

DEPARTMENT OF TRANSPORTATION

OFFICE OF THE SECRETARY

Federal Funds

RESEARCH AND TECHNOLOGY

For necessary expenses related to the Office of the Assistant Secretary for Research and Technology, **[\$21,000,000] \$11,033,000**, of which **[\$14,218,000] \$4,718,000** shall remain available until expended **for (1) \$5,000,000** for new competitive grants under section 5505 of title 49, United States Code, for Tier I University Transportation Centers, **(2) \$1,000,000** for the establishment of an emergency planning transportation data initiative to conduct research and develop models for data integration of geo-located weather and roadways information for emergency and other severe weather conditions to improve public safety and emergency evacuation and response capabilities, **(3) \$1,000,000** for the Secretary of Transportation to enter into an agreement with the National Academies of Sciences, Engineering, and Medicine to conduct a study through the Transportation Research Board on effective ways to measure the resilience of transportation systems and services to natural disasters, natural hazards, and other potential disruptions, and **(4) \$5,000,000** for the establishment of a Highly Automated Systems Safety Center of Excellence as required in section 105 of this Act: *Provided*, That such amounts are in addition to amounts previously provided for Tier I University Transportation Centers: *Provided further*, That such amounts for additional Tier I University Transportation Centers under this heading are provided notwithstanding section 5505(c)(4)(A) of title 49, United States Code: *Provided [further]*, That there may be credited to this appropriation, to be available until expended, funds received from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training: *Provided further*, That any reference in law, regulation, judicial proceedings, or elsewhere to the Research and Innovative Technology Administration shall continue to be deemed to be a reference to the Office of the Assistant Secretary for Research and Technology of the Department of Transportation. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-1730-0-1-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Salaries and administrative expenses	6	7	6
0003 Research development & technology coordination	2	12	5
0004 UTC Congestion & Infrastructure Research Grants	5	15
0005 Highly Automated Systems Safety Center of Excellence	5
0100 Direct program by activities, subtotal	13	39	11
0799 Total direct obligations	13	39	11
0802 Transportation safety institute	12	20	20
0803 Other programs	1	1
0809 Reimbursable program by activities, subtotal	12	21	21
0899 Total reimbursable obligations	12	21	21
0900 Total new obligations, unexpired accounts	25	60	32
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	25	23	6
1021 Recoveries of prior year unpaid obligations	1
1050 Unobligated balance (total)	26	23	6
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	8	22	11
Spending authority from offsetting collections, discretionary:			
1700 Collected	14	21	21
1900 Budget authority (total)	22	43	32
1930 Total budgetary resources available	48	66	38
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	23	6	6
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	16	16	31
3010 New obligations, unexpired accounts	25	60	32
3020 Outlays (gross)	-23	-45	-28
3040 Recoveries of prior year unpaid obligations, unexpired	-1
3041 Recoveries of prior year unpaid obligations, expired	-1
3050 Unpaid obligations, end of year	16	31	35

Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-2	-2
3090	Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	14	14	29
3200	Obligated balance, end of year	14	29	33
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	22	43	32
Outlays, gross:				
4010	Outlays from new discretionary authority	11	30	25
4011	Outlays from discretionary balances	12	15	3
4020	Outlays, gross (total)	23	45	28
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-11	-20	-20
4033	Non-Federal sources	-3	-1	-1
4040	Offsets against gross budget authority and outlays (total)	-14	-21	-21
4070	Budget authority, net (discretionary)	8	22	11
4080	Outlays, net (discretionary)	9	24	7
4180	Budget authority, net (total)	8	22	11
4190	Outlays, net (total)	9	24	7

This appropriation is responsible for facilitating, reviewing, and ensuring the non-duplication of the Department of Transportation's (DOT) research, development, and technology portfolio, as well as enhancing the data collection and statistical analysis programs to support data-driven decision-making. The program activities are also responsible for civil Positioning, Navigation, and Timing (PNT) technologies and services, PNT policy coordination, and spectrum management.

This appropriation oversees and provides direction to the following programs and activities:

The Bureau of Transportation Statistics (BTS) manages and shares statistical knowledge and information on the Nation's transportation systems, including statistics on freight movement, geospatial transportation information, and transportation economics. The Fixing America's Surface Transportation Act (FAST Act) (P.L. 114-94) funded BTS by a statutory allocation from the Federal Highway Administration's Federal-Aid Highways account.

The University Transportation Centers (UTC) advance U.S. technology and expertise in many transportation-related disciplines through grants for transportation education, research, and technology transfer at university-based centers of excellence. The FAST Act provided funding to the Office of the Assistant Secretary for Research and Technology for the UTC Program through a statutory allocation from the Federal Highway Administration.

The John A. Volpe National Transportation Systems Center (Cambridge, MA) provides technical expertise in research, analysis, technology deployment, and other technical knowledge to DOT and non-DOT customers on specific transportation system projects or issues on a fee-for-service basis.

The Transportation Safety Institute (Oklahoma City, OK) develops and delivers safety, security, and environmental training, products, and services for both the public and private sector on a fee-for-service and tuition basis.

Object Classification (in millions of dollars)

Identification code 069-1730-0-1-407	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	2	2	2
12.1	Civilian personnel benefits	1	1	1
23.2	Rental payments to others	1	1	1
25.1	Advisory and assistance services	1	1	1
25.3	Other goods and services from Federal sources	5	34	6
99.0	Direct obligations	10	39	11
99.0	Reimbursable obligations	15	21	21
99.9	Total new obligations, unexpired accounts	25	60	32

RESEARCH AND TECHNOLOGY—Continued
Employment Summary

Identification code 069-1730-0-1-407	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	16	17	20
2001 Reimbursable civilian full-time equivalent employment	29	30	30
3001 Allocation account civilian full-time equivalent employment	61	80	80

SALARIES AND EXPENSES

For necessary expenses of the Office of the Secretary, **[\$115,490,000] \$127,374,000**, of which not to exceed \$3,100,000 shall be available for the immediate Office of the Secretary; not to exceed \$1,000,000 shall be available for the immediate Office of the Deputy Secretary; not to exceed \$21,000,000 shall be available for the Office of the General Counsel; not to exceed \$10,500,000 shall be available for the Office of the Under Secretary of Transportation for Policy; not to exceed \$15,000,000 shall be available for the Office of the Assistant Secretary for Budget and Programs; not to exceed \$2,650,000 shall be available for the Office of the Assistant Secretary for Governmental Affairs; not to exceed \$29,244,000 shall be available for the Office of the Assistant Secretary for Administration; not to exceed \$2,142,000 shall be available for the Office of Public Affairs; not to exceed \$1,859,000 shall be available for the Office of the Executive Secretariat; not to exceed \$12,181,000 shall be available for the Office of Intelligence, Security, and Emergency Response; and not to exceed \$16,814,000 shall be available for the Office of the Chief Information Officer: *Provided*, That the Secretary of Transportation is authorized to transfer funds appropriated for any office of the Office of the Secretary to any other office of the Office of the Secretary: *Provided further*, That no appropriation for any office shall be increased or decreased by more than 7 percent by all such transfers: *Provided further*, That notice of any change in funding greater than 7 percent shall be submitted for approval to the House and Senate Committees on Appropriations: *Provided [further]*, That not to exceed **[\$60,000] \$85,000** shall be for allocation within the Department for official reception and representation expenses as the Secretary may determine: *Provided further*, That notwithstanding any other provision of law, excluding fees authorized in Public Law 107-71, there may be credited to this appropriation up to \$2,500,000 in funds received in user fees: *Provided further*, That none of the funds provided in this Act shall be available for the position of Assistant Secretary for Public Affairs. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-0102-0-1-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 General administration	114	113	130
0002 SCASDP Program	8	8	8
0100 Subtotal Direct Obligations	122	121	138
0799 Total direct obligations	122	121	138
0801 Salaries and Expenses (Reimbursable)	7	10	14
0900 Total new obligations, unexpired accounts	129	131	152
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	25	32	28
1021 Recoveries of prior year unpaid obligations	3		
1050 Unobligated balance (total)	28	32	28
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	114	115	127
Spending authority from offsetting collections, discretionary:			
1700 Collected	19	12	16
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	20	12	16
1900 Budget authority (total)	134	127	143
1930 Total budgetary resources available	162	159	171
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	32	28	19
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	46	40	28
3010 New obligations, unexpired accounts	129	131	152

3020 Outlays (gross)	-130	-143	-154
3040 Recoveries of prior year unpaid obligations, unexpired	-3		
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	40	28	26
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-1	-2	-2
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	45	38	26
3200 Obligated balance, end of year	38	26	24

Budget authority and outlays, net:

Identification code 069-0102-0-1-407	2019 actual	2020 est.	2021 est.
Discretionary:			
4000 Budget authority, gross	134	127	143
Outlays, gross:			
4010 Outlays from new discretionary authority	111	105	119
4011 Outlays from discretionary balances	19	38	35
4020 Outlays, gross (total)	130	143	154
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-17	-9	-13
4033 Non-Federal sources	-2	-3	-3
4040 Offsets against gross budget authority and outlays (total)	-19	-12	-16
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4060 Additional offsets against budget authority only (total)	-1		
4070 Budget authority, net (discretionary)	114	115	127
4080 Outlays, net (discretionary)	111	131	138
4180 Budget authority, net (total)	114	115	127
4190 Outlays, net (total)	111	131	138

The Office of the Secretary is responsible for the overall planning, coordination, and administration of the Department's programs. Funding supports the Secretary, Deputy Secretary, Under Secretary for Policy, Secretarial Officers, and their immediate staffs, who provide Federal transportation policy development and guidance, institutional and public liaison activities, and other program support to ensure effective management and operation of the Department.

Object Classification (in millions of dollars)

Identification code 069-0102-0-1-407	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	48	48	50
11.3 Other than full-time permanent	5	5	7
11.5 Other personnel compensation	2	2	4
11.9 Total personnel compensation	55	55	61
4080 Civilian personnel benefits	17	17	19
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	10	10	11
25.1 Advisory and assistance services	6	5	5
25.2 Other services from non-Federal sources	2	2	2
25.3 Other goods and services from Federal sources	23	23	31
26.0 Supplies and materials	1	1	1
41.0 Grants, subsidies, and contributions	7	7	7
99.0 Direct obligations	122	121	138
99.0 Reimbursable obligations	7	10	14
99.9 Total new obligations, unexpired accounts	129	131	152

Employment Summary

Identification code 069-0102-0-1-407	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	405	446	478
2001 Reimbursable civilian full-time equivalent employment	27	30	47

NATIONAL SURFACE TRANSPORTATION AND INNOVATIVE FINANCE BUREAU

For necessary expenses of the National Surface Transportation and Innovative Finance Bureau as authorized by 49 U.S.C. 116, **[\$5,000,000] \$4,250,000**, to remain available until expended: *Provided*, That the Secretary [shall notify the House and

Senate Committees on Appropriations no less than 15 days prior to exercising the transfer authority granted under section 116(h) of title 49, United States Code may collect and spend fees, as authorized by title 23, United States Code, to cover the costs of services of expert firms, including counsel, in the field of municipal and project finance to assist in the underwriting and servicing of Federal credit instruments and all or a portion of the costs to the Federal Government of servicing such credit instruments: Provided further, That such fees are available until expended to pay for such costs: Provided further, That such amounts are in addition to other amounts made available for such purposes and are not subject to any obligation limitation or the limitation on administrative expenses under section 608 of title 23, United States Code. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-0170-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 General Administration - Bureau	2	4	4
0003 TIFIA Revenue Fee			3
0900 Total new obligations, unexpired accounts	2	4	7
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	6	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5	5	4
Spending authority from offsetting collections, discretionary:			
1700 Collected			3
1900 Budget authority (total)	5	5	7
1930 Total budgetary resources available	8	11	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	6	7	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	1	
3010 New obligations, unexpired accounts	2	4	7
3020 Outlays (gross)	-3	-5	-7
3050 Unpaid obligations, end of year	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	1	
3200 Obligated balance, end of year	1		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5	5	7
Outlays, gross:			
4010 Outlays from new discretionary authority	2	4	6
4011 Outlays from discretionary balances	1	1	1
4020 Outlays, gross (total)	3	5	7
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4033 Non-Federal sources			-3
4180 Budget authority, net (total)	5	5	4
4190 Outlays, net (total)	3	5	4

This appropriation supports the National Surface Transportation and Innovative Finance Bureau (the Bureau). The Bureau fulfills a number of responsibilities, including providing assistance and communicating best practices and financing and funding opportunities to entities eligible under DOT infrastructure finance programs; administering the application process for DOT infrastructure finance programs; administering the application process for the Infrastructure for Rebuilding America (INFRA) grant program; reducing uncertainty and delays related to environmental reviews and permitting, as well as project delivery and procurement risks, and costs for projects financed by the DOT infrastructure finance programs and INFRA; increasing transparency and the public availability of information regarding projects financed by the DOT infrastructure finance programs and INFRA; and promoting best practices in procurement for projects financed by the DOT infrastructure finance programs and the INFRA program by developing benchmarks related to procurement. The fees in this account cover the costs of services of expert firms in the field of municipal and project finance to assist in the underwriting and servicing of Federal credit

instruments. The Budget proposes to consolidate the Maritime Guaranteed Loan (Title XI) program under the Bureau, including open loans.

Object Classification (in millions of dollars)

Identification code 069-0170-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	2	2
12.1 Civilian personnel benefits	1	1	1
25.1 Advisory and assistance services			3
25.3 Other goods and services from Federal sources		1	1
99.0 Direct obligations	2	4	7
99.9 Total new obligations, unexpired accounts	2	4	7

Employment Summary

Identification code 069-0170-0-1-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	9	14	16

NATIONAL INFRASTRUCTURE INVESTMENTS

Program and Financing (in millions of dollars)

Identification code 069-0143-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 National Infrastructure Investments Grants	545	3,558	
0002 Award & Oversight	6	10	
0900 Total new obligations, unexpired accounts	551	3,568	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,219	2,568	
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	2,220	2,568	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	900	1,000	
1930 Total budgetary resources available	3,120	3,568	
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	2,568		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	842	1,017	3,735
3001 Adjustments to unpaid obligations, brought forward, Oct 1	4		
3010 New obligations, unexpired accounts	551	3,568	
3020 Outlays (gross)	-359	-850	-1,264
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3041 Recoveries of prior year unpaid obligations, expired	-20		
3050 Unpaid obligations, end of year	1,017	3,735	2,471
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	846	1,017	3,735
3200 Obligated balance, end of year	1,017	3,735	2,471
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	900	1,000	
Outlays, gross:			
4011 Outlays from discretionary balances	359	850	1,264
4180 Budget authority, net (total)	900	1,000	
4190 Outlays, net (total)	359	850	1,264

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	900	1,000	
Outlays	359	850	1,264
Legislative proposal, not subject to PAYGO:			
Budget Authority			1,000
Total:			
Budget Authority	900	1,000	1,000

NATIONAL INFRASTRUCTURE INVESTMENTS—Continued
Summary of Budget Authority and Outlays—Continued

	2019 actual	2020 est.	2021 est.
Outlays	359	850	1,264

The National Infrastructure Investments program, also known as the Better Utilizing Investments to Leverage Development (BUILD) program, provides funding for grant awards or credit assistance on a competitive basis for capital investments in surface transportation infrastructure.

Object Classification (in millions of dollars)

Identification code 069-0143-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	1	1
11.1 Full-time permanent - Allocation	2	2
11.9 Total personnel compensation	3	3
25.1 Advisory and assistance services	1
25.1 Advisory and assistance services - Allocation	2
25.2 Other services from non-Federal sources	2
25.2 Other services from non-Federal sources - Allocation	4
41.0 Grants, subsidies, and contributions - Allocation	545	3,558
99.0 Direct obligations	551	3,567
99.5 Adjustment for rounding	1
99.9 Total new obligations, unexpired accounts	551	3,568

Employment Summary

Identification code 069-0143-0-1-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5	7

NATIONAL INFRASTRUCTURE INVESTMENTS
(Legislative proposal, not subject to PAYGO)

[For] Contingent upon enactment of multi-year surface transportation authorization legislation, for capital investments in surface transportation infrastructure, \$1,000,000,000, to remain available through September 30, **[2022]** **2023:** *Provided,* **[**That the Secretary of Transportation shall distribute funds provided under this heading as discretionary grants to be awarded to a State, local government, transit agency, port authority, or a collaboration among such entities on a competitive basis for projects that will have a significant local or regional impact: *Provided further,* That projects eligible for funding provided under this heading shall include, but not be limited to, highway or bridge projects eligible under title 23, United States Code; public transportation projects eligible under chapter 53 of title 49, United States Code; passenger and freight rail transportation projects; port infrastructure investments (including inland port infrastructure and land ports of entry); and projects investing in surface transportation facilities that are located on tribal land and for which title or maintenance responsibility is vested in the Federal Government: *Provided further,* That of the amount made available under this heading, the Secretary shall use an amount not less than \$15,000,000 for the planning, preparation or design of projects eligible for funding under this heading: *Provided further,* That grants awarded under the previous proviso shall not be subject to a minimum grant size: *Provided further,* That the Secretary may use up to 20 percent of the funds made available under this heading for the purpose of paying the subsidy and administrative costs of projects eligible for Federal credit assistance under chapter 6 of title 23, United States Code, or sections 501 through 504 of the Railroad Revitalization and Regulatory Reform Act of 1976 (Public Law 94-210), as amended, if the Secretary finds that such use of the funds would advance the purposes of this paragraph: *Provided further,* That in distributing funds provided under this heading, the Secretary shall take such measures so as to ensure an equitable geographic distribution of funds, an appropriate balance in addressing the needs of urban and rural areas, and the investment in a variety of transportation modes: *Provided further,* That a grant funded under this heading shall be not less than \$5,000,000 and not greater than \$25,000,000: *Provided further,* That not more than 10 percent of the funds made available under this heading may be awarded to projects in a single State: *Provided further,* That the Federal share of the costs for which an expenditure is made under this heading shall be, at the option of the recipient, up to 80 percent: *Provided further,* That the Secretary shall give priority to projects that require a contribution of Federal funds in order to complete an overall financing package: *Provided further,* That

an award under this heading is an urban award if it is to a project located within or on the boundary of an Urbanized Area (UA), as designated by the U.S. Census Bureau, that had a population greater than 200,000 in the 2010 Census: *Provided further,* That for the purpose of determining if an award for planning, preparation or design is an urban award, the project location is the location of the project being planned, prepared or designed: *Provided further,* That each award under this heading that is not an urban award is a rural award: *Provided further,* That of the funds awarded under this heading, not more than 50 percent shall be awarded as urban awards and rural awards, respectively: *Provided further,* That for rural awards, the minimum grant size shall be \$1,000,000 and the Secretary may increase the Federal share of costs above 80 percent: *Provided further,* That projects conducted using funds provided under this heading must comply with the requirements of subchapter IV of chapter 31 of title 40, United States Code: *Provided further,* That the Secretary shall conduct a new competition to select the grants and credit assistance awarded under this heading: *Provided further,* **]** That the Secretary may retain up to \$25,000,000 of the funds provided under this heading, and may transfer portions of those funds to the Administrators of the Federal Highway Administration, the Federal Transit Administration, the Federal Railroad Administration, and the Maritime Administration to fund the award and oversight of grants and credit assistance made under the National Infrastructure Investments program **[**: *Provided further,* That none of the funds provided in the previous proviso may be used to hire additional personnel: *Provided further,* That the Secretary shall consider and award projects based solely on the selection criteria from the fiscal year 2017 Notice of Funding Opportunity: *Provided further,* That, notwithstanding the previous proviso, the Secretary shall not use the Federal share or an applicant's ability to generate non-Federal revenue as a selection criteria in awarding projects: *Provided further,* That the Secretary shall issue the Notice of Funding Opportunity no later than 60 days after enactment of this Act: *Provided further,* That such Notice of Funding Opportunity shall require application submissions 90 days after the publishing of such Notice: *Provided further,* That of the applications submitted under the previous two provisos, the Secretary shall make grants no later than 270 days after enactment of this Act in such amounts that the Secretary determines: *Provided further,* That such sums provided for national infrastructure investments for multimodal safety projects under title VIII of division F of the Consolidated and Further Continuing Appropriations Act, 2013 (Public Law 113-6; 127 Stat. 423) shall remain available through fiscal year 2024 for the liquidation of valid obligations of active grants awarded with this funding: *Provided further,* That the preceding proviso shall be applied as if it were in effect on September 30, 2019 **]**. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-0143-2-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0003 Surface Transportation Reauthorization Activities	1,000
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1,000
1930 Total budgetary resources available	1,000
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1,000
3050 Unpaid obligations, end of year	1,000
Memorandum (non-add) entries:			
3200 Obligated balance, end of year	1,000
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1,000
4180 Budget authority, net (total)	1,000
4190 Outlays, net (total)

The Administration is proposing to authorize the BUILD program for the first time as part of its 10-year surface transportation reauthorization proposal. The BUILD program provides funding for grant awards or credit assistance on a competitive basis for capital investments in surface transportation infrastructure. This schedule reflects the 2021 request for the BUILD program.

Object Classification (in millions of dollars)

Identification code 069-0143-2-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent			1
11.1 Full-time permanent - Allocation			2
11.9 Total personnel compensation			3
25.2 Other services from non-Federal sources			2
25.2 Other services from non-Federal sources - Allocation			4
41.0 Grants, subsidies, and contributions - Allocation			991
99.0 Direct obligations			1,000
99.9 Total new obligations, unexpired accounts			1,000

Employment Summary

Identification code 069-0143-2-1-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			7

NATIONALLY SIGNIFICANT FREIGHT PROJECTS

For financial assistance for projects eligible under 23 U.S.C. 117, \$1,000,000,000, to remain available through September 30, 2024: Provided, That the Secretary of Transportation shall distribute funds provided under this heading as discretionary grants according to criteria and requirements described in 23 U.S.C. 117: Provided further, That the limitation at 23 U.S.C. 117(d)(2)(A) shall not apply to the funding provided under this heading: Provided further, That the Secretary may retain up to \$10,000,000 of the funds provided under this heading, and may transfer portions of such funds to the Administrators of the Federal Highway Administration, the Federal Railroad Administration, and the Maritime Administration, to fund the award and oversight of grants and credit assistance made under 23 U.S.C. 117 and this heading: Provided further, That section 1101(b) of Public Law 114-94 shall apply to funds made available under this heading.

Program and Financing (in millions of dollars)

Identification code 069-0148-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Nationally Significant Freight Projects Grants			990
0002 Award and Oversight			10
0900 Total new obligations, unexpired accounts			1,000
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			1,000
1930 Total budgetary resources available			1,000
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			1,000
3050 Unpaid obligations, end of year			1,000
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			1,000
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			1,000
4180 Budget authority, net (total)			1,000
4190 Outlays, net (total)			

The Budget requests \$1 billion in General Fund appropriations to support the Nationally Significant Freight and Highway Projects grant program, also known as the Infrastructure for Rebuilding America (INFRA) program, authorized under 23 U.S.C. 117. The INFRA program provides financial assistance on a competitive basis to highway, freight rail, intermodal, and port infrastructure projects of national or regional significance. The goals of the program include reducing congestion and bottlenecks, and improving safety, on the Nation's freight networks. The resources in this account are

not subject to the limitation in 23 U.S.C. 117(d)(2)(A) for certain freight projects.

Object Classification (in millions of dollars)

Identification code 069-0148-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent			1
25.2 Other services from non-Federal sources			9
41.0 Grants, subsidies, and contributions			990
99.9 Total new obligations, unexpired accounts			1,000

Employment Summary

Identification code 069-0148-0-1-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			4

TIFIA HIGHWAY TRUST FUND DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 069-4123-0-3-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	1,535	9,577	28,557
0713 Payment of interest to Treasury	598	700	700
0742 Downward reestimates paid to receipt accounts		841	
0743 Interest on downward reestimates		125	
0900 Total new obligations, unexpired accounts	2,133	11,243	29,257
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	456	437
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	2,296	10,891	28,924
Spending authority from offsetting collections, mandatory:			
1800 Collected	1,083	2,146	566
1801 Change in uncollected payments, Federal sources	-163	-83	-83
1825 Spending authority from offsetting collections applied to repay debt	-630	-1,730	-150
1850 Spending auth from offsetting collections, mand (total)	290	333	333
1900 Budget authority (total)	2,586	11,224	29,257
1930 Total budgetary resources available	2,589	11,680	29,694
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	456	437	437
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	10,736	8,470	15,549
3010 New obligations, unexpired accounts	2,133	11,243	29,257
3020 Outlays (gross)	-4,399	-4,164	-3,543
3050 Unpaid obligations, end of year	8,470	15,549	41,263
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-519	-356	-273
3070 Change in uncollected pymts, Fed sources, unexpired	163	83	83
3090 Uncollected pymts, Fed sources, end of year	-356	-273	-190
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	10,217	8,114	15,276
3200 Obligated balance, end of year	8,114	15,276	41,073
Financing authority and disbursements, net:			
Mandatory:			
4090 Budget authority, gross	2,586	11,224	29,257
Financing disbursements:			
4110 Outlays, gross (total)	4,399	4,164	3,543
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120 Federal sources: subsidy from program account	-202	-136	-136
4120 Federal sources: Upward Reestimate	-109	-24	
4120 Federal sources: Interest on upward reestimate	-26	-56	
4122 Interest on uninvested funds	-66	-80	-80
4123 Non-Federal sources - Interest payments	-200	-200	-200
4123 Non-Federal sources - Principal payments	-480	-1,650	-150
4130 Offsets against gross budget authority and outlays (total)	-1,083	-2,146	-566

TIFIA HIGHWAY TRUST FUND DIRECT LOAN FINANCING ACCOUNT—Continued
Program and Financing—Continued

Identification code 069-4123-0-3-401	2019 actual	2020 est.	2021 est.
Additional offsets against financing authority only (total):			
4140 Change in uncollected pymts, Fed sources, unexpired	163	83	83
4160 Budget authority, net (mandatory)	1,666	9,161	28,774
4170 Outlays, net (mandatory)	3,316	2,018	2,977
4180 Budget authority, net (total)	1,666	9,161	28,774
4190 Outlays, net (total)	3,316	2,018	2,977

Status of Direct Loans (in millions of dollars)

Identification code 069-4123-0-3-401	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	1,535	9,577	28,557
1150 Total direct loan obligations	1,535	9,577	28,557
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	15,351	18,575	19,823
1231 Disbursements: Direct loan disbursements	3,364	2,498	3,543
1251 Repayments: Repayments and prepayments	-480	-1,650	-150
1261 Adjustments: Capitalized interest	340	400	600
1290 Outstanding, end of year	18,575	19,823	23,816

This non-budgetary financing account records all cash flows to and from the Government resulting from the TIFIA Highway Trust Fund Program Account (program account). The amounts in this account are a means of financing and are not included in the budget totals. For 2021, cash flows are based on contract authority and obligation limitation equal to the baseline in the program account. Cash flows from contract authority and obligation limitation above the baseline in the program account are reflected as a legislative proposal, in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. For the first time, the Budget reflects the reassignment of this account from FHWA to OST.

Balance Sheet (in millions of dollars)

Identification code 069-4123-0-3-401	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	3	18
Investments in U.S. securities:		
1106 Receivables, net	103	77
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	15,351	18,575
1402 Interest receivable		
1404 Foreclosed property	167	167
1405 Allowance for subsidy cost (-)	-199	648
1499 Net present value of assets related to direct loans	15,319	19,390
1999 Total assets	15,425	19,485
LIABILITIES:		
Federal liabilities:		
2103 Debt	14,988	18,319
2105 Other	437	1,166
2999 Total liabilities	15,425	19,485
NET POSITION:		
3300 Cumulative results of operations		
4999 Total liabilities and net position	15,425	19,485

TIFIA HIGHWAY TRUST FUND DIRECT LOAN FINANCING ACCOUNT
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

Identification code 069-4123-2-3-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations			3,505
0713 Payment of interest to Treasury			4

0900 Total new obligations, unexpired accounts			3,509
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Budgetary resources:

Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority			3,471
1900 Budget authority (total)			3,471
1930 Total budgetary resources available			3,471
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			-38

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			3,509
3020 Outlays (gross)			-175
3050 Unpaid obligations, end of year			3,334
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			3,334

Financing authority and disbursements, net:

Mandatory:			
4090 Budget authority, gross			3,471
Financing disbursements:			
4110 Outlays, gross (total)			175
4180 Budget authority, net (total)			3,471
4190 Outlays, net (total)			175

Status of Direct Loans (in millions of dollars)

Identification code 069-4123-2-3-401	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority			3,505
1150 Total direct loan obligations			3,505
Cumulative balance of direct loans outstanding:			
1231 Disbursements: Direct loan disbursements			175
1290 Outstanding, end of year			175

This schedule reflects cash flows associated with the additional contract authority and obligation limitation proposed above the baseline in the TIFIA Highway Trust Fund Program Account. These additional resources are requested as part of the Administration's surface transportation reauthorization proposal.

WORKING CAPITAL FUND, VOLPE NATIONAL TRANSPORTATION SYSTEMS CENTER

Program and Financing (in millions of dollars)

Identification code 069-4522-0-4-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Working Capital Fund, Volpe National Transportation Systems Cent (Reimbursable)	244	340	345
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	187	179	179
1021 Recoveries of prior year unpaid obligations	11		
1050 Unobligated balance (total)	198	179	179
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	220	340	345
1701 Change in uncollected payments, Federal sources	5		
1750 Spending auth from offsetting collections, disc (total)	225	340	345
1930 Total budgetary resources available	423	519	524
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	179	179	179

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	131	133	133
3010 New obligations, unexpired accounts	244	340	345
3020 Outlays (gross)	-231	-340	-345
3040 Recoveries of prior year unpaid obligations, unexpired	-11		
3050 Unpaid obligations, end of year	133	133	133

Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-60	-65	-65
3070	Change in uncollected pymts, Fed sources, unexpired	-5		
3090	Uncollected pymts, Fed sources, end of year	-65	-65	-65
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	71	68	68
3200	Obligated balance, end of year	68	68	68

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	225	340	345
Outlays, gross:				
4010	Outlays from new discretionary authority	92	136	138
4011	Outlays from discretionary balances	139	204	207
4020	Outlays, gross (total)	231	340	345
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-217	-340	-345
4033	Non-Federal sources	-3		
4040	Offsets against gross budget authority and outlays (total)	-220	-340	-345
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-5		
4080	Outlays, net (discretionary)	11		
4180	Budget authority, net (total)			
4190	Outlays, net (total)	11		

The Working Capital Fund finances multidisciplinary research, evaluation, analytical, and related activities undertaken at the Volpe Transportation Systems Center (Volpe Center) in Cambridge, MA. The fund is financed through negotiated agreements with other offices within the Office of the Secretary, Departmental operating administrations, other governmental elements, and non-governmental entities using the Center's capabilities. These agreements also define the activities undertaken at the Volpe Center.

