Identification code 18–9017–0–1–372	2012 actual	2013 CR	2014 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	.....	.....	7,660
3020 Outlays (gross)	.....	7,660	6,726
3050 Unpaid obligations, end of year	.....	7,660	14,386
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	.....	.....	7,660
3200 Obligated balance, end of year	.....	7,660	14,386
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4100 Outlays from new mandatory authority	.....	–7,660	–6,726
4190 Outlays, net (total)	.....	–7,660	–6,726

Summary of Budget Authority and Outlays (in millions of dollars)

	2012 actual	2013 CR	2014 est.
Enacted/requested:			
Outlays		–7,660	–6,726
Amounts included in the adjusted baseline:			
Outlays		5,600	
Legislative proposal, not subject to PAYGO:			
Outlays		972	1,822
Legislative proposal, subject to PAYGO:			
Outlays		1,087	4,904

This account includes unspecified adjustments to Postal operations that reflect the fact that the United States Postal Service (USPS) can only spend at amounts equal to its revenue and borrowing authority. For purposes of the Budget Baseline, the USPS is shown to operate at a break-even (i.e., revenues equal expenses) basis for 2013 and later years. This account is necessary because the USPS estimates of its revenues and expenses are unsustainable—estimated expenses far exceeded estimated revenues . The USPS fully exhausted its borrowing authority with the Department of the Treasury at the close of FY 2012. The Budget includes a legislative proposal that provides specific Postal financial relief and makes sustained reforms. The relief and reforms represent specific action the USPS would take, and would reduce the need for the unspecified adjustments contained in this account.

UNSPECIFIED ADJUSTMENTS TO OPERATIONS

(Amounts included in the adjusted baseline)

Program and Financing (in millions of dollars)

Identification code 18–9017–7–1–372	2012 actual	2013 CR	2014 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			–5,600
3020 Outlays (gross)		–5,600	
3050 Unpaid obligations, end of year		–5,600	–5,600
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			–5,600
3200 Obligated balance, end of year		–5,600	–5,600
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4100 Outlays from new mandatory authority		5,600	
4190 Outlays, net (total)		5,600	

This account reflects adjustments to the baseline to reflect the realistic assumption that the United States Postal Service will not make its statutory $5.6 billion payment to prefund retiree health benefits, which is due to the Office of Personnel Management's Postal Service Retiree Health Benefits Fund by September 30, 2013.

UNSPECIFIED ADJUSTMENTS TO OPERATIONS

(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 18–9017–2–1–372	2012 actual	2013 CR	2014 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			–972
3020 Outlays (gross)		–972	–1,822
3050 Unpaid obligations, end of year		–972	–2,794
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			–972
3200 Obligated balance, end of year		–972	–2,794
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4100 Outlays from new mandatory authority		972	1,822
4190 Outlays, net (total)		972	1,822

This schedule reflects the impact on the Unspecified Adjustments to Postal Operations account. This accounts for Postal financial relief and reform proposal to authorize the Postal Service to move from six- to five-day delivery and to permit USPS to enact a modest one-time increase in postage rates for market-dominant products.

UNSPECIFIED ADJUSTMENTS TO OPERATIONS

(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 18–9017–4–1–372	2012 actual	2013 CR	2014 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			–1,087
3020 Outlays (gross)		–1,087	–4,904
3050 Unpaid obligations, end of year		–1,087	–5,991
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			–1,087
3200 Obligated balance, end of year		–1,087	–5,991
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4100 Outlays from new mandatory authority		1,087	4,904
4190 Outlays, net (total)		1,087	4,904

This schedule reflects the impact on the Unspecified Adjustments to Postal Operations account. This accounts for the Postal financial relief and reform proposal to refund to the Postal Service its Federal Employees Retirement System (FERS) surplus and to restructure Postal Service payments for retiree health benefits.

OFFICE OF INSPECTOR GENERAL

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, $241,468,000, to be derived by transfer from the Postal Service Fund and expended as authorized by section 603(b)(3) of the Postal Accountability and Enhancement Act (Public Law 109–435): Provided, That unobligated balances remaining in this account on October 1, 2014, shall be transferred back to the Postal Service Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 18–0100–0–1–372	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Audit	75	76	76
0002 Investigations	166	166	166
0900 Total new obligations	241	242	242
Budgetary Resources:			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected		1	1
1711 Transferred from other accounts [18–4020]	241	241	241
1750 Spending auth from offsetting collections, disc (total)	241	242	242

OFFICE OF INSPECTOR GENERAL—Continued

Program and Financing—Continued

Identification code 18–0100–0–1–372	2012 actual	2013 CR	2014 est.
1930 Total budgetary resources available	241	242	242
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	241	242	242
3020 Outlays (gross)	–241	–242	–242
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	241	242	242
Outlays, gross:			
4010 Outlays from new discretionary authority	241	242	242
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	.....	–1	–1
4180 Budget authority, net (total)	241	241	241
4190 Outlays, net (total)	241	241	241

United States Postal Service (USPS) Office of Inspector General (OIG) is an independent organization charged with reporting to Congress on the overall efficiency, effectiveness, and economy of USPS programs and operations. The OIG meets this responsibility by conducting audits, investigations, and other reviews. The OIG focuses on the prevention, identification, and elimination of 1) waste, fraud, and abuse; 2) violations of laws, rules, and regulations; and 3) inefficiencies in USPS programs and operations.

Pursuant to Public Law 109–435, the 2014 appropriation request of the Office of Inspector General of the U.S. Postal Service is $241,468,000.

Section 603(b)(1) of Public Law 109–435 (Postal Accountability and Enhancement Act) authorizes appropriations for the Office of Inspector General out of the off-budget Postal Service Fund beginning in 2009. The authorization resulted in the reclassification of the USPS Office of Inspector General spending from off-budget mandatory to off-budget discretionary.

Object Classification (in millions of dollars)

Identification code 18–0100–0–1–372	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	132	138	141
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	2	2
11.8 Special personal services payments	.....	1	.....
11.9 Total personnel compensation	134	142	144
12.1 Civilian personnel benefits	47	49	51
21.0 Travel and transportation of persons	6	7	6
22.0 Transportation of things	1	1	1
23.2 Rental payments to others	5	6	7
23.3 Communications, utilities, and miscellaneous charges	3	3	3
25.1 Advisory and assistance services	22	22	17
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	2	2	2
31.0 Equipment	13	7	7
32.0 Land and structures	7	1	2
99.0 Direct obligations	241	241	241
99.0 Reimbursable obligations	.....	1	1
99.9 Total new obligations	241	242	242

Employment Summary

Identification code 18–0100–0–1–372	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	1,135	1,136	1,136

POSTAL REGULATORY COMMISSION

SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Postal Regulatory Commission in carrying out the provisions of the Postal Accountability and Enhancement Act (Public Law 109–435), $14,304,000, to be derived by transfer from the Postal Service Fund and expended as authorized by section 603(a) of such Act: Provided, That unobligated balances remaining in this account on October 1, 2014, shall be transferred back to the Postal Service Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 18–0200–0–1–372	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Postal Service Accountability	14	4	4
0002 Public Access and Participation	.....	4	4
0003 Integration and Support	.....	5	5
0004 Office of the Inspector General	.....	1	1
0900 Total new obligations	14	14	14
Budgetary Resources:			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1711 Transferred from other accounts [18–4020]	14	14	14
1750 Spending auth from offsetting collections, disc (total)	14	14	14
1930 Total budgetary resources available	14	14	14
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	14	14	14
3020 Outlays (gross)	–14	–14	–14
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	14	14	14
Outlays, gross:			
4010 Outlays from new discretionary authority	14	14	14
4180 Budget authority, net (total)	14	14	14
4190 Outlays, net (total)	14	14	14

The Postal Regulatory Commission is an independent agency that has exercised regulatory oversight over the U.S. Postal Service (USPS) since its creation by the Postal Reorganization Act of 1970. That oversight consisted primarily of conducting public, on-the-record hearings concerning proposed rates, mail classification, and major service changes, and recommended decisions for action to the Postal Service Board of Governors.

The Postal Accountability and Enhancement Act (PAEA, Public Law 109–435) assigned new responsibilities to the Commission, including providing regulatory oversight of the pricing of USPS products and services, ensuring USPS transparency and accountability, and serving as a forum to act on complaints with postal products and services. The Commission provides leadership and recommends policies that foster a robust and viable postal system.

Pursuant to Public Law 109–435, the 2014 appropriation request of the Postal Regulatory Commission is 14,304,000.

Section 603(a) of PAEA authorizes appropriations for the Commission out of the off-budget Postal Service Fund beginning in 2009. The authorization resulted in the reclassification of the Commission's spending from off-budget mandatory to off-budget discretionary.

Object Classification (in millions of dollars)

Identification code 18–0200–0–1–372	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	8	8	8
12.1 Civilian personnel benefits	2	2	2
23.2 Rental payments to others	2	2	2
25.1 Advisory and assistance services	2	2	2
99.9 Total new obligations	14	14	14

Employment Summary

Identification code 18–0200–0–1–372	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	75	75	77

PRESIDIO TRUST

Federal Funds

PRESIDIO TRUST FUND

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–4331–0–3–303	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0801 Reimbursable program activity	109	137	89
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	32	40	65
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	12	12	.....
1160 Appropriation, discretionary (total)	12	12	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected	98	143	105
1701 Change in uncollected payments, Federal sources	7	7	7
1750 Spending auth from offsetting collections, disc (total)	105	150	112
1900 Budget authority (total)	117	162	112
1930 Total budgetary resources available	149	202	177
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	40	65	88
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	74	51	47
3010 Obligations incurred, unexpired accounts	109	137	89
3020 Outlays (gross)	–132	–141	–119
3050 Unpaid obligations, end of year	51	47	17
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–5	–12	–19
3070 Change in uncollected pymts, Fed sources, unexpired	–7	–7	–7
3090 Uncollected pymts, Fed sources, end of year	–12	–19	–26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	69	39	28
3200 Obligated balance, end of year	39	28	–9
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	117	162	112
Outlays, gross:			
4010 Outlays from new discretionary authority	86	91	62
4011 Outlays from discretionary balances	46	50	57
4020 Outlays, gross (total)	132	141	119
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–1	–4	–4
4031 Interest on Federal securities	.....	–4	–4
4033 Non-Federal sources	–97	–135	–97
4040 Offsets against gross budget authority and outlays (total)	–98	–143	–105
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	–7	–7	–7
4070 Budget authority, net (discretionary)	12	12	.....
4080 Outlays, net (discretionary)	34	–2	14
4180 Budget authority, net (total)	12	12	.....
4190 Outlays, net (total)	34	–2	14
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	77	73	45
5001 Total investments, EOY: Federal securities: Par value	73	45	40

The Presidio Trust (Trust) is a wholly-owned Government corporation established by the Omnibus Parks and Public Lands Management Act of 1996 (Public Law 104–333) to manage, improve, maintain and lease property in the Presidio of San Francisco and to operate the Presidio as a self-sustaining part of the national park system. The Trust has jurisdiction over 80% of the Presidio and has successfully converted the historic Army base into a thriving park community that will operate without annual appropriations beginning in FY 2013. Funds to operate the park and its public programs will come from lease revenues and other non-Federally appropriated funding sources. The Presidio of San Francisco is an historic preservation success, and a success for the American taxpayer.

Object Classification (in millions of dollars)

Identification code 95–4331–0–3–303	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	24	23	23
12.1 Civilian personnel benefits	8	8	7
23.3 Communications, utilities, and miscellaneous charges	7	4	4
24.0 Printing and reproduction	1	1	1
25.1 Advisory and assistance services	10	12	4
25.2 Other services from non-Federal sources	23	48	9
25.3 Other goods and services from Federal sources	4	4	4
26.0 Supplies and materials	12	8	8
31.0 Equipment	4	5	5
32.0 Land and structures	12	20	20
43.0 Interest and dividends	4	4	4
99.9 Total new obligations	109	137	89

Employment Summary

Identification code 95–4331–0–3–303	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment	304	308	308

PRESIDIO TRUST GUARANTEED LOAN FINANCING ACCOUNT

Status of Guaranteed Loans (in millions of dollars)

Identification code 95–4332–0–3–303	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on commitments:			
2121 Limitation available from carry-forward	200	200	200
2143 Uncommitted limitation carried forward	–200	–200	–200
2150 Total guaranteed loan commitments	.....	.....	.....

PRIVACY AND CIVIL LIBERTIES OVERSIGHT BOARD

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Privacy and Civil Liberties Oversight Board, as authorized by section 1061 of the Intelligence Reform and Ter-

SALARIES AND EXPENSES—Continued

rorism Prevention Act of 2004 (5 U.S.C. 601 note), $3,100,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–2724–0–1–054	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Privacy and Civil Liberties Oversight Board	.....	1	3
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	1	.....
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	1	3
1131 Unobligated balance of appropriations permanently reduced	–1	–1	.....
1160 Appropriation, discretionary (total)	.....	.....	3
1930 Total budgetary resources available	1	1	3
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	.....	.....
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	.....	1	3
3020 Outlays (gross)	.....	–1	–3
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	.....	.....	3
Outlays, gross:			
4010 Outlays from new discretionary authority	.....	.....	3
4011 Outlays from discretionary balances	.....	1	.....
4020 Outlays, gross (total)	.....	1	3
4180 Budget authority, net (total)	.....	.....	3
4190 Outlays, net (total)	.....	1	3

The Intelligence Reform and Terrorism Prevention Act of 2004 (IRTPA) created the Privacy and Civil Liberties Oversight Board (PCLOB). The IRTPA originally placed the Board within the Executive Office of the President. The Implementing Recommendations of the 9/11 Commission Act of 2007 reconstituted the Board as an independent oversight agency within the Executive Branch. All five members of the Board are nominated by the President and confirmed by the Senate for staggered six-year terms. The Board has two main responsibilities: 1) to analyze and review actions the executive branch takes to protect the United States from terrorism, ensuring that the need for such actions is balanced with the need to protect privacy and civil liberties; and 2) to ensure that liberty concerns are appropriately considered in the development and implementation of laws, regulations, and policies related to efforts to protect the Nation against terrorism. The Board is required to report periodically on its operations to the U.S. Congress, as well as inform the public of its activities.

Object Classification (in millions of dollars)

Identification code 95–2724–0–1–054	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	.....	1	2
23.1 Rental payments to GSA	.....	.....	1
99.9 Total new obligations	.....	1	3

Employment Summary

Identification code 95–2724–0–1–054	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	.....	5	11

RAILROAD RETIREMENT BOARD

Federal Funds

DUAL BENEFITS PAYMENTS ACCOUNT

For payment to the Dual Benefits Payments Account, authorized under section 15(d) of the Railroad Retirement Act of 1974, $39,000,000, which shall include amounts becoming available in fiscal year 2014 pursuant to section 224(c)(1)(B) of Public Law 98–76; and in addition, an amount, not to exceed 2 percent of the amount provided herein, shall be available proportional to the amount by which the product of recipients and the average benefit received exceeds the amount available for payment of vested dual benefits: Provided, That the total amount provided herein shall be credited in 12 approximately equal amounts on the first day of each month in the fiscal year.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 60–0111–0–1–601	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	49	48	39
0900 Total new obligations (object class 41.0)	49	48	39
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	45	44	36
1160 Appropriation, discretionary (total)	45	44	36
Appropriations, mandatory:			
1200 Appropriation	6	4	3
1260 Appropriations, mandatory (total)	6	4	3
1900 Budget authority (total)	51	48	39
1930 Total budgetary resources available	51	48	39
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–2	.....	.....
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	49	48	39
3020 Outlays (gross)	–49	–48	–39
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	45	44	36
Outlays, gross:			
4010 Outlays from new discretionary authority	45	44	36
Mandatory:			
4090 Budget authority, gross	6	4	3
Outlays, gross:			
4100 Outlays from new mandatory authority	4	4	3
4180 Budget authority, net (total)	51	48	39
4190 Outlays, net (total)	49	48	39

This appropriation is a Federal subsidy to the rail industry pension for costs not financed by the railroad sector.