Object Classification (in millions of dollars)

Identification code 069-4522-0-4-407				
	2019 actual	2020 est.	2021 est.	
Reimbursable obligations:				
Personnel compensation:				
11.1	Full-time permanent	56	60	62
11.3	Other than full-time permanent	4	5	5
11.5	Other personnel compensation	2		
11.9	Total personnel compensation	62	65	67
12.1	Civilian personnel benefits	20	23	24
21.0	Travel and transportation of persons	4	4	5
23.3	Communications, utilities, and miscellaneous charges	3	3	3
25.1	Advisory and assistance services	110	110	110
25.2	Other services from non-Federal sources	3	3	3
25.3	Other goods and services from Federal sources	6	6	6
25.4	Operation and maintenance of facilities	5	5	5
25.5	Research and development contracts	3	89	90
25.7	Operation and maintenance of equipment	9	9	9
25.8	Subsistence and support of persons	1	1	1
26.0	Supplies and materials	1	1	1
31.0	Equipment	8	10	10
32.0	Land and structures		1	1
44.0	Refunds	9	10	10
99.9	Total new obligations, unexpired accounts	244	340	345

Employment Summary

Identification code 069-4522-0-4-407				
	2019 actual	2020 est.	2021 est.	
2001	Reimbursable civilian full-time equivalent employment	522	570	570

TIFIA GENERAL FUND PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 069-0542-0-1-401				
	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0706	Interest on reestimates of direct loan subsidy	1		
0900	Total new obligations, unexpired accounts (object class 41.0)	1		

Budgetary resources:				
Budget authority:				
Appropriations, mandatory:				
1200	Appropriation	1		
1900	Budget authority (total)	1		
1930	Total budgetary resources available	1		

Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	1		
3020	Outlays (gross)	-1		

Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	1		
Outlays, gross:				
4100	Outlays from new mandatory authority	1		
4180	Budget authority, net (total)	1		
4190	Outlays, net (total)	1		

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-0542-0-1-401				
	2019 actual	2020 est.	2021 est.	
Direct loan reestimates:				
135001	TIFIA TIGER Direct Loans	-12	-13	

The Office of the Secretary of Transportation (OST) received appropriations totaling \$1,127 million for TIGER discretionary grants as part of the 2010 and 2011 Department of Transportation (DOT) appropriations acts. The appropriations authorized DOT to pay subsidy and administrative costs, not to exceed \$300 million, of projects eligible for Federal credit assistance under Chapter 6 of Title 23 United States Code. In 2012, \$45 million was provided for TIGER discretionary grants as part of the 2012 DOT appropriation act to pay subsidy and administrative costs under the Transportation Infrastructure Finance and Innovation Act program. For the first time the Budget reflects the reassignment of this account from FHWA to OST.

TIFIA GENERAL FUND DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 069-4348-0-3-401				
	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0713	Payment of interest to Treasury	30	30	33
0742	Downward reestimates paid to receipt accounts	10	11	
0743	Interest on downward reestimates	1	3	
0900	Total new obligations, unexpired accounts	41	44	33
Budgetary resources:				
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority	33	32	2
Spending authority from offsetting collections, mandatory:				
1800	Collected	10	15	35
1825	Spending authority from offsetting collections applied to repay debt	-2	-3	-4
1850	Spending auth from offsetting collections, mand (total)	8	12	31
1900	Budget authority (total)	41	44	33
1930	Total budgetary resources available	41	44	33

Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	41	44	33
3020	Outlays (gross)	-41	-44	-33

Financing authority and disbursements, net:				
Mandatory:				
4090	Budget authority, gross	41	44	33
Financing disbursements:				
4110	Outlays, gross (total)	41	44	33
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4122	Interest on uninvested funds	-1	-1	

TIFIA GENERAL FUND DIRECT LOAN FINANCING ACCOUNT—Continued
Program and Financing—Continued

Identification code 069-4348-0-3-401		2019 actual	2020 est.	2021 est.
4123	Non-Federal sources - Interest payments	-8	-12	-30
4123	Non-Federal sources - Principal payments	-2	-2	-4
4130	Offsets against gross budget authority and outlays (total)	-10	-15	-35
4160	Budget authority, net (mandatory)	31	29	-2
4170	Outlays, net (mandatory)	31	29	-2
4180	Budget authority, net (total)	31	29	-2
4190	Outlays, net (total)	31	29	-2

Status of Direct Loans (in millions of dollars)

Identification code 069-4348-0-3-401		2019 actual	2020 est.	2021 est.
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	1,082	1,101	1,116
1251	Repayments: Repayments and prepayments	-2	-2	-4
1261	Adjustments: Capitalized interest	21	17
1290	Outstanding, end of year	1,101	1,116	1,112

This is the financing account for the TIFIA General Fund Program Account. This non-budgetary account records all cash flows to and from the Government resulting from TIFIA credit assistance provided under this program. The Budget for the first time reflects the reassignment of this account from FHWA to OST.

Balance Sheet (in millions of dollars)

Identification code 069-4348-0-3-401		2018 actual	2019 actual
ASSETS:			
Federal assets:			
Investments in U.S. securities:			
1106	Receivables, net	1
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross	1,082	1,101
1405	Allowance for subsidy cost (-)	-34	-21
1499	Net present value of assets related to direct loans	1,048	1,080
1999	Total assets	1,048	1,081
LIABILITIES:			
Federal liabilities:			
2103	Debt	1,036	1,067
2105	Other	12	14
2999	Total liabilities	1,048	1,081
NET POSITION:			
3300	Cumulative results of operations
4999	Total liabilities and net position	1,048	1,081

FINANCIAL MANAGEMENT CAPITAL

For necessary expenses for upgrading and enhancing the Department of Transportation's financial systems and re-engineering business processes, \$2,000,000, to remain available through September 30, [2021] 2023. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-0116-0-1-407		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Financial management capital	4	6	2
0900	Total new obligations, unexpired accounts (object class 25.3)	4	6	2
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	6	4
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	2	2	2
1930	Total budgetary resources available	8	6	2

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	4
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	6
3010	New obligations, unexpired accounts	4	6	2
3020	Outlays (gross)	-4	-2
3050	Unpaid obligations, end of year	6	6
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	6
3200	Obligated balance, end of year	6	6

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	2	2	2
Outlays, gross:				
4010	Outlays from new discretionary authority	2
4011	Outlays from discretionary balances	2	2
4020	Outlays, gross (total)	4	2
4180	Budget authority, net (total)	2	2	2
4190	Outlays, net (total)	4	2

This appropriation provides funds to support projects that modernize DOT's financial systems and business processes to comply with key financial management initiatives. These funds will assist DOT in increasing data quality, ensuring compliance with financial standards and reporting, execution of DATA Act requirements, and other critical needs that may arise.

CYBER SECURITY INITIATIVES

For necessary expenses for cyber security initiatives, including necessary upgrades to [wide area network and information technology infrastructure, improvement of network perimeter controls and identity management, testing and assessment of information technology against business, security, and other requirements] network and information technology infrastructure, improvement of identity management and authentication capabilities, securing and protecting data, implementation of Federal cyber security initiatives, and [information infrastructure enhancements, and] implementation of enhanced security controls on [network devices, \$15,000,000] agency computers and mobile devices, \$22,000,000, to remain available through September 30, [2021] 2023. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-0159-0-1-407		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Cyber Security Initiatives (Direct)	11	16	21
0100	Direct program activities, subtotal	11	16	21
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	5	9	8
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	15	15	22
1930	Total budgetary resources available	20	24	30
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	9	8	9
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	10	5	12
3010	New obligations, unexpired accounts	11	16	21
3020	Outlays (gross)	-16	-9	-17
3050	Unpaid obligations, end of year	5	12	16
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	10	5	12
3200	Obligated balance, end of year	5	12	16
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	15	15	22

Outlays, gross:				
4010	Outlays from new discretionary authority	2	4	6
4011	Outlays from discretionary balances	14	5	11
4020	Outlays, gross (total)	16	9	17
4180	Budget authority, net (total)	15	15	22
4190	Outlays, net (total)	16	9	17

This appropriation will fund cyber security initiatives, including necessary upgrades to the wide area network and information technology infrastructure. The funding will support key program enhancements, infrastructure improvements, and contractual resources to enhance the security of the Department of Transportation network, and reduce the risk of security breaches.

Object Classification (in millions of dollars)

Identification code 069-0159-0-1-407				
2019 actual				
2020 est.				
2021 est.				
Direct obligations:				
23.3	Communications, utilities, and miscellaneous charges	1		
25.1	Advisory and assistance services	7	8	6
25.3	Other goods and services from Federal sources		3	3
25.7	Operation and maintenance of equipment	1	2	4
31.0	Equipment	2	3	8
99.9	Total new obligations, unexpired accounts	11	16	21

OFFICE OF CIVIL RIGHTS

For necessary expenses of the Office of Civil Rights, **[\$9,470,000]** \$9,600,000. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-0118-0-1-407				
2019 actual				
2020 est.				
2021 est.				
Obligations by program activity:				
0001	Office of Civil Rights	9	9	10
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	9	9	10
1930	Total budgetary resources available	9	9	10
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	3	4	2
3010	New obligations, unexpired accounts	9	9	10
3020	Outlays (gross)	-8	-11	-10
3050	Unpaid obligations, end of year	4	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	3	4	2
3200	Obligated balance, end of year	4	2	2
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	9	9	10
Outlays, gross:				
4010	Outlays from new discretionary authority	6	7	8
4011	Outlays from discretionary balances	2	4	2
4020	Outlays, gross (total)	8	11	10
4180	Budget authority, net (total)	9	9	10
4190	Outlays, net (total)	8	11	10

The Office of Civil Rights provides Department-wide leadership for all civil rights activities, including employment opportunity and enforcement of laws and regulations that prohibit discrimination in the financing and operation of transportation programs with Federal resources. The Office also is responsible for non-discrimination policy development, analysis, coordination and compliance, and promotes an organizational culture that values workforce diversity, and handles all civil rights cases related to Department of Transportation employees.

Object Classification (in millions of dollars)

Identification code 069-0118-0-1-407				
2019 actual				
2020 est.				
2021 est.				
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	4	4	5
12.1	Civilian personnel benefits	1	1	1
25.1	Advisory and assistance services	2	2	2
25.3	Other goods and services from Federal sources	1	1	1
25.7	Operation and maintenance of equipment	1	1	1
99.9	Total new obligations, unexpired accounts	9	9	10

Employment Summary

Identification code 069-0118-0-1-407				
2019 actual				
2020 est.				
2021 est.				
1001	Direct civilian full-time equivalent employment	36	45	50

SMALL AND DISADVANTAGED BUSINESS UTILIZATION AND OUTREACH

For necessary expenses for small and disadvantaged business utilization and outreach activities, **[\$4,646,000]** \$4,714,000, to remain available until September 30, **[2021]** 2022: *Provided*, That notwithstanding 49 U.S.C. 332, these funds may be used for business opportunities related to any mode of transportation: *Provided further*, That appropriations made available under this heading shall be available for any purpose consistent with prior year appropriations that were made available under the heading "Minority Business Resource Center Program". (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-0119-0-1-407				
2019 actual				
2020 est.				
2021 est.				
Obligations by program activity:				
0001	Minority business outreach	4	5	5
0002	Bonding Assistance Program		3	
0900	Total new obligations, unexpired accounts	4	8	5
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	5	4	1
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	3	5	5
1930	Total budgetary resources available	8	9	6
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	4	1	1
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	3	2	3
3010	New obligations, unexpired accounts	4	8	5
3020	Outlays (gross)	-5	-7	-5
3050	Unpaid obligations, end of year	2	3	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	3	2	3
3200	Obligated balance, end of year	2	3	3
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	3	5	5
Outlays, gross:				
4010	Outlays from new discretionary authority	2	2	2
4011	Outlays from discretionary balances	3	5	3
4020	Outlays, gross (total)	5	7	5
4180	Budget authority, net (total)	3	5	5
4190	Outlays, net (total)	5	7	5

This appropriation includes funding for the Office of Small and Disadvantaged Business Utilization to ensure that: 1) the small and disadvantaged business policies and programs of the Secretary of Transportation are developed and implemented throughout the Department in a fair, efficient, and effective manner; and 2) effective outreach activities are in place to assist small businesses owned and controlled by socially and economically disadvantaged individuals, small businesses owned and controlled by wo-

SMALL AND DISADVANTAGED BUSINESS UTILIZATION AND OUTREACH—Continued men, small businesses owned and controlled by service disabled-veterans, Native American small business concerns, and qualified Historically Underutilized Business Zone (HUB Zone) small businesses concerned with securing Department of Transportation contracting and subcontracting opportunities.

Object Classification (in millions of dollars)

Identification code 069-0119-0-1-407	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
25.2 Other services from non-Federal sources		1	1
41.0 Grants, subsidies, and contributions	2	5	2
99.0 Direct obligations	3	7	4
99.5 Below Reporting Threshold	1	1	1
99.9 Total new obligations, unexpired accounts	4	8	5

Employment Summary

Identification code 069-0119-0-1-407	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	11	12	12

TRANSPORTATION PLANNING, RESEARCH, AND DEVELOPMENT

For necessary expenses for conducting transportation planning, research, systems development, development activities, and making grants, **[\$10,879,000]** \$9,350,000, to remain available until expended: *Provided*, That of such amount, **[\$1,000,000]** \$1,500,000 shall be for necessary expenses of the Interagency Infrastructure Permitting Improvement Center (IIPIC): *Provided further*, That there may be transferred to this appropriation, to remain available until expended, amounts transferred from other Federal agencies for expenses incurred under this heading for IIPIC activities not related to transportation infrastructure: *Provided further*, That the tools and analysis developed by the IIPIC shall be available to other Federal agencies for the permitting and review of major infrastructure projects not related to transportation only to the extent that other Federal agencies provide funding to the Department as provided for under the previous proviso. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-0142-0-1-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Transportation policy and planning	8	8	8
0003 Interagency Infrastructure Permitting Improvement Center (IIPIC)	2	1	1
0004 Automated Vehicles	1		
0005 Non-Traditional and Emerging Transportation Technology (NETT) Council		2	
0100 Total direct program	11	11	9
0799 Total direct obligations	11	11	9
0900 Total new obligations, unexpired accounts	11	11	9
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	14	17	18
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	8	11	9
Spending authority from offsetting collections, discretionary:			
1700 Collected	5	1	
1701 Change in uncollected payments, Federal sources	1		
1750 Spending auth from offsetting collections, disc (total)	6	1	
1900 Budget authority (total)	14	12	9
1930 Total budgetary resources available	28	29	27
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	17	18	18
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	2	6

3010 New obligations, unexpired accounts	11	11	9
3020 Outlays (gross)	-11	-7	-10
3050 Unpaid obligations, end of year	2	6	5
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-3	-3
3070 Change in uncollected pymts, Fed sources, unexpired	-1		
3090 Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		-1	3
3200 Obligated balance, end of year	-1	3	2

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	14	12	9
Outlays, gross:			
4010 Outlays from new discretionary authority		4	4
4011 Outlays from discretionary balances	11	3	6
4020 Outlays, gross (total)	11	7	10
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-5	-1	
4040 Offsets against gross budget authority and outlays (total) ...	-5	-1	
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4060 Additional offsets against budget authority only (total)	-1		
4070 Budget authority, net (discretionary)	8	11	9
4080 Outlays, net (discretionary)	6	6	10
4180 Budget authority, net (total)	8	11	9
4190 Outlays, net (total)	6	6	10

This appropriation finances research and studies concerned with planning, analysis, and information development needed to support the Secretary's responsibilities in the formulation of National transportation policies and the coordination of National-level transportation planning. Funding also supports Departmental leadership in areas such as economic impacts, de-regulation, safety impacts of transportation, aviation economic policy, and international transportation issues. The program activities include contracts with other Federal agencies, educational institutions, non-profit research organizations, and private firms. This appropriation also finances the Interagency Infrastructure Permitting Improvement Center, including an online database Permitting Dashboard, to support permitting/environmental review reforms to improve interagency coordination, and make the process for Federal approval for major infrastructure projects more efficient.

Object Classification (in millions of dollars)

Identification code 069-0142-0-1-407	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	5	4	5
12.1 Civilian personnel benefits	1	2	2
25.1 Advisory and assistance services	1	3	1
25.3 Other goods and services from Federal sources	3	1	1
99.0 Direct obligations	10	10	9
99.5 Adjustment for rounding	1	1	
99.9 Total new obligations, unexpired accounts	11	11	9

Employment Summary

Identification code 069-0142-0-1-407	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	33	37	39

ESSENTIAL AIR SERVICE AND RURAL AIRPORT IMPROVEMENT FUND

Program and Financing (in millions of dollars)

Identification code 069-5423-0-2-402	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Essential air service and rural airport improvement	118	239	153

Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	49	90
1021	Recoveries of prior year unpaid obligations	14	
1050	Unobligated balance (total)	63	90
Budget authority:			
Appropriations, mandatory:			
1203	Appropriation (previously unavailable)(special or trust)	9	9
1221	Appropriations transferred from other acct [069-5422]	146	149
1232	Appropriations and/or unobligated balance of appropriations temporarily reduced	-10	-9
1260	Appropriations, mandatory (total)	145	149
1900	Budget authority (total)	145	149
1930	Total budgetary resources available	208	239
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	90	
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	47	27
3010	New obligations, unexpired accounts	118	239
3020	Outlays (gross)	-124	-147
3040	Recoveries of prior year unpaid obligations, unexpired	-14	
3050	Unpaid obligations, end of year	27	119
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	47	27
3200	Obligated balance, end of year	27	119
Budget authority and outlays, net:			
Mandatory:			
4090	Budget authority, gross	145	149
Outlays, gross:			
4100	Outlays from new mandatory authority	42	89
4101	Outlays from mandatory balances	82	58
4110	Outlays, gross (total)	124	147
4180	Budget authority, net (total)	145	149
4190	Outlays, net (total)	124	147

The Federal Aviation Reauthorization Act of 1996 (P.L. 104-264) authorized the collection of user fees for services provided by the Federal Aviation Administration (FAA) to aircraft that neither take off nor land in the United States, commonly known as overflight fees. The Act permanently appropriated the first \$50 million of such fees for the Essential Air Service (EAS) program and rural airport improvements. In addition, the FAA Modernization and Reauthorization Act (P.L. 112-95) requires that, in any fiscal year, overflight fees collected in excess of \$50 million will be available to carry out the EAS program. The 2021 Budget proposes to reform the EAS program.

Object Classification (in millions of dollars)

Identification code 069-5423-0-2-402	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1	Personnel compensation: Full-time permanent	2	2
41.0	Grants, subsidies, and contributions	116	237
99.0	Direct obligations	118	239
99.9	Total new obligations, unexpired accounts	118	239

Employment Summary

Identification code 069-5423-0-2-402	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	13	14

WORKING CAPITAL FUND

For necessary expenses for operating costs and capital outlays of the Working Capital Fund, not to exceed \$319,793,000, shall be paid from appropriations made available to the Department of Transportation: *Provided*, That such services shall be provided on a competitive basis to entities within the Department of Transportation: *Provided further*, That the above limitation on operating expenses shall not apply to non-DOT entities: *Provided further*, That no funds appropriated in this Act to an agency of the Department shall be transferred to the Working Capital Fund

without majority approval of the Working Capital Fund Steering Committee and approval of the Secretary: *Provided further*, That no assessments may be levied against any program, budget activity, subactivity or project funded by this Act unless notice of such assessments and the basis therefor are presented to the House and Senate Committees on Appropriations and are approved by such Committees. **Any Working Capital Fund limitation shall not apply to the Department's Information Technology, Human Resources, or Acquisition shared services consolidation of functions.** (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-4520-0-4-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801	DOT service center activities	230	206
0802	Non-DOT service center activities	236	299
0803	WCF Shared Services		114
0900	Total new obligations, unexpired accounts	466	619
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	90	95
1021	Recoveries of prior year unpaid obligations	9	
1050	Unobligated balance (total)	99	95
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700	Collected	462	619
1930	Total budgetary resources available	561	714
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	95	95
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	71	104
3010	New obligations, unexpired accounts	466	619
3020	Outlays (gross)	-424	-643
3040	Recoveries of prior year unpaid obligations, unexpired	-9	
3050	Unpaid obligations, end of year	104	80
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-20	-20
3090	Uncollected pymts, Fed sources, end of year	-20	-20
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	51	84
3200	Obligated balance, end of year	84	60
Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	462	619
Outlays, gross:			
4010	Outlays from new discretionary authority	367	539
4011	Outlays from discretionary balances	57	104
4020	Outlays, gross (total)	424	643
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030	Federal sources	-459	-617
4033	Non-Federal sources	-3	-2
4040	Offsets against gross budget authority and outlays (total)	-462	-619
4080	Outlays, net (discretionary)	-38	24
4180	Budget authority, net (total)		
4190	Outlays, net (total)	-38	24

The Working Capital Fund finances common administrative services and other services that are centrally performed in the interest of economy and efficiency. The fund is financed through agreements with the Department of Transportation operating administrations and other customers. In 2021, the Working Capital Fund will likely obligate nearly \$703 million across the Department, including \$153 million to continue the Department's implementation of a shared services environment for human resources, procurement, and commodity information technology investments. The Department of Transportation's shared services initiative will improve mission delivery in acquisition, human resources, and information technology by consolidating separate, overlapping, and duplicative processes and functions. As a key part of this effort, the Department is currently embarking upon a more modern, centralized approach to Information Technology (IT) investment and management through the use of shared services. In 2021,

WORKING CAPITAL FUND—Continued

the Department will continue consolidating its acquisition, Human Resources (HR), and commodity IT services across Operating Administrations (OAs). Utilizing shared services will enable the Department to improve cybersecurity, increase efficiencies, and improve transparency in IT spending.

Object Classification (in millions of dollars)

Identification code 069-4520-0-4-407	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	26	40	41
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	27	41	42
12.1 Civilian personnel benefits	9	13	15
13.0 Benefits for former personnel	2	2	2
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	10	8	11
23.3 Communications, utilities, and miscellaneous charges	11	9	10
25.2 Other services from non-Federal sources	90	146	192
25.3 Other goods and services from Federal sources	39	44	46
25.7 Operation and maintenance of equipment	22	16	29
26.0 Supplies and materials	220	298	303
31.0 Equipment	21	41	52
44.0 Refunds	14		
99.9 Total new obligations, unexpired accounts	466	619	703

Employment Summary

Identification code 069-4520-0-4-407	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	232	291	291

MINORITY BUSINESS RESOURCE CENTER PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-0155-0-1-407	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	1		
1930 Total budgetary resources available	1		
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	1		
4180 Budget authority, net (total)	1		
4190 Outlays, net (total)			

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-0155-0-1-407	2019 actual	2020 est.	2021 est.
Administrative expense data:			
3510 Budget authority	1		

No funding is requested for this program in 2021.

RAILROAD REHABILITATION AND IMPROVEMENT FINANCING PROGRAM

The Secretary of Transportation is authorized to issue direct loans and loan guarantees pursuant to sections 501 through 504 of the Railroad Revitalization and Regulatory Reform Act of 1976 (Public Law 94-210), as amended, such authority shall exist as long as any such direct loan or loan guarantee is outstanding. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-0750-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0703 Subsidy for modifications of direct loans	17		
0705 Reestimates of direct loan subsidy	51	32	
0706 Interest on reestimates of direct loan subsidy	10	7	
0791 Direct program activities, subtotal	78	39	
0900 Total new obligations, unexpired accounts	78	39	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	26	26	26
1001 Discretionary unobligated balance brought fwd, Oct 1	26	26	
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	17		
Appropriations, mandatory:			
1200 Appropriation	61	39	
1900 Budget authority (total)	78	39	
1930 Total budgetary resources available	104	65	26
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	26	26	26
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	
3010 New obligations, unexpired accounts	78	39	
3020 Outlays (gross)	-78	-40	
3050 Unpaid obligations, end of year	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	
3200 Obligated balance, end of year	1		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	17		
Outlays, gross:			
4010 Outlays from new discretionary authority	17		
4011 Outlays from discretionary balances		1	
4020 Outlays, gross (total)	17	1	
Mandatory:			
4090 Budget authority, gross	61	39	
Outlays, gross:			
4100 Outlays from new mandatory authority	61	39	
4180 Budget authority, net (total)	78	39	
4190 Outlays, net (total)	78	40	
Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)			
Identification code 069-0750-0-1-401	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115001 Railroad Rehabilitation and Improvement Financing Direct Loans	914	600	600
Direct loan subsidy (in percent):			
132001 Railroad Rehabilitation and Improvement Financing Direct Loans	-1.04	0.00	0.00
132999 Weighted average subsidy rate	-1.04	0.00	0.00
Direct loan subsidy budget authority:			
133001 Railroad Rehabilitation and Improvement Financing Direct Loans	-10		
Direct loan subsidy outlays:			
134001 Railroad Rehabilitation and Improvement Financing Direct Loans	11		
Direct loan reestimates:			
135001 Railroad Rehabilitation and Improvement Financing Direct Loans	58	19	

The Transportation Equity Act of the 21st Century of 1998 established the Railroad Rehabilitation and Improvement Financing (RRIF) loan and loan guarantee program. The Safe, Accountable, Flexible, Efficient Transportation Equity Act of 2005: A Legacy for Users, changed the program to allow FRA to issue direct loan and loan guarantees up to \$35 billion, and it required that no less than \$7 billion be reserved for projects primarily benefiting freight railroads other than Class I carriers. The program was expanded by the Rail Safety Improvement Act of 2008 and again

by the Fixing America's Surface Transportation Act in 2015. Loans may be used to: 1) acquire, improve, or rehabilitate intermodal or rail equipment or facilities, including track, components of track, bridges, yards, buildings, or shops; 2) refinance debt; 3) develop and establish new intermodal or railroad facilities; 4) reimburse related planning and design expenses; and 5) to finance certain economic development related to passenger rail stations. For 2016, \$1.96 million was appropriated to assist Class II and Class III railroads in covering RRIF loan application expenses. In 2018, \$25 million was appropriated for credit risk premiums. No new funds are requested for this account in 2021. For the first time the Budget reflects the reassignment of this account from FRA to OST.

Object Classification (in millions of dollars)

Identification code 069-0750-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
33.0 Investments and loans	51	32
43.0 Interest and dividends	27	7
99.9 Total new obligations, unexpired accounts	78	39

MINORITY BUSINESS RESOURCE CENTER GUARANTEED LOAN FINANCING ACCOUNT

Status of Guaranteed Loans (in millions of dollars)

Identification code 069-4082-0-3-407	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111 Guaranteed loan commitments from current-year authority
2142 Uncommitted loan guarantee limitation
2150 Total guaranteed loan commitments
2199 Guaranteed amount of guaranteed loan commitments
Cumulative balance of guaranteed loans outstanding:			
2210 Outstanding, start of year	1	1
2231 Disbursements of new guaranteed loans
2251 Repayments and prepayments	-1
2290 Outstanding, end of year	1
Memorandum:			
2299 Guaranteed amount of guaranteed loans outstanding, end of year

RAILROAD REHABILITATION AND IMPROVEMENT DIRECT LOAN FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 069-4420-0-3-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0710 Direct loan obligations	914	600	600
0713 Payment of interest to Treasury	40	38	38
0715 Credit Risk Premium Repayment	3
0740 Negative subsidy obligations	9
0742 Downward reestimates paid to receipt accounts	2	16
0743 Interest on downward reestimates	1	4
0900 Total new obligations, unexpired accounts	969	658	638
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	54	114	133
Financing authority:			
Borrowing authority, mandatory:			
1400 Borrowing authority	916	600	600
Spending authority from offsetting collections, mandatory:			
1800 Offsetting collections (interest on uninvested funds)	15	3	3
1800 Offsetting collections (principal-borrowers)	12	60	60
1800 Offsetting collections (upward reestimate)	61	39
1800 Offsetting collections (interest-borrowers)	10	27	27
1800 Collected	41	10	10
1825 Spending authority from offsetting collections applied to repay debt	-26	-62	-62

1850	Spending auth from offsetting collections, mand (total)	113	77	38
1900	Budget authority (total)	1,029	677	638
1930	Total budgetary resources available	1,083	791	771
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	114	133	133

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	3,372	3,553	3,219
3010	New obligations, unexpired accounts	969	658	638
3020	Outlays (gross)	-788	-992	-1,027
3050	Unpaid obligations, end of year	3,553	3,219	2,830
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	3,372	3,553	3,219
3200	Obligated balance, end of year	3,553	3,219	2,830

Financing authority and disbursements, net:

Mandatory:				
4090	Budget authority, gross	1,029	677	638
Financing disbursements:				
4110	Outlays, gross (total)	788	992	1,027
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4120	Federal sources	-78	-39
4122	Interest on uninvested funds	-15	-3	-3
4123	Credit Risk Premium	-24	-10	-10
4123	Principal Repayment	-12	-60	-60
4123	Interest Repayment	-10	-27	-27
4130	Offsets against gross budget authority and outlays (total)	-139	-139	-100
4160	Budget authority, net (mandatory)	890	538	538
4170	Outlays, net (mandatory)	649	853	927
4180	Budget authority, net (total)	890	538	538
4190	Outlays, net (total)	649	853	927

Status of Direct Loans (in millions of dollars)

Identification code 069-4420-0-3-401	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on obligations:			
1111 Direct loan obligations from current-year authority	914	600	600
1150 Total direct loan obligations	914	600	600
Cumulative balance of direct loans outstanding:			
1210 Outstanding, start of year	507	1,231	1,768
1231 Disbursements: Direct loan disbursements	768	598	598
1251 Repayments: Repayments and prepayments	-43	-60	-60
1263 Write-offs for default: Direct loans	-1	-1	-1
1290 Outstanding, end of year	1,231	1,768	2,305

As required by the Federal Credit Reform Act of 1990, this non-budgetary financing account records all cash flows to and from the Government resulting from the Railroad Rehabilitation and Improvement Financing Program Account. The amounts in this account are a means of financing and are not included in the budget totals. For the first time, the Budget reflects the reassignment of this account from FRA to OST.

Balance Sheet (in millions of dollars)

Identification code 069-4420-0-3-401	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101 Fund balances with Treasury	54	114
Investments in U.S. securities:		
1106 Receivables, net	17	101
Net value of assets related to post-1991 direct loans receivable:		
1401 Direct loans receivable, gross	507	1,231
1405 Allowance for subsidy cost (-)	-99	-251
1499 Net present value of assets related to direct loans	408	980
1999 Total assets	479	1,195
LIABILITIES:		
Federal liabilities:		
2103 Debt	479	1,186
2105 Other	9
2999 Total liabilities	479	1,195
NET POSITION:		
3300 Cumulative results of operations

RAILROAD REHABILITATION AND IMPROVEMENT DIRECT LOAN FINANCING
ACCOUNT—Continued
Balance Sheet—Continued

Identification code 069-4420-0-3-401	2018 actual	2019 actual
4999 Total liabilities and net position	479	1,195

Trust Funds

TIFIA HIGHWAY TRUST FUND PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

Identification code 069-8634-0-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 TIFIA Revenue Fee	1	3	
0002 General Administration	3		
0091 Direct program activities, subtotal	4	3	
Credit program obligations:			
0701 Direct loan subsidy		272	277
0705 Reestimates of direct loan subsidy		24	
0706 Interest on reestimates of direct loan subsidy		57	
0709 Administrative expenses		7	7
0791 Direct program activities, subtotal		360	284
0900 Total new obligations, unexpired accounts	4	363	284

Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		4	1,873
1011 Unobligated balance transfer from other acct [069-8083]	2		
1013 Unobligated balance of contract authority transferred to or from other accounts [069-8083]		1,880	
1050 Unobligated balance (total)	2	1,884	1,873
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)			277
1121 Appropriations transferred from other acct [069-8083]	4	272	
1138 Appropriations applied to liquidate contract authority	-4	-272	-277
Appropriations, mandatory:			
1200 Appropriation		80	
Contract authority, mandatory:			
1600 Contract authority			272
1611 Contract authority transferred from other accounts [069-8083]	4	272	
1640 Contract authority, mandatory (total)	4	272	272
Spending authority from offsetting collections, discretionary:			
1711 Spending authority from offsetting collections transferred from other accounts [069-8083]	2		
1900 Budget authority (total)	6	352	272
1930 Total budgetary resources available	8	2,236	2,145
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	4	1,873	1,861

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		7	147
3010 New obligations, unexpired accounts	4	363	284
3020 Outlays (gross)	-3	-223	-244
3031 Unpaid obligations transferred from other accts [069-8083]	6		
3050 Unpaid obligations, end of year	7	147	187
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		7	147
3200 Obligated balance, end of year	7	147	187

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	2		
Outlays, gross:			
4010 Outlays from new discretionary authority	2	73	75
4011 Outlays from discretionary balances	1	150	169
4020 Outlays, gross (total)	3	223	244
Mandatory:			
4090 Budget authority, gross	4	352	272
4180 Budget authority, net (total)	6	352	272

4190 Outlays, net (total)	3	223	244
Memorandum (non-add) entries:			
5050 Unobligated balance, SOY: Contract authority			1,733
5051 Unobligated balance, EOY: Contract authority		1,733	1,688
5052 Obligated balance, SOY: Contract authority			147
5053 Obligated balance, EOY: Contract authority		147	187
5061 Limitation on obligations (Highway Trust Funds)		272	277

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	6	352	272
Outlays	3	223	244
Legislative proposal, not subject to PAYGO:			
Budget Authority			39
Outlays			9
Total:			
Budget Authority	6	352	311
Outlays	3	223	253

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-8634-0-7-401	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115002 TIFIA Direct Loans		9,577	28,557
Direct loan subsidy (in percent):			
132002 TIFIA Direct Loans		2.84	0.97
132999 Weighted average subsidy rate	0.00	2.84	0.97
Direct loan subsidy budget authority:			
133002 TIFIA Direct Loans		272	277
Direct loan subsidy outlays:			
134002 TIFIA Direct Loans		136	136
Direct loan reestimates:			
135002 TIFIA Direct Loans		-886	
Administrative expense data:			
3510 Budget authority		7	7
3590 Outlays from new authority		7	7

This is the program account for the Transportation Infrastructure Finance and Innovation Act (TIFIA) program which is supported by the Highway Trust Fund (HTF). The TIFIA program provides credit assistance for qualified projects of regional and national significance. As part of the Administration's 10-year surface transportation reauthorization proposal, the budget requests \$3.7 billion in contract authority for the TIFIA program over 10 years. Of this amount, \$311 million is requested for 2021, a 3.7 percent increase from 2020. This schedule reflects 2021 contract authority and obligation limitation equal to the baseline. Contract authority and obligation limitation for 2021 above the baseline amounts are reflected as a legislative proposal, in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal. The Budget for the first time reflects the reassignment of this account from FHWA to OST; however, most 2019 program and financial information for HTF-supported TIFIA loans is reflected in FHWA's Federal-aid Highways account.

Object Classification (in millions of dollars)

Identification code 069-8634-0-7-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	2	2
12.1 Civilian personnel benefits		1	1
25.1 Advisory and assistance services	2	3	
25.3 Other goods and services from Federal sources	1	4	4
33.0 Investments and loans		81	
41.0 Grants, subsidies, and contributions		272	277
99.9 Total new obligations, unexpired accounts	4	363	284

Employment Summary

Identification code 069-8634-0-7-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	5	18	18

TIFIA HIGHWAY TRUST FUND PROGRAM ACCOUNT

(Legislative proposal, not subject to PAYGO)

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION OF CONTRACT OBLIGATIONS)

(HIGHWAY TRUST FUND)

Contingent upon enactment of multi-year surface transportation reauthorization legislation, for the liquidation of obligations incurred in carrying out the Transportation Infrastructure Finance and Innovation Act program under 23 U.S.C. 601 et seq., \$311,000,000 derived from the Highway Trust Fund (other than the Mass Transit Account), to remain available until expended: Provided, That obligations incurred against the contract authority authorized from the Highway Trust Fund for fiscal year 2021 to carry out the Transportation Infrastructure Finance and Innovation Act program shall not exceed total obligations of \$311,000,000, and may be incurred until such amount is reached.

Program and Financing (in millions of dollars)

Identification code 069-8634-2-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0701 Direct loan subsidy			34
0791 Direct program activities, subtotal			34
0900 Total new obligations, unexpired accounts (object class 41.0)			34
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)			34
1138 Appropriations applied to liquidate contract authority			-34
Contract authority, mandatory:			
1600 Contract authority			39
1900 Budget authority (total)			39
1930 Total budgetary resources available			39
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			5
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			34
3020 Outlays (gross)			-9
3050 Unpaid obligations, end of year			25
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			25
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4010 Outlays from new discretionary authority			9
Mandatory:			
4090 Budget authority, gross			39
4180 Budget authority, net (total)			39
4190 Outlays, net (total)			9
Memorandum (non-add) entries:			
5061 Limitation on obligations (Highway Trust Funds)			34

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-8634-2-7-401	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115002 TIFIA Direct Loans			3,505
Direct loan subsidy (in percent):			
132002 TIFIA Direct Loans			0.97
Direct loan subsidy budget authority:			
133002 TIFIA Direct Loans			34

This schedule reflects the additional contract authority and obligation limitation amounts proposed above the baseline for the HTF-supported TIFIA program, which provides credit assistance for qualified projects of regional and national significance. These additional resources are requested as part of the Administrations 10-year surface transportation reauthorization proposal.

Employment Summary

Identification code 069-8634-2-7-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			5

PAYMENTS TO AIR CARRIERS

(AIRPORT AND AIRWAY TRUST FUND)

In addition to funds made available from any other source to carry out the essential air service program under 49 U.S.C. 41731 through 41742, \$162,000,000 \$141,724,000, to be derived from the Airport and Airway Trust Fund, to remain available until expended: Provided, That in determining between or among carriers competing to provide service to a community, the Secretary may consider the relative subsidy requirements of the carriers: Provided further, That basic essential air service minimum requirements shall not include the 15-passenger capacity requirement under section 41732(b)(3) of title 49, United States Code: Provided further, That none of the funds in this Act or any other Act shall be used to enter into a new contract with a community located less than 40 miles from the nearest small hub airport before the Secretary has negotiated with the community over a local cost share: Provided further, That amounts authorized to be distributed for the essential air service program under section 41742(b) of title 49, United States Code, shall be made available immediately from amounts otherwise provided to the Administrator of the Federal Aviation Administration: Provided further, That the Administrator may reimburse such amounts from fees credited to the account established under section 45303 of title 49, United States Code. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-8304-0-7-402	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payments to air carriers	218	163	142
0900 Total new obligations, unexpired accounts (object class 41.0)	218	163	142
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2	1	
1021 Recoveries of prior year unpaid obligations	42		
1050 Unobligated balance (total)	44	1	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	175	162	142
1930 Total budgetary resources available	219	163	142
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	54	33	65
3010 New obligations, unexpired accounts	218	163	142
3020 Outlays (gross)	-197	-131	-150
3040 Recoveries of prior year unpaid obligations, unexpired	-42		
3050 Unpaid obligations, end of year	33	65	57
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	54	33	65
3200 Obligated balance, end of year	33	65	57
Budget authority and outlays, net:			
Discretionary:			
Budget authority, gross			
4000 Budget authority, gross	175	162	142
Outlays, gross:			
4010 Outlays from new discretionary authority	141	97	85
4011 Outlays from discretionary balances	56	34	65
4020 Outlays, gross (total)	197	131	150
4180 Budget authority, net (total)	175	162	142
4190 Outlays, net (total)	197	131	150

Through 1997, the Essential Air Service (EAS) program was funded from the Airport and Airway Trust Fund. Starting in 1998, the Federal Aviation Administration reauthorization funded it as a mandatory program supported by overflight fees under the EAS and Rural Airport Improvement Fund. In addition to mandatory funding supported by overflight fees, direct ap-

PAYMENTS TO AIR CARRIERS—Continued

propriations from the Airport and Airway Trust Fund to Payments to Air Carriers have been enacted every year beginning in 2002 to meet the needs of the essential air service program. The 2021 Budget proposes to reform the EAS program to ensure Federal funds are efficiently targeted at the communities most in need. The reforms limit EAS eligibility to communities receiving subsidized service in 2020; increase the subsidy cap from \$200 to \$250 per passenger for communities located within 210 miles of a large or medium hub airport; eliminate the subsidy waiver for this requirement; and propose a 10 percent cost share for communities that do not meet certain eligibility requirements.

ADMINISTRATIVE PROVISIONS

SEC. 101. None of the funds made available in this Act to the Department of Transportation may be obligated for the Office of the Secretary of Transportation to approve assessments or reimbursable agreements pertaining to funds appropriated to the modal administrations in this Act, except for activities underway on the date of enactment of this Act, unless such assessments or agreements have completed the normal reprogramming process for Congressional notification.

SEC. 102. Notwithstanding section 3324 of title 31, United States Code, in addition to authority provided by section 327 of title 49, United States Code, the Department's Working Capital Fund is hereby authorized to provide payments in advance to vendors that are necessary to carry out the Federal transit pass transportation fringe benefit program under Executive Order 13150 and section 3049 of Public Law 109–59: *Provided*, That the Department shall include adequate safeguards in the contract with the vendors to ensure timely and high-quality performance under the contract.

SEC. 103. The Secretary shall post on the Web site of the Department of Transportation a schedule of all meetings of the Council on Credit and Finance, including the agenda for each meeting, and require the Council on Credit and Finance to record the decisions and actions of each meeting.

SEC. 104. In addition to authority provided by section 327 of title 49, United States Code, the Department's Working Capital Fund is hereby authorized to provide partial or full payments in advance and accept subsequent reimbursements from all Federal agencies from available funds for transit benefit distribution services that are necessary to carry out the Federal transit pass transportation fringe benefit program under Executive Order No. 13150 and section 3049 of Public Law 109–59: *Provided*, That the Department shall maintain a reasonable operating reserve in the Working Capital Fund, to be expended in advance to provide uninterrupted transit benefits to Government employees: *Provided further*, That such reserve will not exceed one month of benefits payable and may be used only for the purpose of providing for the continuation of transit benefits: *Provided further*, That the Working Capital Fund will be fully reimbursed by each customer agency from available funds for the actual cost of the transit benefit.

SEC. 104. No later than May 1, 2020, the Secretary shall announce the selection of all projects to receive awards for all competitive grants provided in Public Law 116–6 under the headings: "Federal Railroad Administration-Federal-State Partnership for State of Good Repair", "Federal Railroad Administration-Consolidated Rail Infrastructure and Safety Improvements", "Federal Railroad Administration-Restoration and Enhancement", "Federal Railroad Administration-Magnetic Levitation Technology Deployment Program", and "Maritime Administration-Port Infrastructure Development Program".

SEC. 105. (a) The Secretary shall establish a Highly Automated Systems Safety Center of Excellence within the Department of Transportation, in order to have a Department of Transportation workforce capable of reviewing, assessing, and validating the safety of automated technologies.

(b) The Highly Automated Systems Safety Center of Excellence shall—

(1) serve as a central location within the Department of Transportation for expertise in automation and human factors, computer science, data analytics, machine learning, sensors, and other technologies involving automated systems;

(2) collaborate with and provide support on highly automated systems to all Operating Administrations of the Department of Transportation; and

(3) have a workforce composed of Department of Transportation employees, including direct hires or detailees from Operating Administrations of the Department of Transportation and other Federal agencies.

(c) Employees of the Highly Automated Systems Safety Center of Excellence, in conjunction with the relevant Operating Administrations of the Department of Transportation, shall review, assess, and validate highly automated systems to ensure their safety.

(d) The Highly Automated Systems Safety Center of Excellence shall not supersede laws or regulations granting certification authorities to Operating Administrations of the Department of Transportation.

(e) No later than 90 days after the date of enactment of this Act, the Secretary shall report to the Committees on Appropriations of the House of Representatives and the Senate on staffing needs and the staffing plan for the Highly Automated Systems Safety Center of Excellence.】

SEC. 106. None of the funds made available by this Act shall be used to terminate the Intelligent Transportation System Program Advisory Committee established under section 5305(h) of SAFETEA-LU (23 U.S.C. 512 note; Public Law 109–59).】

【(INCLUDING TRANSFER OF FUNDS)】

SEC. 105. (a) Section 116(d)(1) of title 49, United States Code, is amended—

(1) by adding at the end of subsection (d)(1) the following new subparagraph: "(E) The Federal Ship Financing Program under chapter 537 of title 46."; and

(2) In subsection (j)(6), by striking "or multimodal project" and inserting "multimodal project, or ship or ship facility construction, reconstruction, or reconditioning project".

(b)

Section 117 of title 49, United States Code, is amended—

(1) In subsection (b)(1) by adding at the end the following new subparagraph: "(I) The Maritime Administrator."; and

(2) In subsection (C)(1) by striking "and (C)" and inserting "(C), and (E)".

SEC. 106. Chapter 537 of title 46, United States Code, is amended—

(1) by striking "or Administrator" each place it appears;

(2) by striking "or Administrator's" each place it appears;

(3) by striking "the Administrator" each place it appears and inserting "the Secretary";

(4) by striking "the Administrator's" each place it appears and inserting "the Secretary's";

(5) by striking "The Administrator" each place it appears and inserting "The Secretary";

(6) in section 53701—

(A) in paragraph (14) by striking "facilities." and inserting "facilities, and the Secretary of Transportation with respect to other vessels and general shipyard facilities as provided for in section 53733 of this title."; and

(B) by striking paragraph (2) and redesignating paragraphs (3) through (15) as paragraphs (2) through (14), respectively;

(7) in section 53708—

(A) in the heading for subsection (a) by striking "Administrator" and inserting "Secretary of Transportation"; and

(B) in the heading for subsection (b) by inserting "of Commerce" after "Secretary"; and

(8) in section 53717—

(A) in the heading for subsection (b) by striking "Administrator" and inserting "Secretary of Transportation"; and

(B) in the heading for subsection (c) by inserting "of Commerce" after "Secretary".

SEC. 107. RESTRICTIONS ON EXPENDITURE OF FUNDS FOR ESSENTIAL AIR SERVICE.

(1) Section 41731(a)(1)(D) of title 49, United States Code, is revised to read as follows:

"(D) is—

"(i) a community that, as of the date of enactment of fiscal year 2020 appropriations for the Department of Transportation, was receiving essential air service for which compensation was provided to an air carrier under this subchapter; or

"(ii) is a community that, prior to the date of enactment of fiscal year 2020 appropriations for the Department of Transportation and at any time during the period between September 30, 2010, and September 30, 2011, inclusive—

"(I) received essential air service for which compensation was provided to an air carrier under this subchapter; or

"(II) received a 90-day notice of intent to terminate essential air service and the Secretary required the air carrier to continue to provide such service to the community.".

(2) Section 41731(c) of title 49, United States Code, is amended by striking "Subparagraphs (B), (C), and (D)" and inserting "Subparagraphs (B) and (C)".

(3) Section 332 of the Department of Transportation and Related Agencies Appropriations Act, 2000 (49 U.S.C. 41731 note) is amended by striking "in excess of \$200" and inserting "in excess of \$250".

(4) Effective October 1, 2021, section 426 of the FAA Modernization and Reform Act of 2012 (Pub. L. 112–95) is amended by striking subsection (c) and redesignating subsection (d) as subsection (c).

(5) Section 41737 of title 49, United States Code, is amended by inserting the following at the end:

"(f) Notwithstanding any other provision of this subchapter, the Secretary may not provide compensation in fiscal year 2023 or thereafter to an air carrier for air service or air transportation under this subchapter at a community that meets each of the following criteria, unless that community has entered into a cost share agreement with the Department to pay at least ten percent of the total subsidy required in that fiscal year:

"(1) The community has been determined by the Secretary to be out of compliance with either the subsidy cap specified by section 332 of the Department of Transportation and Related Agencies Appropriations Act, 2000 (49 U.S.C. 41731 note) or section 41731(a)(1)(B) of this title, during the prior fiscal year.

"(2) The community received two or more waivers since October 1, 2013, under section 426(c) of Public Law 112–95 or section 41731(e) of this title."

(INCLUDING TRANSFER OF FUNDS)

SEC. 108. Notwithstanding section 405 or any other provision, up to 10 percent of funds appropriated to Salaries and Expenses may be transferred among offices under that account in the Office of the Secretary of Transportation if the Secretary of Transportation determines such action to be necessary.

SEC. 109. In addition to authority provided by section 327 of title 49, United States Code, the Department's Administrative Working Capital Fund is hereby authorized to transfer information technology equipment, software, and systems from Departmental sources or other entities to fund and collect and maintain a reserve at rates which will return full cost of transferred assets.

SEC. 110. Receipts collected in the Administrative Working Capital Fund (49 U.S.C. 327) for unused van pool benefits, in an amount not to exceed ten percent of fiscal year collections, shall be available in the Administrative Working Capital Fund to provide contractual services in support of section 189 of this Act: Provided, That such collections shall be available until expended and obligations shall not exceed \$1,000,000 in any fiscal year.

SEC. 111. Section 41731 of title 49 is amended—

(1) in subsection (a)(1)(A) by striking "; or" at the end of clause (i) and inserting a semicolon followed by "or" at the end of clause (ii), and by adding after clause (ii) the following new clause:

"(iii) is in the Commonwealth of the Northern Mariana Islands;"; and

(2) by adding at the end the following new subsection:

"(g) Exception for Locations in the Commonwealth of the Northern Mariana Islands.—Subsection (a)(1)(D) shall not apply with respect to locations in the Commonwealth of the Northern Mariana Islands."

(Department of Transportation Appropriations Act, 2020.)

【Provided further, That not to exceed 5 percent of any budget activity, except for aviation safety budget activity, may be transferred to any budget activity under this heading: *Provided further*, That no transfer may increase or decrease any appropriation by more than 5 percent: *Provided further*, That any transfer in excess of 5 percent shall be treated as a reprogramming of funds under section 405 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: *Provided further*,】 That not later than 60 days after the submission of the budget request, the Administrator of the Federal Aviation Administration shall transmit to Congress an annual update to the report submitted to Congress in December 2004 pursuant to section 221 of Public Law 108–176: 【*Provided further*, That the amount herein appropriated shall be reduced by \$100,000 for each day after the date that is 60 days after the submission of the budget request that such report has not been submitted to the Congress:】 *Provided further*, That not later than 60 days after the submission of the budget request, the Administrator shall transmit to Congress a companion report that describes a comprehensive strategy for staffing, hiring, and training flight standards and aircraft certification staff in a format similar to the one utilized for the controller staffing plan, including stated attrition estimates and numerical hiring goals by fiscal year: 【*Provided further*, That the amount herein appropriated shall be reduced by \$100,000 per day for each day after the date that is 60 days after the submission of the budget request that such report has not been submitted to Congress:】 *Provided further*, That funds may be used to enter into a grant agreement with a nonprofit standard-setting organization to assist in the development of aviation safety standards: *Provided further*, That none of the funds in this Act shall be available for new applicants for the second career training program: 【*Provided further*, That none of the funds in this Act shall be available for the Federal Aviation Administration to finalize or implement any regulation that would promulgate new aviation user fees not specifically authorized by law after the date of the enactment of this Act:】 *Provided further*, That there may be credited to this appropriation, as offsetting collections, funds received from States, counties, municipalities, foreign authorities, other public authorities, and private sources for expenses incurred in the provision of agency services, including receipts for the maintenance and operation of air navigation facilities, and for issuance, renewal or modification of certificates, including airman, aircraft, and repair station certificates, or for tests related thereto, or for processing major repair or alteration forms: 【*Provided further*, That of the funds appropriated under this heading, not less than \$170,000,000 shall be used to fund direct operations of the current air traffic control towers in the contract tower program, including the contract tower cost share program, and any airport that is currently qualified or that will qualify for the program during the fiscal year: *Provided further*, That none of the funds in this Act for aeronautical charting and cartography are available for activities conducted by, or coordinated through, the Working Capital Fund: *Provided further*, That none of the funds appropriated or otherwise made available by this Act or any other Act may be used to eliminate the Contract Weather Observers program at any airport】. (Department of Transportation Appropriations Act, 2020.)

FEDERAL AVIATION ADMINISTRATION

Federal Funds

OPERATIONS

(AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses of the Federal Aviation Administration, not otherwise provided for, including operations and research activities related to commercial space transportation, administrative expenses for research and development, establishment of air navigation facilities, the operation (including leasing) and maintenance of aircraft, subsidizing the cost of aeronautical charts and maps sold to the public, the lease or purchase of passenger motor vehicles for replacement only, 【\$10,630,000,000】\$11,001,500,000, to remain available until September 30, 【2021】2022, of which 【\$10,519,000,000】\$11,001,500,000 shall be derived from the Airport and Airway Trust Fund: *Provided*, 【That of the sums appropriated under this heading—】

【(1) not less than \$1,404,096,000 shall be available for aviation safety activities;】

【(2) \$7,970,734,000 shall be available for air traffic organization activities;】

【(3) \$26,040,000 shall be available for commercial space transportation activities;】

【(4) \$800,646,000 shall be available for finance and management activities;】

【(5) \$61,538,000 shall be available for NextGen and operations planning activities;】

【(6) \$118,642,000 shall be available for security and hazardous materials safety; and】

【(7) \$248,304,000 shall be available for staff offices:】

Program and Financing (in millions of dollars)

Identification code 069–1301–0–1–402	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Air Traffic Organization (ATO)	7,833	8,028	8,213
0002 NextGen	60	63	63
0003 Finance & Management	822	826	840
0004 Regulation and Certification	1,333	1,446	1,485
0005 Commercial space transportation	25	28	28
0006 Security & Hazardous Materials Safety	117	123	125
0007 Staff offices	214	254	265
0008 2017 Hurricanes / 2018 Supplemental	3		
0100 Direct Program Activities Subtotal	10,407	10,768	11,019
0799 Total direct obligations	10,407	10,768	11,019
0801 Operations (Reimbursable)	150	150	150
0900 Total new obligations, unexpired accounts	10,557	10,918	11,169
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	179	225	96
1021 Recoveries of prior year unpaid obligations	38		
1050 Unobligated balance (total)	217	225	96
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	577	111	
Spending authority from offsetting collections, discretionary:			
1700 Collected	9,408	11,296	11,161
1701 Change in uncollected payments, Federal sources	591	-618	

OPERATIONS—Continued
Program and Financing—Continued

Identification code 069-1301-0-1-402	2019 actual	2020 est.	2021 est.
1750 Spending auth from offsetting collections, disc (total)	9,999	10,678	11,161
1900 Budget authority (total)	10,576	10,789	11,161
1930 Total budgetary resources available	10,793	11,014	11,257
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-11		
1941 Unexpired unobligated balance, end of year	225	96	88
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,622	1,663	1,317
3001 Adjustments to unpaid obligations, brought forward, Oct 1	1		
3010 New obligations, unexpired accounts	10,557	10,918	11,169
3011 Obligations ("upward adjustments"), expired accounts	10		
3020 Outlays (gross)	-10,461	-11,264	-11,216
3040 Recoveries of prior year unpaid obligations, unexpired	-38		
3041 Recoveries of prior year unpaid obligations, expired	-28		
3050 Unpaid obligations, end of year	1,663	1,317	1,270
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-170	-732	-114
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1	-1		
3070 Change in uncollected pymts, Fed sources, unexpired	-591	618	
3071 Change in uncollected pymts, Fed sources, expired	30		
3090 Uncollected pymts, Fed sources, end of year	-732	-114	-114
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,452	931	1,203
3200 Obligated balance, end of year	931	1,203	1,156
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	10,576	10,789	11,161
Outlays, gross:			
4010 Outlays from new discretionary authority	8,997	9,514	9,841
4011 Outlays from discretionary balances	1,464	1,750	1,375
4020 Outlays, gross (total)	10,461	11,264	11,216
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-9,403	-11,271	-11,136
4033 Non-Federal sources	-42	-24	-24
4034 Offsetting governmental collections	-1	-1	-1
4040 Offsets against gross budget authority and outlays (total)	-9,446	-11,296	-11,161
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-591	618	
4052 Offsetting collections credited to expired accounts	38		
4060 Additional offsets against budget authority only (total)	-553	618	
4070 Budget authority, net (discretionary)	577	111	
4080 Outlays, net (discretionary)	1,015	-32	55
4180 Budget authority, net (total)	577	111	
4190 Outlays, net (total)	1,015	-32	55

The 2021 Budget requests \$11.002 billion for Federal Aviation Administration (FAA) operations. These funds will be used to continue to promote aviation safety and efficiency. The Budget provides funding for the Air Traffic Organization (ATO) which is responsible for managing the air traffic control system. As a performance-based organization, the ATO is designed to provide cost-effective, efficient, and, above all, safe air traffic services. The Budget also funds the Aviation Safety Organization which ensures the safe operation of the airlines and certifies new aviation products. In addition, the request also funds regulation of the commercial space transportation industry, as well as FAA policy oversight and overall management functions.

Object Classification (in millions of dollars)

Identification code 069-1301-0-1-402	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	4,666	4,828	4,913
11.3 Other than full-time permanent	31	32	33
11.5 Other personnel compensation	500	512	535

11.9 Total personnel compensation	5,197	5,372	5,481
12.1 Civilian personnel benefits	2,059	2,200	2,308
13.0 Benefits for former personnel	4	5	5
21.0 Travel and transportation of persons	154	147	148
22.0 Transportation of things	22	22	22
23.1 Rental payments to GSA	123	127	127
23.2 Rental payments to others	54	52	52
23.3 Communications, utilities, and miscellaneous charges	357	361	361
24.0 Printing and reproduction	3	3	3
25.1 Advisory and assistance services	665	668	700
25.2 Other services from non-Federal sources	1,548	1,589	1,589
26.0 Supplies and materials	132	131	131
31.0 Equipment	77	72	73
32.0 Land and structures	10	17	17
41.0 Grants, subsidies, and contributions	1	1	1
42.0 Insurance claims and indemnities	1	1	1
99.0 Direct obligations	10,407	10,768	11,019
99.0 Reimbursable obligations	150	150	150
99.9 Total new obligations, unexpired accounts	10,557	10,918	11,169

Employment Summary

Identification code 069-1301-0-1-402	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	39,046	40,140	40,220
2001 Reimbursable civilian full-time equivalent employment	178	178	178

PAYMENT TO GRANTS-IN-AID FOR AIRPORTS

Program and Financing (in millions of dollars)

Identification code 069-2813-0-1-402	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity	500	400	
0900 Total new obligations, unexpired accounts (object class 94.0)	500	400	
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	500	400	
1930 Total budgetary resources available	500	400	
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	500	400	
3020 Outlays (gross)	-500	-400	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	500	400	
Outlays, gross:			
4010 Outlays from new discretionary authority	500	400	
4180 Budget authority, net (total)	500	400	
4190 Outlays, net (total)	500	400	

The Consolidated Appropriations Act of 2019 provided \$500 million and the Further Consolidated Appropriations Act of 2020 provided \$400 million of supplemental funding for Grants-in-Aid for Airports. Funds are appropriated from the General Fund of the U.S. Treasury. The 2019 funds are available for obligation through September 30, 2021 and the 2020 funds are available through September 30, 2022. Discretionary grants are being awarded to qualified airports, with up to 0.5 percent of the funds provided applied to the administrative costs of awarding grants under the program.

AVIATION USER FEES

Special and Trust Fund Receipts (in millions of dollars)

Identification code 069-5422-0-2-402	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	12	13	13
Receipts:			
Current law:			
1110 Aviation User Fees, Overflight Fees	146	149	153

1130	Property Disposal or Lease Proceeds, Aviation User Fee	2		
1199	Total current law receipts	148	149	153
1999	Total receipts	148	149	153
2000	Total: Balances and receipts	160	162	166
Appropriations:				
Current law:				
2101	Aviation User Fees	-148	-149	-153
2103	Essential Air Service and Rural Airport Improvement Fund	-9	-9	
2132	Essential Air Service and Rural Airport Improvement Fund	10	9	
2199	Total current law appropriations	-147	-149	-153
2999	Total appropriations	-147	-149	-153
5099	Balance, end of year	13	13	13

Program and Financing (in millions of dollars)

Identification code 069-5422-0-2-402	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Land Proceeds	1		
0002	Settlement & Misc. Receipts	3		
0100	Direct program activities, subtotal	4		
0900	Total new obligations, unexpired accounts	4		
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	23	21	21
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	148	149	153
1220	Appropriations transferred to other accts [069-5423]	-146	-149	-153
1260	Appropriations, mandatory (total)	2		
1900	Budget authority (total)	2		
1930	Total budgetary resources available	25	21	21
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	21	21	21
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1	2	2
3010	New obligations, unexpired accounts	4		
3020	Outlays (gross)	-3		
3050	Unpaid obligations, end of year	2	2	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1	2	2
3200	Obligated balance, end of year	2	2	2
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	2		
Outlays, gross:				
4100	Outlays from new mandatory authority	2		
4101	Outlays from mandatory balances	1		
4110	Outlays, gross (total)	3		
4180	Budget authority, net (total)	2		
4190	Outlays, net (total)	3		

The Federal Aviation Reauthorization Act of 1996 (P.L. 104-264) authorized the collection of user fees for air traffic control and related services provided by the Federal Aviation Administration to aircraft that neither take off nor land in the United States, commonly known as overflight fees. The Budget estimates that \$153 million in overflight fees will be collected in 2021.

Object Classification (in millions of dollars)

Identification code 069-5422-0-2-402	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.2	Other services from non-Federal sources	1		
25.4	Operation and maintenance of facilities	3		
99.9	Total new obligations, unexpired accounts	4		

AVIATION INSURANCE REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 069-4120-0-3-402	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0801	Program Administration	1	1	1
0802	Insurance Claims		1	1
0900	Total new obligations, unexpired accounts	1	2	2
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	2,231	2,271	2,307
Budget authority:				
Spending authority from offsetting collections, mandatory:				
1800	Collected	41	38	39
1930	Total budgetary resources available	2,272	2,309	2,346
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2,271	2,307	2,344
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1	1	1
3010	New obligations, unexpired accounts	1	2	2
3020	Outlays (gross)	-1	-2	-2
3050	Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1	1	1
3200	Obligated balance, end of year	1	1	1
Budget authority and outlays, net:				
Mandatory:				
4090	Budget authority, gross	41	38	39
Outlays, gross:				
4100	Outlays from new mandatory authority	1	2	2
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources	-1	-2	-2
4121	Interest on Federal securities	-40	-36	-37
4130	Offsets against gross budget authority and outlays (total)	-41	-38	-39
4170	Outlays, net (mandatory)	-40	-36	-37
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-40	-36	-37
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	2,250	2,293	2,318
5001	Total investments, EOY: Federal securities: Par value	2,293	2,318	2,357

The fund provides direct support for the aviation insurance program (chapter 443 of title 49, U.S. Code). In December 2014, the Congress sunset part of the aviation insurance program. Specifically, the Congress returned U.S. air carriers to the commercial aviation market for all of their war risk insurance coverage by ending the FAA's authority to provide war risk insurance for a premium. Pursuant to 49 U.S.C. 44305, the FAA may provide insurance without premium at the request of the Secretary of Defense, or the head of a department, agency, or instrumentality designated by the President, when the Secretary of Defense, or the designated head, agrees to indemnify the Secretary of Transportation against all losses covered by the insurance. The "non-premium" aviation insurance program was authorized through September 30, 2023 in the National Defense Authorization Act for 2020.