FEDERAL PAYMENTS TO THE RAILROAD RETIREMENT ACCOUNTS

For payment to the accounts established in the Treasury for the payment of benefits under the Railroad Retirement Act for interest earned on unnegotiated checks, $150,000, to remain available through September 30,

2015, which shall be the maximum amount available for payment pursuant to section 417 of Public Law 98–76.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 60–0113–0–1–601	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	771	647	549
0900 Total new obligations (object class 42.0)	771	647	549
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	21	25	25
Budget authority:			
Appropriations, mandatory:			
1200 Appropriation	775	647	549
1260 Appropriations, mandatory (total)	775	647	549
1930 Total budgetary resources available	796	672	574
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	25	25	25
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	771	647	549
3020 Outlays (gross)	–771	–647	–549
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	775	647	549
Outlays, gross:			
4100 Outlays from new mandatory authority	771	647	549
4180 Budget authority, net (total)	775	647	549
4190 Outlays, net (total)	771	647	549

This account funds interest on uncashed checks and the transfer of income taxes on Tier I and Tier II railroad retirement benefits. This account also reflects transfers from the general fund of the Treasury to the Social Security Equivalent Benefit Account pursuant to the Hiring Incentives to Restore Employment (HIRE) Act (P.L. 111–147), the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111–312), the Temporary Payroll Tax Cut Continuation Act (P.L.112–78), and the American Taxpayer Relief Act of 2012 (P.L. 112–240).

Administrative Expenses, Railroad Unemployment Insurance Extended Benefit Payments

Program and Financing (in millions of dollars)

Identification code 60–0118–0–1–603	2012 actual	2013 CR	2014 est.
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	.....	1	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	.....	.....
1160 Appropriation, discretionary (total)	1	.....	.....
1930 Total budgetary resources available	1	1	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	.....	.....
3020 Outlays (gross)	–1	.....	.....
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	.....	.....
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1	.....	.....
Outlays, gross:			
4011 Outlays from discretionary balances	1	.....	.....
4180 Budget authority, net (total)	1	.....	.....
4190 Outlays, net (total)	1	.....	.....

This account provided for the transfer of funding to the Railroad Retirement Board's Limitation on Administration for administrative costs to pay benefits under the Worker, Homeownership, and Business Assistance Act of 2009 (P.L. 111–92), and the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111–312).

Administrative Expenses, Recovery Act

This account provided for the transfer of funding to the Railroad Retirement Board's Recovery Act Limitation Account for administrative costs to pay benefits under the American Recovery and Reinvestment Act of 2009 (P.L. 111–5).

Economic Recovery Payments

This appropriation provided funding for Economic Recovery Payments paid by the Railroad Retirement Board under the American Recovery and Reinvestment Act of 2009 (P.L. 111–5).

Railroad Unemployment Insurance Extended Benefit Payments

Program and Financing (in millions of dollars)

Identification code 60–0117–0–1–603	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Railroad Unemployment Extended Benefits	7	12	4
0900 Total new obligations (object class 25.8)	7	12	4
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	21	140	128
1020 Adjustment of unobligated bal brought forward, Oct 1	126	.....	.....
1050 Unobligated balance (total)	147	140	128
1930 Total budgetary resources available	147	140	128
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	140	128	124
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	7	12	4
3020 Outlays (gross)	–7	–12	–4
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances	7	12	4
4190 Outlays, net (total)	7	12	4

This appropriation provides funding for extended unemployment benefits paid by the Railroad Retirement Board under the Worker, Homeownership, and Business Assistance Act of 2009 (P.L. 111–92), the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111–312), the Temporary Payroll Tax Cut Continuation Act (P.L. 112–78), and the American Taxpayer Relief Act of 2012 (P.L. 112–240).

Railroad Unemployment Insurance Extended Benefit Payments, Recovery Act

Program and Financing (in millions of dollars)

Identification code 60–0114–0–1–603	2012 actual	2013 CR	2014 est.
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	.....	9	9
1020 Adjustment of unobligated bal brought forward, Oct 1	9	.....	.....
1050 Unobligated balance (total)	9	9	9
1930 Total budgetary resources available	9	9	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	9	9	9

This appropriation provides funding for extended unemployment benefits paid by the Railroad Retirement Board under the American Recovery and Reinvestment Act of 2009 (P.L. 111–5).

Trust Funds

Railroad Unemployment Insurance Trust Fund

Program and Financing (in millions of dollars)

Identification code 60–8051–0–7–603	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	94	111	116
0801 Reimbursable program	19	22	23
0900 Total new obligations	113	133	139
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	38	.....	.....
1020 Adjustment of unobligated bal brought forward, Oct 1	–38	.....	.....
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	15	26	26
1134 Appropriations precluded from obligation	.....	–11	–11
1160 Appropriation, discretionary (total)	15	15	15
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	199	86	16
1203 Appropriation (unavailable balances)	40	162	152
1235 Portion precluded from balances	–162	–152	–67
1260 Appropriations, mandatory (total)	77	96	101
Spending authority from offsetting collections, mandatory:			
1800 Collected	21	22	23
1850 Spending auth from offsetting collections, mand (total)	21	22	23
1900 Budget authority (total)	113	133	139
1930 Total budgetary resources available	113	133	139
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	4	4
3010 Obligations incurred, unexpired accounts	113	133	139
3020 Outlays (gross)	–118	–133	–139
3050 Unpaid obligations, end of year	4	4	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	4	4
3200 Obligated balance, end of year	4	4	4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	15	15	15
Outlays, gross:			
4010 Outlays from new discretionary authority	15	15	15
Mandatory:			
4090 Budget authority, gross	98	118	124
Outlays, gross:			
4100 Outlays from new mandatory authority	98	118	124
4101 Outlays from mandatory balances	5	.....	.....
4110 Outlays, gross (total)	103	118	124
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4123 Non-Federal sources	–21	–22	–23
4180 Budget authority, net (total)	92	111	116
4190 Outlays, net (total)	97	111	116
Memorandum (non-add) entries:			

The Board administers a separate fund for unemployment and sickness insurance payments. Administrative expenses are financed from employer unemployment taxes.

Object Classification (in millions of dollars)

Identification code 60–8051–0–7–603	2012 actual	2013 CR	2014 est.
Direct obligations:			
42.0 Benefit payments	93	96	101
94.0 Financial transfers	1	15	15
99.0 Direct obligations	94	111	116
99.0 Reimbursable obligations	19	22	23
99.9 Total new obligations	113	133	139

Rail Industry Pension Fund

Special and Trust Fund Receipts (in millions of dollars)

Identification code 60–8011–0–7–601	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	227	322	359
Adjustments:			
0190 Adjustment- to correct prior year accounting for balances	98	.....	.....
0199 Balance, start of year	325	322	359
Receipts:			
0200 Refunds, Rail Industry Pension Fund	–3	–28	–3
0201 Taxes, Rail Industry Pension Fund	2,522	2,779	2,797
0240 Interest and Profits on Investments in Public Debt Securities, Rail Industry Pension Fund	12	14	14
0241 Payment from the National Railroad Retirement Investment Trust, Rail Industry Pension Fund	2,026	1,685	1,762
0242 Federal Payments to Railroad Retirement Trust Funds, Rail Industry Pension Fund	141	331	339
0299 Total receipts and collections	4,698	4,781	4,909
0400 Total: Balances and collections	5,023	5,103	5,268
Appropriations:			
0500 Rail Industry Pension Fund	–70	–68	–71
0501 Rail Industry Pension Fund	–4,628	–4,781	–4,908
0502 Rail Industry Pension Fund	–326	–323	–428
0503 Rail Industry Pension Fund	323	428	290
0599 Total appropriations	–4,701	–4,744	–5,117
0799 Balance, end of year	322	359	151

Program and Financing (in millions of dollars)

Identification code 60–8011–0–7–601	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program	4,720	4,991	5,145
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	98	.....	.....
1020 Adjustment of unobligated bal brought forward, Oct 1	–98	.....	.....
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	70	68	71
1160 Appropriation, discretionary (total)	70	68	71
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	4,628	4,781	4,908
1203 Appropriation (unavailable balances)	326	323	428
1221 Appropriations transferred from other accts [60–8010]	19	247	28
1235 Appropriations precluded from obligation	–323	–428	–290
1260 Appropriations, mandatory (total)	4,650	4,923	5,074
1900 Budget authority (total)	4,720	4,991	5,145
1930 Total budgetary resources available	4,720	4,991	5,145

	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	381	398	363
3010	Obligations incurred, unexpired accounts	4,720	4,991	5,145
3020	Outlays (gross)	–4,703	–5,026	–5,180
3050	Unpaid obligations, end of year	398	363	328
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	381	398	363
3200	Obligated balance, end of year	398	363	328
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	70	68	71
	Outlays, gross:			
4010	Outlays from new discretionary authority	70	68	71
	Mandatory:			
4090	Budget authority, gross	4,650	4,923	5,074
	Outlays, gross:			
4100	Outlays from new mandatory authority	4,633	4,923	5,074
4101	Outlays from mandatory balances	.....	35	35
4110	Outlays, gross (total)	4,633	4,958	5,109
4180	Budget authority, net (total)	4,720	4,991	5,145
4190	Outlays, net (total)	4,703	5,026	5,180
	Memorandum (non-add) entries:			
5000	Total investments, SOY: Federal securities: Par value	696	704	738
5001	Total investments, EOY: Federal securities: Par value	704	738	540

Railroad retirees generally receive the equivalent to a social security benefit and a rail industry pension collectively bargained like other private pension plans but embedded in Federal law. About 24,000 individuals also receive a "windfall" benefit.

Status of Funds (in millions of dollars)

Identification code 60–8011–0–7–601	2012 actual	2013 CR	2014 est.
Unexpended balance, start of year:			
0100 Balance, start of year	720	737	738
0199 Total balance, start of year	720	737	738
Cash income during the year:			
Current law:			
Receipts:			
1200 Refunds, Rail Industry Pension Fund	–3	–28	–3
1201 Taxes, Rail Industry Pension Fund	2,522	2,779	2,797
Offsetting receipts (intragovernmental):			
1240 Interest and Profits on Investments in Public Debt Securities, Rail Industry Pension Fund	12	14	14
1241 Payment from the National Railroad Retirement Investment Trust, Rail Industry Pension Fund	2,026	1,685	1,762
1242 Federal Payments to Railroad Retirement Trust Funds, Rail Industry Pension Fund	141	331	339
Offsetting collections:			
1280 Limitation on the Office of Inspector General	10	9	10
1281 Limitation on Administration	121	117	122
1299 Income under present law	4,829	4,907	5,041
3299 Total cash income	4,829	4,907	5,041
Cash outgo during year:			
Current law:			
4500 Rail Industry Pension Fund	–4,703	–5,026	–5,180
4500 Limitation on Administration	–117	–117	–122
4500 Limitation on the Office of Inspector General	–10	–9	–10
4599 Outgo under current law (-)	–4,830	–5,152	–5,312
6599 Total cash outgo (-)	–4,830	–5,152	–5,312
7645 Rail Industry Pension Fund	19	247	28
Manual Adjustments:			
7690 Rounding adjustment	–1	–1	.....
7699 Total adjustments	18	246	28
Unexpended balance, end of year:			
8700 Uninvested balance (net), end of year	33	.....	–45
8701 Rail Industry Pension Fund	704	738	540
8799 Total balance, end of year	737	738	495

Object Classification (in millions of dollars)

Identification code 60–8011–0–7–601	2012 actual	2013 CR	2014 est.
Direct obligations:			
42.0 Benefit payments	4,650	4,923	5,074
94.0 Financial transfers	70	68	71
99.9 Total new obligations	4,720	4,991	5,145

Limitation on Administration

For necessary expenses for the Railroad Retirement Board ("Board") for administration of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, $111,739,000, to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 60–8237–0–7–601	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Rail Industry Pension Fund	65	63	67
0002 Railroad Social Security Equivalent Benefit	30	32	32
0003 Railroad Unemployment Insurance Trust Fund	14	11	12
0100 Subtotal, direct program	109	106	111
0799 Total direct obligations	109	106	111
0801 Medicare and other reimbursements	12	11	11
0900 Total new obligations	121	117	122
Budgetary Resources:			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	121	117	122
1750 Spending auth from offsetting collections, disc (total)	121	117	122
1930 Total budgetary resources available	121	117	122
Memorandum (non-add) entries:			
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year	2	3	3
1953 Expired unobligated balance, end of year	3	3	3
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	11	11
3010 Obligations incurred, unexpired accounts	121	117	122
3020 Outlays (gross)	–117	–117	–122
3041 Recoveries of prior year unpaid obligations, expired	–2	.....	.....
3050 Unpaid obligations, end of year	11	11	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	11	11
3200 Obligated balance, end of year	11	11	11
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	121	117	122
Outlays, gross:			
4010 Outlays from new discretionary authority	117	117	122
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–121	–117	–122
4190 Outlays, net (total)	–4	.....	.....

The table below shows anticipated workloads.

	2011 actual	2012 actual	2013 est.	2014 est.
Pending, start of year	7,398	7,425	6,231	6,057
New Railroad Retirement applications	46,974	45,197	45,000	45,000
New Social Security certifications	9,275	9,342	9,000	9,000
Total dispositions (excluding partial awards)	56,222	55,733	54,174	54,018
Pending, end of year	7,425	6,231	6,057	6,039

As shown below, the Board projects this workload will continue to decline as the number of beneficiaries declines.

	1980 act.	1990 act.	2010 act.	2011 act.	2012 est.	2013 est.
Total beneficiaries	1,009,500	894,196	549,154	544,256	541,100	537,600

LIMITATION ON ADMINISTRATION—Continued

In recognition of the continuing decline in virtually all its major workloads, the Board will explore and adopt new approaches to improve service to beneficiaries.

The President's Budget includes a legislative proposal to amend the Railroad Retirement Act to allow the Railroad Retirement Board (RRB) to utilize various hiring authorities available to other Federal agencies. Section 7(b)(9) of the Railroad Retirement Act contains language requiring that all employees of the RRB, except for one assistant for each Board Member, must be hired under the competitive civil service. Elimination of this requirement would enable the RRB to use various hiring authorities offered by the Office of Personnel Management.