Object Classification (in millions of dollars)

Identification code 069-4120-0-3-402	2019 actual	2020 est.	2021 est.	
Reimbursable obligations:				
25.2	Other services from non-Federal sources	1	1	1
42.0	Projected Insurance claims and indemnities		1	1
99.9	Total new obligations, unexpired accounts	1	2	2

AVIATION INSURANCE REVOLVING FUND—Continued

Employment Summary

Identification code 069-4120-0-3-402	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	2	4	4

ADMINISTRATIVE SERVICES FRANCHISE FUND

Program and Financing (in millions of dollars)

Identification code 069-4562-0-4-402	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Accounting Services	37	50	51
0804 Information Services	106	155	157
0806 Multi Media	13	3	3
0807 FLLI (formerly CMEL/Training)	11	8	9
0808 International Training	2	3	2
0810 Logistics	295	249	274
0811 Aircraft Maintenance	53	53	53
0812 Acquisition	4	4	5
0900 Total new obligations, unexpired accounts	521	525	554

Budgetary resources:
Unobligated balance:

1000 Unobligated balance brought forward, Oct 1	220	246	266
1021 Recoveries of prior year unpaid obligations	31	36	36
1050 Unobligated balance (total)	251	282	302
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	516	509	509
1930 Total budgetary resources available	767	791	811
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	246	266	257

Change in obligated balance:
Unpaid obligations:

3000 Unpaid obligations, brought forward, Oct 1	191	161	125
3010 New obligations, unexpired accounts	521	525	554
3020 Outlays (gross)	-520	-525	-529
3040 Recoveries of prior year unpaid obligations, unexpired	-31	-36	-36
3050 Unpaid obligations, end of year	161	125	114
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	191	161	125
3200 Obligated balance, end of year	161	125	114

Budget authority and outlays, net:
Discretionary:

4000 Budget authority, gross	516	509	509
Outlays, gross:			
4010 Outlays from new discretionary authority	393	346	346
4011 Outlays from discretionary balances	127	179	183
4020 Outlays, gross (total)	520	525	529
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-514	-507	-507
4033 Non-Federal sources	-2	-2	-2
4040 Offsets against gross budget authority and outlays (total)	-516	-509	-509
4080 Outlays, net (discretionary)	4	16	20
4180 Budget authority, net (total)			
4190 Outlays, net (total)	4	16	20

In 1997, the Federal Aviation Administration (FAA) established a franchise fund to finance operations where the costs for goods and services provided are charged to the users on a fee-for-service basis. The fund improves organizational efficiency and provides better support to FAA's internal and external customers. The activities included in this franchise fund are: training, accounting, travel, duplicating services, multi-media services, information technology, material management (logistics), and aircraft maintenance.

Object Classification (in millions of dollars)

Identification code 069-4562-0-4-402	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
11.1 Personnel compensation: Full-time permanent	126	135	140
12.1 Civilian personnel benefits	45	47	49
21.0 Travel and transportation of persons	5	6	5
22.0 Transportation of things	8	6	6
23.3 Communications, utilities, and miscellaneous charges	13	12	13
25.2 Other services from non-Federal sources	194	231	235
26.0 Supplies and materials	107	79	97
31.0 Equipment	7	7	5
42.0 Insurance claims and indemnities	16	2	4
99.9 Total new obligations, unexpired accounts	521	525	554

Employment Summary

Identification code 069-4562-0-4-402	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	1,463	1,607	1,559

Trust Funds

AIRPORT AND AIRWAY TRUST FUND

Program and Financing (in millions of dollars)

Identification code 069-8103-0-7-402	2019 actual	2020 est.	2021 est.
4180 Budget authority, net (total)			
4190 Outlays, net (total)			
Memorandum (non-add) entries:			
5000 Total investments, SOY: Federal securities: Par value	14,212	15,018	15,028
5001 Total investments, EOY: Federal securities: Par value	15,018	15,028	15,414

Section 9502 of Title 26, U.S. Code provides for amounts equivalent to the funds received in the Treasury for the passenger ticket tax, and certain other taxes paid by airport and airway users, to be transferred to the Airport and Airway Trust Fund. In turn, appropriations are authorized from this fund to meet obligations for airport improvement grants; Federal Aviation Administration facilities and equipment; research, operations, and payment to air carriers; and for the Bureau of Transportation Statistics Office of Airline Information.

Status of Funds (in millions of dollars)

Identification code 069-8103-0-7-402	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	16,982	17,916	17,668
0999 Total balance, start of year	16,982	17,916	17,668
Cash income during the year:			
Current law:			
Receipts:			
1110 Excise Taxes, Airport and Airway Trust Fund	15,976	17,040	17,987
1130 Grants-in-aid for Airports (Airport and Airway Trust Fund)	3	2	2
1130 Facilities and Equipment (Airport and Airway Trust Fund)	27	50	50
1150 Interest, Airport and Airway Trust Fund	343	426	375
1160 General Fund Payment, Grants-in-Aid for Airports	500	400	
1160 Facilities and Equipment (Airport and Airway Trust Fund)	49	39	39
1160 Facilities and Equipment (Airport and Airway Trust Fund)	25		
1160 Research, Engineering and Development (Airport and Airway Trust Fund)	11	9	9
1199 Income under present law	16,934	17,966	18,462
1999 Total cash income	16,934	17,966	18,462
Cash outgo during the year:			
Current law:			
2100 Payments to Air Carriers [Budget Acct]	-197	-131	-150
2100 Trust Fund Share of FAA Activities (Airport and Airway Trust Fund) [Budget Acct]	-9,250	-11,137	-11,002
2100 Grants-in-aid for Airports (Airport and Airway Trust Fund) [Budget Acct]	-3,467	-3,475	-3,557

2100	Facilities and Equipment (Airport and Airway Trust Fund) [Budget Acct]	-2,924	-3,295	-3,384
2100	Research, Engineering and Development (Airport and Airway Trust Fund) [Budget Acct]	-162	-176	-224
2199	Outgo under current law	-16,000	-18,214	-18,317
2999	Total cash outgo (-)	-16,000	-18,214	-18,317
Surplus or deficit:				
3110	Excluding interest	591	-674	-230
3120	Interest	343	426	375
3199	Subtotal, surplus or deficit	934	-248	145
3999	Total change in fund balance	934	-248	145
Unexpended balance, end of year:				
4100	Uninvested balance (net), end of year	2,898	2,640	2,399
4200	Airport and Airway Trust Fund	15,018	15,028	15,414
4999	Total balance, end of year	17,916	17,668	17,813

GRANTS-IN-AID FOR AIRPORTS

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

(AIRPORT AND AIRWAY TRUST FUND)

[(INCLUDING TRANSFER OF FUNDS)]

For liquidation of obligations incurred for grants-in-aid for airport planning and development, and noise compatibility planning and programs as authorized under subchapter I of chapter 471 and subchapter I of chapter 475 of title 49, United States Code, and under other law authorizing such obligations; for procurement, installation, and commissioning of runway incursion prevention devices and systems at airports of such title; for grants authorized under section 41743 of title 49, United States Code; and for inspection activities and administration of airport safety programs, including those related to airport operating certificates under section 44706 of title 49, United States Code, [\$3,000,000,000] \$3,350,000,000, to be derived from the Airport and Airway Trust Fund and to remain available until expended: *Provided*, That none of the funds under this heading shall be available for the planning or execution of programs the obligations for which are in excess of \$3,350,000,000 in fiscal year [2020] 2021, notwithstanding section 47117(g) of title 49, United States Code: *Provided further*, That none of the funds under this heading shall be available for the replacement of baggage conveyor systems, reconfiguration of terminal baggage areas, or other airport improvements that are necessary to install bulk explosive detection systems: [Provided further, That notwithstanding section 47109(a) of title 49, United States Code, the Government's share of allowable project costs under paragraph (2) for subgrants or paragraph (3) of that section shall be 95 percent for a project at other than a large or medium hub airport that is a successive phase of a multi-phased construction project for which the project sponsor received a grant in fiscal year 2011 for the construction project:] *Provided further*, That notwithstanding any other provision of law, of funds limited under this heading, not more than [\$116,500,000] \$119,402,000 shall be available for administration, not less than \$15,000,000 shall be available for the Airport Cooperative Research Program, and not less than [\$39,224,000] \$40,666,000 shall be available for Airport Technology Research, and \$10,000,000, to remain available until expended, shall be available and transferred to "Office of the Secretary, Salaries and Expenses" to carry out the Small Community Air Service Development Program: *Provided further*, That in addition to airports eligible under section 41743 of title 49, United States Code, such program may include the participation of an airport that serves a community or consortium that is not larger than a small hub airport, according to FAA hub classifications effective at the time the Office of the Secretary issues a request for proposals].

GRANTS-IN-AID FOR AIRPORTS

[For an additional amount for "Grants-In-Aid for Airports", to enable the Secretary of Transportation to make grants for projects as authorized by subchapter 1 of chapter 471 and subchapter 1 of chapter 475 of title 49, United States Code, \$400,000,000, to remain available through September 30, 2022: *Provided*, That amounts made available under this heading shall be derived from the general fund, and such funds shall not be subject to apportionment formulas, special apportionment categories, or minimum percentages under chapter 471: *Provided further*, That the Secretary shall distribute funds provided under this heading as discretionary grants to airports: *Provided further*, That the amount made available under this heading shall not be subject to any limitation on obligations for the Grants-in-Aid for Airports program set forth in any Act: *Provided further*, That the Administrator of the Federal Aviation

Administration may retain up to 0.5 percent of the funds provided under this heading to fund the award and oversight by the Administrator of grants made under this heading.] (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-8106-0-7-402	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Grants-in-aid for airports	3,298	3,169	3,175
0002	Personnel and related expenses	112	117	119
0003	Airport technology research	33	39	41
0005	Small community air service	10	10
0006	Airport Cooperative Research	15	15	15
0007	Grants - General Fund Appropriation	262	398
0008	Administrative Expenses - General Fund Appropriation	2
0100	Total direct program	3,730	3,750	3,350
0799	Total direct obligations	3,730	3,750	3,350
0801	Grants-in-aid for Airports (Airport and Airway Trust Fund) (Reimbursable)	1	2	2
0900	Total new obligations, unexpired accounts	3,731	3,752	3,352
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	814	1,055	1,055
1001	Discretionary unobligated balance brought fwd, Oct 1	795	1,032
1021	Recoveries of prior year unpaid obligations	119
1050	Unobligated balance (total)	933	1,055	1,055
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust)	3,500	3,400	3,350
1138	Appropriations applied to liquidate contract authority	-3,000	-3,000	-3,350
1160	Appropriation, discretionary (total)	500	400
Contract authority, mandatory:				
1600	Contract authority (Reauthorization)	3,350	3,350	3,350
Spending authority from offsetting collections, discretionary:				
1700	Collected	3	2	2
1900	Budget authority (total)	3,853	3,752	3,352
1930	Total budgetary resources available	4,786	4,807	4,407
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1,055	1,055	1,055
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	6,073	6,218	6,495
3010	New obligations, unexpired accounts	3,731	3,752	3,352
3020	Outlays (gross)	-3,467	-3,475	-3,557
3040	Recoveries of prior year unpaid obligations, unexpired	-119
3050	Unpaid obligations, end of year	6,218	6,495	6,290
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	6,073	6,218	6,495
3200	Obligated balance, end of year	6,218	6,495	6,290
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	503	402	2
Outlays, gross:				
4010	Outlays from new discretionary authority	264	499	456
4011	Outlays from discretionary balances	3,203	2,976	3,101
4020	Outlays, gross (total)	3,467	3,475	3,557
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-3	-2	-2
4040	Offsets against gross budget authority and outlays (total) ...	-3	-2	-2
Mandatory:				
4090	Budget authority, gross	3,350	3,350	3,350
4180	Budget authority, net (total)	3,850	3,750	3,350
4190	Outlays, net (total)	3,464	3,473	3,555
Memorandum (non-add) entries:				
5052	Obligated balance, SOY: Contract authority	3,464	3,814	4,164
5053	Obligated balance, EOY: Contract authority	3,814	4,164	4,164
5061	Limitation on obligations (Highway Trust Funds)	3,350	3,350	3,350

Subchapter I of chapter 471, title 49, U.S. Code provides for airport improvement grants, including those emphasizing capacity development, safety, and security needs; and chapter 475 of title 49 provides for grants for aircraft noise compatibility planning and programs.

GRANTS-IN-AID FOR AIRPORTS (AIRPORT AND AIRWAY TRUST FUND)—Continued

Object Classification (in millions of dollars)

Identification code 069-8106-0-7-402	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	68	79	81
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	70	81	83
12.1 Civilian personnel benefits	23	24	25
21.0 Travel and transportation of persons	4	3	3
23.2 Rental payments to others	1	1	1
25.1 Advisory and assistance services	27	33	33
25.2 Other services from non-Federal sources	2	2	2
25.3 Other goods and services from Federal sources	21	23	24
25.7 Operation and maintenance of equipment	9	9	9
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
32.0 Land and structures	3	1	1
41.0 Grants, subsidies, and contributions	3,558	3,561	3,167
94.0 Financial transfers	10	10
99.0 Direct obligations	3,730	3,750	3,350
99.0 Reimbursable obligations	1	2	2
99.9 Total new obligations, unexpired accounts	3,731	3,752	3,352

Employment Summary

Identification code 069-8106-0-7-402	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	556	600	607
2001 Reimbursable civilian full-time equivalent employment	3	1	1

FACILITIES AND EQUIPMENT

(AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses, not otherwise provided for, for acquisition, establishment, technical support services, improvement by contract or purchase, and hire of national airspace systems and experimental facilities and equipment, as authorized under part A of subtitle VII of title 49, United States Code, including initial acquisition of necessary sites by lease or grant; engineering and service testing, including construction of test facilities and acquisition of necessary sites by lease or grant; construction and furnishing of quarters and related accommodations for officers and employees of the Federal Aviation Administration stationed at remote localities where such accommodations are not available; and the purchase, lease, or transfer of aircraft from funds available under this heading, including aircraft for aviation regulation and certification; to be derived from the Airport and Airway Trust Fund, **[\$3,045,000,000] \$3,000,000,000**, of which **[\$515,000,000] \$550,000,000** shall remain available until September 30, **[2021, \$2,409,473,000] 2022, and \$2,450,000,000** shall remain available until September 30, **[2022, and \$120,527,000 shall remain available until expended] 2023: Provided**, That there may be credited to this appropriation funds received from States, counties, municipalities, other public authorities, and private sources, for expenses incurred in the establishment, improvement, and modernization of national airspace systems: *Provided further*, That not later than 60 days after submission of the budget request, the Secretary of Transportation shall transmit to the Congress an investment plan for the Federal Aviation Administration which includes funding for each budget line item for fiscal years **[2021 through 2025] 2022 through 2026**, with total funding for each year of the plan constrained to the funding targets for those years as estimated and approved by the Office of Management and Budget. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-8107-0-7-402	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Engineering, development, test and evaluation	163	241	204
0002 Procurement and modernization of air traffic control (ATC) facilities and equipment	1,859	2,278	1,934
0003 Procurement and modernization of non-ATC facilities and equipment	208	221	187
0004 Mission support	238	271	230
0005 Personnel and related expenses	503	540	550
0007 Spectrum Efficient National Surveillance Radar (SENSR)	10	20

0008 2017 Hurricanes / 2018 Supplemental	30	30	11
0100 Subtotal, direct program	3,011	3,601	3,116
0799 Total direct obligations	3,011	3,601	3,116
0801 Facilities and Equipment (Airport and Airway Trust Fund) (Reimbursable)	96	87	87
0900 Total new obligations, unexpired accounts	3,107	3,688	3,203

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,012	2,062	1,508
1001 Discretionary unobligated balance brought fwd, Oct 1	1,992
1020 Adjustment of unobligated bal brought forward, Oct 1	1
1021 Recoveries of prior year unpaid obligations	68
1050 Unobligated balance (total)	2,081	2,062	1,508
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	3,000	3,045	3,000
Spending authority from offsetting collections, discretionary:			
1700 Collected	58	89	89
1701 Change in uncollected payments, Federal sources	11
1750 Spending auth from offsetting collections, disc (total)	69	89	89
Spending authority from offsetting collections, mandatory:			
1800 Collected	25
1900 Budget authority (total)	3,094	3,134	3,089
1930 Total budgetary resources available	5,175	5,196	4,597
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-6
1941 Unexpired unobligated balance, end of year	2,062	1,508	1,394
Special and non-revolving trust funds:			
1950 Other balances withdrawn and returned to unappropriated receipts	23
1951 Unobligated balance expiring	6
1952 Expired unobligated balance, start of year	54	84	84
1953 Expired unobligated balance, end of year	78	84	84
1954 Unobligated balance canceling	23

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2,036	2,106	2,499
3001 Adjustments to unpaid obligations, brought forward, Oct 1	1
3010 New obligations, unexpired accounts	3,107	3,688	3,203
3011 Obligations ("upward adjustments"), expired accounts	3
3020 Outlays (gross)	-2,924	-3,295	-3,384
3040 Recoveries of prior year unpaid obligations, unexpired	-68
3041 Recoveries of prior year unpaid obligations, expired	-49
3050 Unpaid obligations, end of year	2,106	2,499	2,318
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-76	-71	-71
3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1	-2
3070 Change in uncollected pymts, Fed sources, unexpired	-11
3071 Change in uncollected pymts, Fed sources, expired	18
3090 Uncollected pymts, Fed sources, end of year	-71	-71	-71
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,959	2,035	2,428
3200 Obligated balance, end of year	2,035	2,428	2,247

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	3,069	3,134	3,089
Outlays, gross:			
4010 Outlays from new discretionary authority	885	1,352	1,357
4011 Outlays from discretionary balances	2,030	1,928	2,023
4020 Outlays, gross (total)	2,915	3,280	3,380
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-49	-39	-39
4033 Non-Federal sources	-27	-50	-50
4040 Offsets against gross budget authority and outlays (total)	-76	-89	-89
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-11
4052 Offsetting collections credited to expired accounts	18
4060 Additional offsets against budget authority only (total)	7
4070 Budget authority, net (discretionary)	3,000	3,045	3,000
4080 Outlays, net (discretionary)	2,839	3,191	3,291
Mandatory:			
4090 Budget authority, gross	25

Outlays, gross:				
4101	Outlays from mandatory balances	9	15	4
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4120	Federal sources (Spectrum 011-X-5512000)	-25		
4180	Budget authority, net (total)	3,000	3,045	3,000
4190	Outlays, net (total)	2,823	3,206	3,295
Memorandum (non-add) entries:				
5090	Unexpired unavailable balance, SOY: Offsetting collections	3	3	3
5092	Unexpired unavailable balance, EOY: Offsetting collections	3	3	3

Funding in this account provides for the deployment of communications, navigation, surveillance, and related capabilities within the National Air-space System (NAS). This includes funding for several activities of the Next Generation Air Transportation System, a joint effort between the Department of Transportation, the National Aeronautics and Space Administration, and the Departments of Defense, Homeland Security, and Commerce to improve the safety, capacity, security, and environmental performance of the NAS. The funding request supports the Federal Aviation Administration's comprehensive plan for modernizing, maintaining, and improving air traffic control and airway facilities services.

Object Classification (in millions of dollars)

Identification code 069-8107-0-7-402				
	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	323	355	364
11.3	Other than full-time permanent	2	1	1
11.5	Other personnel compensation	8	8	9
11.9	Total personnel compensation	333	364	374
12.1	Civilian personnel benefits	105	121	128
21.0	Travel and transportation of persons	45	37	31
22.0	Transportation of things	2	3	3
23.2	Rental payments to others	29	48	41
23.3	Communications, utilities, and miscellaneous charges	79	55	47
25.1	Advisory and assistance services	1,599	2,118	1,776
25.2	Other services from non-Federal sources	198	155	134
25.3	Other goods and services from Federal sources	19	52	44
25.4	Operation and maintenance of facilities	112	98	82
25.5	Research and development contracts		1	1
25.7	Operation and maintenance of equipment	71	77	65
25.8	Subsistence and support of persons		1	1
26.0	Supplies and materials	41	40	33
31.0	Equipment	206	246	205
32.0	Land and structures	169	181	148
41.0	Grants, subsidies, and contributions	1	4	3
43.0	Interest and dividends	2		
99.0	Direct obligations	3,011	3,601	3,116
99.0	Reimbursable obligations	96	87	87
99.9	Total new obligations, unexpired accounts	3,107	3,688	3,203

Employment Summary

Identification code 069-8107-0-7-402				
	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	2,586	2,628	2,660
2001	Reimbursable civilian full-time equivalent employment	50	50	50

RESEARCH, ENGINEERING, AND DEVELOPMENT

(AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses, not otherwise provided for, for research, engineering, and development, as authorized under part A of subtitle VII of title 49, United States Code, including construction of experimental facilities and acquisition of necessary sites by lease or grant, **[\$192,665,000]** **\$170,000,000**, to be derived from the Airport and Airway Trust Fund and to remain available until September 30, **[2022]** **2023**: *Provided*, That there may be credited to this appropriation as offsetting collections, funds received from States, counties, municipalities, other public authorities, and private sources, which shall be available for expenses incurred for research, engineering, and development: *Provided further*, That funds made available under this heading shall be used in accordance with the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act): *Provided*

further, That not to exceed 10 percent of any funding level specified under this heading in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act) may be transferred to any other funding level specified under this heading in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act): *Provided further*, That no transfer may increase or decrease any funding level by more than 10 percent: *Provided further*, That any transfer in excess of 10 percent shall be treated as a re-programming of funds under section 405 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section]. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-8108-0-7-402				
	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0011	Improve aviation safety	89	129	114
0012	Improve Efficiency	19		
0013	Reduce environmental impact of aviation	31	48	45
0014	Improve the efficiency of mission support	6	16	11
0100	Subtotal, direct program	145	193	170
0799	Total direct obligations	145	193	170
0801	Research, Engineering and Development (Airport and Airway Trust (Reimbursable))	11	9	9
0900	Total new obligations, unexpired accounts	156	202	179
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	120	166	166
1021	Recoveries of prior year unpaid obligations	2		
1050	Unobligated balance (total)	122	166	166
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust)	191	193	170
Spending authority from offsetting collections, discretionary:				
1700	Collected	10	9	9
1701	Change in uncollected payments, Federal sources	1		
1750	Spending auth from offsetting collections, disc (total)	11	9	9
1900	Budget authority (total)	202	202	179
1930	Total budgetary resources available	324	368	345
Memorandum (non-add) entries:				
1940	Unobligated balance expiring	-2		
1941	Unexpired unobligated balance, end of year	166	166	166
Special and non-revolving trust funds:				
Other balances withdrawn and returned to unappropriated receipts				
1951	Unobligated balance expiring	2		
1952	Expired unobligated balance, start of year	5	6	6
1953	Expired unobligated balance, end of year	4	6	6
1954	Unobligated balance canceling	2		
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	136	127	153
3010	New obligations, unexpired accounts	156	202	179
3020	Outlays (gross)	-162	-176	-224
3040	Recoveries of prior year unpaid obligations, unexpired	-2		
3041	Recoveries of prior year unpaid obligations, expired	-1		
3050	Unpaid obligations, end of year	127	153	108
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-3	-3	-3
3070	Change in uncollected pymts, Fed sources, unexpired	-1		
3071	Change in uncollected pymts, Fed sources, expired	1		
3090	Uncollected pymts, Fed sources, end of year	-3	-3	-3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	133	124	150
3200	Obligated balance, end of year	124	150	105
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	202	202	179
Outlays, gross:				
4010	Outlays from new discretionary authority	46	94	84
4011	Outlays from discretionary balances	116	82	140
4020	Outlays, gross (total)	162	176	224
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-11	-9	-9

RESEARCH, ENGINEERING, AND DEVELOPMENT—Continued
Program and Financing—Continued

Identification code 069-8108-0-7-402	2019 actual	2020 est.	2021 est.
4040 Offsets against gross budget authority and outlays (total) ...	-11	-9	-9
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-1		
4052 Offsetting collections credited to expired accounts	1		
4070 Budget authority, net (discretionary)	191	193	170
4080 Outlays, net (discretionary)	151	167	215
4180 Budget authority, net (total)	191	193	170
4190 Outlays, net (total)	151	167	215

This account provides funding to conduct research, engineering, and development to improve the national airspace system's capacity and safety, as well as the ability to meet environmental needs. The proposed funding is allocated to the following performance goal areas of the Federal Aviation Administration: improve aviation safety, efficiency, and environmental sustainability. The request includes funding for several research and development activities of the Next Generation Air Transportation System (NextGen), as well as activities related to unmanned aircraft systems.

Object Classification (in millions of dollars)

Identification code 069-8108-0-7-402	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	28	30	31
12.1 Civilian personnel benefits	9	9	10
21.0 Travel and transportation of persons	2	1	1
25.1 Advisory and assistance services	22	37	25
25.2 Other services from non-Federal sources	38	50	39
25.3 Other goods and services from Federal sources	8	9	7
25.5 Research and development contracts	13	20	20
25.7 Operation and maintenance of equipment	1	1	1
26.0 Supplies and materials	1	2	2
31.0 Equipment	1	2	2
41.0 Grants, subsidies, and contributions	22	32	32
99.0 Direct obligations	145	193	170
99.0 Reimbursable obligations	11	9	9
99.9 Total new obligations, unexpired accounts	156	202	179

Employment Summary

Identification code 069-8108-0-7-402	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	219	217	217

TRUST FUND SHARE OF FAA ACTIVITIES (AIRPORT AND AIRWAY TRUST FUND)

Program and Financing (in millions of dollars)

Identification code 069-8104-0-7-402	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Payment to Operations	9,833	10,519	11,002
0900 Total new obligations, unexpired accounts (object class 94.0)	9,833	10,519	11,002
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	9,833	10,519	11,002
1930 Total budgetary resources available	9,833	10,519	11,002
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	35	618	
3010 New obligations, unexpired accounts	9,833	10,519	11,002
3020 Outlays (gross)	-9,250	-11,137	-11,002
3050 Unpaid obligations, end of year	618		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	35	618	
3200 Obligated balance, end of year	618		

Budget authority and outlays, net:

4000 Discretionary:			
Budget authority, gross	9,833	10,519	11,002
Outlays, gross:			
4010 Outlays from new discretionary authority	9,250	10,519	11,002
4011 Outlays from discretionary balances		618	
4020 Outlays, gross (total)	9,250	11,137	11,002
4180 Budget authority, net (total)	9,833	10,519	11,002
4190 Outlays, net (total)	9,250	11,137	11,002

The 2021 Budget proposes \$11.002 billion for Federal Aviation Administration Operations, which would be provided entirely from the Airport and Airway Trust Fund.

ADMINISTRATIVE PROVISIONS—FEDERAL AVIATION ADMINISTRATION

(INCLUDING TRANSFER OF FUNDS)

SEC. 110. None of the funds in this Act may be used to compensate in excess of 600 technical staff-years under the federally funded research and development center contract between the Federal Aviation Administration and the Center for Advanced Aviation Systems Development during fiscal year 2020.

SEC. 111. None of the funds in this Act shall be used to pursue or adopt guidelines or regulations requiring airport sponsors to provide to the Federal Aviation Administration without cost building construction, maintenance, utilities and expenses, or space in airport sponsor-owned buildings for services relating to air traffic control, air navigation, or weather reporting: *Provided*, That the prohibition of funds in this section does not apply to negotiations between the agency and airport sponsors to achieve agreement on "below-market" rates for these items or to grant assurances that require airport sponsors to provide land without cost to the Federal Aviation Administration for air traffic control facilities.

SEC. 112. The Administrator of the Federal Aviation Administration may reimburse amounts made available to satisfy 49 U.S.C. 41742(a)(1) from fees credited under 49 U.S.C. 45303 and any amount remaining in such account at the close of that fiscal year may be made available to satisfy section 41742(a)(1) for the subsequent fiscal year.

SEC. 113. Amounts collected under section 40113(e) of title 49, United States Code, shall be credited to the appropriation current at the time of collection, to be merged with and available for the same purposes of such appropriation.

SEC. 114. None of the funds in this Act shall be available for paying premium pay under subsection 5546(a) of title 5, United States Code, to any Federal Aviation Administration employee unless such employee actually performed work during the time corresponding to such premium pay.

SEC. 115. None of the funds in this Act may be obligated or expended for an employee of the Federal Aviation Administration to purchase a store gift card or gift certificate through use of a Government-issued credit card.

SEC. 116. None of the funds in this Act may be obligated or expended for retention bonuses for an employee of the Federal Aviation Administration without the prior written approval of the Assistant Secretary for Administration of the Department of Transportation.

SEC. 117. Notwithstanding any other provision of law, none of the funds made available under this Act or any prior Act may be used to implement or to continue to implement any limitation on the ability of any owner or operator of a private aircraft to obtain, upon a request to the Administrator of the Federal Aviation Administration, a blocking of that owner's or operator's aircraft registration number from any display of the Federal Aviation Administration's Aircraft Situational Display to Industry data that is made available to the public, except data made available to a Government agency, for the noncommercial flights of that owner or operator.

SEC. 118. None of the funds in this Act shall be available for salaries and expenses of more than nine political and Presidential appointees in the Federal Aviation Administration.

SEC. 119. None of the funds made available under this Act may be used to increase fees pursuant to section 44721 of title 49, United States Code, until the Federal Aviation Administration provides to the House and Senate Committees on Appropriations a report that justifies all fees related to aeronautical navigation products and explains how such fees are consistent with Executive Order No. 13642.

SEC. 119A. None of the funds in this Act may be used to close a regional operations center of the Federal Aviation Administration or reduce its services unless the Administrator notifies the House and Senate Committees on Appropriations not less than 90 full business days in advance.

SEC. 119B. None of the funds appropriated or limited by this Act may be used to change weight restrictions or prior permission rules at Teterboro airport in Teterboro, New Jersey.

【Sec. 119C None of the funds provided under this Act may be used by the Administrator of the Federal Aviation Administration to withhold from consideration and approval any new application for participation in the Contract Tower Program, or for reevaluation of Cost-share Program participants as long as the Federal Aviation Administration has received an application from the airport, and as long as the Administrator determines such tower is eligible using the factors set forth in Federal Aviation Administration published establishment criteria.】

【Sec. 119D None of the funds made available by this Act may be used to open, close, redesignate as a lesser office, or reorganize a regional office, the aeronautical center, or technical center unless the Administrator submits a request for the reprogramming of funds under section 405 of this Act.】

Sec. 115. Notwithstanding any other transfer restriction under this Act, not to exceed 10 percent of any appropriation made available for the current fiscal year for the Federal Aviation Administration by this Act or provided by previous appropriations Acts may be transferred between such appropriations for the Federal Aviation Administration, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfer: Provided, That funds transferred under this section shall be treated as a reprogramming of funds under section 404 of this Act and shall not be available for obligation unless the Committees on Appropriations of the Senate and the House of Representatives are notified 15 days in advance of such transfer: Provided further, That any transfer from an amount made available for obligation as discretionary grants-in-aid for airports pursuant to section 47117(f) of title 49, United States Code, shall be deemed as obligated for grants-in-aid for airports under part B of subtitle VII of title 49, United States Code, for the purposes of complying with the limitation on incurring obligations in this appropriations Act or any other appropriations Act under the heading "Grants-in-Aid for Airports." (Department of Transportation Appropriations Act, 2020.)

FEDERAL HIGHWAY ADMINISTRATION

The 2021 Budget requests \$50,721 million for the Federal Highway Administration (FHWA) to provide the needed funding to: improve the safety, condition, and performance of our national transportation infrastructure; promote innovation to address current and emerging transportation issues; and accelerate project delivery while also protecting the environment.

The table below summarizes the budget authority requested for FHWA programs.

	[In millions of dollars]		
	2019 actual	2020 Enacted	2021 Request
Budget Authority:			
Federal-aid highways contract authority (HTF)	46,008	47,104	50,721
Federal-aid subject to limitation	45,269	46,365	49,982
Federal-aid highways exempt from the limitation	739	739	739
Highway Infrastructure Programs (GF)	3,250	2,166	0
Emergency Relief (GF)	1,650	0	0
Miscellaneous trust funds (TF)	42	42	0
Miscellaneous appropriations (TIFIA upward reestimate GF)	135	80	0
Miscellaneous Appropriations Rescission	0	-20	0
Cancellations of Appropriated Budget Authority (GF)	0	0	-137
Total Budget Authority	51,085	49,372	50,584
Total Mandatory	46,185	47,226	50,721
Obligation Authority:			
Federal-aid highways (HTF)	46,008	47,104	50,721

Federal Funds

MISCELLANEOUS APPROPRIATIONS

Program and Financing (in millions of dollars)

Identification code 069-9911-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0004 Miscellaneous Appropriations	14	11	7
0083 69-X-0505 TIFIA	135	80	
0900 Total new obligations, unexpired accounts (object class 41.0)	149	91	7

Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	126	120	89
1021	Recoveries of prior year unpaid obligations	8		
1050	Unobligated balance (total)	134	120	89
Budget authority:				
Appropriations, discretionary:				
1131	Unobligated balance of appropriations permanently reduced		-20	-82
Appropriations, mandatory:				
1200	Appropriation	135	80	
1900	Budget authority (total)	135	60	-82
1930	Total budgetary resources available	269	180	7
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	120	89	

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	37	30	24
3010	New obligations, unexpired accounts	149	91	7
3020	Outlays (gross)	-148	-97	-13
3040	Recoveries of prior year unpaid obligations, unexpired	-8		
3050	Unpaid obligations, end of year	30	24	18
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	37	30	24
3200	Obligated balance, end of year	30	24	18

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross		-20	-82
Outlays, gross:				
4011	Outlays from discretionary balances	13	17	13
Mandatory:				
4090	Budget authority, gross	135	80	
Outlays, gross:				
4100	Outlays from new mandatory authority	135	80	
4180	Budget authority, net (total)	135	60	-82
4190	Outlays, net (total)	148	97	13

This consolidated schedule shows obligations and outlays of amounts appropriated from the General Fund for miscellaneous programs. The schedule reflects a Transportation Infrastructure Finance and Innovation Act (TIFIA) program upward re-estimate, and interest on the re-estimate of \$135 million for 2019 and \$80 million for 2020. The Moving Ahead for Progress in the 21st Century Act (MAP-21), enacted July 6, 2012, and the Fixing America's Surface Transportation (FAST) Act included the TIFIA program upward subsidy re-estimate with this account. The schedule also reflects a \$19.9 million rescission enacted in the Department of Transportation Appropriations Act, 2020 (Public Law 116-94). No further discretionary appropriations are requested for 2021. A cancellation of \$81.66 million of unobligated balances is proposed in 2021.

EMERGENCY RELIEF PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-0500-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Emergency Relief Program (Direct)	834	752	627
0900 Total new obligations, unexpired accounts (object class 41.0)	834	752	627

Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1,580	2,507	1,755
1021	Recoveries of prior year unpaid obligations	111		
1050	Unobligated balance (total)	1,691	2,507	1,755
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	1,650		
1930	Total budgetary resources available	3,341	2,507	1,755
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2,507	1,755	1,128

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	966	922	915

EMERGENCY RELIEF PROGRAM—Continued
Program and Financing—Continued

Identification code 069-0500-0-1-401		2019 actual	2020 est.	2021 est.
3010	New obligations, unexpired accounts	834	752	627
3020	Outlays (gross)	-767	-759	-703
3040	Recoveries of prior year unpaid obligations, unexpired	-111		
3050	Unpaid obligations, end of year	922	915	839
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	966	922	915
3200	Obligated balance, end of year	922	915	839
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1,650		
Outlays, gross:				
4011	Outlays from discretionary balances	767	759	703
4180	Budget authority, net (total)	1,650		
4190	Outlays, net (total)	767	759	703

This account includes General Fund discretionary resources appropriated as needed for FHWA's Emergency Relief program, as authorized under 23 U.S.C. 125. In 2012, \$1,662 million was enacted to remain available until expended; in 2013, \$2,022 million was enacted to remain available until expended; in 2017, \$1,532 million was enacted to remain available until expended; in 2018, \$1,374 million was enacted to remain available until expended; and in 2019, \$1,650 million was enacted to remain available until expended, all for necessary expenses for repairing or reconstructing highways seriously damaged as a result of major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.). These appropriations have been provided to supplement the \$100 million authorized annually under 23 U.S.C. 125 for the Emergency Relief program and included in the Federal-Aid Highways account.

No further appropriations are requested for this account in 2021.

APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM

Program and Financing (in millions of dollars)

Identification code 069-0640-0-1-401		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0001	Appalachian Development Highway System	28		
0900	Total new obligations, unexpired accounts (object class 41.0)	28		
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	40	12	12
Budget authority:				
Appropriations, discretionary:				
1131	Unobligated balance of appropriations permanently reduced			-12
1930	Total budgetary resources available	40	12	
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	12	12	
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	11	33	16
3010	New obligations, unexpired accounts	28		
3020	Outlays (gross)	-6	-17	-10
3050	Unpaid obligations, end of year	33	16	6
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	11	33	16
3200	Obligated balance, end of year	33	16	6
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross			-12
Outlays, gross:				
4011	Outlays from discretionary balances	6	17	10
4180	Budget authority, net (total)			-12
4190	Outlays, net (total)	6	17	10

Funding for this program is used for the necessary expenses relating to construction of, and improvements to, corridors of the Appalachian Development Highway System.

No funding is requested for 2021. A cancellation of \$11.96 million of unobligated balances is proposed in 2021.

STATE INFRASTRUCTURE BANKS

Program and Financing (in millions of dollars)

Identification code 069-0549-0-1-401		2019 actual	2020 est.	2021 est.
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	1	1	1
1930	Total budgetary resources available	1	1	1
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	1	1	1
4180	Budget authority, net (total)			
4190	Outlays, net (total)			

In 1997, FHWA received an appropriation from the General Fund for the State Infrastructure Banks (SIBs) program.

All of the funds have been provided to the States to capitalize the infrastructure banks. Because the funding was provided as grants, and not loans, FHWA will not receive reimbursements of amounts expended for the SIBs program. No new budgetary resources are requested in 2021.

HIGHWAY INFRASTRUCTURE INVESTMENT, RECOVERY ACT

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-0504-0-1-401		2019 actual	2020 est.	2021 est.
Direct loan reestimates:				
135001	TIFIA TIGER Direct Loans (ARRA)	-9	-3	

The American Recovery and Reinvestment Act of 2009 (Recovery Act) provided \$27.5 billion from the General Fund to the Federal Highway Administration for highway infrastructure investment. As of September 30, 2015, States have expended 100% of Recovery Act obligations. As of September 30, 2015, Recovery Act funds are cancelled and are no longer available for expenditure. No new budget authority is requested in 2021. The schedule reflects a TIFIA program downward re-estimate and interest on the re-estimate of \$9 million for 2019 and \$3 million for 2020.

TIGER TIFIA DIRECT LOAN FINANCING ACCOUNT, RECOVERY ACT

Program and Financing (in millions of dollars)

Identification code 069-4347-0-3-401		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
Credit program obligations:				
0713	Payment of interest to Treasury	2	2	2
0742	Downward reestimates paid to receipt accounts	1	2	
0743	Interest on downward reestimates	8	1	
0900	Total new obligations, unexpired accounts	11	5	2
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	8		
1023	Unobligated balances applied to repay debt	-8		
Financing authority:				
Borrowing authority, mandatory:				
1400	Borrowing authority	9	3	
Spending authority from offsetting collections, mandatory:				
1800	Collected	2	2	2
1900	Budget authority (total)	11	5	2
1930	Total budgetary resources available	11	5	2

Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	11	5	2
3020	Outlays (gross)	-11	-5	-2
Financing authority and disbursements, net:				
Mandatory:				
4090	Budget authority, gross	11	5	2
Financing disbursements:				
4110	Outlays, gross (total)	11	5	2
Offsets against gross financing authority and disbursements:				
Offsetting collections (collected) from:				
4123	Non-Federal sources - Interest payments	-2	-2	-2
4180	Budget authority, net (total)	9	3	
4190	Outlays, net (total)	9	3	

Status of Direct Loans (in millions of dollars)

Identification code 069-4347-0-3-401	2019 actual	2020 est.	2021 est.	
Cumulative balance of direct loans outstanding:				
1210	Outstanding, start of year	59	60	61
1261	Adjustments: Capitalized interest	1	1	
1290	Outstanding, end of year	60	61	61

Balance Sheet (in millions of dollars)

Identification code 069-4347-0-3-401	2018 actual	2019 actual	
ASSETS:			
Federal assets:			
1101	Fund balances with Treasury	8	
Investments in U.S. securities:			
1106	Receivables, net		
Net value of assets related to post-1991 direct loans receivable:			
1401	Direct loans receivable, gross	59	60
1405	Allowance for subsidy cost (-)	-1	1
1499	Net present value of assets related to direct loans	58	61
1999	Total assets	66	61
LIABILITIES:			
Federal liabilities:			
2103	Debt	57	58
2105	Other	9	3
2999	Total liabilities	66	61
NET POSITION:			
3100	Unexpended appropriations		
3300	Cumulative results of operations		
3999	Total net position		
4999	Total liabilities and net position	66	61

HIGHWAY INFRASTRUCTURE PROGRAMS

【There is hereby appropriated to the Secretary of Transportation \$2,166,140,392: *Provided*, That the funds made available under this heading shall be derived from the general fund, shall be in addition to any funds provided for fiscal year 2020 in this or any other Act for: (1) "Federal-aid Highways" under chapter 1 of title 23, United States Code; or (2) the Appalachian Development Highway System as authorized under section 1069(y) of Public Law 102-240, and shall not affect the distribution or amount of funds provided in any other Act: *Provided further*, That section 1101(b) of Public Law 114-94 shall apply to funds made available under this heading: *Provided further*, That of the funds made available under this heading—

【(1) \$781,140,392 shall be for activities eligible under sections 133(b)(1) and 133(b)(4) of title 23, United States Code, and to provide necessary charging infrastructure along corridor-ready or corridor-pending alternative fuel corridors designated pursuant to section 151 of title 23, United States Code;】

【(2) \$1,150,000,000 shall be for a bridge replacement and rehabilitation program;】

【(3) \$100,000,000 shall be for necessary expenses for construction of the Appalachian Development Highway System as authorized under section 1069(y) of Public Law 102-240;】

【(4) \$3,500,000 shall be for activities eligible under the Puerto Rico Highway Program as described in section 165(b)(2)(C) of title 23, United States Code;】

【(5) \$1,500,000 shall be for activities eligible under the Territorial Highway Program, as described in section 165(c)(6) of title 23, United States Code;】

【(6) \$70,000,000 shall be for the nationally significant Federal lands and tribal projects program under section 1123 of the FAST Act;】

【(7) \$50,000,000 shall be for competitive grants for activities described in section 130(a) of title 23, United States Code;】

【(8) \$5,000,000 shall be for the Regional Infrastructure Accelerator Demonstration Program authorized under section 1441 of the FAST Act; and】

【(9) \$5,000,000 shall be for a National Road Network Pilot Program for the Federal Highway Administration to create a national level, geo-spatial dataset that uses data already collected under the Highway Performance Monitoring System;】

【*Provided further*, That for the purposes of funds made available under this heading for activities eligible under sections 133(b)(1) and 133(b)(4) of title 23, United States Code, and to provide necessary charging infrastructure along corridor-ready or corridor-pending alternative fuel corridors designated pursuant to section 151 of title 23, United States Code, shall be suballocated in the manner described in section 133(d) of such title, except that the set-aside described in section 133(h) of such title shall not apply to funds made available under this heading: *Provided further*, That the funds made available under this heading for activities eligible under sections 133(b)(1) and 133(b)(4) of title 23, United States Code, and to provide necessary charging infrastructure along corridor-ready or corridor-pending alternative fuel corridors designated pursuant to section 151 of title 23, United States Code, shall be administered as if apportioned under chapter 1 of such title and shall remain available through September 30, 2023: *Provided further*, That the funds made available under this heading for activities eligible under sections 133(b)(1) and 133(b)(4) of title 23, United States Code, and to provide necessary charging infrastructure along corridor-ready or corridor-pending alternative fuel corridors designated pursuant to section 151 of title 23, United States Code, shall be apportioned to the States in the same ratio as the obligation limitation for fiscal year 2020 is distributed among the States in section 120(a)(5) of this Act: *Provided further*, That, except as provided in the following proviso, the funds made available under this heading for activities eligible under the Puerto Rico Highway Program and activities eligible under the Territorial Highway Program shall be administered as if allocated under sections 165(b) and 165(c), respectively, of title 23, United States Code, and shall remain available through September 30, 2023: *Provided further*, That the funds made available under this heading for activities eligible under the Puerto Rico Highway Program shall not be subject to the requirements of sections 165(b)(2)(A) or 165(b)(2)(B) of such title: *Provided further*, That the funds made available under this heading for the nationally significant Federal lands and tribal projects program under section 1123 of the FAST Act shall remain available through September 30, 2023: *Provided further*, That for the purposes of funds made available under this heading for a bridge replacement and rehabilitation program, (1) the term "State" means any of the 50 States or the District of Columbia, and (2) the term "qualifying State" means any State in which the percentage of total deck area of bridges classified as in poor condition in such State is at least 5 percent: *Provided further*, That, of the funds made available under this heading for a bridge replacement and rehabilitation program, the Secretary shall reserve \$6,000,000 for each State that does not meet the definition of a qualifying State: *Provided further*, That, after making the reservations under the preceding proviso, the Secretary shall distribute the remaining funds made available under this heading for a bridge replacement and rehabilitation program to each qualifying State by the proportion that the percentage of total deck area of bridges classified as in poor condition in such qualifying State bears to the sum of the percentages of total deck area of bridges classified as in poor condition in all qualifying States: *Provided further*, That for the bridge replacement and rehabilitation program:】

【(1) no qualifying State shall receive more than \$50,000,000;】

【(2) each State shall receive an amount not less than \$6,000,000; and】

【(3) after calculating the distribution of funds pursuant to the preceding proviso, any amount in excess of \$50,000,000 shall be redistributed equally among each State that does not meet the definition of a qualifying State:】

【*Provided further*, That the funds made available under this heading for a bridge replacement and rehabilitation program shall be used for highway bridge replacement or rehabilitation projects on public roads: *Provided further*, That for purposes of this heading for the bridge replacement and rehabilitation program, the Secretary shall calculate the percentages of total deck area of bridges (including the percentages of total deck area classified as in poor condition) based on the National Bridge Inventory as of December 31, 2018: *Provided further*, That the funds made available under this heading for a bridge replacement and rehabilitation program shall be administered as if apportioned under chapter 1 of title 23, United States Code, and

HIGHWAY INFRASTRUCTURE PROGRAMS—Continued

shall remain available through September 30, 2023: *Provided further*, That the funds made available under this heading, in paragraph (7) in the third proviso, shall be available for projects eligible under section 130(a) of title 23, United States Code, for commuter authorities, as defined in section 24102(2) of title 49, United States Code, that experienced at least one accident investigated by the National Transportation Safety Board between January 1, 2008 and December 31, 2018 and for which the National Transportation Safety Board issued an accident report: *Provided further*, That the funds made available under this heading, in paragraph (7) of the third proviso, shall be administered as if apportioned under chapter 1 of title 23, United States Code: *Provided further*, That for the purposes of funds made available under this heading for construction of the Appalachian Development Highway System, the term "Appalachian State" means a State that contains 1 or more counties (including any political subdivision located within the area) in the Appalachian region as defined in section 14102(a) of title 40, United States Code: *Provided further*, That funds made available under this heading for construction of the Appalachian Development Highway System shall remain available until expended: *Provided further*, That a project carried out with funds made available under this heading for construction of the Appalachian Development Highway System shall be carried out in the same manner as a project under section 14501 of title 40, United States Code: *Provided further*, That subject to the following proviso, funds made available under this heading for construction of the Appalachian Development Highway System shall be apportioned to Appalachian States according to the percentages derived from the 2012 Appalachian Development Highway System Cost-to-Complete Estimate, adopted in Appalachian Regional Commission Resolution Number 736, and confirmed as each Appalachian State's relative share of the estimated remaining need to complete the Appalachian Development Highway System, adjusted to exclude those corridors that such States have no current plans to complete, as reported in the 2013 Appalachian Development Highway System Completion Report: *Provided further*, That the Secretary shall adjust apportionments made under the preceding proviso so that no Appalachian State shall be apportioned an amount in excess of 30 percent of the amount made available for construction of the Appalachian Development Highway System under this heading: *Provided further*, That the Secretary shall consult with the Appalachian Regional Commission in making adjustments under the preceding two provisos: *Provided further*, That the Federal share of the costs for which an expenditure is made for construction of the Appalachian Development Highway System under this heading shall be up to 100 percent: *Provided further*, That amounts provided under this heading in paragraphs (7), (8), and (9) shall remain available until expended. **I** (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-0548-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Highway infrastructure programs	1,370	2,623	1,745
0900 Total new obligations, unexpired accounts (object class 41.0)	1,370	2,623	1,745
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	2,060	3,945	3,488
1021 Recoveries of prior year unpaid obligations	5		
1050 Unobligated balance (total)	2,065	3,945	3,488
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	3,250	2,166	
1930 Total budgetary resources available	5,315	6,111	3,488
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3,945	3,488	1,743
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	306	1,103	2,943
3010 New obligations, unexpired accounts	1,370	2,623	1,745
3020 Outlays (gross)	-568	-783	-1,302
3040 Recoveries of prior year unpaid obligations, unexpired	-5		
3050 Unpaid obligations, end of year	1,103	2,943	3,386
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	306	1,103	2,943
3200 Obligated balance, end of year	1,103	2,943	3,386
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3,250	2,166	

Outlays, gross:			
4010 Outlays from new discretionary authority	222	195	
4011 Outlays from discretionary balances	346	588	1,302
4020 Outlays, gross (total)	568	783	1,302
4180 Budget authority, net (total)	3,250	2,166	
4190 Outlays, net (total)	568	783	1,302

In 2010, the Congress appropriated \$650 million for the restoration, repair, and construction of highway infrastructure, and other activities eligible under paragraph (b) of section 133 of title 23, United States Code. The Congress appropriated from the General Fund \$2,525 million, \$3,250 million, and \$2,166 million in FY 2018, FY 2019, and FY 2020, respectively, for Highway Infrastructure Programs. No appropriations are requested for this account in 2021; however, the Administration is requesting significant additional resources from the Highway Trust Fund for highway infrastructure in FHWA's Federal-aid Highways account as part of its 10-year surface transportation reauthorization proposal.

Trust Funds

RIGHT-OF-WAY REVOLVING FUND LIQUIDATING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 069-8402-0-8-401	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	4	
3020 Outlays (gross)		-4	
3050 Unpaid obligations, end of year	4		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	4	
3200 Obligated balance, end of year	4		
Budget authority and outlays, net:			
Mandatory:			
Outlays, gross:			
4101 Outlays from mandatory balances		4	
4180 Budget authority, net (total)			
4190 Outlays, net (total)		4	

The Federal-Aid Highway Act of 1968 authorized the establishment of a right-of-way revolving fund. This fund was used to make cash advances to States for the purpose of purchasing right-of-way parcels in advance of highway construction and thereby preventing the inflation of land prices from significantly increasing construction costs. The purchase of right-of-way is an eligible expense of the Federal-Aid Highway program.

This program was terminated by the Transportation Equity Act for the 21st Century of 1998, but will continue to be shown for reporting purposes as loan balances remain outstanding. No new budgetary resources are requested in 2021.

HIGHWAY TRUST FUND

Program and Financing (in millions of dollars)

Identification code 069-8102-0-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 CMA interest	1		
0900 Total new obligations, unexpired accounts (object class 43.0)	1		
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	1		
1930 Total budgetary resources available	1		
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	1		

3020	Outlays (gross)	-1		
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1		
Outlays, gross:				
4011	Outlays from discretionary balances	1		
4180	Budget authority, net (total)	1		
4190	Outlays, net (total)	1		
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	41,212	28,192	14,000
5001	Total investments, EOY: Federal securities: Par value	28,192	14,000	1,050

The Highway Revenue Act of 1956, as amended, provides for the transfer from the General Fund to the Highway Trust Fund of revenue from the motor fuel tax, and certain other taxes paid by highway users. The Secretary of the Treasury estimates the amounts to be transferred. In turn, appropriations are authorized from this fund to meet expenditures for Federal-aid highways and other programs as specified by law. Per the Cash Management Improvement Act of 1990, this account reflects the net of State interest liability and adjusted Federal interest liability payments to or from States.

The following is the status of Highway Trust Fund.

Cash balances.—The Status of Funds table begins with the unexpended balance on a "cash basis" at the start of the year. The table shows the amount of cash invested in Federal securities at par value and the amount of cash on hand (i.e., uninvested balance). Next, the table provides the amounts of cash income and cash outlays during each year to show the cash balance at the end of each year.

Revenues.—The Budget presentation includes estimated receipts from existing Highway Trust Fund excise taxes, which would continue to be deposited into the Highway and Mass Transit Accounts of the Highway Trust Fund in the same manner as current law.

Status of Funds (in millions of dollars)

Identification code 069-8102-0-7-401	2019 actual	2020 est.	2021 est.
Unexpended balance, start of year:			
0100 Balance, start of year	44,507	32,906	18,100
0999 Total balance, start of year	44,507	32,906	18,100
Cash income during the year:			
Current law:			
Receipts:			
1110 Highway Trust Fund, Deposits (Highway Account)	38,754	37,232	37,545
1110 Highway Trust Fund, Deposits (Mass Transit Account)	5,357	5,122	5,124
1120 Motor Carrier Safety Operations and Programs	28	40	40
1130 Federal-aid Highways	100		
1130 Highway Traffic Safety Grants	1		
1150 CMIA Interest, Highway Trust Fund (highway Account)	2		
1150 Earnings on Investments, Highway Trust Fund	848	350	131
1160 Federal-aid Highways	131	380	380
1160 Operations and Research (Highway Trust Fund)	1	5	5
1199 Income under present law	45,222	43,129	43,225
1999 Total cash income	45,222	43,129	43,225
Cash outgo during year:			
Current law:			
2100 TIFIA Highway Trust Fund Program Account [Budget Acct]	-3	-223	-244
2100 Federal-aid Highways [Budget Acct]	-44,398	-45,389	-45,873
2100 Highway Trust Fund [Budget Acct]	-1		
2100 Right-of-way Revolving Fund Liquidating Account [Budget Acct]		-4	
2100 Miscellaneous Highway Trust Funds [Budget Acct]	-9	-12	-6
2100 National Motor Carrier Safety Program [Budget Acct]	-1		
2100 Motor Carrier Safety Grants [Budget Acct]	-317	-420	-377
2100 Motor Carrier Safety Operations and Programs [Budget Acct]	-295	-335	-345
2100 Operations and Research (Highway Trust Fund) [Budget Acct]	-145	-157	-159
2100 Highway Traffic Safety Grants [Budget Acct]	-703	-771	-751
2100 Discretionary Grants (Highway Trust Fund, Mass Transit Account) [Budget Acct]	-3		
2100 Transit Formula Grants [Budget Acct]	-10,512	-10,624	-11,231
2199 Outgo under current law	-56,387	-57,935	-58,986
Proposed:			
2200 TIFIA Highway Trust Fund Program Account			-9
2200 Federal-aid Highways			-836
2200 Motor Carrier Safety Grants			-1

2200	Motor Carrier Safety Operations and Programs			-1
2200	Operations and Research (Highway Trust Fund)			-1
2200	Highway Traffic Safety Grants			17
2200	Transit Formula Grants			-114
2299	Outgo under proposed legislation			-945
2999	Total cash outgo (-)	-56,387	-57,935	-59,931
Surplus or deficit:				
3110	Excluding interest	-12,015	-15,156	-16,837
3120	Interest	850	350	131
3199	Subtotal, surplus or deficit	-11,165	-14,806	-16,706
3220	Miscellaneous Highway Trust Funds			-44
3230	TIFIA Highway Trust Fund Program Account	2		
3230	TIFIA Highway Trust Fund Program Account	4	272	
3230	TIFIA Highway Trust Fund Program Account	2		
3230	TIFIA Highway Trust Fund Program Account	6		
3230	Federal-aid Highways	-105	-101	
3230	Federal-aid Highways		30	
3230	Federal-aid Highways	-1,400	-1,300	-1,300
3230	Federal-aid Highways	69		
3230	Federal-aid Highways	-2		
3230	Federal-aid Highways	-4	-272	
3230	Federal-aid Highways	-2		
3230	Federal-aid Highways	-6		
3230	Motor Carrier Safety Grants		-30	
3230	Motor Carrier Safety Grants		-15	
3230	Highway Traffic Safety Grants	105	101	
3230	Transit Formula Grants	-69		
3230	Transit Formula Grants	1,400	1,300	1,300
3230	Transit Formula Grants		15	
3298	Reconciliation adjustment	-436		
3299	Total adjustments	-436		-44
3999	Total change in fund balance	-11,601	-14,806	-16,750
Unexpended balance, end of year:				
4100	Uninvested balance (net), end of year	4,714	4,100	300
4200	Highway Trust Fund	28,192	14,000	1,050
4999	Total balance, end of year	32,906	18,100	1,350

FEDERAL-AID HIGHWAYS

Program and Financing (in millions of dollars)

Identification code 069-8083-0-7-401	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0010	Surface transportation block grant program	12,405	13,404	
0014	National highway performance program	21,092	22,790	
0015	Congestion mitigation and air quality improvement program	1,299	1,404	
0016	Highway safety improvement program	2,062	2,228	
0017	Metropolitan planning program	345	373	
0019	National highway freight program	1,377	1,488	
0020	Nationally significant freight and highway projects	991	1,135	
0024	Federal lands and tribal programs	614	628	
0029	Research, technology and education program	264	279	
0032	Administration - LAE	439	455	
0033	Administration - ARC	2	2	
0058	Other programs	2,836	1,418	
0059	Surface transportation reauthorization activities			46,327
0091	Programs subject to obligation limitation	43,726	45,604	46,327
0211	Exempt Programs	1,045	830	739
0500	Total direct program	44,771	46,434	47,066
Credit program obligations:				
0701	Direct loan subsidy	38		
0709	Administrative expenses	3		
0791	Direct program activities, subtotal	41		
0799	Total direct obligations	44,812	46,434	47,066
0801	Federal-aid Highways (Reimbursable)	144	380	380
0900	Total new obligations, unexpired accounts	44,956	46,814	47,446
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	22,825	22,293	19,396
1001	Discretionary unobligated balance brought fwd, Oct 1	487	553	
1010	Unobligated balance transfer to other accts [069-8634]	-2		
1013	Unobligated balance of contract authority transferred to or from other accounts [069-8350]	-562		
1013	Unobligated balance of contract authority transferred to or from other accounts [069-8158]		30	

FEDERAL-AID HIGHWAYS—Continued
Program and Financing—Continued

Identification code 069-8083-0-7-401	2019 actual	2020 est.	2021 est.
1013 Unobligated balance of contract authority transferred to or from other accounts [069-8634]		-1,880	
1050 Unobligated balance (total)	22,261	20,443	19,396
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	46,008	47,104	47,104
1120 Appropriations transferred to other accts [069-8350]	-1,400	-1,300	-1,300
1120 Appropriations transferred to other accts [069-8020]	-105	-101	
1120 Appropriations transferred to other acct [069-8634]	-4	-272	
1121 Appropriations transferred from other acct [069-8350]	69		
1121 Appropriations transferred from other acct [069-8158]		30	
1138 Appropriations applied to liquidate contract authority	-44,568	-45,461	-45,804
Contract authority, mandatory:			
1600 Contract authority	46,008	47,104	47,104
1610 Transferred to other accounts [069-8350]	-1,124	-1,300	-1,300
1610 Transferred to other accounts [069-8020]	-105	-101	
1610 Contract authority transferred to other accounts [069-8634]	-4	-272	
1611 Transferred from other accounts [069-8350]	49		
1621 Contract authority temporarily reduced	-46	-44	
1640 Contract authority, mandatory (total)	44,778	45,387	45,804
Spending authority from offsetting collections, discretionary:			
1700 Collected	231	380	380
1701 Change in uncollected payments, Federal sources	-19		
1710 Spending authority from offsetting collections transferred to other accounts [069-8634]	-2		
1750 Spending auth from offsetting collections, disc (total)	210	380	380
1900 Budget authority (total)	44,988	45,767	46,184
1930 Total budgetary resources available	67,249	66,210	65,580
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	22,293	19,396	18,134
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	62,807	63,359	64,784
3010 New obligations, unexpired accounts	44,956	46,814	47,446
3020 Outlays (gross)	-44,398	-45,389	-45,873
3030 Unpaid obligations transferred to other accts [069-8634]	-6		
3050 Unpaid obligations, end of year	63,359	64,784	66,357
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-631	-612	-612
3070 Change in uncollected pymts, Fed sources, unexpired	19		
3090 Uncollected pymts, Fed sources, end of year	-612	-612	-612
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	62,176	62,747	64,172
3200 Obligated balance, end of year	62,747	64,172	65,745
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	210	380	380
Outlays, gross:			
4010 Outlays from new discretionary authority	11,741	12,447	12,688
4011 Outlays from discretionary balances	31,743	32,082	32,376
4020 Outlays, gross (total)	43,484	44,529	45,064
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-131	-380	-380
4033 Non-Federal sources	-100		
4040 Offsets against gross budget authority and outlays (total)	-231	-380	-380
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	19		
4070 Budget authority, net (discretionary)	-2		
4080 Outlays, net (discretionary)	43,253	44,149	44,684
Mandatory:			
4090 Budget authority, gross	44,778	45,387	45,804
Outlays, gross:			
4100 Outlays from new mandatory authority	190	187	200
4101 Outlays from mandatory balances	724	673	609
4110 Outlays, gross (total)	914	860	809
4180 Budget authority, net (total)	44,776	45,387	45,804
4190 Outlays, net (total)	44,167	45,009	45,493
Memorandum (non-add) entries:			
5052 Obligated balance, SOY: Contract authority	56,749	56,397	54,473
5053 Obligated balance, EOY: Contract authority	56,397	54,473	54,473

5061 Limitation on obligations (Highway Trust Funds)	44,085	44,692	45,586
5099 Unexpired unavailable balance, SOY: Contract authority	257	303	347
5100 Unexpired unavailable balance, EOY: Contract authority	303	347	347

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	44,776	45,387	45,804
Outlays	44,167	45,009	45,493
Legislative proposal, not subject to PAYGO:			
Budget Authority			3,617
Outlays			836
Total:			
Budget Authority	44,776	45,387	49,421
Outlays	44,167	45,009	46,329

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-8083-0-7-401	2019 actual	2020 est.	2021 est.
Direct loan levels supportable by subsidy budget authority:			
115002 Direct loan levels	1,535		
115999 Total direct loan levels	1,535		
Direct loan subsidy (in percent):			
132002 Subsidy rate	2.48		
132999 Weighted average subsidy rate	2.48		
Direct loan subsidy budget authority:			
133002 Subsidy budget authority	38		
133999 Total subsidy budget authority	38		
Direct loan subsidy outlays:			
134002 Net subsidy outlays	202		
134999 Total subsidy outlays	202		
Direct loan reestimates:			
135002 Net reestimate	-302		
135999 Total direct loan reestimates	-302		
Administrative expense data:			
3510 Budget authority	3		
3590 Outlays from new authority	3		

The Federal-aid Highways (FAH) program is designed to aid in the development, operations, and management of an intermodal transportation system that is economically efficient, environmentally sound, provides the foundation for the Nation to compete in the global economy, and moves people and goods safely. All programs included within the FAH program are proposed to be financed from the Highway Account of the Highway Trust Fund, and most are distributed via apportionments and allocations to States. Liquidating cash appropriations are subsequently requested to fund outlays resulting from obligations incurred under contract authority.