Object Classification (in millions of dollars)

Identification code 60–8237–0–7–601	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	73	62	67
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.8 Special personal services payments	1	.....	.....
11.9 Total personnel compensation	76	64	69
12.1 Civilian personnel benefits	20	19	18
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	.....	4	4
23.3 Communications, utilities, and miscellaneous charges	7	5	5
25.2 Other services from non-Federal sources	5	12	13
26.0 Supplies and materials	.....	1	1
99.0 Direct obligations	109	106	111
99.0 Reimbursable obligations	11	11	11
99.5 Below reporting threshold	1	.....	.....
99.9 Total new obligations	121	117	122

Employment Summary

Identification code 60–8237–0–7–601	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	834	835	810
2001 Reimbursable civilian full-time equivalent employment	50	50	50

NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST

Special and Trust Fund Receipts (in millions of dollars)

Identification code 60–8118–0–7–601	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	20,569	22,051	20,901
Receipts:			
0220 Gains and Losses on Non-Federal Securities, National Railroad Retirement Investment Trust	3,139	520	400
0221 Interest and Dividends on Non-Federal Securities, National Railroad Retirement Investment Trust	397	79	116
0240 Earnings on Investments in Federal Securities, National Railroad Retirement Investment Trust	41	6	11
0299 Total receipts and collections	3,577	605	527
0400 Total: Balances and collections	24,146	22,656	21,428
Appropriations:			
0500 National Railroad Retirement Investment Trust	–2,095	–1,755	–1,837
0799 Balance, end of year	22,051	20,901	19,591

Program and Financing (in millions of dollars)

Identification code 60–8118–0–7–601	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 NRRIT expenses	2,095	1,755	1,837
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	2,095	1,755	1,837
1260 Appropriations, mandatory (total)	2,095	1,755	1,837
1930 Total budgetary resources available	2,095	1,755	1,837
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	2,095	1,755	1,837
3020 Outlays (gross)	–2,095	–1,755	–1,837
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	2,095	1,755	1,837
Outlays, gross:			
4100 Outlays from new mandatory authority	2,095	1,755	1,837
4180 Budget authority, net (total)	2,095	1,755	1,837
4190 Outlays, net (total)	2,095	1,755	1,837
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	657	710	667
5001 Total investments, EOY: Federal securities: Par value	710	667	632
5010 Total investments, SOY: non-Fed securities: Market value	21,423	22,855	21,745
5011 Total investments, EOY: non-Fed securities: Market value	22,855	21,745	20,470

The Trust manages and invests the funds of the Railroad Retirement System in private securities and U.S. Treasury Securities.

Status of Funds (in millions of dollars)

Identification code 60–8118–0–7–601	2012 actual	2013 CR	2014 est.
Unexpended balance, start of year:			
0100 Balance, start of year	20,569	22,051	20,901
0199 Total balance, start of year	20,569	22,051	20,901
Cash income during the year:			
Current law:			
Offsetting receipts (proprietary):			
1220 Gains and Losses on Non-Federal Securities, National Railroad Retirement Investment Trust	3,139	520	400
1221 Interest and Dividends on Non-Federal Securities, National Railroad Retirement Investment Trust	397	79	116
Offsetting receipts (intragovernmental):			
1240 Earnings on Investments in Federal Securities, National Railroad Retirement Investment Trust	41	6	11
1299 Income under present law	3,577	605	527
3299 Total cash income	3,577	605	527
Cash outgo during year:			
Current law:			
4500 National Railroad Retirement Investment Trust	–2,095	–1,755	–1,837
4599 Outgo under current law (-)	–2,095	–1,755	–1,837
6599 Total cash outgo (-)	–2,095	–1,755	–1,837
Unexpended balance, end of year:			
8700 Uninvested balance (net), end of year	21,341	20,234	18,959
8701 National Railroad Retirement Investment Trust	710	667	632
8799 Total balance, end of year	22,051	20,901	19,591

Object Classification (in millions of dollars)

Identification code 60–8118–0–7–601	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.2 Other services from non-Federal sources	69	70	75
94.0 Financial transfers	2,026	1,685	1,762
99.9 Total new obligations	2,095	1,755	1,837

LIMITATION ON ADMINISTRATION, RECOVERY ACT

This appropriation provides funding for administrative costs of the Railroad Retirement Board to pay benefits under the American Recovery and Reinvestment Act of 2009 (P.L. 111–5).

Limitation on the Office of Inspector General

For expenses necessary for the Office of Inspector General for audit, investigatory and review activities, as authorized by the Inspector General Act of 1978, not more than $8,877,000, to be derived from the railroad retirement accounts and railroad unemployment insurance account.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 60–8018–0–7–601	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Rail Industry Pension Fund	9	5	6
0002 Railroad Social Security Equivalent Benefit	.....	2	2
0003 Railroad Unemployment Insurance Trust	.....	1	1
0100 Subtotal, direct program	9	8	9
0799 Total direct obligations	9	8	9
0801 Medicare and other reimbursements	1	1	1
0900 Total new obligations	10	9	10
Budgetary Resources:			
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	10	9	10
1750 Spending auth from offsetting collections, disc (total)	10	9	10
1930 Total budgetary resources available	10	9	10
Memorandum (non-add) entries:			
Special and non-revolving trust funds:			
1952 Expired unobligated balance, start of year	1	1	1
1953 Expired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3010 Obligations incurred, unexpired accounts	10	9	10
3020 Outlays (gross)	–10	–9	–10
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	10	9	10
Outlays, gross:			
4010 Outlays from new discretionary authority	10	9	10
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	–10	–9	–10

Object Classification (in millions of dollars)

Identification code 60–8018–0–7–601	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	5	5	6
12.1 Civilian personnel benefits	2	2	2
99.0 Direct obligations	7	7	8
99.0 Reimbursable obligations	1	1	1
99.5 Below reporting threshold	2	1	1
99.9 Total new obligations	10	9	10

Employment Summary

Identification code 60–8018–0–7–601	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	47	45	47
2001 Reimbursable civilian full-time equivalent employment	5	5	5

Railroad Social Security Equivalent Benefit Account

Special and Trust Fund Receipts (in millions of dollars)

Identification code 60–8010–0–7–601	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	220	406	273
Receipts:			
0200 Refunds, Railroad Social Security Equivalent Benefit Account	–3	–30	–3
0201 Railroad Social Security Equivalent Benefit Account, Taxes	2,251	2,673	2,735
0202 Railroad Social Security Equivalent Benefit Account, Receipts Transferred to Federal Hospital Insurance Trust Fund	–484	–508	–546
0240 Railroad Social Security Equivalent Benefit Account, Interest and Profits on Investments in Public Debt Securities	21	22	19
0241 Railroad Social Security Equivalent Benefit Account, Income Tax Credits	291	222	210
0242 Railroad Social Security Equivalent Benefit Account, Interest Transferred to Federal Hospital Insurance Trust Fund	–27	–25	–27
0243 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Old-age Survivors Ins. Trust Fund	4,139	3,898	4,175
0244 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Disability Insurance Trust Fund	512	427	427
0245 Railroad Social Security Equivalent Benefit Account, General Fund Payment for Payroll Tax Holiday (PL 111–312)	339	94	.....
0299 Total receipts and collections	7,039	6,773	6,990
0400 Total: Balances and collections	7,259	7,179	7,263
Appropriations:			
0500 Railroad Social Security Equivalent Benefit Account	–32	–34	–35
0501 Railroad Social Security Equivalent Benefit Account	–7,008	–6,773	–6,990
0502 Railroad Social Security Equivalent Benefit Account	–220	–407	–308
0503 Railroad Social Security Equivalent Benefit Account	407	308	343
0599 Total appropriations	–6,853	–6,906	–6,990
0799 Balance, end of year	406	273	273

Program and Financing (in millions of dollars)

Identification code 60–8010–0–7–601	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	6,786	6,878	7,087
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	.....	1	1
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust fund)	32	34	35
1160 Appropriation, discretionary (total)	32	34	35
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	7,008	6,773	6,990
1203 Appropriation (previously unavailable)	220	407	308
1220 Appropriations transferred to other accts [60–8011]	–19	–247	–28
1235 Appropriations precluded from obligation	–407	–308	–343
1236 Appropriations applied to repay debt	–3,816	–3,753	–3,967
1260 Appropriations, mandatory (total)	2,986	2,872	2,960
Borrowing authority, mandatory:			
1400 Borrowing authority	3,769	3,972	4,092
1440 Borrowing authority, mandatory (total)	3,769	3,972	4,092
1900 Budget authority (total)	6,787	6,878	7,087
1930 Total budgetary resources available	6,787	6,879	7,088
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	588	563	571
3010 Obligations incurred, unexpired accounts	6,786	6,878	7,087
3020 Outlays (gross)	–6,811	–6,870	–7,072
3050 Unpaid obligations, end of year	563	571	586
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	588	563	571
3200 Obligated balance, end of year	563	571	586
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	32	34	35
Outlays, gross:			
4010 Outlays from new discretionary authority	32	34	35

RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT—Continued

Program and Financing—Continued

Identification code 60–8010–0–7–601	2012 actual	2013 CR	2014 est.
Mandatory:			
4090 Budget authority, gross	6,755	6,844	7,052
Outlays, gross:			
4100 Outlays from new mandatory authority	6,726	6,826	7,027
4101 Outlays from mandatory balances	53	10	10
4110 Outlays, gross (total)	6,779	6,836	7,037
4180 Budget authority, net (total)	6,787	6,878	7,087
4190 Outlays, net (total)	6,811	6,870	7,072
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	796	927	927
5001 Total investments, EOY: Federal securities: Par value	927	927	971
5080 Outstanding debt, SOY: Repayable advances	–3,484	–3,402	–3,622
5081 Outstanding debt, EOY: Repayable advances	–3,402	–3,622	–3,747
5082 Borrowing: Repayable advances	–3,734	–3,973	–4,092

All railroad retirees receive the equivalent of a social security benefit, and they may also receive other add-ons including rail industry pension payments, windfall payments, and supplemental annuities. Social security benefits for former railroad employees are funded by the social security trust funds, and rail industry pension payments are the responsibility of the rail sector.

Under current law, a financial interchange occurs once each year between the social security trust funds and the social security equivalent benefit (SSEB) account. SSEB receives monthly advances from the general fund equal to an estimate of the transfer SSEB would have received for the previous month if the financial interchange transfers were on a monthly basis. Advances from the previous year are repaid annually to the general fund immediately after the financial interchange is received. In 2012, $3,757 million was advanced and $3,816 million was repaid.

Status of Funds (in millions of dollars)

Identification code 60–8010–0–7–601	2012 actual	2013 CR	2014 est.
Unexpended balance, start of year:			
0100 Balance, start of year	–2,676	–2,463	–2,807
0199 Total balance, start of year	–2,676	–2,463	–2,807
Cash income during the year:			
Current law:			
Receipts:			
1200 Refunds, Railroad Social Security Equivalent Benefit Account	–3	–30	–3
1201 Railroad Social Security Equivalent Benefit Account, Taxes	2,251	2,673	2,735
1202 Railroad Social Security Equivalent Benefit Account, Receipts Transferred to Federal Hospital Insurance Trust Fund	–484	–508	–546
Offsetting receipts (intragovernmental):			
1240 Railroad Social Security Equivalent Benefit Account, Interest and Profits on Investments in Public Debt Securities	21	22	19
1241 Railroad Social Security Equivalent Benefit Account, Income Tax Credits	291	222	210
1242 Railroad Social Security Equivalent Benefit Account, Interest Transferred to Federal Hospital Insurance Trust Fund	–27	–25	–27
1243 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Old-age Survivors Ins. Trust Fund	4,139	3,898	4,175
1244 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Disability Insurance Trust Fund	512	427	427
1245 Railroad Social Security Equivalent Benefit Account, General Fund Payment for Payroll Tax Holiday (PL 111–312)	339	94	.....
1299 Income under present law	7,039	6,773	6,990
3299 Total cash income	7,039	6,773	6,990
Cash outgo during year:			
Current law:			
4500 Railroad Social Security Equivalent Benefit Account	–6,811	–6,870	–7,072
4599 Outgo under current law (-)	–6,811	–6,870	–7,072
6599 Total cash outgo (-)	–6,811	–6,870	–7,072
7645 Railroad Social Security Equivalent Benefit Account	–19	–247	–28
Manual Adjustments:			
7690 Adjustment - lost investment opportunity	4	.....	.....
7699 Total adjustments	–15	–247	–28
Unexpended balance, end of year:			
8700 Uninvested balance (net), end of year	–3,390	–3,734	–3,888
8701 Railroad Social Security Equivalent Benefit Account	927	927	971
8799 Total balance, end of year	–2,463	–2,807	–2,917

Object Classification (in millions of dollars)

Identification code 60–8010–0–7–601	2012 actual	2013 CR	2014 est.
Direct obligations:			
42.0 Benefit payments	6,632	6,725	6,920
94.0 Financial transfers	121	119	132
94.0 Financial transfers	33	34	35
99.9 Total new obligations	6,786	6,878	7,087

RECOVERY ACCOUNTABILITY AND TRANSPARENCY BOARD

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Recovery Accountability and Transparency Board to carry out the accountability provisions of title XV of the American Recovery and Reinvestment Act of 2009 (Public Law 111–5), and to develop and test information technology resources and oversight mechanisms to enhance transparency of and detect and remediate waste, fraud, and abuse in Federal spending, and to develop and use information technology resources and oversight mechanisms to detect and remediate waste, fraud, and abuse in obligation and expenditure of funds as described in Section 904(d) of the Disaster Relief Appropriations Act, 2013, which shall be administered under the terms and conditions of the accountability authorities of title XV of the Recovery Act, $12,500,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–3725–0–1–808	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	24	25	13
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	.....	4	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	28	28	13
1120 Appropriations transferred to other accts [95–3725]	.....	–6	–6
1121 Appropriations transferred from other accts [95–3725]	.....	6	6
1160 Appropriation, discretionary (total)	28	28	13
1930 Total budgetary resources available	28	32	20
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	7	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	14	11	8
3010 Obligations incurred, unexpired accounts	24	25	13
3020 Outlays (gross)	–27	–28	–10
3050 Unpaid obligations, end of year	11	8	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	14	11	8
3200 Obligated balance, end of year	11	8	11
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	28	28	13

	Outlays, gross:			
4010	Outlays from new discretionary authority	16	18	9
4011	Outlays from discretionary balances	11	10	1
4020	Outlays, gross (total)	27	28	10
4180	Budget authority, net (total)	28	28	13
4190	Outlays, net (total)	27	28	10

The Recovery Accountability and Transparency Board (Board) is an independent federal agency charged with providing accountability and transparency of funds provided under the American Recovery and Reinvestment Act of 2009 (Recovery Act). The primary functions of the Board are to promote accountability by coordinating and conducting oversight of Recovery Act funds to prevent fraud, waste, and abuse and fosters transparency on Recovery Act spending by providing the public with accurate, user-friendly information. The Board also develops and tests information technology resources and oversight mechanisms to enhance transparency of and detect and remediate fraud, waste and abuse in Federal spending. In addition, the Board is to develop and use information technology resources and oversight mechanisms to detect and remediate waste, fraud and abuse as referenced in Section 904(d) of the Disaster Relief Appropriations Act, 2013.