As part of the Administration's 10-year surface transportation reauthorization proposal, the Budget requests \$602 billion in contract authority for the FAH program over 10 years. Of this amount, \$50.7 billion is requested for 2021, an 8 percent increase from 2020. This schedule reflects 2021 contract authority and obligation limitation equal to the baseline. Contract authority and obligation limitation for 2021 above baseline amounts are reflected as a legislative proposal, in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-8083-0-7-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	271	277	278
11.3 Other than full-time permanent	3	3	3
11.5 Other personnel compensation	29	29	29
11.9 Total personnel compensation	303	309	310
12.1 Civilian personnel benefits	101	102	102
21.0 Travel and transportation of persons	21	21	21
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	28	28	29
23.2 Rental payments to others	1	1	1

23.3	Communications, utilities, and miscellaneous charges	3	3	3
24.0	Printing and reproduction	1	1	1
25.1	Advisory and assistance services	71	71	71
25.2	Other services from non-Federal sources	500	500	500
25.3	Other goods and services from Federal sources	221	221	221
25.4	Operation and maintenance of facilities	39	39	39
25.7	Operation and maintenance of equipment	58	58	58
26.0	Supplies and materials	4	4	4
31.0	Equipment	7	7	7
32.0	Land and structures	21	21	21
33.0	Investments and loans	75	75	75
41.0	Grants, subsidies, and contributions	43,357	45,047	45,677
99.0	Direct obligations	44,812	46,434	47,066
99.0	Reimbursable obligations	144	380	380
99.9	Total new obligations, unexpired accounts	44,956	46,814	47,446

Employment Summary

Identification code 069-8083-0-7-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	2,566	2,610	2,608
2001 Reimbursable civilian full-time equivalent employment	48	48	48
3001 Allocation account civilian full-time equivalent employment	3	3	3

FEDERAL-AID HIGHWAYS
(Legislative proposal, not subject to PAYGO)
LIMITATION ON ADMINISTRATIVE EXPENSES
(HIGHWAY TRUST FUND)
(INCLUDING TRANSFER OF FUNDS)

[Not] Contingent upon enactment of multi-year surface transportation authorization legislation, not to exceed **[\$453,549,689]** \$478,897,049, together with advances and reimbursements received by the Federal Highway Administration, shall be obligated for necessary expenses for administration and operation of the Federal Highway Administration. In addition, \$3,248,000 shall be or transferred to the Appalachian Regional Commission in accordance with section 104(a) of title 23, United States Code for administrative activities associated with the Appalachian Development Highway System.

(LIMITATION ON OBLIGATIONS)
(HIGHWAY TRUST FUND)

[Funds] Contingent upon enactment of multi-year surface transportation authorization legislation, funds available for the implementation or execution of Federal-aid highway and highway safety construction programs authorized under titles 23 and 49, United States Code, and the provisions of the Fixing America's Surface Transportation (FAST) Act (Public Law 114-94) applicable surface transportation authorization act shall not exceed total obligations of **[\$46,365,092,000]** \$49,982,000,000 for fiscal year **[2020: Provided,** That the Secretary may collect and spend fees, as authorized by title 23, United States Code, to cover the costs of services of expert firms, including counsel, in the field of municipal and project finance to assist in the underwriting and servicing of Federal credit instruments and all or a portion of the costs to the Federal Government of servicing such credit instruments: *Provided further,* That such fees are available until expended to pay for such costs: *Provided further,* That such amounts are in addition to administrative expenses that are also available for such purpose, and are not subject to any obligation limitation or the limitation on administrative expenses under section 608 of title 23, United States Code **]** 2021.

(LIQUIDATION OF CONTRACT AUTHORIZATION)
(HIGHWAY TRUST FUND)

[For] Contingent upon enactment of multi-year surface transportation authorization legislation, for the payment of obligations incurred in carrying out Federal-aid highway and highway safety construction programs authorized under title 23, United States Code, **[\$47,104,092,000]** \$50,721,000,000 derived from the Highway Trust Fund (other than the Mass Transit Account), to remain available until expended. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-8083-2-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0059 Surface transportation reauthorization activities			3,096
0091 Programs subject to obligation limitation			3,096

0500	Total direct program			3,096
0900	Total new obligations, unexpired accounts (object class 41.0)			3,096

Budgetary resources:

Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust)			3,617
1138	Appropriations applied to liquidate contract authority			-3,617
Contract authority, mandatory:				
1600	Contract authority			3,617
1900	Budget authority (total)			3,617
1930	Total budgetary resources available			3,617
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year			521

Change in obligated balance:

Unpaid obligations:				
3010	New obligations, unexpired accounts			3,096
3020	Outlays (gross)			-836
3050	Unpaid obligations, end of year			2,260
Memorandum (non-add) entries:				
3200	Obligated balance, end of year			2,260

Budget authority and outlays, net:

Discretionary:				
Outlays, gross:				
4010	Outlays from new discretionary authority			836
Mandatory:				
4090	Budget authority, gross			3,617
4180	Budget authority, net (total)			3,617
4190	Outlays, net (total)			836

Memorandum (non-add) entries:

5061	Limitation on obligations (Highway Trust Funds)			3,096
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This schedule reflects the additional contract authority and obligation limitation amounts proposed above the baseline for the Federal-aid Highways program, which aids in the development of a safe, efficient, effective, and environmentally sound highway system. These additional resources are requested as part of the Administration's 10-year surface transportation reauthorization proposal.

MISCELLANEOUS TRUST FUNDS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 069-9971-0-7-999	2019 actual	2020 est.	2021 est.	
0100	Balance, start of year			
Receipts:				
Current law:				
1130	Advances from State Cooperating Agencies and Foreign Governments, FHA Miscellaneous Trust	42	42	42
2000	Total: Balances and receipts	42	42	42
Appropriations:				
Current law:				
2101	Miscellaneous Trust Funds	-42	-42	-42
5099	Balance, end of year			

Program and Financing (in millions of dollars)

Identification code 069-9971-0-7-999	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Advances from State cooperating agencies 69-X-8054	27	35	46
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	111	128	135
1021	Recoveries of prior year unpaid obligations	2		
1050	Unobligated balance (total)	113	128	135
Budget authority:				
Appropriations, mandatory:				
1201	Appropriation (special or trust fund)	42	42	42
1930	Total budgetary resources available	155	170	177

MISCELLANEOUS TRUST FUNDS—Continued
Program and Financing—Continued

Table with 4 columns: Identification code 069-9971-0-7-999, 2019 actual, 2020 est., 2021 est. Rows include Memorandum (non-add) entries, Change in obligated balance, and Budget authority and outlays, net.

Table with 4 columns: Identification code, 2019 actual, 2020 est., 2021 est. Rows include Recoveries of prior year unpaid obligations, Unobligated balance (total), Budget authority, and Change in obligated balance.

The Miscellaneous Trust Funds account reflects work performed by the Federal Highway Administration (FHWA) on behalf of other entities.

Advances from State cooperating agencies and foreign governments.—Contributions are received from other entities in connection with cooperative engineering, survey, maintenance, and construction projects.

Contributions for highway research programs.—Contributions are received from various sources in support of FHWA transportation research programs. The funds are used primarily in support of pooled-funds projects.

The Budget estimates that \$42 million of new authority will be available from non-FHWA sources in 2021.

Object Classification (in millions of dollars)

Table with 4 columns: Identification code 069-9971-0-7-999, 2019 actual, 2020 est., 2021 est. Rows include Direct obligations and Total new obligations, unexpired accounts.

Employment Summary

Table with 4 columns: Identification code 069-9971-0-7-999, 2019 actual, 2020 est., 2021 est. Row includes Direct civilian full-time equivalent employment.

MISCELLANEOUS HIGHWAY TRUST FUNDS

Program and Financing (in millions of dollars)

Table with 4 columns: Identification code 069-9972-0-7-401, 2019 actual, 2020 est., 2021 est. Rows include Obligations by program activity and Budgetary resources.

This account contains miscellaneous appropriations from the Highway Trust Fund. Obligations and outlays result from prior year appropriations. No new budget authority is requested for 2021. A cancellation of \$43.58 million of unobligated balances is proposed in 2021.

ADMINISTRATIVE PROVISIONS—FEDERAL HIGHWAY ADMINISTRATION

[(INCLUDING CANCELLATION OF FUNDS)]

- [SEC. 120. (a) For fiscal year 2020, the Secretary of Transportation shall— (1) not distribute from the obligation limitation for Federal-aid highways— (A) amounts authorized for administrative expenses and programs by section 104(a) of title 23, United States Code; and (B) amounts authorized for the Bureau of Transportation Statistics; (2) not distribute an amount from the obligation limitation for Federal-aid highways that is equal to the unobligated balance of amounts— (A) made available from the Highway Trust Fund (other than the Mass Transit Account) for Federal-aid highway and highway safety construction programs for previous fiscal years the funds for which are allocated by the Secretary (or apportioned by the Secretary under sections 202 or 204 of title 23, United States Code); and (B) for which obligation limitation was provided in a previous fiscal year; (3) determine the proportion that— (A) the obligation limitation for Federal-aid highways, less the aggregate of amounts not distributed under paragraphs (1) and (2) of this subsection; bears to (B) the total of the sums authorized to be appropriated for the Federal-aid highway and highway safety construction programs (other than sums authorized to be appropriated for provisions of law described in paragraphs (1) through (11) of subsection (b) and sums authorized to be appropriated for section 119 of title 23, United States Code, equal to the amount referred to in subsection (b)(12) for such fiscal year, less the aggregate of the amounts not distributed under paragraphs (1) and (2) of this subsection; (4) distribute the obligation limitation for Federal-aid highways, less the aggregate amounts not distributed under paragraphs (1) and (2), for each of the programs (other than programs to which paragraph (1) applies) that are allocated by the Secretary under the Fixing America's Surface Transportation Act and title 23, United States Code, or apportioned by the Secretary under sections 202 or 204 of that title, by multiplying— (A) the proportion determined under paragraph (3); by (B) the amounts authorized to be appropriated for each such program for such fiscal year; and (5) distribute the obligation limitation for Federal-aid highways, less the aggregate amounts not distributed under paragraphs (1) and (2) and the amounts distributed under paragraph (4), for Federal-aid highway and highway safety construction programs that are apportioned by the Secretary under title 23, United

States Code (other than the amounts apportioned for the National Highway Performance Program in section 119 of title 23, United States Code, that are exempt from the limitation under subsection (b)(12) and the amounts apportioned under sections 202 and 204 of that title) in the proportion that—

(A) amounts authorized to be appropriated for the programs that are apportioned under title 23, United States Code, to each State for such fiscal year; bears to

(B) the total of the amounts authorized to be appropriated for the programs that are apportioned under title 23, United States Code, to all States for such fiscal year.

(b) **EXCEPTIONS FROM OBLIGATION LIMITATION.**—The obligation limitation for Federal-aid highways shall not apply to obligations under or for—

(1) section 125 of title 23, United States Code;

(2) section 147 of the Surface Transportation Assistance Act of 1978 (23 U.S.C. 144 note; 92 Stat. 2714);

(3) section 9 of the Federal-Aid Highway Act of 1981 (95 Stat. 1701);

(4) subsections (b) and (j) of section 131 of the Surface Transportation Assistance Act of 1982 (96 Stat. 2119);

(5) subsections (b) and (c) of section 149 of the Surface Transportation and Uniform Relocation Assistance Act of 1987 (101 Stat. 198);

(6) sections 1103 through 1108 of the Intermodal Surface Transportation Efficiency Act of 1991 (105 Stat. 2027);

(7) section 157 of title 23, United States Code (as in effect on June 8, 1998);

(8) section 105 of title 23, United States Code (as in effect for fiscal years 1998 through 2004, but only in an amount equal to \$639,000,000 for each of those fiscal years);

(9) Federal-aid highway programs for which obligation authority was made available under the Transportation Equity Act for the 21st Century (112 Stat. 107) or subsequent Acts for multiple years or to remain available until expended, but only to the extent that the obligation authority has not lapsed or been used;

(10) section 105 of title 23, United States Code (as in effect for fiscal years 2005 through 2012, but only in an amount equal to \$639,000,000 for each of those fiscal years);

(11) section 1603 of SAFETEA-LU (23 U.S.C. 118 note; 119 Stat. 1248), to the extent that funds obligated in accordance with that section were not subject to a limitation on obligations at the time at which the funds were initially made available for obligation; and

(12) section 119 of title 23, United States Code (but, for each of fiscal years 2013 through 2020, only in an amount equal to \$639,000,000).

(c) **REDISTRIBUTION OF UNUSED OBLIGATION AUTHORITY.**—Notwithstanding subsection (a), the Secretary shall, after August 1 of such fiscal year—

(1) revise a distribution of the obligation limitation made available under subsection (a) if an amount distributed cannot be obligated during that fiscal year; and

(2) redistribute sufficient amounts to those States able to obligate amounts in addition to those previously distributed during that fiscal year, giving priority to those States having large unobligated balances of funds apportioned under sections 144 (as in effect on the day before the date of enactment of Public Law 112–141) and 104 of title 23, United States Code.

(d) **APPLICABILITY OF OBLIGATION LIMITATIONS TO TRANSPORTATION RESEARCH PROGRAMS.**—

(1) **IN GENERAL.**—Except as provided in paragraph (2), the obligation limitation for Federal-aid highways shall apply to contract authority for transportation research programs carried out under—

(A) chapter 5 of title 23, United States Code; and

(B) title VI of the Fixing America's Surface Transportation Act.

(2) **EXCEPTION.**—Obligation authority made available under paragraph (1) shall—

(A) remain available for a period of 4 fiscal years; and

(B) be in addition to the amount of any limitation imposed on obligations for Federal-aid highway and highway safety construction programs for future fiscal years.

(e) **REDISTRIBUTION OF CERTAIN AUTHORIZED FUNDS.**—

(1) **IN GENERAL.**—Not later than 30 days after the date of distribution of obligation limitation under subsection (a), the Secretary shall distribute to the States any funds (excluding funds authorized for the program under section 202 of title 23, United States Code) that—

(A) are authorized to be appropriated for such fiscal year for Federal-aid highway programs; and

(B) the Secretary determines will not be allocated to the States (or will not be apportioned to the States under section 204 of title 23, United States Code),

and will not be available for obligation, for such fiscal year because of the imposition of any obligation limitation for such fiscal year.

(2) **RATIO.**—Funds shall be distributed under paragraph (1) in the same proportion as the distribution of obligation authority under subsection (a)(5).

(3) **AVAILABILITY.**—Funds distributed to each State under paragraph (1) shall be available for any purpose described in section 133(b) of title 23, United States Code.]

SEC. [121] 120. Notwithstanding 31 U.S.C. 3302, funds received by the Bureau of Transportation Statistics from the sale of data products, for necessary expenses incurred pursuant to chapter 63 of title 49, United States Code, may be credited to the Federal-aid highways account for the purpose of reimbursing the Bureau for such expenses: *Provided*, That such funds shall be subject to the obligation limitation for Federal-aid highway and highway safety construction programs.

SEC. [122] 121. Not less than 15 days prior to waiving, under his or her statutory authority, any Buy America requirement for Federal-aid highways projects, the Secretary of Transportation shall make an informal public notice and comment opportunity on the intent to issue such waiver and the reasons therefor: *Provided*, That the Secretary shall provide an annual report to the House and Senate Committees on Appropriations on any waivers granted under the Buy America requirements.]

SEC. 123. None of the funds provided in this Act to the Department of Transportation may be used to provide credit assistance unless not less than 3 days before any application approval to provide credit assistance under sections 603 and 604 of title 23, United States Code, the Secretary of Transportation provides notification in writing to the following committees: the House and Senate Committees on Appropriations; the Committee on Environment and Public Works and the Committee on Banking, Housing and Urban Affairs of the Senate; and the Committee on Transportation and Infrastructure of the House of Representatives: *Provided*, That such notification shall include, but not be limited to, the name of the project sponsor; a description of the project; whether credit assistance will be provided as a direct loan, loan guarantee, or line of credit; and the amount of credit assistance.]

SEC. [124] 122. None of the funds provided in this Act may be used to make a grant for a project under section 117 of title 23, United States Code, unless the Secretary, at least 60 days before making a grant under that section, provides written notification to the House and Senate Committees on Appropriations of the proposed grant, including an evaluation and justification for the project and the amount of the proposed grant award: *Provided*, That the written notification required in the previous proviso shall be made no later than 180 days after enactment of this Act.

SEC. [125] 123. (a) A State or territory, as defined in section 165 of title 23, United States Code, may use for any project eligible under section 133(b) of title 23 or section 165 of title 23 and located within the boundary of the State or territory any earmarked amount, and any associated obligation limitation: *Provided*, That the Department of Transportation for the State or territory for which the earmarked amount was originally designated or directed notifies the Secretary of Transportation of its intent to use its authority under this section and submits [a quarterly] *an annual* report to the Secretary identifying the projects to which the funding would be applied. Notwithstanding the original period of availability of funds to be obligated under this section, such funds and associated obligation limitation shall remain available for obligation for a period of 3 fiscal years after the fiscal year in which the Secretary of Transportation is notified. The Federal share of the cost of a project carried out with funds made available under this section shall be the same as associated with the earmark.

(b) In this section, the term "earmarked amount" means—

(1) congressionally directed spending, as defined in rule XLIV of the Standing Rules of the Senate, identified in a prior law, report, or joint explanatory statement, [which was authorized to be appropriated or appropriated more than 10 fiscal years prior to the current fiscal year.] and administered by the Federal Highway Administration; or

(2) a congressional earmark, as defined in rule XXI of the Rules of the House of Representatives, identified in a prior law, report, or joint explanatory statement, [which was authorized to be appropriated or appropriated more than 10 fiscal years prior to the current fiscal year.] and administered by the Federal Highway Administration.

(c) The authority under subsection (a) may be exercised only for those projects or activities that have obligated less than 10 percent of the amount made available for obligation as of October 1 of the current fiscal year, and shall be applied to projects within the same general geographic area within [25] 100 miles for which the funding was designated, except that a State or territory may apply such authority to unexpended balances of funds from projects or activities the State or territory certifies have been closed and for which payments have been made under a final voucher.

(d) The Secretary shall submit consolidated reports of the information provided by the States and territories [each quarter] annually to the House and Senate Committees on Appropriations.

[SEC. 126. The following are repealed:

(1) Section 352 of the National Highway System Designation Act of 1995 (Public Law 104–59, 109 Stat. 568).

(2) Section 324 of the Department of Transportation and Related Agencies Appropriations Act, 1986 (Public Law 99–190; 99 Stat. 1288).

(3) Section 325 of the Department of Transportation and Related Agencies Appropriations Act, 1996 (Public Law 104–50; 109 Stat. 456).

Notwithstanding any other provision of law, tolls collected for motor vehicles on any bridge connecting the boroughs of Brooklyn, New York, and Staten Island, New York, shall be collected for any such vehicles exiting from such bridge in both Staten Island and Brooklyn.]

[SEC. 127. Section 125(d) of title 23, United States Code, is amended by striking paragraph (4).]

[SEC. 128. Until final guidance is published, the Administrator of the Federal Highway Administration shall make determinations on Buy America waivers for those waivers that were submitted before April 17, 2018, as if the notice of proposed rulemaking of that date was not in effect.]

[SEC. 129. Section 1948 of SAFETEA-LU (Public Law 109–59; 119 Stat. 1514) is repealed.]

[SEC. 129A. Section 119(e)(5) of title 23, United States Code, is amended to read as follows:

"(A) IN GENERAL.—Notwithstanding section 120, each fiscal year, if the Secretary determines that a State has not developed and implemented a State asset management plan consistent with this section, the Federal share payable on account of any project or activity for which funds are obligated by the State in that fiscal year under this section shall be 65 percent.

"(B) DETERMINATION.—The Secretary shall make the determination under subparagraph (A) for a fiscal year not later than the day before the beginning of such fiscal year.".]

(CANCELLATION OF FUNDS)

SEC. 124. (a)

Of the unobligated balances of funds remaining from—

(1) Public Law 105–66, and any other Act, appropriated to the "Appalachian Development Highway System" account under Treasury Account Fund Symbol 69X0640, a total of \$11,960,182 is hereby permanently cancelled;

(2) Public Law 111–117, and any other Act, appropriated to the "Surface Transportation Priorities" account under Treasury Account Fund Symbol 69X0538, a total of \$79,821,786 is hereby permanently cancelled;

(3) Public Law 110–161, and any other Act, appropriated to the "Delta Regional Transportation Development Program" account under Treasury Account Fund Symbol 69X0551, a total of \$1,841,344 is hereby permanently cancelled;

(4) Public Law 106–346, and any other Act, appropriated to the "Miscellaneous Highway Project" account under Treasury Account Fund Symbol 69X8058, a total of \$38,552,346 is hereby permanently cancelled;

(5) Public Law 102–388, and any other Act, appropriated to the "Highway Projects" account under Treasury Account Fund Symbol 69X8382, a total of \$5,028,247 is hereby permanently cancelled.

(b) The cancellations under subsection (a) shall not be taken from the portions of unobligated balances of funds in such accounts for which a State used its authority under section 125 of division L of Public Law 114–113, section 422 of division K of Public Law 115–31, section 126 of division L of Public Law 115–141, section 125 of division G of Public Law 116–6, or section 125 of division H of Public Law 116–94.

(Department of Transportation Appropriations Act, 2020.)

FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION

The Federal Motor Carrier Safety Administration (FMCSA) was established within the Department of Transportation by the Motor Carrier Safety Improvement Act of 1999 (P.L. 106–159). Prior to this legislation, motor carrier safety responsibilities were under the jurisdiction of the Federal Highway Administration.

FMCSA's mission is to promote safe commercial motor vehicle operation, and reduce truck and bus crashes. The Agency accomplishes this mission by reducing fatalities and property losses associated with commercial motor vehicles through education, regulation, enforcement, research, and innovative technology, thereby achieving a safer and more secure transportation

environment. FMCSA is also responsible for enforcing Federal motor carrier safety and hazardous materials regulations for all commercial vehicles entering the United States along its southern and northern borders.

Trust Funds

MOTOR CARRIER SAFETY

Program and Financing (in millions of dollars)

Table with 4 columns: Identification code, 2019 actual, 2020 est., 2021 est. Rows include Budgetary resources (Unobligated balance, 1000, 1013, 1050, 1930), Memorandum (non-add) entries (1941, 4180, 4190), and Memorandum (non-add) entries (5054, 5055).

Activities have not been funded in this account since 2005. This schedule shows the obligations and outlays of funding made available for this program in fiscal years prior to 2006.

NATIONAL MOTOR CARRIER SAFETY PROGRAM

Program and Financing (in millions of dollars)

Table with 4 columns: Identification code, 2019 actual, 2020 est., 2021 est. Rows include Budgetary resources (1000, 1930, 1941), Change in obligated balance (3000, 3020, 3050), Budget authority and outlays, net (4011, 4180, 4190), and Memorandum (non-add) entries (5050, 5051, 5052, 5053).

No funding is requested for this account in 2021.

MOTOR CARRIER SAFETY GRANTS

Program and Financing (in millions of dollars)

Identification code 069-8158-0-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Motor Carrier Safety Assistance Program	304	309
0004 Commercial Driver's License (CDL) Program Implementation Grants	32	33
0007 High Priority Activities Program	44	46
0009 Commercial Motor Vehicle Operator (CMV) Grant	2	3
0010 Border Maintenance & Modernization	1
0011 Highly Automated Vehicle HAV	2
0020 Surface transportation reauthorization activities	399
0900 Total new obligations, unexpired accounts	385	391	399
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	200	208	163
1013 Unobligated balance of contract authority transferred to or from other accounts [069-8055]	3
1013 Unobligated balance of contract authority transferred to or from other accounts [069-8083]	-30
1013 Unobligated balance of contract authority transferred to or from other accounts [069-8350]	-15
1021 Recoveries of prior year unpaid obligations	11
1050 Unobligated balance (total)	211	166	163
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	383	391	399
1120 Appropriations transferred to other acct [069-8083]	-30
1120 Appropriations transferred to other acct [069-8350]	-15
1138 Portion applied to liquidate contract authority, Motor Carrier Safety Grants	-383	-346	-399
Contract authority, mandatory:			
1600 Contract authority, Motor Carrier Safety Grants	382	388	388
1900 Budget authority (total)	382	388	388
1930 Total budgetary resources available	593	554	551
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	208	163	152
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	545	602	573
3010 New obligations, unexpired accounts	385	391	399
3020 Outlays (gross)	-317	-420	-377
3040 Recoveries of prior year unpaid obligations, unexpired	-11
3050 Unpaid obligations, end of year	602	573	595
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	545	602	573
3200 Obligated balance, end of year	602	573	595
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4010 Outlays from new discretionary authority	19	59	60
4011 Outlays from discretionary balances	298	361	317
4020 Outlays, gross (total)	317	420	377
Mandatory:			
4090 Budget authority, gross	382	388	388
4180 Budget authority, net (total)	382	388	388
4190 Outlays, net (total)	317	420	377
Memorandum (non-add) entries:			
5054 Fund balance in excess of liquidating requirements, SOY: Contract authority	217	218	218
5055 Fund balance in excess of liquidating requirements, EOY: Contract authority	218	218	229
5061 Limitation on obligations (Highway Trust Funds)	383	391	399

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	382	388	388
Outlays	317	420	377
Legislative proposal, not subject to PAYGO:			
Budget Authority	15
Outlays	1
Total:			
Budget Authority	382	388	403

Outlays	317	420	378
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As part of the Administration's 10-year surface transportation reauthorization legislation, the Motor Carrier Safety Grants provide funding to eligible States to conduct compliance reviews; identify and apprehend traffic violators; conduct roadside inspections; and support safety audits on new entrant carriers.

As part of the Administrations 10-year surface transportation reauthorization proposal, the Budget requests \$4.8 billion in contract authority over 10 years. Of this amount, \$403 million is requested for 2021, a 3.8 percent increase from the FY 2020 FAST Act authorized amount. This schedule reflects 2021 contract authority and obligation limitation equal to the baseline. Contract authority and obligation limitation for 2021 above baseline amounts are reflected as a legislative proposal, in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-8158-0-7-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	2
25.2 Other services from non-Federal sources	5	7	7
41.0 Grants, subsidies, and contributions	377	381	389
94.0 Financial transfers	2
99.9 Total new obligations, unexpired accounts	385	391	399

MOTOR CARRIER SAFETY GRANTS
(Legislative proposal, not subject to PAYGO)
(LIQUIDATION OF CONTRACT AUTHORIZATION)
(LIMITATION ON OBLIGATIONS)
(HIGHWAY TRUST FUND)

【For】 Contingent on enactment of multi year surface reauthorization legislation, for payment of obligations incurred in carrying out [sections 31102, 31103, 31104, and 31313 of] Motor Carrier Safety Grants as authorized in title 49, United States Code, [as amended by the Fixing America's Surface Transportation Act, \$391,135,561] \$403,000,000, to be derived from the Highway Trust Fund (other than the Mass Transit Account) and to remain available until expended: Provided, That funds available for the implementation or execution of motor carrier safety programs shall not exceed total obligations of [\$391,135,561] \$403,000,000 in fiscal year [2020] 2021 for "Motor Carrier Safety Grants" [: Provided further, That of the sums appropriated under this heading:]

【(1) 308,700,000 shall be available for the motor carrier safety assistance program;】

【(2) \$33,200,000 shall be available for the commercial driver's license program implementation program;】

【(3) \$45,900,000 shall be available for the high priority activities program, of which \$1,000,000 is to be made available from prior year unobligated contract authority provided for Motor Carrier Safety in the Transportation Equity Act for the 21st Century (Public Law 105-178), SAFETEA-LU (Public Law 109-59), or other appropriations or authorization Acts; and】

【(4) \$3,335,561 shall be made available for commercial motor vehicle operators grants, of which \$2,335,561 is to be made available from prior year unobligated contract authority provided for Motor Carrier Safety in the Transportation Equity Act for the 21st Century (Public Law 105-178), SAFETEA-LU (Public Law 109-59), or other appropriations or authorization Acts】. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-8158-2-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0020 Surface transportation reauthorization activities	4
0900 Total new obligations, unexpired accounts (object class 41.0)	4

MOTOR CARRIER SAFETY GRANTS—Continued
Program and Financing—Continued

Identification code 069-8158-2-7-401	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101			4
1138			-4
Contract authority, mandatory:			
1600			15
1900			15
1930			15
Memorandum (non-add) entries:			
1941			11
Change in obligated balance:			
Unpaid obligations:			
3010			4
3020			-1
3050			3
Memorandum (non-add) entries:			
3200			3
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4010			1
Mandatory:			
4090			15
4180			15
4190			1
Memorandum (non-add) entries:			
5061			4

This schedule reflects the additional contract authority and obligation limitation amounts proposed above the baseline for the Motor Carrier Safety Grants. These additional resources are requested as part of the Administration's 10-year surface transportation reauthorization proposal.

MOTOR CARRIER SAFETY OPERATIONS AND PROGRAMS

Program and Financing (in millions of dollars)

Identification code 069-8159-0-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	239	244	
0002	6	9	
0003	31	35	
0007	11	30	30
0010		10	10
0020			298
0100	287	328	338
0900	287	328	338
Budgetary resources:			
Unobligated balance:			
1000	47	78	78
1001	16	33	
1021	6		
1050	53	78	78
Budget authority:			
Appropriations, discretionary:			
1101	284	288	298
1138	-284	-288	-298
Contract authority, mandatory:			
1600	284	288	288
Spending authority from offsetting collections, discretionary:			
1700	28	40	40
1900	312	328	328
1930	365	406	406
Memorandum (non-add) entries:			
1941	78	78	68

Change in obligated balance:			
Unpaid obligations:			
3000	93	79	72
3010	287	328	338
3020	-295	-335	-345
3040	-6		
3050	79	72	65
Memorandum (non-add) entries:			
3100	93	79	72
3200	79	72	65
Budget authority and outlays, net:			
Discretionary:			
4000	28	40	40
Outlays, gross:			
4010	239	256	263
4011	56	79	82
4020	295	335	345
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4034	-28	-40	-40
Mandatory:			
4090	284	288	288
4180	284	288	288
4190	267	295	305
Memorandum (non-add) entries:			
Fund balance in excess of liquidating requirements, SOY:			
5054	16	16	16
Fund balance in excess of liquidating requirements, EOY:			
5055	16	16	26
5061	284	288	298

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	284	288	288
Outlays	267	295	305
Legislative proposal, not subject to PAYGO:			
Budget Authority			11
Outlays			1
Total:			
Budget Authority	284	288	299
Outlays	267	295	306

The Operations and Programs account provides the necessary resources to support program and administrative activities for motor carrier safety. The Federal Motor Carrier Safety Administration (FMCSA) will continue to improve safety and reduce severe and fatal commercial motor vehicles crashes by requiring operators to maintain standards to remain in the industry, and by removing high-risk carriers, vehicles, drivers and service providers from operation. Funding supports Nation-wide motor carrier safety and consumer enforcement efforts, including the continuation of the Compliance, Safety and Accountability Program; regulation and enforcement of movers of household goods; and Federal safety enforcement activities at the borders to ensure that foreign-domiciled carriers entering the U.S. are in compliance with FMSCA regulations. Resources are also provided to fund regulatory development and implementation, investment in research and technology with a focus on research regarding highly automated vehicles and related technology, and information technology's information management, safety outreach and education.

As part of the Administrations 10-year surface transportation reauthorization proposal, the Budget requests \$3.6 billion in contract authority for over 10 years for this program. Of this amount, \$299 million is requested for 2021, a 3.8 percent increase from 2020. This schedule reflects 2021 contract authority and obligation limitation equal to the baseline. Contract authority and obligation limitation for 2021 above baseline amounts are reflected as a legislative proposal, in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-8159-0-7-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	112	118	122
11.3 Other than full-time permanent	1	1	1
11.9 Total personnel compensation	113	119	123
12.1 Civilian personnel benefits	39	41	42
21.0 Travel and transportation of persons	7	7	7
22.0 Transportation of things	1	1	1
23.1 Rental payments to GSA	15	22	18
24.0 Printing and reproduction	1	1	1
25.2 Other services from non-Federal sources	104	127	133
25.5 Research and development contracts	6	9	12
26.0 Supplies and materials	1	1	1
99.9 Total new obligations, unexpired accounts	287	328	338

Employment Summary

Identification code 069-8159-0-7-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1,122	1,183	1,181

MOTOR CARRIER SAFETY OPERATIONS AND PROGRAMS

(Legislative proposal, not subject to PAYGO)

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

(HIGHWAY TRUST FUND)

[For] *Contingent upon enactment of multi-year surface transportation authorization legislation, for payment of obligations incurred in the implementation, execution and administration of motor carrier safety operations and programs [pursuant to section 31110 of title 49, United States Code, as amended by the Fixing America's Surface Transportation Act, \$288,000,000], \$299,000,000, to be derived from the Highway Trust Fund (other than the Mass Transit Account), together with advances and reimbursements received by the Federal Motor Carrier Safety Administration, the sum of which shall remain available until expended: *Provided*, That funds available for implementation, execution or administration of motor carrier safety operations and programs authorized under title 49, United States Code, shall not exceed total obligations of [\$288,000,000] \$299,000,000 for "Motor Carrier Safety Operations and Programs" for fiscal year [2020, of which \$9,073,000, to remain available for obligation until September 30, 2022, is for the research and technology program, and of which \$35,334,000, to remain available for obligation until September 30, 2022, is for information management] 2021. (Department of Transportation Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 069-8159-2-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0020 Surface transportation reauthorization activities			1
0100 Direct program activities, subtotal			1
0900 Total new obligations, unexpired accounts (object class 25.2)			1
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)			1
1138 Appropriations applied to liquidate contract authority			-1
Contract authority, mandatory:			
1600 Contract authority			11
1900 Budget authority (total)			11
1930 Total budgetary resources available			11
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			10
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			1
3020 Outlays (gross)			-1

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4010 Outlays from new discretionary authority			1
Mandatory:			
4090 Budget authority, gross			11
4180 Budget authority, net (total)			11
4190 Outlays, net (total)			1

Memorandum (non-add) entries:

5061 Limitation on obligations (Highway Trust Funds)			1
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This schedule reflects the additional contract authority and obligation limitation amounts proposed above the baseline for the Motor Carrier Safety Operations and Programs account which includes operating expenses. These additional resources are requested as part of the Administration's 10-year surface transportation reauthorization proposal.

ADMINISTRATIVE PROVISIONS—FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION

[SEC. 130. The Federal Motor Carrier Safety Administration shall send notice of 49 CFR section 385.308 violations by certified mail, registered mail, or another manner of delivery, which records the receipt of the notice by the persons responsible for the violations.]

[SEC. 131. None of the funds appropriated or otherwise made available to the Department of Transportation by this Act or any other Act may be obligated or expended to implement, administer, or enforce the requirements of section 31137 of title 49, United States Code, or any regulation issued by the Secretary pursuant to such section, with respect to the use of electronic logging devices by operators of commercial motor vehicles, as defined in section 31132(1) of such title, transporting livestock as defined in section 602 of the Emergency Livestock Feed Assistance Act of 1988 (7 U.S.C. 1471) or insects.]

[SEC. 132. The Federal Motor Carrier Safety Administration shall update annual inspection regulations under Appendix G to subchapter B of chapter III of title 49, Code of Federal Regulations, as recommended by GAO-19-264.] (*Department of Transportation Appropriations Act, 2020.*)

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION

The National Highway Traffic Safety Administration (NHTSA) is responsible for motor vehicle safety, highway safety behavioral programs, motor vehicle information, and automobile fuel economy programs. NHTSA is charged with reducing traffic crashes and deaths and injuries resulting from traffic crashes; establishing motor vehicle safety standards for motor vehicles and motor vehicle equipment in interstate commerce; carrying out needed safety research and development; and the operation of the National Driver Register.

Federal Funds

CONSUMER ASSISTANCE TO RECYCLE AND SAVE PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-0654-0-1-376	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	20	20	20
1930 Total budgetary resources available	20	20	20
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	20	20	20
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

CONSUMER ASSISTANCE TO RECYCLE AND SAVE PROGRAM—Continued

The schedule above shows the remaining activity associated with the completed Consumer Assistance to Recycle and Save (Cash for Clunkers) program. No new funds are requested for this program in 2021.

OPERATIONS AND RESEARCH

Program and Financing (in millions of dollars)

Identification code 069-0650-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Research and Analysis	47	48	19
0002 Rulemaking	16	28	
0003 Enforcement	20	37	8
0004 Administrative Expenses	110	81	
0005 Section 143 - Highway-Rail Grade Crossing Safety Media Campaign	7	10	5
0006 Section 143 - Impaired Driving Countermeasures	5	7	8
0900 Total new obligations, unexpired accounts	205	211	40
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	33	33	40
1021 Recoveries of prior year unpaid obligations		3	
1050 Unobligated balance (total)	33	36	40
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation [Surface Transportation Reauthorization Activities]	204	211	
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	4	
1900 Budget authority (total)	206	215	
1930 Total budgetary resources available	239	251	40
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1		
1941 Unexpired unobligated balance, end of year	33	40	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	128	147	191
3010 New obligations, unexpired accounts	205	211	40
3020 Outlays (gross)	-184	-164	-131
3040 Recoveries of prior year unpaid obligations, unexpired		-3	
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	147	191	100
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	128	147	191
3200 Obligated balance, end of year	147	191	100
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	206	215	
Outlays, gross:			
4010 Outlays from new discretionary authority	87	124	
4011 Outlays from discretionary balances	97	40	131
4020 Outlays, gross (total)	184	164	131
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2	-4	
4040 Offsets against gross budget authority and outlays (total) ...	-2	-4	
4180 Budget authority, net (total)	204	211	
4190 Outlays, net (total)	182	160	131

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	204	211	
Outlays	182	160	131
Legislative proposal, not subject to PAYGO:			
Budget Authority			156
Outlays			81
Total:			
Budget Authority	204	211	156
Outlays	182	160	212

The Vehicle Safety programs support activities to reduce highway fatalities, prevent injuries, and reduce their associated economic toll by developing, setting, and enforcing Federal Motor Vehicle Safety Standards and rooting out safety-related defects in motor vehicles and motor vehicle equipment. NHTSA supports research into cutting-edge technologies, including complex safety-critical electronic control systems; vehicle cybersecurity; and new and emerging Automated Driving System technologies. Additional research areas include biomechanics; heavy vehicles safety technologies; and vehicle safety issues related to fuel efficiency and alternative fuels. The Operation and Research program supports a broad range of initiatives, including the development of rulemaking and safety standards, such as the motor vehicle fuel economy standards; harmonization efforts with international partners; and, modernizing the New Car Assessment Program. This funding also supports compliance programs for motor vehicle safety and fuel economy standards; investigations of safety-related motor vehicle defects; enforcement of Federal odometer law; and, oversight of safety recalls. NHTSA also leverages this funding to collect and analyze crash data to identify safety trends and develop countermeasures.

The Administration is proposing to authorize the Operations and Research program as part of its 10-year surface transportation reauthorization proposal. The Budget requests \$1.9 billion over 10-years, including \$156 million for 2021. This schedule reflects 2019 actual and 2020 enacted program and financial information for the program. The request for 2021 is reflected in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-0650-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	41	45	
11.5 Other personnel compensation	1	1	
11.9 Total personnel compensation	42	46	
12.1 Civilian personnel benefits	13	18	
21.0 Travel and transportation of persons		1	
23.1 Rental payments to GSA	3	3	
23.3 Communications, utilities, and miscellaneous charges		1	
25.1 Advisory and assistance services	33	33	14
25.2 Other services from non-Federal sources	87	85	24
25.3 Other goods and services from Federal sources	19	16	1
26.0 Supplies and materials	3	3	1
41.0 Grants, subsidies, and contributions	5	5	
99.9 Total new obligations, unexpired accounts	205	211	40

Employment Summary

Identification code 069-0650-0-1-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	334	357	

OPERATIONS AND RESEARCH

(Legislative proposal, not subject to PAYGO)

[For] Contingent upon enactment of multi-year surface transportation authorization legislation, for expenses necessary to discharge the functions of the Secretary, with respect to traffic and highway safety authorized under chapter 301 and part C of subtitle VI of title 49, United States Code, [\$194,000,000] \$156,100,000, of which \$40,000,000 shall remain available through September 30, [2021] 2022. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-0650-2-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0030 Surface Transportation Reauthorization Activities			156

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1100	Appropriation		156
1900	Budget authority (total)		156
1930	Total budgetary resources available		156

Change in obligated balance:

Unpaid obligations:			
3010	New obligations, unexpired accounts		156
3020	Outlays (gross)		-81
3050	Unpaid obligations, end of year		75
Memorandum (non-add) entries:			
3200	Obligated balance, end of year		75

Budget authority and outlays, net:

Discretionary:			
4000	Budget authority, gross		156
Outlays, gross:			
4010	Outlays from new discretionary authority		81
4180	Budget authority, net (total)		156
4190	Outlays, net (total)		81

The Administration is proposing to authorize the Operations and Research program as part of its 10-year surface transportation reauthorization proposal. This appropriation supports activities to reduce highway fatalities, prevent injuries, and reduce their associated economic toll. This schedule reflects the 2021 request for the program.

Object Classification (in millions of dollars)

Identification code 069-0650-2-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1	Full-time permanent		46
11.5	Other personnel compensation		1
11.9	Total personnel compensation		47
12.1	Civilian personnel benefits		19
21.0	Travel and transportation of persons		1
23.1	Rental payments to GSA		3
23.3	Communications, utilities, and miscellaneous charges		1
25.1	Advisory and assistance services		30
25.2	Other services from non-Federal sources		41
25.3	Other goods and services from Federal sources		11
26.0	Supplies and materials		2
41.0	Grants, subsidies, and contributions		1
99.9	Total new obligations, unexpired accounts		156

Employment Summary

Identification code 069-0650-2-1-401	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment		355

NEXT GENERATION 911 IMPLEMENTATION GRANTS

Program and Financing (in millions of dollars)

Identification code 069-0661-0-1-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Grants	109	
0002	Administration	1	1
0900	Total new obligations, unexpired accounts	109	1
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	111	2
1930	Total budgetary resources available	111	2
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	2	1
Change in obligated balance:			
Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	1	110
3010	New obligations, unexpired accounts	109	1

3020	Outlays (gross)		-48	-46
3050	Unpaid obligations, end of year	110	63	18
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1	110	63
3200	Obligated balance, end of year	110	63	18

Budget authority and outlays, net:

Mandatory:				
Outlays, gross:				
4101	Outlays from mandatory balances		48	46
4180	Budget authority, net (total)			
4190	Outlays, net (total)		48	46

The 911 Grant Program was authorized by the Next Generation 911 Advancement Act of 2012, which allows eligible entities to utilize funds to implement and operate 911 services, and to train public safety personnel. The program is funded by the Public Safety Trust Fund. The authority to expend these funds expires on September 30, 2022. The program helps 911 call centers upgrade to Next Generation 911 (NG911) capabilities, such as providing digital and network capabilities and implementing advanced mapping systems that will make it easier to identify a 911 caller's location. NG911 also helps 911 call centers manage call overloads and funds for training costs directly related to NG911 implementation.

Object Classification (in millions of dollars)

Identification code 069-0661-0-1-407	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2	Other services from non-Federal sources		1
41.0	Grants, subsidies, and contributions	109	
99.9	Total new obligations, unexpired accounts	109	1

Trust Funds

OPERATIONS AND RESEARCH (HIGHWAY TRUST FUND)

Program and Financing (in millions of dollars)

Identification code 069-8016-0-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Highway Safety Programs	40	52
0002	Research and Analysis	33	43
0007	National Driver Register	3	4
0008	Administrative Expenses	72	49
0009	Sec 1906 - Grant Program to Prohibit Racial Profiling	2	7
0030	Surface Transportation Reauthorization Activities		155
0100	Total Direct Obligations	150	155
0799	Total direct obligations	150	155
0801	Operations and Research (Transportation Trust Fund) (Reimbursable)	3	17
0900	Total new obligations, unexpired accounts	153	172

Budgetary resources:

Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	33	35
1001	Discretionary unobligated balance brought fwd, Oct 1	12	5
1021	Recoveries of prior year unpaid obligations	2	
1050	Unobligated balance (total)	35	35
Budget authority:			
Appropriations, discretionary:			
1101	Appropriation (special or trust)	152	155
1138	Appropriations applied to liquidate contract authority	-152	-155
Contract authority, mandatory:			
1600	Contract authority	152	155
Spending authority from offsetting collections, discretionary:			
1700	Collected	1	5
1900	Budget authority (total)	153	160
1930	Total budgetary resources available	188	195
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	35	23

Change in obligated balance:

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	141	147
			162

OPERATIONS AND RESEARCH (HIGHWAY TRUST FUND)—Continued
Program and Financing—Continued

Identification code 069-8016-0-7-401		2019 actual	2020 est.	2021 est.
3010	New obligations, unexpired accounts	153	172	165
3020	Outlays (gross)	-145	-157	-159
3040	Recoveries of prior year unpaid obligations, unexpired	-2		
3050	Unpaid obligations, end of year	147	162	168
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	141	147	162
3200	Obligated balance, end of year	147	162	168
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1	5	5
Outlays, gross:				
4010	Outlays from new discretionary authority	68	75	77
4011	Outlays from discretionary balances	77	82	82
4020	Outlays, gross (total)	145	157	159
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-1	-5	-5
4040	Offsets against gross budget authority and outlays (total)	-1	-5	-5
Mandatory:				
4090	Budget authority, gross	152	155	155
4180	Budget authority, net (total)	152	155	155
4190	Outlays, net (total)	144	152	154
Memorandum (non-add) entries:				
5054	Fund balance in excess of liquidating requirements, SOY: Contract authority	46	46	46
5055	Fund balance in excess of liquidating requirements, EOY: Contract authority	46	46	50
5061	Limitation on obligations (Highway Trust Funds)	152	155	159

Summary of Budget Authority and Outlays (in millions of dollars)

		2019 actual	2020 est.	2021 est.
Enacted/requested:				
	Budget Authority	152	155	155
	Outlays	144	152	154
Legislative proposal, not subject to PAYGO:				
	Budget Authority			6
	Outlays			1
Total:				
	Budget Authority	152	155	161
	Outlays	144	152	155

The Highway Safety Research and Development programs support research, demonstrations, evaluation, technical assistance, and national leadership activities for behavioral safety programs conducted by State and local governments, as well as various safety associations and organizations. These programs are designed to provide our State and local partners with the latest tools to combat impaired, distracted, and drowsy driving while encouraging occupant protection, pedestrian and bicycle safety, and development of best practices for emergency medical and trauma care systems. This funding supports the National Driver Register's Problem Driver Pointer System, which helps to identify drivers who have been suspended for or convicted of serious traffic offenses, such as driving under the influence of alcohol or other drugs. Finally, this funding will allow NHTSA to improve its vital data collection and analysis, which drives all of the agency's safety activities.

As part of the Administration's 10-year surface transportation reauthorization proposal, the Budget requests \$1.9 billion in contract authority for the Highway Safety Research and Development programs over 10 years. Of this amount, \$161 million is requested for 2021, a 3.8 percent increase from 2020. This schedule reflects 2021 contract authority and obligation limitation equal to the baseline. Contract authority and obligation limitation for 2021 above baseline amounts are reflected as a legislative proposal, in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-8016-0-7-401		2019 actual	2020 est.	2021 est.
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	20	22	22
11.3	Other than full-time permanent	1	1	1
11.9	Total personnel compensation	21	23	23
12.1	Civilian personnel benefits	6	9	9
21.0	Travel and transportation of persons	1	1	1
23.1	Rental payments to GSA	7	7	7
25.1	Advisory and assistance services	68	68	68
25.2	Other services from non-Federal sources	8	8	8
25.3	Other goods and services from Federal sources	12	12	12
25.5	Research and development contracts	3	3	3
41.0	Grants, subsidies, and contributions	23	24	24
99.0	Direct obligations	149	155	155
99.0	Reimbursable obligations	2	17	10
99.5	Adjustment for rounding	2		
99.9	Total new obligations, unexpired accounts	153	172	165

Employment Summary

Identification code 069-8016-0-7-401		2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	159	175	175

OPERATIONS AND RESEARCH
(Legislative proposal, not subject to PAYGO)
(LIQUIDATION OF CONTRACT AUTHORIZATION)
(LIMITATION ON OBLIGATIONS)
(HIGHWAY TRUST FUND)

[For] Contingent upon enactment of multi-year surface transportation authorization legislation, for payment of obligations incurred in carrying out the provisions of 23 U.S.C. 403, including behavioral research on Automated Driving Systems and Advanced Driver Assistance Systems, and improving consumer responses to safety recalls, section 4011 of the Fixing America's Surface Transportation Act (Public Law 114-94), and chapter 303 of title 49, United States Code, [\$155,300,000] \$161,200,000, to be derived from the Highway Trust Fund (other than the Mass Transit Account) and to remain available until expended: *Provided*, That none of the funds in this Act shall be available for the planning or execution of programs the total obligations for which, in fiscal year [2020] 2021, are in excess of [\$155,300,000: *Provided further* , That of the sums appropriated under this heading—]

[(1) \$149,800,000 shall be for programs authorized under 23 U.S.C. 403, including behavioral research on Automated Driving Systems and Advanced Driver Assistance Systems and improving consumer responses to safety recalls, and section 4011 of the Fixing America's Surface Transportation Act (Public Law 114-94); and]

[(2) \$5,500,000 shall be for the National Driver Register authorized under chapter 303 of title 49, United States Code:]

\$161,200,000: Provided further, That within the [\$155,300,000] \$161,200,000 obligation limitation for operations and research, \$20,000,000 shall remain available until September 30, [2021] 2022, and shall be in addition to the amount of any limitation imposed on obligations for future years: *Provided further*, That amounts for behavioral research on Automated Driving Systems and Advanced Driver Assistance Systems and improving consumer responses to safety recalls are in addition to any other funds provided for those purposes for fiscal year [2020] 2021 in this Act. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-8016-2-7-401		2019 actual	2020 est.	2021 est.
Obligations by program activity:				
0030	Surface Transportation Reauthorization Activities			6
0100	Total Direct Obligations			6
0799	Total direct obligations			6
0900	Total new obligations, unexpired accounts (object class 25.1)			6

Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101	Appropriation (special or trust)	6	
1138	Appropriations applied to liquidate contract authority	-6	
Contract authority, mandatory:			
1600	Contract authority	6	
1900	Budget authority (total)	6	
1930	Total budgetary resources available	6	
Change in obligated balance:			
Unpaid obligations:			
3010	New obligations, unexpired accounts	6	
3020	Outlays (gross)	-1	
3050	Unpaid obligations, end of year	5	
Memorandum (non-add) entries:			
3200	Obligated balance, end of year	5	
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4010	Outlays from new discretionary authority	1	
Mandatory:			
4090	Budget authority, gross	6	
4180	Budget authority, net (total)	6	
4190	Outlays, net (total)	1	
Memorandum (non-add) entries:			
5061	Limitation on obligations (Highway Trust Funds)	2	

This schedule reflects the additional contract authority and obligation limitation amounts proposed above the baseline for the Highway Safety Research and Development programs, which aid in the development of a safe, efficient, effective, and environmentally sound highway system. These additional resources are requested as part of the Administration's 10-year surface transportation reauthorization proposal.

HIGHWAY TRAFFIC SAFETY GRANTS

Program and Financing (in millions of dollars)

Identification code 069-8020-0-7-401	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Sec 402 - Formula Grants	293	280	
0006	Sec 3010 - High Visibility Enforcement	30	31	
0011	Administrative Expenses - Chapter 4 of Title 23	26	27	
0014	Sec 405B - Occupant Protection Grants	37	37	
0015	Sec 405C - State Traffic Information System Improvements	41	42	
0016	Sec 405D - Impaired Driving Countermeasures	149	150	
0017	Sec 405E - Distracted Driving	15	24	
0018	Sec 405F - Motorcyclist Safety	4	4	
0019	Sec 405G - State Graduated Driver Licensing Laws		14	
0020	Sec 405H - Non-Motorized Safety	14	14	
0021	Open Container Law - Section 154 / Repeat Offender Law - Section 164	105	101	
0030	Surface Transportation Reauthorization Activities			623
0799	Total direct obligations	714	724	623
0900	Total new obligations, unexpired accounts	714	724	623
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	146	163	164
1021	Recoveries of prior year unpaid obligations	15	1	1
1033	Recoveries of prior year paid obligations	1		
1050	Unobligated balance (total)	162	164	165
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust)	610	623	636
1121	Appropriations transferred from other acct [069-8083]	105	101	
1138	Appropriations applied to liquidate contract authority	-715	-724	-636
Contract authority, mandatory:				
1600	Contract authority	610	623	623
1611	Contract authority transferred from other accounts [069-8083]	105	101	
1640	Contract authority, mandatory (total)	715	724	623
1900	Budget authority (total)	715	724	623
1930	Total budgetary resources available	877	888	788

Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	163	164	165
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	917	913	865
3010	New obligations, unexpired accounts	714	724	623
3020	Outlays (gross)	-703	-771	-751
3040	Recoveries of prior year unpaid obligations, unexpired	-15	-1	-1
3050	Unpaid obligations, end of year	913	865	736
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	917	913	865
3200	Obligated balance, end of year	913	865	736
Budget authority and outlays, net:				
Discretionary:				
Outlays, gross:				
4010	Outlays from new discretionary authority	143	153	156
4011	Outlays from discretionary balances	560	618	595
4020	Outlays, gross (total)	703	771	751
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-1		
Additional offsets against gross budget authority only:				
4053	Recoveries of prior year paid obligations, unexpired accounts	1		
4080	Outlays, net (discretionary)	702	771	751
Mandatory:				
4090	Budget authority, gross	715	724	623
4180	Budget authority, net (total)	715	724	623
4190	Outlays, net (total)	702	771	751
Memorandum (non-add) entries:				
5054	Fund balance in excess of liquidating requirements, SOY:			
	Contract authority	78	80	80
5055	Fund balance in excess of liquidating requirements, EOY:			
	Contract authority	80	80	93
5061	Limitation on obligations (Highway Trust Funds)	715	724	739

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	715	724	623
Outlays	702	771	751
Legislative proposal, not subject to PAYGO:			
Budget Authority			24
Outlays			-17
Total:			
Budget Authority	715	724	647
Outlays	702	771	734

NHTSA provides grants to States for activities related to the promotion of highway traffic safety. The State and Community Safety Grants Program (Section 402) supports multi-faceted State highway safety programs designed to reduce traffic crashes and the resulting deaths, injuries, and property damage. The Agency will continue to implement and promote the use of performance measures and data-driven targets as a condition of approval in these programs and to ensure efficient and effective use of funds. NHTSA also will use dedicated funds from the program to support high visibility enforcement campaigns that promote the use of seat belts and the reduction of impaired and distracted driving. The National Priority Incentive Grant Programs (Section 405) allow the Agency to make grant awards to States to encourage innovative solutions to drug- and alcohol-impaired driving that can help States combat drug- and alcohol-impaired driving.

As part of the Administration's 10-year surface transportation reauthorization proposal, the Budget requests \$7.7 billion in contract authority over 10 years. Of this amount, \$647 million is requested for 2021, a 3.8 percent increase from 2020. This schedule reflects 2021 contract authority and obligation limitation equal to the baseline. Contract authority and obligation limitation for 2021 above baseline amounts are reflected as a legislative proposal, in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

HIGHWAY TRAFFIC SAFETY GRANTS—Continued

Object Classification (in millions of dollars)

Identification code 069-8020-0-7-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	9	10	11
12.1 Civilian personnel benefits	3	4	4
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	1	1	1
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.1 Advisory and assistance services	37	37	42
25.2 Other services from non-Federal sources	5	5	5
25.3 Other goods and services from Federal sources	4	4	4
41.0 Grants, subsidies, and contributions	653	661	554
99.0 Direct obligations	714	724	623
99.9 Total new obligations, unexpired accounts	714	724	623

Employment Summary

Identification code 069-8020-0-7-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	83	88	88

HIGHWAY TRAFFIC SAFETY GRANTS
(Legislative proposal, not subject to PAYGO)
(LIQUIDATION OF CONTRACT AUTHORIZATION)
(LIMITATION ON OBLIGATIONS)
(HIGHWAY TRUST FUND)

[For] Contingent upon enactment of multi-year surface transportation authorization legislation, for payment of obligations incurred in carrying out provisions of 23 U.S.C. 402, 404, and 405, and [section 4001(a)(6) of the Fixing America's Surface Transportation Act] grant administrative expenses under chapter 4 of title 23, United States Code, to remain available until expended, [\$623,017,000] \$647,200,000, to be derived from the Highway Trust Fund (other than the Mass Transit Account): Provided, That none of the funds in this Act shall be available for the planning or execution of programs for which the total obligations in fiscal year [2020] 2021 are in excess of [\$623,017,000] \$647,200,000 for programs authorized under 23 U.S.C. 402, 404, and 405, and [section 4001(a)(6) of the Fixing America's Surface Transportation Act: Provided further, That of the sums appropriated under this heading—]

[(1) \$279,800,000 shall be for "Highway Safety Programs" under 23 U.S.C. 402:]

[(2) \$285,900,000 shall be for "National Priority Safety Programs" under 23 U.S.C. 405:]

[(3) \$30,500,000 shall be for the "High Visibility Enforcement Program" under 23 U.S.C. 404; and]

[(4) \$26,817,000 shall be for "Administrative Expenses" under section 4001(a)(6) of the Fixing America's Surface Transportation Act:] grant administrative expenses under chapter 4 of title 23, United States Code: Provided further, That none of these funds shall be used for construction, rehabilitation, or remodeling costs, or for office furnishings and fixtures for State, local or private buildings or structures: Provided further, That not to exceed \$500,000 of the funds made available [for "National Priority Safety Programs"] under 23 U.S.C. 405 [for "Impaired Driving Countermeasures" (as described in subsection (d) of that section)] shall be available for technical assistance to the States [: Provided further, That with respect to the "Transfers" provision under 23 U.S.C. 405(a)(8), any amounts transferred to increase the amounts made available under section 402 shall include the obligation authority for such amounts: Provided further, That the Administrator shall notify the House and Senate Committees on Appropriations of any exercise of the authority granted under the previous proviso or under 23 U.S.C. 405(a)(8) within 5 days]. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-8020-2-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0030 Surface Transportation Reauthorization Activities			24
0799 Total direct obligations			24

0900 Total new obligations, unexpired accounts (object class 41.0)	24
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Budgetary resources:

Budget authority:	
Appropriations, discretionary:	
1101 Appropriation (special or trust)	24
1138 Appropriations applied to liquidate contract authority	-24
Contract authority, mandatory:	
1600 Contract authority	24
1900 Budget authority (total)	24
1930 Total budgetary resources available	24

Change in obligated balance:

Unpaid obligations:	
3010 New obligations, unexpired accounts	24
3020 Outlays (gross)	17
3050 Unpaid obligations, end of year	41
Memorandum (non-add) entries:	
3200 Obligated balance, end of year	41

Budget authority and outlays, net:

Discretionary:	
Outlays, gross:	
4010 Outlays from new discretionary authority	-17
Mandatory:	
4090 Budget authority, gross	24
4180 Budget authority, net (total)	24
4190 Outlays, net (total)	-17

Memorandum (non-add) entries:

5061 Limitation on obligations (Highway Trust Funds)	-92
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This schedule reflects the additional contract authority and obligation limitation amounts proposed above the baseline for the Highway Traffic Safety Grants program, which aids in the development of a safe, efficient, effective, and environmentally sound highway system. These additional resources are requested as part of the Administration's 10-year surface transportation reauthorization proposal.

ADMINISTRATIVE PROVISIONS

SEC. 140. An additional \$130,000 shall be made available to the National Highway Traffic Safety Administration, out of the amount limited for section 402 of title 23, United States Code, to pay for travel and related expenses for State management reviews and to pay for core competency development training and related expenses for highway safety staff.