Object Classification (in millions of dollars)

Identification code 95–3725–0–1–808	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	3	4	3
11.8 Special personal services payments	4	5	1
11.9 Total personnel compensation	7	9	4
12.1 Civilian personnel benefits	1	2	.....
23.1 Rental payments to GSA	1	1	1
25.1 Advisory and assistance services	8	7	7
25.3 Other goods and services from Federal sources	6	5	1
31.0 Equipment	1	1	.....
99.9 Total new obligations	24	25	13

Employment Summary

Identification code 95–3725–0–1–808	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	39	35	25

SECURITIES AND EXCHANGE COMMISSION

Federal Funds

Salaries and Expenses

For necessary expenses for the Securities and Exchange Commission, including services as authorized by 5 U.S.C. 3109, the rental of space (to include multiple year leases) in the District of Columbia and elsewhere, and not to exceed $3,500 for official reception and representation expenses, $1,674,000,000, to remain available until expended; of which not less than $74,844,000 shall be used to cover shortfalls in the Commission's funding of obligations incurred in past fiscal years for ongoing multi-year real property contracts; and of which not less than $7,092,381 shall be for the Office of Inspector General; of which not to exceed $50,000 shall be available for a permanent secretariat for the International Organization of Securities Commissions; and of which not to exceed $100,000 shall be available for expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials, members of their delegations and staffs to exchange views concerning securities matters, such expenses to include necessary logistic and administrative expenses and the expenses of Commission staff and foreign invitees in attendance including: (1) incidental expenses such as meals; (2) travel and transportation; and (3) related lodging or subsistence: Provided, That fees and charges authorized by section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee) shall be credited to this account as offsetting collections: Provided further, That not to exceed $1,674,000,000 of such offsetting collections shall be available until expended for necessary expenses of this account: Provided further, That the total amount appropriated under this heading from the general fund for fiscal year 2014 shall be reduced as such offsetting fees are received so as to result in a final total fiscal year 2014 appropriation from the general fund estimated at not more than $0.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 50–0100–0–1–376	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Enforcement	433	415	494
0002 Compliance Inspections and Examinations	269	264	347
0003 Corporation Finance	138	132	164
0004 Trading and Markets	70	79	98
0005 Investment Management	50	49	63
0006 Risk, Strategy, and Financial Innovation	20	32	51
0007 General Counsel	44	42	42
0008 Other Program Offices	48	51	64
0009 Agency Direction and Administrative Support	101	183	276
0010 Inspector General	7	7	8
0799 Total direct obligations	1,180	1,254	1,607
0801 Reimbursable program	.....	.....	1
0900 Total new obligations	1,180	1,254	1,608
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	.....	1	9
1021 Recoveries of prior year unpaid obligations	169	.....	.....
1050 Unobligated balance (total)	169	1	9
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	33	8	.....
1160 Appropriation, discretionary (total)	33	8	.....
Spending authority from offsetting collections, discretionary:			
1700 Collected	1,289	1,329	1,674
1750 Spending auth from offsetting collections, disc (total)	1,289	1,329	1,674
1900 Budget authority (total)	1,322	1,337	1,674
1901 Adjustment for new budget authority used to liquidate deficiencies	–168	–75	–75
1901 Adjustment for unfunded deficiencies	–142	.....	.....
1930 Total budgetary resources available	1,181	1,263	1,608
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	9	.....
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,111	942	753
3010 Obligations incurred, unexpired accounts	1,180	1,254	1,608
3020 Outlays (gross)	–1,180	–1,443	–1,696
3040 Recoveries of prior year unpaid obligations, unexpired	–169	.....	.....
3050 Unpaid obligations, end of year	942	753	665
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,111	942	753
3200 Obligated balance, end of year	942	753	665
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,322	1,337	1,674
Outlays, gross:			
4010 Outlays from new discretionary authority	946	1,139	1,424
4011 Outlays from discretionary balances	234	304	272
4020 Outlays, gross (total)	1,180	1,443	1,696
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034 Offsetting governmental collections	–1,289	–1,329	–1,674
4180 Budget authority, net (total)	33	8	.....
4190 Outlays, net (total)	–109	114	22
Memorandum (non-add) entries:			
5090 Unavailable balance, start of year: Offsetting collections (adjusted)	6,495	6,495	6,495

SALARIES AND EXPENSES—Continued

Program and Financing—Continued

Identification code 50–0100–0–1–376		2012 actual	2013 CR	2014 est.
5091	Unavailable balance, end of year: Offsetting Collections	6,495	6,495	6,495
	Unfunded deficiencies:			
7000	Unfunded deficiency, start of year	–731	–421	–346
	Change in deficiency during the year:			
7012	New budget authority used to liquidate deficiencies	310	75	75
7020	Unfunded deficiency, end of year	–421	–346	–271

The primary mission of the Securities and Exchange Commission (SEC) is to protect investors; maintain fair, orderly, and efficient markets; and facilitate capital formation. The Commission's six major programs include the following:

Enforcement.—The Division of Enforcement investigates and prosecutes violations of the Federal securities laws and works closely with the Department of Justice and U.S. Attorneys offices to coordinate and assist in criminal prosecutions. In 2014, Enforcement plans to enhance the core investigative, litigation, and analytical functions to address increasingly complex financial products and transactions. Specifically, Enforcement plans to focus on bringing additional legal, accounting, and industry expertise to investigations and cases; supporting current initiatives in market intelligence; and enhancing case management. The division also plans to bolster staffing for the Office of Market Intelligence (OMI), which is responsible for the colection, analysis, risk-weighing triage, referral, and montoring of the thousands of tips, complaints, and referrals that the agency receives each year. The division also continues to focus on enhancing collaboration among all parties involved in investor protection, including other Federal agencies, the SEC's foreign counterparts, and market participants who are critical in helping to identify risks and potential case referrals. Continued investment in technology remains a top priority for Enforcement, as it will enable the staff to work more efficiently and effectively. In 2014, the division plans to invest resources in further development of the suite of capabilities to track and handle tips, complaints, and referrals, as well as a comprehensive case management system.

Compliance Inspections and Examinations.—The Office of Compliance Inspections and Examinations (OCIE) conducts the SEC's examination program to detect violations of the Federal securities laws and evaluate internal compliance controls at securities firms registered with the SEC. In 2014, OCIE plans to hire additional examiners to focus on investment advisers and investment companies as part of a multi-year effort to increase coverage of the advisory industry, allowing OCIE to more effectively assess the risk of, monitor, and examine market participants to focus on the areas in greatest need of attention. In addition to regular examinations and monitoring of regulated entities, OCIE will continue to promote stronger industry compliance through the Compliance Outreach Program.

Corporation Finance.—The Division of Corporation Finance establishes disclosure requirements and monitors disclosures to provide investors with information necessary to make investment decisions and to help prevent fraud and misrepresentation in securities transactions. Continuing investor interest regarding the quality of financial reporting and corporate governance and significant changes in disclosure and other requirements applicable to reporting companies have contributed to a changing regulatory environment affecting the securities markets. In 2014, the division plans to continue its multi-year effort to enhance disclosure reviews of large or financially significant companies; provide increased interpretive guidance as a result of the enhanced filing reviews; review the offering rules to consider their impact on small business capital formation and recommend changes to the Commission as appropriate; and evaluate trends in the increasingly complex offerings of asset-backed securities and other structured financial products. The division also will continue to focus on meeting the review cycle requirements of the Sarbanes-Oxley Act and process in a timely manner all requests for confidential treatment associated with filings.

Trading and Markets.—The Division of Trading and Markets' mission is to establish and maintain standards for fair, orderly and efficient markets, while fostering investor protection and confidence in the markets. The division oversees the activities of industry self-regulatory organizations (SRO) such as the Financial Industry Regulatory Authority (FINRA), and also directly regulates market participants where Commission rulemaking is more effective than self-regulation. In 2014, the division will assume the substantial new responsibilities required of it in the Jumpstart Our Business Startups Act (JOBS Act). The division plans to enhance its oversight of market structure and operations, analysis of real-time market data, and economic analysis of proposed SRO rules to determine potential burdens on competition of proposed rule changes. The division will continue to supervise trading in the U.S. exchange, over-the-counter (OTC) securities, and options markets, as well as address issues related to central clearing of credit default swaps, short sales of securities, and manipulation. The division also will continue to work with other U.S. and foreign regulators and industry personnel to devise and implement the most effective and efficient regulatory structure for credit rating agencies and to address risk in the credit default swaps market.

Investment Management.—The Division of Investment Management regulates investment companies and investment advisers under two companion statutes: the Investment Company Act of 1940 and the Investment Advisers Act of 1940. The division seeks to protect investors from fraud, mismanagement, self dealing, and inadequate disclosures by investment companies and investment advisers, without imposing unnecessary burdens on regulated entities. In 2014, the division plans to focus on exchange-traded funds (ETFs) and money market funds, which have unique attributes that present different and often more challenging regulatory concerns than conventional funds. The division also plans to conduct financial analysis and data analytics to support its investor protection mandate.

Risk, Strategy and Financial Innovation.—In 2014, the Division of Risk, Strategy and Financial Innovation plans to enhance its expertise in equity markets and trading, fixed income markets and products, financial innovation, and asset valuation. The division also plans to focus resources on conducting robust cost-benefit analyses to evaluate the economic impact of proposed rules.

Several additional program offices directly support the major programs, including the Office of Investor Education and Advocacy (OIEA), the Office of the Chief Accountant, and the Office of International Affairs. In 2014, these offices will continue to focus their efforts on: research into investors' decision-making behavior to develop and refine educational programs and materials and help inform the Commission's rulemaking agenda; the Financial Accounting Standards Board's (FASB) standard setting activities, including ongoing major projects on revenue recognition and financial statement presentation; and major international regulatory policy initiatives to strengthen financial markets and investor protection, as well as assisting the Division of Enforcement with numerous cases that have significant international components.

Implementation of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203) (the Act).—The Act assigned significant new responsibilities to the SEC that will have a substantial long-term impact on the agency's workload, including oversight of hedge fund advisers and a portion of the over-the-counter derivatives market; registration of municipal advisors and securities-based swaps market participants; enhanced supervision of credit rating agencies and clearing agencies; heightened regulation of asset-backed securities; and creation of a new whistleblower program. In 2014, the SEC plans to continue implementation of the Act and fully integrate the new responsibilities and registrants into the agency's operations. Toward this end, the SEC will augment enforcement and examination staffing levels in order to address the additional workload resulting from the increased number and types of market participants, the increased complexity of investigations, and administration of the whistleblower program. The SEC will increase the number of examination staff focused on private funds advisers managing thousands of pooled investment vehicles who will register with the Commission as a result of the Act. The agency also will add staff in the Divisions of Corporation Finance, Trading and Markets, Investment Management, and Risk, Strategy and Financial Innovation to support new responsibilities and increased workload under the Act, including disclosure review of asset-backed securities issuers; oversight of new security-based swap clearing agencies, dealers, and data repositories; inspections of investment companies and advisers to focus on key issues or practices with industry-wide applicability; expanded oversight of private fund advisers; and data management and analysis for the substantial data sets resulting from registration of new entities. The agency plans to emphasize hiring staff with industry expertise for areas that are new additions to the SEC's regulatory portfolio under the Act.

The SEC is funded through offsetting fees collected pursuant to section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee). The Budget proposes 566$1.674 billion of the fee collections to finance SEC operations in 2014. Because the SEC's budget is offset by fees, the agency's funding level has no impact on the Federal deficit.

Object Classification (in millions of dollars)

Identification code 50–0100–0–1–376	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	566	656	796
11.3 Other than full-time permanent	18	.....	1
11.5 Other personnel compensation	7	6	11
11.8 Special personal services payments	2	3	2
11.9 Total personnel compensation	593	665	810
12.1 Civilian personnel benefits	172	176	198
13.0 Benefits for former personnel	1	.....	1
21.0 Travel and transportation of persons	11	13	17
23.2 Rental payments to others	37	43	69
23.3 Communications, utilities, and miscellaneous charges	10	12	14
24.0 Printing and reproduction	8	11	11
25.1 Advisory and assistance services	47	112	145
25.2 Other services from non-Federal sources	73	72	73
25.3 Other goods and services from Federal sources	22	22	24
25.4 Operation and maintenance of facilities	7	8	8
25.7 Operation and maintenance of equipment	123	23	133
26.0 Supplies and materials	2	5	4
31.0 Equipment	73	80	82
32.0 Land and structures	1	12	18
99.0 Direct obligations	1,180	1,254	1,607
99.0 Reimbursable obligations	.....	.....	1
99.9 Total new obligations	1,180	1,254	1,608

Employment Summary

Identification code 50–0100–0–1–376	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	3,792	4,213	4,834
2001 Reimbursable civilian full-time equivalent employment	1	1	1

Securities and Exchange Commission Reserve Fund

Special and Trust Fund Receipts (in millions of dollars)

Identification code 50–5566–0–2–376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	25	25
Receipts:			
0200 Registration Fees, Securities and Exchange Commission Reserve Fund	50	50	50
0400 Total: Balances and collections	50	75	75
Appropriations:			
0500 Securities and Exchange Commission Reserve Fund	.....	25	.....
0501 Securities and Exchange Commission Reserve Fund	–50	–75	–75
0502 Securities and Exchange Commission Reserve Fund	25	.....	.....
0599 Total appropriations	–25	–50	–75
0799 Balance, end of year	25	25	.....

Program and Financing (in millions of dollars)

Identification code 50–5566–0–2–376	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Enforcement	1	5	5
0002 Compliance Inspections and Examinations	2	7	7
0003 Corporation Finance	5	23	23
0004 Trading and Markets	1	5	5
0005 Investment Management	2	8	8
0009 Agency Direction and Administrative Support	1	2	2
0900 Total new obligations	12	50	50
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	.....	13	13
Budget authority:			
Appropriations, discretionary:			
1132 Appropriations temporarily reduced	.....	–25	.....
1160 Appropriation, discretionary (total)	.....	–25	.....
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	50	75	75
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	–25	.....	.....
1260 Appropriations, mandatory (total)	25	75	75
1900 Budget authority (total)	25	50	75
1930 Total budgetary resources available	25	63	88
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	13	13	38
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	.....	12	31
3010 Obligations incurred, unexpired accounts	12	50	50
3020 Outlays (gross)	.....	–31	–59
3050 Unpaid obligations, end of year	12	31	22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	.....	12	31
3200 Obligated balance, end of year	12	31	22
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	.....	–25	.....
Outlays, gross:			
4010 Outlays from new discretionary authority	.....	–8	.....
4011 Outlays from discretionary balances	.....	.....	–12
4020 Outlays, gross (total)	.....	–8	–12
Mandatory:			
4090 Budget authority, gross	25	75	75
Outlays, gross:			
4100 Outlays from new mandatory authority	.....	26	26

SECURITIES AND EXCHANGE COMMISSION RESERVE FUND—Continued

Program and Financing—Continued

Identification code 50–5566–0–2–376	2012 actual	2013 CR	2014 est.
4101 Outlays from mandatory balances	.....	13	45
4110 Outlays, gross (total)	.....	39	71
4180 Budget authority, net (total)	25	50	75
4190 Outlays, net (total)	.....	31	59

Section 991 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203) (the Act) amended section 4 of the Securities Exchange Act of 1934 (15 U.S.C. 78d) by adding the Securities and Exchange Commission Reserve Fund. The Reserve Fund is a separate fund established in the Treasury, from which the Commission may obligate amounts, not to exceed a total of $100,000,000 in any one fiscal year, it determines are necessary to carry out the functions of the Commission. The Reserve Fund provisions took effect on October 1, 2011.

The Reserve Fund is financed by deposits from registration fees collected by the Commission under section 6(b) of the Securities Act of 1933 (15 U.S.C. 77f(b)) and section 24(f) of the Investment Company Act of 1940 (15 U.S.C. 80a-24(f)). In any one fiscal year, the amount deposited in the Reserve Fund may not exceed $50,000,000; funds deposited are available until expended. (The remainder of registration fee collections for each fiscal year will be deposited in the General Fund of the Treasury and are not available for obligation by the Commission.)

Funds deposited in the Reserve Fund are not subject to appropriation or apportionment. The Commission is required to notify Congress, no later than 10 days after obligation, of the amount and purpose of any obligation made utilizing funds from the Reserve Fund.

In 2014, the SEC plans to use the Reserve Fund for EDGAR modernization, the Enterprise Data Warehouse (EDW), the Consolidated Audit Trail (CAT) Repository, analytical tools, and a single software platform to allow analysis of CAT data. Specifically, the SEC plans to continue the multi-year effort to overhaul EDGAR to create a new, modernized system that will, among other things, meet Commission requirements for real-time system updates; reduce filer burden by providing simplified search and filling options based on filer experience (i.e., professional or novice); improve data capture by moving to structured formats for various SEC forms; and reduce the long-term costs of operating and maintaining the systems. The EDGAR modernization effort leverages the centralized EDW, which will allow organization of data using standard definitions and structures, integration of large amounts of data to allow enhanced analytical capabilities and predictive modeling, and strengthened governance of data controls and quality standards.

The CAT Repository will increase the data available to regulators investigating illegal activities such as insider trading and market manipulation; significantly improve the SEC's ability to reconstruct broad-based market events in an accurate and timely manner; monitor overall market structure, and assess how Commission rules are affecting the markets; and decrease regulatory data production burdens on SROs and broker-dealers by reducign the number of ad hoc requests from regulators. In 2014, the SEC plans to develop the ability to intake CAT data and store it in the EDW, as well as develop analytical tools and a single software platform that will allow the SEC to identify patterns, trends, and anomalies in the CAT data. The tools and platform will allow seamless searches of data sets to examine activity to reveal suspicious behavior in securities-related activities and quickly trace their origin.

Object Classification (in millions of dollars)

Identification code 50–5566–0–2–376	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.7 Operation and maintenance of equipment	5	36	36
31.0 Equipment	7	14	14
99.9 Total new obligations	12	50	50

INVESTOR PROTECTION FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 50–5567–0–2–376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	.....	90
Receipts:			
0200 Monetary Sanctions, Investor Protection Fund	.....	89	176
0240 Interest, Investor Protection Fund	1	1	1
0299 Total receipts and collections	1	90	177
0400 Total: Balances and collections	1	90	267
Appropriations:			
0500 Investor Protection Fund	–1	.....	–90
0799 Balance, end of year	.....	90	177

Program and Financing (in millions of dollars)

Identification code 50–5567–0–2–376	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Whistleblower Payments	.....	110	133
0900 Total new obligations (object class 91.0)	.....	110	133
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	451	452	342
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1	.....	90
1260 Appropriations, mandatory (total)	1	.....	90
1930 Total budgetary resources available	452	452	432
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	452	342	299
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	.....	110	133
3020 Outlays (gross)	.....	–110	–133
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1	.....	90
Outlays, gross:			
4101 Outlays from mandatory balances	.....	110	133
4180 Budget authority, net (total)	1	.....	90
4190 Outlays, net (total)	.....	110	133
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	451	451	422
5001 Total investments, EOY: Federal securities: Par value	451	422	392

As part of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203) (the Wall Street Reform Act), Congress substantially expanded the Securities and Exchange Commission's authority to pay whistleblower awards and enhanced the anti-retaliation protections available to whistleblowers. The intent is to elicit high-quality tips by motivating persons with inside knowledge to assist the Federal Government in identifying and prosecuting individuals who violate the Federal securities laws.

Section 922 of the Act amended the Securities Exchange Act by adding section 21F, entitled "Securities Whistleblower Incentives

and Protection." Among other things, section 21F establishes a whistleblower program that requires the Commission to pay an award, under regulations prescribed by the Commission and subject to certain limitations, to eligible whistleblowers if they provide original information to the SEC that leads to successful enforcement by the SEC of a judicial or administrative action in which monetary sanctions exceeding $1 million are imposed. The award amount is based on the monetary sanctions actually collected in the Commission action or related action.

As mandated by the Wall Street Reform Act, the Commission's Division of Enforcement has established a Whistleblower Office to administer and enforce the whistleblower program, and the agency has established the Investor Protection Fund to provide funding for the program. The Fund provides resources for payments to whistleblowers and for the SEC Office of the Inspector General's suggestion program. The Employee Suggestion Program is intended for the receipt of suggestions from SEC employees for improvements in the work efficiency, effectiveness, productivity, and use of resources at the SEC, as well as allegations from SEC employees of waste, abuse, misconduct, or mismanagement within the SEC.

The Investor Protection Fund is funded by transferring a portion of monetary sanctions collected by the SEC in judicial or administrative actions brought by the SEC under the securities laws that are not added to disgorgement funds or other funds under section 308 of the Sarbanes-Oxley Act of 2002, or amounts in such funds that are determined not to be distributed to injured investors. No sanction collected by the Commission can be transferred to the Fund if the Fund's balance at the time of the transfer exceeds $300 million.

The Commission is required to submit an annual report to the Committee on Banking, Housing and Urban Affairs of the Senate and the Committee on Financial Services of the House of Representatives, and the Committee on Banking, Housing, and Urban Affairs of the Senate, on the whistleblower award program.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
50–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	.....	794	737
General Fund Offsetting receipts from the public	.....	794	737

Public Company Accounting Oversight Board

Federal Funds

Public Company Accounting Oversight Board

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5376–0–2–376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	39	26	24
Receipts:			
0200 Accounting Support Fees, Public Company Accounting Oversight Board	215	234	254
0400 Total: Balances and collections	254	260	278
Appropriations:			
0500 Public Company Accounting Oversight Board	–228	–236	–253
0799 Balance, end of year	26	24	25

Program and Financing (in millions of dollars)

Identification code 95–5376–0–2–376	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Accounting Oversight	228	236	253
0002 Accounting Scholarship Program	1	1	1
0900 Total new obligations (object class 25.2)	229	237	254
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1	1	1
1160 Appropriation, discretionary (total)	1	1	1
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	228	236	253
1260 Appropriations, mandatory (total)	228	236	253
1900 Budget authority (total)	229	237	254
1930 Total budgetary resources available	229	237	254
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	229	237	254
3020 Outlays (gross)	–229	–237	–254
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1	1	1
Outlays, gross:			
4010 Outlays from new discretionary authority	1	1	1
Mandatory:			
4090 Budget authority, gross	228	236	253
Outlays, gross:			
4100 Outlays from new mandatory authority	215	236	253
4101 Outlays from mandatory balances	13	.....	.....
4110 Outlays, gross (total)	228	236	253
4180 Budget authority, net (total)	229	237	254
4190 Outlays, net (total)	229	237	254

Note: Because PCAOB does not report budgetary data to Treasury, budget estimates were derived from PCAOB's financial data.

The Sarbanes-Oxley Act of 2002 (P.L. 107–204) established the Public Company Accounting Oversight Board (PCAOB) to oversee the audit of public companies that are subject to federal securities laws. PCAOB was created to protect the interests of investors by regulating the preparation of informative, accurate, and independent audit reports for companies whose securities are sold to, and held by and for, public investors. Funding for PCAOB comes from registration fees paid by public accounting firms and accounting support fees paid by public companies.

Standard Setting Body

Federal Funds

Payment to Standard Setting Body

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5377–0–2–376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	.....	.....
Receipts:			
0200 Accounting Support Fees, Standard Setting Body	39	38	40
0400 Total: Balances and collections	39	38	40
Appropriations:			
0500 Payment to Standard Setting Body	–39	–38	–40
0799 Balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 95–5377–0–2–376	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Advisory and assistance services	39	38	40

PAYMENT TO STANDARD SETTING BODY—Continued

Program and Financing—Continued

Identification code 95–5377–0–2–376	2012 actual	2013 CR	2014 est.
0900 Total new obligations (object class 25.1)	39	38	40
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	39	38	40
1260 Appropriations, mandatory (total)	39	38	40
1900 Budget authority (total)	39	38	40
1930 Total budgetary resources available	39	38	40
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	39	38	40
3020 Outlays (gross)	–39	–38	–40
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	39	38	40
Outlays, gross:			
4100 Outlays from new mandatory authority	39	38	40
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4180 Budget authority, net (total)	39	38	40
4190 Outlays, net (total)	39	38	40

Note: Because the Standard Setting Body does not provide budgetary data to the Treasury, budget estimates were derived from the Standard Setting Body's financial data.

The Sarbanes-Oxley Act of 2002 (P.L. 107–204) authorizes the Securities and Exchange Commission (SEC) to designate a private entity as a standard setting body. This standard setting body will set accounting principles that will be "generally accepted" for the purposes of federal securities laws. Funding for the standard setting body comes from Accounting Support Fees, paid by public companies. The private entity currently designated as the standard setting body is the Financial Accounting Standards Board (FASB).

SECURITIES INVESTOR PROTECTION CORPORATION

Federal Funds

SECURITIES INVESTOR PROTECTION CORPORATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5600–0–2–376	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	1,361	1,537	1,764
Receipts:			
0200 Assessments, SIPC	396	390	400
0220 Earnings on Investments, SIPC	40	39	37
0299 Total receipts and collections	436	429	437
0400 Total: Balances and collections	1,797	1,966	2,201
Appropriations:			
0500 Securities Investor Protection Corporation	–260	–202	–335
0799 Balance, end of year	1,537	1,764	1,866

Program and Financing (in millions of dollars)

Identification code 95–5600–0–2–376	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Program Management	17	18	20
0002 Customer Claims	243	184	315
0900 Total new obligations (object class 25.1)	260	202	335
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	260	202	335
1260 Appropriations, mandatory (total)	260	202	335
1930 Total budgetary resources available	260	202	335
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	260	202	335
3020 Outlays (gross)	–260	–202	–335
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	260	202	335
Outlays, gross:			
4100 Outlays from new mandatory authority	260	202	335
4180 Budget authority, net (total)	260	202	335
4190 Outlays, net (total)	260	202	335
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	1,431	1,607	1,834
5001 Total investments, EOY: Federal securities: Par value	1,607	1,834	1,936

Note: Because the Securities Investor Protection Corporation (SIPC) does not report budgetary data to Treasury, budget estimates were derived from SIPC's financial data.

SIPC was created when Congress passed the Securities Investor Protection Act of 1970 (SIPA). Its purpose is to afford certain protections to customers against loss resulting from broker-dealer failure and, thereby, to promote investor confidence in the nation's securities markets. SIPC is a non-profit membership corporation. Its members are, with some exceptions, all persons registered as brokers or dealers under Section 15(b) of the Securities Exchange Act of 1934 and all persons who are members of a national securities exchange. SIPC receives funds through assessments on its membership and from interest earned on its investments in U.S. Government securities.

SIPC may borrow up to $2.5 billion from the U.S. Department of the Treasury, through the Securities and Exchange Commission, in the event that the fund maintained by SIPC is insufficient to satisfy the claims of customers of failing brokerage firms. SIPC has not accessed these loans to date, and the Budget does not project that SIPC will require use of these loans over the next ten years.

SMITHSONIAN INSTITUTION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Smithsonian Institution, as authorized by law, including research in the fields of art, science, and history; development, preservation, and documentation of the National Collections; presentation of public exhibits and performances; collection, preparation, dissemination, and exchange of information and publications; conduct of education, training, and museum assistance programs; maintenance, alteration, operation, lease agreements of no more than 30 years, and protection of buildings, facilities, and approaches; not to exceed $100,000 for services as authorized by 5 U.S.C. 3109; and purchase, rental, repair, and cleaning of uniforms for employees, $711,233,000, to remain available until September 30, 2015, except as otherwise provided herein; of which not to exceed $43,204,000 for the instrumentation program, collections acquisition, exhibition reinstallation, the National Museum of African American History and Culture, and the repatriation of skeletal remains program shall remain available until expended; and including such funds as may be necessary to support American overseas research centers: Provided, That funds appropriated herein are available for advance payments to independent contractors performing research services or participating in official Smithsonian presentations.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

Program and Financing (in millions of dollars)

Identification code 33–0100–0–1–503		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0001	Public programs	37	38	76
0002	Exhibitions	49	50	56
0003	Collections	62	63	67
0004	Research	90	92	93
0005	Facilities	199	204	215
0006	Security & safety	74	75	75
0007	Information technology	54	55	56
0008	Operations	64	65	70
0009	Development	3	4	7
0900	Total new obligations	632	646	715
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	27	38	38
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	637	642	711
1130	Appropriations permanently reduced	–1	.....	.....
1160	Appropriation, discretionary (total)	636	642	711
	Spending authority from offsetting collections, discretionary:			
1700	Collected	5	4	4
1701	Change in uncollected payments, Federal sources	2	.....	.....
1750	Spending auth from offsetting collections, disc (total)	7	4	4
1900	Budget authority (total)	643	646	715
1930	Total budgetary resources available	670	684	753
	Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	38	38	38
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	121	113	90
3010	Obligations incurred, unexpired accounts	632	646	715
3020	Outlays (gross)	–639	–669	–705
3041	Recoveries of prior year unpaid obligations, expired	–1	.....	.....
3050	Unpaid obligations, end of year	113	90	100
	Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	–4	–7	–7
3070	Change in uncollected pymts, Fed sources, unexpired	–2	.....	.....
3071	Change in uncollected pymts, Fed sources, expired	–1	.....	.....
3090	Uncollected pymts, Fed sources, end of year	–7	–7	–7
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	117	106	83
3200	Obligated balance, end of year	106	83	93
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	643	646	715
	Outlays, gross:			
4010	Outlays from new discretionary authority	506	562	621
4011	Outlays from discretionary balances	133	107	84
4020	Outlays, gross (total)	639	669	705
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–5	–4	–4
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–2	.....	.....
4070	Budget authority, net (discretionary)	636	642	711
4080	Outlays, net (discretionary)	634	665	701
4180	Budget authority, net (total)	636	642	711
4190	Outlays, net (total)	634	665	701

The Smithsonian Institution conducts research in the natural and physical sciences and in the history of cultures, technology, and the arts. The Institution acquires and preserves more than 137 million items of scientific, cultural, and historic importance for reference and study purposes. These resources may be accessed by millions of visitors and researchers worldwide either in person, or increasingly online. Smithsonian's public exhibitions delve into subjects from aeronautics to zoology.

The Institution operates 19 museums and galleries, a zoological park and animal conservation and research center, research facilities, and supporting facilities.

Included in the presentation of the Salaries and Expenses account are data for the Canal Zone biological area fund. Donations, subscriptions, and fees are appropriated and used to defray part of the expenses of maintaining and operating the Canal Zone biological area (60 Stat. 1101; 20 U.S.C. 79, 79a).

Object Classification (in millions of dollars)

Identification code 33–0100–0–1–503		2012 actual	2013 CR	2014 est.
	Direct obligations:			
	Personnel compensation:			
11.1	Full-time permanent	289	297	308
11.3	Other than full-time permanent	3	3	3
11.5	Other personnel compensation	13	13	14
11.9	Total personnel compensation	305	313	325
12.1	Civilian personnel benefits	94	98	102
13.0	Benefits for former personnel	3	.....	.....
21.0	Travel and transportation of persons	5	4	5
22.0	Transportation of things	1	1	1
23.3	Rent, Communications, and Utilities	82	83	85
24.0	Printing and reproduction	1	1	1
25.2	Other services	96	101	146
26.0	Supplies and materials	17	17	19
31.0	Equipment	18	18	21
32.0	Land and structures	6	6	6
99.0	Direct obligations	628	642	711
99.0	Reimbursable obligations	4	4	4
99.9	Total new obligations	632	646	715

Employment Summary

Identification code 33–0100–0–1–503		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	4,091	4,195	4,299

Facilities Capital

For necessary expenses of repair, revitalization, and alteration of facilities owned or occupied by the Smithsonian Institution, by contract or otherwise, as authorized by section 2 of the Act of August 22, 1949 (63 Stat. 623), and for construction, including necessary personnel, $158,000,000, to remain available until expended, of which not to exceed $10,000 for services as authorized by 5 U.S.C. 3109, and of which $55,000,000 shall be to continue construction of the National Museum of African American History and Culture: Provided, That a single procurement for construction of the National Museum of African American History and Culture, as authorized under section 8 of the National Museum of African American History and Culture Act (20 U.S.C. 80r-6), may be issued that includes the full scope of the project: Provided further, That the solicitation and contract shall contain the clause "availability of funds'' found at 48 CFR 52.232 -18.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 33–0103–0–1–503		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0010	Construction	71	74	56
0020	Revitalization	94	93	88
0030	Facilities planning and design	19	10	12
0900	Total new obligations	184	177	156
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	22	13	12
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	175	176	158
1160	Appropriation, discretionary (total)	175	176	158
1930	Total budgetary resources available	197	189	170

FACILITIES CAPITAL—Continued

Program and Financing—Continued

Identification code 33–0103–0–1–503	2012 actual	2013 CR	2014 est.
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	13	12	14
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	136	180	196
3010 Obligations incurred, unexpired accounts	184	177	156
3020 Outlays (gross)	–140	–161	–155
3050 Unpaid obligations, end of year	180	196	197
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	136	180	196
3200 Obligated balance, end of year	180	196	197
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	175	176	158
Outlays, gross:			
4010 Outlays from new discretionary authority	.....	40	37
4011 Outlays from discretionary balances	140	121	118
4020 Outlays, gross (total)	140	161	155
4180 Budget authority, net (total)	175	176	158
4190 Outlays, net (total)	140	161	155

This account provides funding for major new construction projects to support the Smithsonian's existing and future programs in research, collections management, public exhibitions, and education. This account also includes major repairs, revitalization, code compliance changes, minor construction, alterations and modifications, and building system renewals of Smithsonian museum buildings and facilities for storage and conservation of collections, research, and support. The Facilities Capital account also includes planning and design related to these activities. The 2014 President's Budget provides funds for critical infrastructure improvements at the Smithsonian American Art Museum's Renwick Gallery and continued construction of the National Museum of African American History and Culture. Current long-term projects in this account include the Suitland Collections Facility and renovations at the National Zoological Park, the National Museum of American History-Behring Center, and the National Museum of Natural History.

Object Classification (in millions of dollars)

Identification code 33–0103–0–1–503	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	4	5	5
12.1 Civilian personnel benefits	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	1	1	1
26.0 Supplies and materials	1	1	1
31.0 Equipment	10	10	10
32.0 Land and structures	166	158	137
99.9 Total new obligations	184	177	156

Employment Summary

Identification code 33–0103–0–1–503	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	48	48	48

LEGACY FUND

Program and Financing (in millions of dollars)

Identification code 33–0104–0–1–503	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0020 Revitalization	1	.....	.....
0900 Total new obligations (object class 32.0)	1	.....	.....
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	.....	.....
1930 Total budgetary resources available	1	.....	.....
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	24	8	5
3010 Obligations incurred, unexpired accounts	1	.....	.....
3020 Outlays (gross)	–17	–3	–3
3050 Unpaid obligations, end of year	8	5	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	24	8	5
3200 Obligated balance, end of year	8	5	2
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	17	3	3
4190 Outlays, net (total)	17	3	3

The Legacy Fund is a public-private partnership, in which each federal dollar provided must be matched by private contributions, for the purpose of renovating the historic Arts and Industries Building of the Smithsonian Institution. No funds are requested in 2014.

JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS

OPERATIONS AND MAINTENANCE

For necessary expenses for the operation, maintenance and security of the John F. Kennedy Center for the Performing Arts, $22,192,500.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 33–0302–0–1–503	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	23	23	22
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	23	23	22
1160 Appropriation, discretionary (total)	23	23	22
1930 Total budgetary resources available	23	23	22
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	5	5
3010 Obligations incurred, unexpired accounts	23	23	22
3020 Outlays (gross)	–22	–23	–22
3050 Unpaid obligations, end of year	5	5	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	5	5
3200 Obligated balance, end of year	5	5	5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	23	23	22

	Outlays, gross:			
4010	Outlays from new discretionary authority	19	19	18
4011	Outlays from discretionary balances	3	4	4
4020	Outlays, gross (total)	22	23	22
4180	Budget authority, net (total)	23	23	22
4190	Outlays, net (total)	22	23	22

This appropriation provides for the operating and maintenance expenses of the John F. Kennedy Center for the Performing Arts, including maintenance, security, memorial interpretation, janitorial, short-term repair, and other services.

Object Classification (in millions of dollars)

Identification code 33–0302–0–1–503		2012 actual	2013 CR	2014 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	5	5	5
23.3	Communications, utilities, and miscellaneous charges	7	7	7
25.2	Other services from non-Federal sources	11	11	10
99.9	Total new obligations	23	23	22

Employment Summary

Identification code 33–0302–0–1–503		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	56	52	52

Capital Repair and Restoration

For necessary expenses for capital repair and restoration of the existing features of the building and site of the John F. Kennedy Center for the Performing Arts, $12,205,500, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 33–0303–0–1–503		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0001	Direct program activity	17	14	12
0900	Total new obligations (object class 25.2)	17	14	12
	Budgetary Resources:			
	Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	3	.....	.....
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	14	14	12
1160	Appropriation, discretionary (total)	14	14	12
1930	Total budgetary resources available	17	14	12
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	16	13	14
3010	Obligations incurred, unexpired accounts	17	14	12
3020	Outlays (gross)	–20	–13	–13
3050	Unpaid obligations, end of year	13	14	13
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	16	13	14
3200	Obligated balance, end of year	13	14	13
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	14	14	12
	Outlays, gross:			
4010	Outlays from new discretionary authority	7	8	7
4011	Outlays from discretionary balances	13	5	6
4020	Outlays, gross (total)	20	13	13
4180	Budget authority, net (total)	14	14	12
4190	Outlays, net (total)	20	13	13

This appropriation provides for the repair, restoration and renovation of the Kennedy Center building, including safety improvements and major repair of interior spaces, including access for persons with disabilities.

Employment Summary

Identification code 33–0303–0–1–503		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	.....	4	4

National Gallery of Art

Salaries and Expenses

For the upkeep and operations of the National Gallery of Art, the protection and care of the works of art therein, and administrative expenses incident thereto, as authorized by the Act of March 24, 1937 (50 Stat. 51), as amended by the public resolution of April 13, 1939 (Public Resolution 9, Seventy-sixth Congress), including services as authorized by 5 U.S.C. 3109; payment in advance when authorized by the treasurer of the Gallery for membership in library, museum, and art associations or societies whose publications or services are available to members only, or to members at a price lower than to the general public; purchase, repair, and cleaning of uniforms for guards, and uniforms, or allowances therefor, for other employees as authorized by law (5 U.S.C. 5901–5902); purchase or rental of devices and services for protecting buildings and contents thereof, and maintenance, alteration, improvement, and repair of buildings, approaches, and grounds; and purchase of services for restoration and repair of works of art for the National Gallery of Art by contracts made, without advertising, with individuals, firms, or organizations at such rates or prices and under such terms and conditions as the Gallery may deem proper, $121,215,000, to remain available until September 30, 2015, of which not to exceed $3,533,000 for the special exhibition program shall remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 33–0200–0–1–503		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0001	Direct program activity	114	115	121
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	114	115	121
1160	Appropriation, discretionary (total)	114	115	121
1930	Total budgetary resources available	114	115	121
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	20	20	18
3010	Obligations incurred, unexpired accounts	114	115	121
3020	Outlays (gross)	–114	–117	–123
3050	Unpaid obligations, end of year	20	18	16
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	20	20	18
3200	Obligated balance, end of year	20	18	16
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	114	115	121
	Outlays, gross:			
4010	Outlays from new discretionary authority	97	101	108
4011	Outlays from discretionary balances	17	16	15
4020	Outlays, gross (total)	114	117	123
4180	Budget authority, net (total)	114	115	121
4190	Outlays, net (total)	114	117	123

NATIONAL GALLERY OF ART—Continued

The National Gallery of Art receives, holds, and administers works of art acquired for the Nation by the Gallery's board of trustees. It also maintains the Gallery buildings to give maximum care and protection to art treasures and to enable these works of art to be exhibited.

Object Classification (in millions of dollars)

Identification code 33–0200–0–1–503	2012 actual	2013 CR	2014 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	56	57	57
11.3 Other than full-time permanent	1	1	2
11.5 Other personnel compensation	3	3	4
11.9 Total personnel compensation	60	61	63
12.1 Civilian personnel benefits	18	17	18
22.0 Transportation of things	.....	1	1
23.3 Communications, utilities, and miscellaneous charges	9	13	13
25.2 Other services	12	7	9
25.4 Operation and maintenance of facilities	6	9	9
26.0 Supplies and materials	3	3	3
31.0 Equipment	6	4	5
99.9 Total new obligations	114	115	121

Employment Summary

Identification code 33–0200–0–1–503	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	794	805	805

REPAIR, RESTORATION, AND RENOVATION OF BUILDINGS

For necessary expenses of repair, restoration and renovation of buildings, grounds and facilities owned or occupied by the National Gallery of Art, by contract or otherwise, for operating lease agreements of no more than 10 years, with no extensions or renewals beyond the 10 years, that address space needs created by the ongoing renovations in the Master Facilities Plan, as authorized, $23,000,000, to remain available until expended: Provided, That contracts awarded for environmental systems, protection systems, and exterior repair or renovation of buildings of the National Gallery of Art may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 33–0201–0–1–503	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	19	23	23
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	14	9	1
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	14	15	23
1160 Appropriation, discretionary (total)	14	15	23
1930 Total budgetary resources available	28	24	24
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	9	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	63	35	20
3010 Obligations incurred, unexpired accounts	19	23	23
3020 Outlays (gross)	–47	–38	–19
3050 Unpaid obligations, end of year	35	20	24
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	63	35	20
3200 Obligated balance, end of year	35	20	24
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	14	15	23
Outlays, gross:			
4011 Outlays from discretionary balances	47	38	19
4180 Budget authority, net (total)	14	15	23
4190 Outlays, net (total)	47	38	19

This account encompasses repairs, alterations, and improvements; additions, renovations, and restorations of a long-term nature and utility; facilities planning and design, and leases of space necessitated by such renovations. The funds are used to keep National Gallery of Art facilities in good repair and efficient operating condition.

Object Classification (in millions of dollars)

Identification code 33–0201–0–1–503	2012 actual	2013 CR	2014 est.
Direct obligations:			
25.4 Operation and maintenance of facilities	2	2	2
32.0 Land and structures	17	21	21
99.9 Total new obligations	19	23	23

Employment Summary

Identification code 33–0201–0–1–503	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	2	2	2

WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS

SALARIES AND EXPENSES

For expenses necessary in carrying out the provisions of the Woodrow Wilson Memorial Act of 1968 (82 Stat. 1356) including hire of passenger vehicles and services as authorized by 5 U.S.C. 3109, $10,500,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 33–0400–0–1–503	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	11	11	10
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	11	11	10
1160 Appropriation, discretionary (total)	11	11	10
1930 Total budgetary resources available	11	11	10
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	5	5
3010 Obligations incurred, unexpired accounts	11	11	10
3020 Outlays (gross)	–10	–11	–11
3050 Unpaid obligations, end of year	5	5	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	5	5
3200 Obligated balance, end of year	5	5	4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	11	11	10

	Outlays, gross:			
4010	Outlays from new discretionary authority	7	8	8
4011	Outlays from discretionary balances	3	3	3
4020	Outlays, gross (total)	10	11	11
4180	Budget authority, net (total)	11	11	10
4190	Outlays, net (total)	10	11	11

The Woodrow Wilson Center facilitates scholarship of the highest quality in the social sciences and humanities and communicates that scholarship to a wide audience within and beyond Washington, D.C. This is accomplished through a resident body of fellowship awardees, conferences, publication, and dialogue.

Object Classification (in millions of dollars)

Identification code 33–0400–0–1–503		2012 actual	2013 CR	2014 est.
	Direct obligations:			
11.1	Personnel compensation: Full-time permanent	4	5	5
12.1	Civilian personnel benefits	1	1	1
25.2	Other services from non-Federal sources	3	3	2
41.0	Grants, subsidies, and contributions	3	2	2
99.9	Total new obligations	11	11	10

Employment Summary

Identification code 33–0400–0–1–503		2012 actual	2013 CR	2014 est.
1001	Direct civilian full-time equivalent employment	42	50	50

STATE JUSTICE INSTITUTE

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the State Justice Institute, as authorized by the State Justice Institute Authorization Act of 1984 (42 U.S.C. 10701 et seq.) $5,121,000, of which $500,000 shall remain available until September 30, 2015: Provided, That not to exceed $2,250 shall be available for official reception and representation expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 48–0052–0–1–752		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0001	Direct program activity	5	5	5
0900	Total new obligations (object class 41.0)	5	5	5
	Budgetary Resources:			
	Budget authority:			
	Appropriations, discretionary:			
1100	Appropriation	5	5	5
1160	Appropriation, discretionary (total)	5	5	5
1900	Budget authority (total)	5	5	5
1930	Total budgetary resources available	5	5	5
	Change in obligated balance:			
	Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	7	8	6
3010	Obligations incurred, unexpired accounts	5	5	5
3020	Outlays (gross)	–4	–7	–6
3050	Unpaid obligations, end of year	8	6	5
	Memorandum (non-add) entries:			
3100	Obligated balance, start of year	7	8	6
3200	Obligated balance, end of year	8	6	5
	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	5	5	5
	Outlays, gross:			
4010	Outlays from new discretionary authority	1	4	4
4011	Outlays from discretionary balances	3	3	2
4020	Outlays, gross (total)	4	7	6
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4180	Budget authority, net (total)	5	5	5
4190	Outlays, net (total)	4	7	6

The State Justice Institute (SJI) was established by the Congress in 1984 as a private, non-profit corporation to make grants and undertake other activities designed to improve the administration of justice in the United States. SJI awards grants to improve the quality of justice in state courts and to foster innovative, efficient solutions to common issues faced by all courts.

TELECOMMUNICATIONS DEVELOPMENT FUND

Federal Funds

TELECOMMUNICATIONS DEVELOPMENT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5388–0–2–376		2012 actual	2013 CR	2014 est.
0100	Balance, start of year	54	54	54
0799	Balance, end of year	54	54	54

Program and Financing (in millions of dollars)

Identification code 95–5388–0–2–376		2012 actual	2013 CR	2014 est.
	Memorandum (non-add) entries:			
5010	Total investments, start of year: non-Federal marketable securities	81	81	81
5010	Total investments, start of year: non-Federal: venture equity investments	14	14	14
5011	Total investments, end of year: non-Federal marketable securities	81	81	81
5011	Total investments, end of year: non-Federal venture equity investments	14	14	14

The Telecommunications Development Fund (TDF) was created in 1996 with the objective of promoting access to capital for small businesses, enhancing competition in the telecommunications industry, and improving the delivery of telecommunication services to rural areas. TDF received interest earnings from deposits on spectrum auctions. A portion of these earnings was invested in small telecommunications firms.

Since its creation, TDF has collected over $100 million in interest that would have otherwise been deposited in the Treasury. The Middle Class Tax Relief and Job Creation Act of 2012 eliminated new funding for TDF.

TENNESSEE VALLEY AUTHORITY

Federal Funds

TENNESSEE VALLEY AUTHORITY FUND

Program and Financing (in millions of dollars)

Identification code 64–4110–0–3–999		2012 actual	2013 CR	2014 est.
	Obligations by program activity:			
0801	Power program: Operating expenses	10,233	9,834	9,607
0802	Power program: Capital expenditures	2,028	2,318	2,976
0803	Other Cash Items	16,465	17,640	13,962
0804	Non-Federal Investments	15,707	13,752	17,629

TENNESSEE VALLEY AUTHORITY FUND—Continued

Program and Financing—Continued

Identification code 64–4110–0–3–999	2012 actual	2013 CR	2014 est.
0809 Reimbursable program activities, subtotal	44,433	43,544	44,174
0900 Total new obligations	44,433	43,544	44,174
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1,115	356	1,522
1022 Capital transfer of unobligated balances to general fund	–7	–15	–16
1050 Unobligated balance (total)	1,108	341	1,506
Budget authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	427	1,667	2,136
1440 Borrowing authority, mandatory (total)	427	1,667	2,136
Spending authority from offsetting collections, mandatory:			
1800 Collected	44,183	43,057	42,038
1801 Change in uncollected payments, Federal sources	–73	21	10
1820 Capital transfer of spending authority from offsetting collections to general fund	–20	–20	–10
1827 Spending authority from offsetting collections substituted for borrowing authority	–836	.....	.....
1850 Spending auth from offsetting collections, mand (total)	43,254	43,058	42,038
1900 Budget authority (total)	43,681	44,725	44,174
1930 Total budgetary resources available	44,789	45,066	45,680
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	356	1,522	1,506
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,021	2,048	867
3010 Obligations incurred, unexpired accounts	44,433	43,544	44,174
3020 Outlays (gross)	–44,406	–44,725	–44,174
3050 Unpaid obligations, end of year	2,048	867	867
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–1,739	–1,666	–1,687
3070 Change in uncollected pymts, Fed sources, unexpired	73	–21	–10
3090 Uncollected pymts, Fed sources, end of year	–1,666	–1,687	–1,697
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	282	382	–820
3200 Obligated balance, end of year	382	–820	–830
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	43,681	44,725	44,174
Outlays, gross:			
4100 Outlays from new mandatory authority	43,570	42,779	44,174
4101 Outlays from mandatory balances	836	1,946	.....
4110 Outlays, gross (total)	44,406	44,725	44,174
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	–196	–2,000	–2,000
4123 Non-Federal sources	–43,987	–42,165	–41,187
4130 Offsets against gross budget authority and outlays (total)	–44,183	–44,165	–43,187
Additional offsets against gross budget authority only:			
4140 Change in uncollected pymts, Fed sources, unexpired	73	–21	–10
4160 Budget authority, net (mandatory)	–429	539	977
4170 Outlays, net (mandatory)	223	560	987
4180 Budget authority, net (total)	–429	539	977
4190 Outlays, net (total)	223	560	987
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	25	25	25
5001 Total investments, EOY: Federal securities: Par value	25	25	25
5010 Total investments, SOY: non-Fed securities: Market value	480	836	200
5011 Total investments, EOY: non-Fed securities: Market value	836	200	200

Status of Direct Loans (in millions of dollars)

Identification code 64–4110–0–3–999	2012 actual	2013 CR	2014 est.
Position with respect to appropriations act limitation on obligations:			
1131 Direct loan obligations exempt from limitation	3	16	16
1150 Total direct loan obligations	3	16	16
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	28	22	33
1231 Disbursements: Direct loan disbursements	3	16	16
1251 Repayments: Repayments and prepayments	–9	–5	–6
1263 Write-offs for default: Direct loans	.....	.....	.....
1290 Outstanding, end of year	22	33	43

The Tennessee Valley Authority (TVA) was created in 1933 as a government-owned corporation for the unified development of a river basin comprised of parts of seven states. The agency is currently self-funded financing operations from power-rates and borrowings.

TVA's Non-Power Programs.—TVA operates a series of 49 dams and 47 reservoirs to reduce the risk of flooding, enable year-round navigation, supply affordable and reliable electricity, improve water quality and water supply, provide recreational opportunities, stimulate economic growth, and provide a wide range of other public benefits. TVA is responsible for critical stewardship activities within the Tennessee Valley which include: water release regulation; maintenance of dam machinery and spillway gates; modifications on nine main and four auxiliary navigation locks and associated mooring facilities; improvement of water quality and supply; management of shoreline erosion; regulation of shoreline development along the Tennessee River and its tributaries; planning and management of 293,000 acres of public land; and operation of public recreation areas. These services are funded entirely by TVA's power revenues and its user fees.

TVA's Power Program.—TVA supplies electric power to an area of 80,000 square miles in parts of the seven Tennessee Valley states. Income from power operations, net of interest charges and depreciation, and other operating expenses is approximately $350 million in 2014 on wholesale revenues of $11.2 billion. Power generating facilities are financed from power proceeds and borrowings.

TVA Policy Initiatives.—Consistent with TVA's vision to be one of the nation's leading providers of low-cost and cleaner energy by 2020, TVA began commercial operation of its John Sevier Combined Cycle Plant a month ahead of schedule and about $30 million under budget on April 30, 2012. The plant uses natural gas to produce 870 megawatts of electricity, enough to power approximately half a million homes. In April 2012, TVA's Board approved a revised Estimate to Complete ("ETC") for TVA's Watts Bar Unit 2. The ETC concluded that additional funding was needed to complete Watts Bar Unit 2, putting the total estimated cost of completion in the range of $4.0 billion to $4.5 billion with estimated completion by December 2015. The new estimate also adds an allowance for addressing impacts associated with Fukushima events and other potential emergent risks. On January 1, 2013, Williams D. Johnson became TVA's president and CEO. Johnson succeeded Tom Kilgore who retired after serving as TVA's first president & CEO since 2006. TVA recently filed its seventh Annual Report on Form 10-K with the Securities and Exchange Commission, which provides transparency of its business operations.

Financing.—Amounts estimated to become available for TVA programs in 2014 are to be derived from power revenues and receipts of $11.2 billion. The outstanding balance of TVA's bonds, notes, and other evidences of indebtedness is limited by statute and cannot exceed $30 billion. The Budget assumes TVA will increase its debt and debt-like obligations by $987 million in 2014, primarily from new generating capacity. TVA's outstanding debt and debt-like obligations were $26.9 billion at the beginning of 2013 and are estimated to increase to $28.5 billion by the end of 2014. At the beginning of 2013, TVA had $2.8 billion in debt-

like obligations that are not counted against its statutory debt cap.

Operating results and financial conditions.—Payments to the Treasury from power proceeds in 2014 are estimated at $26 million: $16 million as a dividend-like return on the appropriation investment in the power program and $10 million as a reduction in the appropriation investment in the power program. Total capital spending for 2014 is budgeted at $3.0 billion, which in addition to new generation capacity includes $703 million for clean air projects, $97 million for coal combustion residual projects, and $881 million to maintain TVA's existing generation assets. Total Government equity at September 30, 2014, is estimated to be $329 million more than that at September 30, 2013. This change includes the net income from power operations and payments to the Treasury.

Object Classification (in millions of dollars)

Identification code 64–4110–0–3–999	2012 actual	2013 CR	2014 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	1,056	1,105	1,116
11.5 Other personnel compensation	201	200	190
11.9 Total personnel compensation	1,257	1,305	1,306
12.1 Civilian personnel benefits	867	536	537
21.0 Travel and transportation of persons	32	33	34
22.0 Transportation of things	115	5	4
23.2 Rental payments to others	63	32	27
24.0 Printing and reproduction	1	.....	.....
25.1 Advisory and assistance services	5	3	1
25.2 Other services from non-Federal sources	159	75	62
25.7 Operation and maintenance of equipment	1,028	505	441
26.0 Supplies and materials	544	853	619
31.0 Equipment	408	319	209
32.0 Land and structures	11	1	2
33.0 Investments and loans	39,809	39,809	40,875
41.0 Grants, subsidies, and contributions	30	8	8
42.0 Insurance claims and indemnities	13	5	4
43.0 Interest and dividends	91	55	45
99.9 Total new obligations	44,433	43,544	44,174

Employment Summary

Identification code 64–4110–0–3–999	2012 actual	2013 CR	2014 est.
2001 Reimbursable civilian full-time equivalent employment	12,752	13,612	13,300

UNITED MINE WORKERS OF AMERICA BENEFIT FUNDS

Trust Funds

UNITED MINE WORKERS OF AMERICA COMBINED BENEFIT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–8295–0–7–551	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	98	98	97
Receipts:			
0200 Premiums, Combined Fund and 1992 Plan, UMWA	35	32	29
0240 Transfers from Abandoned Mine Reclamation Fund	48	55	15
0241 Federal Payment to United Mine Workers of America Combined Benefit Fund	206	141	180
0299 Total receipts and collections	289	228	224
0400 Total: Balances and collections	387	326	321
Appropriations:			
0500 United Mine Workers of America Combined Benefit Fund	–157	–117	–110
0501 United Mine Workers of America 1992 Benefit Plan	–72	–64	–65
0502 United Mine Workers of America 1993 Benefit Plan	–60	–48	–49
0599 Total appropriations	–289	–229	–224
0799 Balance, end of year	98	97	97

Program and Financing (in millions of dollars)

Identification code 95–8295–0–7–551	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 United Mine Workers of America Combined Benefit Fund	157	117	110
0900 Total new obligations (object class 42.0)	157	117	110
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	157	117	110
1260 Appropriations, mandatory (total)	157	117	110
1930 Total budgetary resources available	157	117	110
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	157	117	110
3020 Outlays (gross)	–157	–117	–110
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	157	117	110
Outlays, gross:			
4100 Outlays from new mandatory authority	157	117	110
4180 Budget authority, net (total)	157	117	110
4190 Outlays, net (total)	157	117	110

The Combined Benefit Fund was established by the Coal Industry Retiree Health Benefit Act of 1992 to take over paying for medical care of retired miners and their dependents who were eligible for health care from the private 1950 and 1974 United Mine Workers of America Benefit Plans. The Fund's trustees represent the United Mine Workers of America and coal companies. The Fund is financed by assessments on current and former signatories to labor agreements with the United Mine Workers; past transfers from an over- funded United Mine Workers pension fund; transfers from the Abandoned Mine Land Reclamation fund; a Medicare prescription drug demonstration; and the General Fund of the Treasury.

UNITED MINE WORKERS OF AMERICA 1992 BENEFIT PLAN

Program and Financing (in millions of dollars)

Identification code 95–8260–0–7–551	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 United Mine Workers of America 1992 Benefit Plan	72	64	65
0900 Total new obligations (object class 42.0)	72	64	65
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	72	64	65
1260 Appropriations, mandatory (total)	72	64	65
1930 Total budgetary resources available	72	64	65
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	72	64	65
3020 Outlays (gross)	–72	–64	–65
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	72	64	65
Outlays, gross:			
4100 Outlays from new mandatory authority	72	64	65
4180 Budget authority, net (total)	72	64	65
4190 Outlays, net (total)	72	64	65

The 1992 Benefit Plan was established by the Coal Industry Retiree Health Benefit Act of 1992. It pays for health care for

UNITED MINE WORKERS OF AMERICA 1992 BENEFIT PLAN—Continued

those miners who retired between July 21, 1992 and September 30, 1994, and their dependents, who are eligible for benefits under an employer plan and cease to be covered, usually because an employer is out of business. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is supported by signers of the 1988 labor agreement with the United Mine Workers of America; a Medicare prescription drug demonstration; transfers from the Abandoned Mine Land Reclamation fund; and the General Fund of the Treasury.

UNITED MINE WORKERS OF AMERICA 1993 BENEFIT PLAN

Program and Financing (in millions of dollars)

Identification code 95–8535–0–7–551	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 United Mine Workers of America 1993 Benefit Plan	60	48	49
0900 Total new obligations (object class 42.0)	60	48	49
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	60	48	49
1260 Appropriations, mandatory (total)	60	48	49
1930 Total budgetary resources available	60	48	49
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	60	48	49
3020 Outlays (gross)	–60	–48	–49
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	60	48	49
Outlays, gross:			
4100 Outlays from new mandatory authority	60	48	49
4180 Budget authority, net (total)	60	48	49
4190 Outlays, net (total)	60	48	49

The 1993 Benefit Plan provides health benefits to certain retired mine workers and disabled mine workers who are not eligible for benefits under the Coal Industry Retiree Health Benefit Act of 1992 and who are not receiving benefits from employers' benefit plans. The 1993 Benefit Plan was established through collective bargaining under the National Bituminous Coal Wage Agreement of 1993. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is financed by signatories to the National Bituminous Coal Wage Agreement; transfers from the Abandoned Mine Land Reclamation fund; a Medicare prescription drug demonstration; and the General Fund of the Treasury.

UNITED STATES COURT OF APPEALS FOR VETERANS CLAIMS

Federal Funds

SALARIES AND EXPENSES

A total of $35,407,527, of which $32,907,527 will be used by the United States Court of Appeals for Veterans Claims for operations as authorized by 38 U.S.C. 7251–7299; and $2,500,000, which shall be transferred to the Legal Services Corporation to facilitate the furnishing of legal and other assistance in accordance with the process and reporting procedures set forth under this heading in Public Law No. 102–229.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–0300–0–1–705	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Salaries and Expenses	27	31	35
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	31	31	35
1160 Appropriation, discretionary (total)	31	31	35
1930 Total budgetary resources available	31	31	35
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–4	.....	.....
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	11	9	8
3010 Obligations incurred, unexpired accounts	27	31	35
3020 Outlays (gross)	–27	–32	–39
3041 Recoveries of prior year unpaid obligations, expired	–2	.....	.....
3050 Unpaid obligations, end of year	9	8	4
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	11	9	8
3200 Obligated balance, end of year	9	8	4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	31	31	35
Outlays, gross:			
4010 Outlays from new discretionary authority	25	28	32
4011 Outlays from discretionary balances	2	4	7
4020 Outlays, gross (total)	27	32	39
4180 Budget authority, net (total)	31	31	35
4190 Outlays, net (total)	27	32	39

The United States Court of Appeals for Veterans Claims (Court) is a national court of record established by the Veterans Judicial Review Act, Pub. L. No. 100–687, Division A (1988) (Act). The Act, as amended, is codified in part at 38 U.S.C. 7251–7299. The Court is part of the Federal judicial system and has a permanent authorization for seven judges, one of whom serves as chief judge. The judges are appointed by the President, by and with the advice and consent of the Senate, for 15-year terms, except that two have been appointed for 13-year terms pursuant to Pub. L. No. 106–117, Nov. 30, 1999. Two additional, temporary judgeships are authorized pursuant to 38 U.S.C. 7253(i) and all positions are now filled, with nine active judges serving on the Court. Our five senior judges may also be recalled to provide service throughout the year, as needed. Two other judges are retired due to permanent disability. For management, administration, and expenditure of funds in areas beyond the bounds of Chapter 72 of Title 38, the Court may exercise the authorities provided for such purposes applicable to other courts as defined in Title 28, U.S. Code.

The Court has exclusive jurisdiction to review decisions made by the Department of Veterans Affairs Board of Veterans' Appeals (Board) that adversely affect a person's entitlement to VA benefits. This judicial review, although specialized in scope, is the same as that performed by all other United States Courts of Appeal. In cases before it, the Court has the authority to decide all relevant questions of law; to interpret constitutional, statutory, and regulatory provisions; and to determine the meaning or applicability of actions/decisions by the Secretary of Veterans Affairs. The Court may affirm, set aside, reverse, or remand those

decisions as appropriate. Additionally, the Court has authority under 28 U.S.C. 1651 to issue all writs necessary or appropriate in aid of its jurisdiction, and to act on applications under 28 U.S.C. 2412(d), the Equal Access to Justice Act (EAJA). Certain decisions by the Court are reviewable by the United States Court of Appeals for the Federal Circuit and, if certiorari is granted, by the United States Supreme Court.

The Court is located in Washington, D.C., see 38 U.S.C. 7255 (requiring the principal office of the Court and duty station of each active service judge to be located in the D.C. metropolitan area), but as a national court, the Court may sit anywhere in the United States.

In 1992, Congress authorized the Court to transfer up to $950,000 from its appropriation that year to the Legal Services Corporation (LSC), for the purpose of providing, facilitating, and furnishing legal and other assistance, through grant or contract, to veterans and others seeking recourse in the Court. That program, often referred to as the pro bono representation program, has been ongoing since that time, with LSC responsible for oversight and grant distribution responsibilities. The Appropriations Subcommittees consider that budget request separately from the Court's budget request, although both are submitted together. A request of $2,726,000 was made in 2013, and the request for 2014 is $2,500,000.

Object Classification (in millions of dollars)

Identification code 95–0300–0–1–705	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.3 Personnel compensation: Other than full-time permanent	10	14	14
12.1 Civilian personnel benefits	6	7	11
23.1 Rental payments to GSA	4	3	3
25.2 Other services from non-Federal sources	2	3	3
25.3 Other goods and services from Federal sources	1	.....	1
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	3	3	2
99.9 Total new obligations	27	31	35

Employment Summary

Identification code 95–0300–0–1–705	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	104	127	127

Trust Funds

COURT OF APPEALS FOR VETERANS CLAIMS RETIREMENT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–8290–0–7–705	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	24	28	32
Receipts:			
0240 Earnings on Investment, Court of Veterans Appeals Retirement Fund, LVE	.....	1	1
0241 Employing Agency Contributions, Court of Appeals for Veterans Claims Retirement Fund	5	4	7
0299 Total receipts and collections	5	5	8
0400 Total: Balances and collections	29	33	40
Appropriations:			
0500 Court of Appeals for Veterans Claims Retirement Fund	–1	–1	–1
0799 Balance, end of year	28	32	39

Program and Financing (in millions of dollars)

Identification code 95–8290–0–7–705	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Court of Appeals for Veterans Claims Retirement Fund	1	1	1
0900 Total new obligations (object class 42.0)	1	1	1
Budgetary Resources:			
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	1	1	1
1260 Appropriations, mandatory (total)	1	1	1
1930 Total budgetary resources available	1	1	1
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	1	1	1
3020 Outlays (gross)	–1	–1	–1
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	1	1	1
Outlays, gross:			
4100 Outlays from new mandatory authority	1	1	1
4180 Budget authority, net (total)	1	1	1
4190 Outlays, net (total)	1	1	1
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	24	27	31
5001 Total investments, EOY: Federal securities: Par value	27	31	38

The Retirement Fund, established under 38 U.S.C. 7298, is used for judges' retired pay and for annuities, refunds, and allowances provided to surviving spouses and dependent children. Participating judges pay 1% of their salaries to cover creditable service for retired pay purposes and 2.2% of their salaries for survivor annuity purposes. Additional funds needed to cover the unfunded liability may be transferred to this fund from the Court's annual appropriation. The Court's contribution to the fund is estimated annually by an actuarial firm retained by the Court. The fund is invested solely in government securities.

UNITED STATES ENRICHMENT CORPORATION FUND

Federal Funds

UNITED STATES ENRICHMENT CORPORATION FUND

Program and Financing (in millions of dollars)

Identification code 95–4054–0–3–271	2012 actual	2013 CR	2014 est.
Budgetary Resources:			
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	5	10	10
1824 Spending authority from offsetting collections precluded from obligation (limitation on obligations)	–5	–10	–10
Budget authority and outlays, net:			
Mandatory:			
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4121 Interest on Federal securities	–5	–10	–10
4180 Budget authority, net (total)	–5	–10	–10
4190 Outlays, net (total)	–5	–10	–10
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	1,593	1,598	1,608
5001 Total investments, EOY: Federal securities: Par value	1,598	1,608	1,618
5090 Unavailable balance, SOY: Offsetting collections	1,593	1,598	1,608
5091 Unavailable balance, EOY: Offsetting collections	1,598	1,608	1,618

UNITED STATES HOLOCAUST MEMORIAL MUSEUM

Federal Funds

HOLOCAUST MEMORIAL MUSEUM

For expenses of the Holocaust Memorial Museum, as authorized by Public Law 106–292 (36 U.S.C. 2301–2310), $52,385,000, of which $515,000 shall remain available until September 30, 2016, for the Museum's equipment replacement program; and of which $1,900,000 for the Museum's repair and rehabilitation program and $1,264,000 for the Museum's outreach initiatives program shall remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–3300–0–1–503	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	64	64	68
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	6	8
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	51	51	52
1160 Appropriation, discretionary (total)	51	51	52
Spending authority from offsetting collections, discretionary:			
1700 Collected	14	15	15
1750 Spending auth from offsetting collections, disc (total)	14	15	15
1900 Budget authority (total)	65	66	67
1930 Total budgetary resources available	70	72	75
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	8	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	12	16
3010 Obligations incurred, unexpired accounts	64	64	68
3020 Outlays (gross)	–61	–60	–67
3050 Unpaid obligations, end of year	12	16	17
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	12	16
3200 Obligated balance, end of year	12	16	17
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	65	66	67
Outlays, gross:			
4010 Outlays from new discretionary authority	37	54	55
4011 Outlays from discretionary balances	24	6	12
4020 Outlays, gross (total)	61	60	67
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources	–14	–15	–15
4180 Budget authority, net (total)	51	51	52
4190 Outlays, net (total)	47	45	52

The Museum is a permanent living memorial to the victims of the Holocaust. As a public-private partnership, the Museum sponsors national educational outreach and scholarship, as well as annual Days of Remembrance commemorations.

Object Classification (in millions of dollars)

Identification code 95–3300–0–1–503	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	18	19	19
12.1 Civilian personnel benefits	10	9	9
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	3	3	3
23.3 Communications, utilities, and miscellaneous charges	4	4	5
25.2 Other services from non-Federal sources	24	24	26
25.4 Operation and maintenance of facilities	1	2	3
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	1	1
99.9 Total new obligations	64	64	68

Employment Summary

Identification code 95–3300–0–1–503	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	180	194	194

UNITED STATES INSTITUTE OF PEACE

Federal Funds

UNITED STATES INSTITUTE OF PEACE

For necessary expenses of the United States Institute of Peace, as authorized by the United States Institute of Peace Act, $35,687,000, to remain available until September 30, 2015, which shall not be used for construction activities.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 95–1300–0–1–153	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	43	39	36
0801 Reimbursable program activity	3	2	2
0900 Total new obligations	46	41	38
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	7	11	14
1021 Recoveries of prior year unpaid obligations	1	1	1
1050 Unobligated balance (total)	8	12	15
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation-Base	39	39	36
1160 Appropriation, discretionary (total)	39	39	36
Spending authority from offsetting collections, discretionary:			
1700 Collected	10	1	1
1701 Change in uncollected payments, Federal sources	6	3	3
1750 Spending auth from offsetting collections, disc (total)	16	4	4
1900 Budget authority (total)	55	43	40
1930 Total budgetary resources available	63	55	55
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	–6	.....	.....
1941 Unexpired unobligated balance, end of year	11	14	17
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	14	20	17
3010 Obligations incurred, unexpired accounts	46	41	38
3011 Obligations incurred, expired accounts	8	5	.....
3020 Outlays (gross)	–45	–48	–42
3040 Recoveries of prior year unpaid obligations, unexpired	–1	–1	–1
3041 Recoveries of prior year unpaid obligations, expired	–2	.....	.....
3050 Unpaid obligations, end of year	20	17	12
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	–10	–12	–15
3070 Change in uncollected pymts, Fed sources, unexpired	–6	–3	–3
3071 Change in uncollected pymts, Fed sources, expired	4	.....	.....
3090 Uncollected pymts, Fed sources, end of year	–12	–15	–18
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	8	2
3200 Obligated balance, end of year	8	2	–6

	Budget authority and outlays, net:			
	Discretionary:			
4000	Budget authority, gross	55	43	40
	Outlays, gross:			
4010	Outlays from new discretionary authority	27	41	38
4011	Outlays from discretionary balances	18	7	4
4020	Outlays, gross (total)	45	48	42
	Offsets against gross budget authority and outlays:			
	Offsetting collections (collected) from:			
4030	Federal sources	–14	–1	–1
4033	Non-Federal sources	–1	.....	.....
4040	Offsets against gross budget authority and outlays (total)	–15	–1	–1
	Additional offsets against gross budget authority only:			
4050	Change in uncollected pymts, Fed sources, unexpired	–6	–3	–3
4052	Offsetting collections credited to expired accounts	5	.....	.....
4060	Additional offsets against budget authority only (total)	–1	–3	–3
4070	Budget authority, net (discretionary)	39	39	36
4080	Outlays, net (discretionary)	30	47	41
4180	Budget authority, net (total)	39	39	36
4190	Outlays, net (total)	30	47	41

The United States Institute of Peace (USIP or the Institute), an independent, bipartisan, quasi-federal institution established by the Congress, is responsible for providing Federal leadership to develop, apply, foster, and continuously update cost-effective strategies and tools to prevent, mitigate, and resolve violent international conflicts that threaten or harm America's strategic interests.

National security challenges require an agile contributor focused on flexible, non-bureaucratic, and highly cost-effective conflict prevention operations, training, and analysis supporting America's strategic security interests around the world, including Afghanistan, Pakistan, Syria, South Sudan, Sudan, Tunisia, Libya, Egypt, Afghanistan, Yemen, Colombia, the Palestinian Territories, and Nigeria.

USIP operations include on-the-ground facilitation and mediation in conflict zones, civil society capacity building through training and workshops, enhancing governance and rule of law through support for constitution-making, developing educational and teacher training materials, identifying and disseminating best practices and lessons learned in conflict management, and cutting edge conflict analysis supporting policymakers and practitioners. USIP develops innovative models, approaches, and practices, and continuously updates conflict management tools.

USIP is a significant hub for increasing collaboration in whole-of-government and whole-of-community peacebuilding and supporting and improving conflict management in joint, interagency, non-governmental, and multinational environments. Through active interagency collaboration and personnel assignments, USIP strengthens partnerships, builds networks with government and non-government sectors, and develops synergy across the interagency community in conflict prevention and management.

Object Classification (in millions of dollars)

Identification code 95–1300–0–1–153	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.8 Personnel compensation: Special personal services payments	13	13	13
12.1 Civilian personnel benefits	3	3	3
21.0 Travel and transportation of persons	1	1	1
25.2 Other services from non-Federal sources	23	16	14
41.0 Grants, subsidies, and contributions	3	6	5
99.0 Direct obligations	43	39	36
99.0 Reimbursable obligations	3	2	2
99.9 Total new obligations	46	41	38

UNITED STATES INTERAGENCY COUNCIL ON HOMELESSNESS

Federal Funds

Operating Expenses

For necessary expenses (including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference rooms, and the employment of experts and consultants under section 3109 of title 5, United States Code) of the United States Interagency Council on Homelessness in carrying out the functions pursuant to title II of the McKinney-Vento Homeless Assistance Act, as amended, $3,595,000. Title II of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11319) is amended by striking section 209, and in section 204(a) by striking "level V" and inserting "level IV".

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

Identification code 48–1300–0–1–808	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0101 Operations	3	3	4
0900 Total new obligations	3	3	4
Budgetary Resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3	3	4
1160 Appropriation, discretionary (total)	3	3	4
1930 Total budgetary resources available	3	3	4
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	3	3	4
3020 Outlays (gross)	–3	–3	–4
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	3	4
Outlays, gross:			
4010 Outlays from new discretionary authority	3	3	4
4180 Budget authority, net (total)	3	3	4
4190 Outlays, net (total)	3	3	4

The United States Interagency Council on Homelessness (USICH) is an independent Executive Branch agency whose mission is to coordinate the Federal response to homelessness and to create a national partnership at every level of government and with the private sector to reduce and end homelessness. In collaboration with its 19 member Federal agencies, USICH led the development of Opening Doors: the Federal Strategic Plan to Prevent and End Homelessness, which was released in June 2010. The Plan sets four ambitious goals: 1) Finish the job of ending chronic homelessness by 2015; 2) Prevent and end veterans homelessness by 2015; 3) Prevent and end homelessness for families, youth and children by 2020; and 4) set a path to ending all types of homelessness. Working with Federal, state and local partners, USICH is leading the implementation of the Plan in Washington, DC and across the country. The Budget proposes $3.6 million for USICH to continue implementing the plan. In addition, the Budget permanently authorizes USICH and increases the salary level for the Executive Director to be consistent with other equivalent positions in the Federal Government.

OPERATING EXPENSES—Continued

Object Classification (in millions of dollars)

Identification code 48–1300–0–1–808	2012 actual	2013 CR	2014 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent	1	2	2
99.5 Below reporting threshold	2	1	2
99.9 Total new obligations	3	3	4

Employment Summary

Identification code 48–1300–0–1–808	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	14	19	22

VIETNAM EDUCATION FOUNDATION

Federal Funds

VIETNAM DEBT REPAYMENT FUND

Special and Trust Fund Receipts (in millions of dollars)

Identification code 95–5365–0–2–154	2012 actual	2013 CR	2014 est.
0100 Balance, start of year	.....	.....	.....
Receipts:			
0240 Transfers from Liquidating Accounts, Vietnam Debt Repayment Fund	5	5	5
0400 Total: Balances and collections	5	5	5
Appropriations:			
0500 Vietnam Debt Repayment Fund	–5	–5	–5
0799 Balance, end of year	.....	.....	.....

Program and Financing (in millions of dollars)

Identification code 95–5365–0–2–154	2012 actual	2013 CR	2014 est.
Obligations by program activity:			
0001 Direct program activity	6	5	5
Budgetary Resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	5	4	4
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	5	5	5
1260 Appropriations, mandatory (total)	5	5	5
1930 Total budgetary resources available	10	9	9
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	4	4
Change in obligated balance:			
Unpaid obligations:			
3010 Obligations incurred, unexpired accounts	6	5	5
3020 Outlays (gross)	–6	–5	–5
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	5	5	5
Outlays, gross:			
4100 Outlays from new mandatory authority	5	5	5
4101 Outlays from mandatory balances	1	.....	.....
4110 Outlays, gross (total)	6	5	5
4180 Budget authority, net (total)	5	5	5
4190 Outlays, net (total)	6	5	5

The Vietnam Education Foundation Act of 2000 (Title II of Public Law 106–554) created the Vietnam Education Foundation (VEF) to administer an international fellowship program under which Vietnamese nationals can undertake graduate and post-graduate level studies in the United States in the sciences (natural, physical, and environmental), mathematics, medicine, and technology, and American citizens can teach in these fields in appropriate Vietnamese institutions of higer education. The Act also authorized the establishment of the Vietnam Debt Repayment Fund, in which all payments (including interest payments) made by the Socialist Republic of Vietnam under the United States-Vietnam debt agreement shall be deposited as offsetting receipts. Beginning in 2002, and in each subsequent year through 2018, $5 million of the amounts deposited into the fund from USDA and USAID shall be available to VEF for operations and fellowship programs.

Object Classification (in millions of dollars)

Identification code 95–5365–0–2–154	2012 actual	2013 CR	2014 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services from non-Federal sources	5	4	4
99.9 Total new obligations	6	5	5

Employment Summary

Identification code 95–5365–0–2–154	2012 actual	2013 CR	2014 est.
1001 Direct civilian full-time equivalent employment	6	6	6

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2012 actual	2013 CR	2014 est.
Offsetting receipts from the public:			
95–322076 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	9	5	5
General Fund Offsetting receipts from the public	9	5	5