SEC. 141. The limitations on obligations for the programs of the National Highway Traffic Safety Administration set in this Act shall not apply to obligations for which obligation authority was made available in previous public laws but only to the extent that the obligation authority has not lapsed or been used.

[SEC. 142. In addition to the amounts made available under the heading, "Operations and Research (Liquidation of Contract Authorization) (Limitation on Obligations) (Highway Trust Fund)" for carrying out the provisions of section 403 of title 23, United States Code, \$17,000,000, to remain available until September 30, 2021, shall be made available to the National Highway Traffic Safety Administration from the general fund: Provided, That of the sums provided under this provision—

(1) not to exceed \$7,000,000 shall be available to provide funding for grants, pilot program activities, and innovative solutions to reduce impaired-driving fatalities in collaboration with eligible entities under section 403 of title 23, United States Code; and

(2) not to exceed \$10,000,000 shall be available to continue a high visibility enforcement paid-media campaign regarding highway-rail grade crossing safety in collaboration with the Federal Railroad Administration.]

SEC. [143] 142. None of the funds in this Act or any other Act shall be used to enforce the requirements of 23 U.S.C. 405(a)(9). (Department of Transportation Appropriations Act, 2020.)

FEDERAL RAILROAD ADMINISTRATION

The following tables show the funding for all Federal Railroad Administration programs:

Budget Authority:	2019 actual	2020 est.	2021 est.
Safety and Operations	222	224	176

Railroad Research and Development	41	41	41
Restoration and Enhancement Grants	5	2	0
Magnetic Levitation Technology Deployment Program	10	2	0
Capital and Debt Service Grants to Amtrak	0	0	-10
National Network Grants to Amtrak	1,292	1,300	611
Northeast Corridor Grants to Amtrak	650	700	325
National Network Transformation Grants	0	0	550
Intercity Passenger Rail Grant Program	0	0	-10
Capital Assistance for High Speed Rail Corridors and Intercity Passenger Rail Service	0	0	-55
Next Generation High-Speed Rail	0	0	-3
Rail Line Relocation and Improvement Program	0	0	-13
Rail Safety Technology Program	0	0	-1
Federal-State Partnership for State of Good Repair	400	200	0
Consolidated Rail Infrastructure and Safety Improvements	255	325	330
Total Budget Authority-Discretionary	2,875	2,794	1,941
Outlays:			
Safety and Operations	229	238	193
Railroad Safety Grants	16	19	9
Railroad Research and Development	32	51	47
Restoration and Enhancement Grants	0	2	1
Magnetic Levitation Technology Deployment Program	0	0	0
Pennsylvania Station Redevelopment Project	15	3	2
Grants to Amtrak	0	0	3
Capital and Debt Service Grants to Amtrak	2	2	0
National Network Grants to Amtrak	1,288	1,303	616
Northeast Corridor Grants to Amtrak	646	702	328
National Network Transformation Grants	0	0	550
Intercity Passenger Rail Grant Program	1	10	1
Capital Assistance for High Speed Rail Corridors and Intercity Passenger Rail Service	26	86	62
Next Generation High-Speed Rail	0	1	0
Northeast Corridor Improvement Program	1	9	10
Rail Line Relocation and Improvement Program	0	2	1
Rail Safety Technology Program	0	0	0
Federal-State Partnership for State of Good Repair	0	1	127
Consolidated Rail Infrastructure and Safety Improvements	6	14	112
Total Outlays-Discretionary	2,262	2,443	2,062

Spending authority from offsetting collections, discretionary:			
Reimbursable Services		1	1
Railroad Safety User Fee			50
1750 Spending auth from offsetting collections, disc (total)		1	51
1900 Budget authority (total)	222	225	227
1930 Total budgetary resources available	249	252	254
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	27	27	27
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	95	95	81
3010 New obligations, unexpired accounts	222	225	227
3020 Outlays (gross)	-229	-239	-244
3031 Unpaid obligations transferred from other accts [070-0413]	10		
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3041 Recoveries of prior year unpaid obligations, expired	-2		
3050 Unpaid obligations, end of year	95	81	64
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	95	95	81
3200 Obligated balance, end of year	95	81	64
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	222	225	227
Outlays, gross:			
4010 Outlays from new discretionary authority	170	196	204
4011 Outlays from discretionary balances	59	43	40
4020 Outlays, gross (total)	229	239	244
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources		-1	-1
4033 Non-Federal sources:			-50
4040 Offsets against gross budget authority and outlays (total)		-1	-51
4070 Budget authority, net (discretionary)	222	224	176
4080 Outlays, net (discretionary)	229	238	193
4180 Budget authority, net (total)	222	224	176
4190 Outlays, net (total)	229	238	193

Federal Funds

SAFETY AND OPERATIONS

For necessary expenses of the Federal Railroad Administration, not otherwise provided for, **[\$224,198,000] \$225,634,000**, of which \$20,000,000 shall remain available until expended: *Provided, That railroad safety fees collected in fiscal year 2021 as provided in section 151 of this Act, of which \$25,000,000 shall remain available until expended for railroad safety activities, shall be credited as offsetting collections to this account: Provided further, That the one-year portion of the sum herein appropriated from the general fund shall be reduced dollar-for-dollar as such offsetting collections are received during fiscal year 2021, so as to result in a final appropriation from the general fund estimated at \$175,634,000. (Department of Transportation Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 069-0700-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Safety and Operations	221	223	175
0006 Alaska railroad liabilities	1	1	1
0007 Railroad Safety User Fee			50
0100 Total direct program	222	224	226
0799 Total direct obligations	222	224	226
0801 Reimbursable services		1	1
0900 Total new obligations, unexpired accounts	222	225	227
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	26	27	27
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	27	27	27
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	222	224	176

Funds requested in the Safety and Operations account support the Federal Railroad Administration's (FRA) personnel and administrative expenses, the cost of rail safety inspectors, and other program activities including contracts. Resources are also provided to fund information management, technology, safety education, and outreach. The Budget includes language in FRA's Administrative Provisions to implement a rail safety user fee to partially offset the cost of rail safety inspectors and activities.

Object Classification (in millions of dollars)

Identification code 069-0700-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	97	104	108
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	2	2	2
11.9 Total personnel compensation	100	107	111
12.1 Civilian personnel benefits	35	37	39
21.0 Travel and transportation of persons	12	7	10
23.1 Rental payments to GSA	7	7	7
25.1 Advisory and assistance services	40	38	31
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	19	19	19
25.7 Operation and maintenance of equipment	4	4	4
26.0 Supplies and materials	1	1	1
31.0 Equipment	1	1	1
41.0 Grants, subsidies, and contributions	2	2	2
99.0 Direct obligations	222	224	226
99.0 Reimbursable obligations		1	1
99.9 Total new obligations, unexpired accounts	222	225	227

SAFETY AND OPERATIONS—Continued
Employment Summary

Identification code 069-0700-0-1-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	913	915	913

RAILROAD SAFETY GRANTS

Program and Financing (in millions of dollars)

Identification code 069-0702-0-1-401	2019 actual	2020 est.	2021 est.
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Obligations by program activity:

0001 Rail Safety Grants	5	3	2
0900 Total new obligations, unexpired accounts (object class 41.0)	5	3	2

Budgetary resources:

Unobligated balance:

1000 Unobligated balance brought forward, Oct 1	11	6	3
1930 Total budgetary resources available	11	6	3

Memorandum (non-add) entries:

1941 Unexpired unobligated balance, end of year	6	3	1
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Change in obligated balance:

Unpaid obligations:

3000 Unpaid obligations, brought forward, Oct 1	43	32	16
3010 New obligations, unexpired accounts	5	3	2
3020 Outlays (gross)	-16	-19	-9
3050 Unpaid obligations, end of year	32	16	9

Memorandum (non-add) entries:

3100 Obligated balance, start of year	43	32	16
3200 Obligated balance, end of year	32	16	9

Budget authority and outlays, net:

Discretionary:

Outlays, gross:

4011 Outlays from discretionary balances	16	19	9
4180 Budget authority, net (total)	16	19	9
4190 Outlays, net (total)	16	19	9

In 2016, \$50 million was appropriated under the Railroad Safety Grants heading to be equally distributed to Railroad Safety Infrastructure Improvement Grants and Railroad Safety Technology Grants. The Fixing America's Surface Transportation (FAST) Act of 2015 (P.L. 114-94) repealed the Railroad Safety Infrastructure Improvement Grants program and did not authorize new funding for the Railroad Safety Technology Grants program. No new funds are requested for this account for 2021.

RAILROAD RESEARCH AND DEVELOPMENT

For necessary expenses for railroad research and development, **[\$40,600,000]** \$41,000,000, to remain available until expended. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-0745-0-1-401	2019 actual	2020 est.	2021 est.
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Obligations by program activity:

0001 Railroad system issues	4	4	4
0002 Human factors	5	5	6
0012 Track Program	12	11	11
0013 Rolling Stock Program	9	10	10
0014 Train Control and Communication	9	8	8
0100 Total direct program	39	38	39
0799 Total direct obligations	39	38	39
0801 Railroad Research and Development (Reimbursable)	2	2	2
0900 Total new obligations, unexpired accounts	39	40	41

Budgetary resources:

Unobligated balance:

1000 Unobligated balance brought forward, Oct 1	7	11	13
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1021 Recoveries of prior year unpaid obligations	2
1050 Unobligated balance (total)	9	11	13
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	41	40	41
Spending authority from offsetting collections, discretionary:			
1700 Collected	2	2
1900 Budget authority (total)	41	42	43
1930 Total budgetary resources available	50	53	56
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	11	13	15

Change in obligated balance:

Unpaid obligations:

3000 Unpaid obligations, brought forward, Oct 1	55	60	47
3010 New obligations, unexpired accounts	39	40	41
3020 Outlays (gross)	-32	-53	-49
3040 Recoveries of prior year unpaid obligations, unexpired	-2
3050 Unpaid obligations, end of year	60	47	39

Memorandum (non-add) entries:

3100 Obligated balance, start of year	55	60	47
3200 Obligated balance, end of year	60	47	39

Budget authority and outlays, net:

Discretionary:

Budget authority, gross

4000 Budget authority, gross	41	42	43
Outlays, gross:			
4010 Outlays from new discretionary authority	7	14	14
4011 Outlays from discretionary balances	25	39	35
4020 Outlays, gross (total)	32	53	49
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-2	-2
4040 Offsets against gross budget authority and outlays (total)	-2	-2
4180 Budget authority, net (total)	41	40	41
4190 Outlays, net (total)	32	51	47

Funding requested in the Railroad Research and Development Program is focused on improving railroad safety. It provides scientific and engineering support for the Federal Railroad Administration's rail safety enforcement and rulemaking efforts. It also identifies and develops emerging technologies for the rail industry to adopt voluntarily. The outcomes of the research and development reduce accidents and incidents. In addition to improving safety, the program contributes significantly towards activities to achieve and maintain a state of good repair and promote job creation and economic growth.

The program focuses on the following areas of research:

Track Program.—Reducing derailments due to track related causes.

Rolling Stock Program.—Reducing derailments due to equipment failures, to minimize the consequences of derailments, and to minimize hazardous material releases.

Train Control and Communication.—Reducing train to train collisions and train collisions with objects on the line and at grade crossings.

Human Factors Program.—Reducing accidents caused by human error.

Railroad System Issues Program.—Prioritizing Research and Development projects on the basis of relevance to safety risk reduction and other DOT goals.

Object Classification (in millions of dollars)

Identification code 069-0745-0-1-401	2019 actual	2020 est.	2021 est.
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Direct obligations:

25.1 Advisory and assistance services	2	2	2
25.3 Other goods and services from Federal sources	1	1	1
25.4 Operation and maintenance of facilities	1	1	1
25.5 Research and development contracts	32	33	34
41.0 Grants, subsidies, and contributions	3	3	3
99.0 Direct obligations	39	40	41
99.9 Total new obligations, unexpired accounts	39	40	41

RESTORATION AND ENHANCEMENT

For necessary expenses related to Restoration and Enhancement Grants, as authorized by section 24408 of title 49, United States Code, \$2,000,000, to remain available until expended: *Provided*, That the Secretary may withhold up to one percent of the funds provided under this heading to fund the costs of award and project management and oversight. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-0127-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Restoration and Enhancement Grants		5	20
0900 Total new obligations, unexpired accounts (object class 41.0)		5	20
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	25	30	27
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5	2	
1930 Total budgetary resources available	30	32	27
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	30	27	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			3
3010 New obligations, unexpired accounts		5	20
3020 Outlays (gross)		-2	-1
3050 Unpaid obligations, end of year		3	22
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			3
3200 Obligated balance, end of year		3	22
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5	2	
Outlays, gross:			
4010 Outlays from new discretionary authority		2	
4011 Outlays from discretionary balances			1
4020 Outlays, gross (total)		2	1
4180 Budget authority, net (total)	5	2	
4190 Outlays, net (total)		2	1

Restoration and Enhancement Grants provide operating assistance to initiate, restore, or enhance intercity passenger rail transportation. The program limits assistance to three years per route and no more than six grants may be simultaneously active. Eligible recipients include States; local governments; Amtrak or other rail carriers that provide intercity passenger rail service; and any rail carrier in partnership with another eligible public-sector applicant. No new funding is requested for FY 2021.

NATIONAL NETWORK TRANSFORMATION GRANTS

(Legislative proposal, not subject to PAYGO)

Contingent upon enactment of multi-year surface transportation authorization language, for necessary expenses related to National Network Transformation Grants, \$550,000,000, to remain available until expended: *Provided*, That the Secretary may withhold up to one percent of the amount provided under this heading for the costs of award and project management oversight of National Network Transformation Grants.

Program and Financing (in millions of dollars)

Identification code 069-1130-2-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 National Network Transformation			550
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			550

1930 Total budgetary resources available			550
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			550
3020 Outlays (gross)			-550
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			550
Outlays, gross:			
4010 Outlays from new discretionary authority			550
4180 Budget authority, net (total)			550
4190 Outlays, net (total)			550

The Administration is proposing to authorize a new National Network Transformation Grants program as part of its 10-year surface transportation reauthorization proposal. The National Network Transformation Grants program will provide Federal operating support for long distance routes, offering transition assistance to states as they assume control over their regional corridor services. This schedule reflects the 2021 request for the National Network Transformation Grants program.

Object Classification (in millions of dollars)

Identification code 069-1130-2-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services			5
41.0 Grants, subsidies, and contributions			545
99.9 Total new obligations, unexpired accounts			550

MAGNETIC LEVITATION TECHNOLOGY DEPLOYMENT PROGRAM

For necessary expenses related to the deployment of magnetic levitation transportation projects, consistent with language in section 1307(a) through (c) of Public Law 109-59, as amended by section 102 of Public Law 110-244 (section 322 of title 23, United States Code), \$2,000,000, to remain available until expended. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-0129-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Magnetic Levitation Technology Deployment			10
0900 Total new obligations, unexpired accounts (object class 41.0)			10
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		10	12
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	10	2	
1930 Total budgetary resources available	10	12	12
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	12	2
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			10
3050 Unpaid obligations, end of year			10
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			10
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	10	2	
4180 Budget authority, net (total)	10	2	
4190 Outlays, net (total)			

The Magnetic Levitation Technology Deployment Program provides grants to states to fund eligible capital costs and preconstruction planning activities that support the deployment of magnetic levitation (maglev)

MAGNETIC LEVITATION TECHNOLOGY DEPLOYMENT PROGRAM—Continued
transportation projects. No new funds are requested for this account for 2021.

PENNSYLVANIA STATION REDEVELOPMENT PROJECT

Program and Financing (in millions of dollars)

Identification code 069-0723-0-1-401	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	20	5	2
3020 Outlays (gross)	-15	-3	-2
3050 Unpaid obligations, end of year	5	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	20	5	2
3200 Obligated balance, end of year	5	2
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	15	3	2
4180 Budget authority, net (total)
4190 Outlays, net (total)	15	3	2

Funds are used to redevelop the Pennsylvania Station in New York City, which involves renovating the James A. Farley Post Office building as Moynihan Station. Funding for this project was included in the Grants to the National Railroad Passenger Corporation appropriation in 1995 through 1997, and the Northeast Corridor Improvement Program in 1998. In 2000, FRA received an advance appropriation of \$20 million for 2001, 2002, and 2003. In 2001, the Congress specified that the \$20 million advance appropriation for the Farley Building be used exclusively for fire and life safety initiatives. In 2016, \$40 million was transferred from the Federal Transit Administration's Hurricane Sandy funding into this account for risk reduction projects at Moynihan Station. No new funds are requested for this account in 2021.

GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

Program and Financing (in millions of dollars)

Identification code 069-0704-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0007 Capital And Debt Grant Sandy Mitigation	14	31
0900 Total new obligations, unexpired accounts (object class 41.0)	14	31
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	45	45	31
1930 Total budgetary resources available	45	45	31
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	45	31
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	14
3010 New obligations, unexpired accounts	14	31
3020 Outlays (gross)	-3
3050 Unpaid obligations, end of year	14	42
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	14
3200 Obligated balance, end of year	14	42
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	3
4180 Budget authority, net (total)
4190 Outlays, net (total)	3

The National Railroad Passenger Corporation (Amtrak) was established in 1970 through the Rail Passenger Service Act. Amtrak is operated and managed as a for-profit corporation. Amtrak is not an agency or instrument of the U.S. Government, although, since the railroad's creation FRA has provided annual grants for operating, capital, and debt service costs.

Prior to 2006, FRA received annual appropriations in this account for grants to Amtrak. Since then, several one-time appropriations or funding transfers have been directed to this account, including \$1.3 billion in funds under the American Recovery and Reinvestment Act of 2009; \$112 million from the Disaster Relief Appropriations Act of 2013 (P.L. 113-2) for recovery efforts from super storm Sandy; \$185 million transfer from the Federal Transit Administration for the Hudson Yards disaster resiliency project in New York City; and a \$13 million transfer from the Federal Transit Administration for the Metropolitan Transportation Authority/Long Island Rail Road's River to River Rail Resiliency project in New York City. No new funds are requested for this account in 2021.

CAPITAL AND DEBT SERVICE GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

Program and Financing (in millions of dollars)

Identification code 069-0125-0-1-401	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	10	10
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced	-10
1930 Total budgetary resources available	10	10
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	10
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	4	2
3020 Outlays (gross)	-2	-2
3050 Unpaid obligations, end of year	2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	4	2
3200 Obligated balance, end of year	2
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	-10
Outlays, gross:			
4011 Outlays from discretionary balances	2	2
4180 Budget authority, net (total)	-10
4190 Outlays, net (total)	2	2

From 2006 to 2016, the Federal Railroad Administration received appropriations to this account to make grants to the National Railroad Passenger Corporation (Amtrak) for capital investments and debt service assistance. The FAST Act authorized two new appropriations accounts for Amtrak—Northeast Corridor grants and National Network grants—which first received funding in 2017. No new funds are requested for this account in 2021.

NATIONAL NETWORK GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

Program and Financing (in millions of dollars)

Identification code 069-1775-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants for National Network	1,241	1,250
0002 Management Oversight	8	7	3
0003 State-Supported Route Committee	2
0004 Americans with Disabilities Act (ADA)	42	42
0900 Total new obligations, unexpired accounts	1,291	1,301	3

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	8	9	8
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	1,292	1,300
1930	Total budgetary resources available	1,300	1,309	8
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	9	8	5

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	5	8	6
3010	New obligations, unexpired accounts	1,291	1,301	3
3020	Outlays (gross)	-1,288	-1,303	-7
3050	Unpaid obligations, end of year	8	6	2
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	5	8	6
3200	Obligated balance, end of year	8	6	2

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	1,292	1,300
Outlays, gross:				
4010	Outlays from new discretionary authority	1,285	1,297
4011	Outlays from discretionary balances	3	6	7
4020	Outlays, gross (total)	1,288	1,303	7
4180	Budget authority, net (total)	1,292	1,300
4190	Outlays, net (total)	1,288	1,303	7

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	1,292	1,300
Outlays	1,288	1,303	7
Legislative proposal, not subject to PAYGO:			
Budget Authority	611
Outlays	609
Total:			
Budget Authority	1,292	1,300	611
Outlays	1,288	1,303	616

The Fixing America's Surface Transportation Act authorized two new appropriations accounts for the National Railroad Passenger Corporation (Amtrak)—Northeast Corridor Grants and National Network Grants. Funds for the National Network Grants to the National Railroad Passenger Corporation account provide capital, operating, and debt service funding for Amtrak activities related to the National Network, which includes Amtrak's State-Supported services, Long Distance services, and other Amtrak costs not allocated to the Northeast Corridor. Amtrak began receiving its annual appropriations from the Congress under this account structure in 2017. The 2021 Budget proposes funding operating costs for Long Distance routes through the National Network Transformation Grants program as part of a proposal to restructure the Long Distance Network.

The Administration is proposing to authorize the National Network Grants to the National Railroad Passenger Corporation as part of its 10-year surface transportation reauthorization proposal. The Budget requests \$7.3 billion for the program over 10-years, including \$611 million for 2021. This schedule reflects 2019 actual and 2020 enacted program and financial information for the program. The request for 2021 is reflected in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-1775-0-1-401	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	1	1
12.1	Civilian personnel benefits	1	1
25.1	Advisory and assistance services	6	5	3
41.0	Grants, subsidies, and contributions	1,283	1,294
99.9	Total new obligations, unexpired accounts	1,291	1,301	3

Employment Summary

Identification code 069-1775-0-1-401	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	10	12

NATIONAL NETWORK GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

(Legislative proposal, not subject to PAYGO)

[To enable the Secretary of Transportation to make grants to] *Contingent upon enactment of multi-year surface transportation authorization legislation, for necessary expenses related to the National Railroad Passenger Corporation for activities associated with the National Network, [as authorized by section 11101(b) of the Fixing America's Surface Transportation Act (division A of Public Law 114-94), \$1,300,000,000]* \$611,000,000, to remain available until expended: *Provided*, That the Secretary may retain up to an additional \$2,000,000 of the funds provided under this heading to fund expenses associated with the State-Supported Route Committee established under section 24712 of title 49, United States Code: *Provided further*, That at least \$50,000,000 of the amount provided under this heading shall be available for the development, installation and operation of railroad safety technology, including the implementation of a positive train control system, on State-supported routes as defined under section 24102(13) of title 49, United States Code, on which positive train control systems are not required by law or regulation: *Provided further*, That none of the funds provided under this heading shall be used by Amtrak to give notice under subsection (a) or (b) of section 24706 of title 49, United States Code, with respect to long-distance routes (as defined in section 24102 of title 49, United States Code) on which Amtrak is the sole operator on a host railroad's line and a positive train control system is not required by law or regulation, or, except in an emergency or during maintenance or construction outages impacting such routes, to otherwise discontinue, reduce the frequency of, suspend, or substantially alter the route of rail service on any portion of such route operated in fiscal year 2018, including implementation of service permitted by section 24305(a)(3)(A) of title 49, United States Code, in lieu of rail service. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-1775-2-1-401	2019 actual	2020 est.	2021 est.
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Obligations by program activity:

0001	Surface transportation reauthorization activities	609
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Budgetary resources:

Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	611
1930	Total budgetary resources available	611
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2

Change in obligated balance:

Unpaid obligations:				
3010	New obligations, unexpired accounts	609
3020	Outlays (gross)	-609

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	611
Outlays, gross:				
4010	Outlays from new discretionary authority	609
4180	Budget authority, net (total)	611
4190	Outlays, net (total)	609

The Administration is proposing to authorize the National Network Grants to the National Railroad Passenger program as part of its 10-year surface transportation reauthorization proposal. This program provides funding for capital, operating, and debt service funding for Amtrak activities related to the National Network. This schedule reflects the 2021 request for the National Network Grants to the National Railroad Passenger program.

Object Classification (in millions of dollars)

Identification code 069-1775-2-1-401	2019 actual	2020 est.	2021 est.	
Direct obligations:				
11.1	Personnel compensation: Full-time permanent	1

NATIONAL NETWORK GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION—Continued

Object Classification—Continued

Identification code 069-1775-2-1-401	2019 actual	2020 est.	2021 est.
41.0 Grants, subsidies, and contributions			608
99.9 Total new obligations, unexpired accounts			609

Employment Summary

Identification code 069-1775-2-1-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			7

NORTHEAST CORRIDOR GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

Program and Financing (in millions of dollars)

Identification code 069-1774-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Grants for Northeast Corridor	635	684	
0002 Management Oversight		3	3
0003 Northeast Corridor Commission	4	5	
0004 Americans with Disabilities Act (ADA)	8	8	
0900 Total new obligations, unexpired accounts	647	700	3
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11	14	14
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	650	700	
1930 Total budgetary resources available	661	714	14
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	14	14	11
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	2	3	1
3010 New obligations, unexpired accounts	647	700	3
3020 Outlays (gross)	-646	-702	-4
3050 Unpaid obligations, end of year	3	1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	2	3	1
3200 Obligated balance, end of year	3	1	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	650	700	
Outlays, gross:			
4010 Outlays from new discretionary authority	642	698	
4011 Outlays from discretionary balances	4	4	4
4020 Outlays, gross (total)	646	702	4
4180 Budget authority, net (total)	650	700	
4190 Outlays, net (total)	646	702	4

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	650	700	
Outlays	646	702	4
Legislative proposal, not subject to PAYGO:			
Budget Authority			325
Outlays			324
Total:			
Budget Authority	650	700	325
Outlays	646	702	328

The Fixing America's Surface Transportation Act authorized two new appropriations accounts for the National Railroad Passenger Corporation (Amtrak)—Northeast Corridor Grants and National Network Grants. Funds for the Northeast Corridor Grants to the National Railroad Passenger Cor-

poration account provide capital, operating, and debt service funding for Amtrak activities related to the Northeast Corridor. Amtrak began receiving its annual appropriations from Congress under this account structure in 2017. The Administration is proposing to authorize the Northeast Corridor Grants to the National Railroad Passenger Corporation as part of its 10-year surface transportation reauthorization proposal. The Budget requests \$3.9 billion for the program over 10-years, including \$325 million for 2021. This schedule reflects 2019 actual and 2020 enacted program and financial information for the program. The request for 2021 is reflected in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-1774-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.1 Advisory and assistance services		3	3
41.0 Grants, subsidies, and contributions	647	697	
99.9 Total new obligations, unexpired accounts	647	700	3

NORTHEAST CORRIDOR GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

(Legislative proposal, not subject to PAYGO)

[To enable the Secretary of Transportation to make grants to] Contingent upon enactment of multi-year surface transportation authorization legislation, for necessary expenses related to the National Railroad Passenger Corporation for activities associated with the Northeast Corridor, [as authorized by section 11101(a) of the Fixing America's Surface Transportation Act (division A of Public Law 114-94), \$700,000,000] \$325,466,000, to remain available until expended: Provided, That the Secretary may retain up to one-half of 1 percent of the funds provided under both this heading and the "National Network Grants to the National Railroad Passenger Corporation" heading to fund the costs of project management and oversight of activities [authorized by section 11101(c) of division A of Public Law 114-94: Provided further, That in addition to the project management oversight funds authorized under section 11101(c) of division A of Public Law 114-94, the Secretary may retain up to an additional \$5,000,000 of the funds provided under this heading to fund expenses associated with the Northeast Corridor Commission established under section 24905 of title 49, United States Code: Provided further, That of the amounts made available under this heading and the "National Network Grants to the National Railroad Passenger Corporation" heading, not less than \$50,000,000 shall be made available to bring Amtrak-served facilities and stations into compliance with the Americans with Disabilities Act: Provided further, That of the amounts made available under this heading and the "National Network Grants to the National Railroad Passenger Corporation" heading, \$100,000,000 shall be made available to fund the replacement of the single-level passenger cars used on Northeast Corridor, State Supported Corridor, and Long Distance routes]. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-1774-2-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Surface transportation reauthorization activities			324
0900 Total new obligations, unexpired accounts (object class 41.0)			324
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			325
1930 Total budgetary resources available			325
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			1
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			324
3020 Outlays (gross)			-324
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			325

Outlays, gross:		
4010 Outlays from new discretionary authority	324	
4180 Budget authority, net (total)	325	
4190 Outlays, net (total)	324	

The Administration is proposing to authorize the Northeast Corridor Grants to the National Railroad Passenger program as part of its 10-year surface transportation reauthorization proposal. The program provides funding for activities related to the Northeast Corridor. This schedule reflects the 2021 request for the Northeast Corridor Grants to the National Railroad Passenger program.

INTERCITY PASSENGER RAIL GRANT PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-0715-0-1-401	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	10	10	10
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced			-10
1930 Total budgetary resources available	10	10	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	10	10	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	13	12	2
3020 Outlays (gross)	-1	-10	-1
3050 Unpaid obligations, end of year	12	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	13	12	2
3200 Obligated balance, end of year	12	2	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			-10
Outlays, gross:			
4011 Outlays from discretionary balances	1	10	1
4180 Budget authority, net (total)			-10
4190 Outlays, net (total)	1	10	1

This competitive grant program encourages State participation in passenger rail service. Under this program, a State or States may apply for grants for up to 50 percent of the cost of capital investments necessary to support improved intercity passenger rail service that either requires no operating subsidy or for which the State or States agree to provide any needed operating subsidy. To qualify for funding, States must include intercity passenger rail service as an integral part of statewide transportation planning as required under 23 U.S.C. 135. Additionally, the specific project must be on the Statewide Transportation Improvement Plan at the time of application.

No new funds are requested for this account for 2021.

CAPITAL ASSISTANCE FOR HIGH SPEED RAIL CORRIDORS AND INTERCITY PASSENGER RAIL SERVICE

Program and Financing (in millions of dollars)

Identification code 069-0719-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0004 Capital Assistance High-Speed Rail Corridors and IPR Service Oversight	1		
0006 Capital Assistance High-Speed Rail Corridors and IPR Service Planning Activities		1	
0900 Total new obligations, unexpired accounts (object class 25.1)	1	1	

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	56	986	985
1021 Recoveries of prior year unpaid obligations	931		
1050 Unobligated balance (total)	987	986	985
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced			-55
1930 Total budgetary resources available	987	986	930
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	986	985	930

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1,273	317	232
3010 New obligations, unexpired accounts	1	1	
3020 Outlays (gross)	-26	-86	-62
3040 Recoveries of prior year unpaid obligations, unexpired	-931		
3050 Unpaid obligations, end of year	317	232	170
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1,273	317	232
3200 Obligated balance, end of year	317	232	170

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross			-55
Outlays, gross:			
4011 Outlays from discretionary balances	26	86	62
4180 Budget authority, net (total)			-55
4190 Outlays, net (total)	26	86	62

Through this program, FRA provides capital grants to States to invest and improve intercity passenger rail service, including the development of new high-speed rail capacity. Activity in this account includes the \$8 billion provided by the American Recovery and Reinvestment Act of 2009 and an additional \$2.1 billion provided in subsequent enacted appropriations. No new funds are requested for this account for 2021.

NEXT GENERATION HIGH-SPEED RAIL

Program and Financing (in millions of dollars)

Identification code 069-0722-0-1-401	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	3	3
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced			-3
1930 Total budgetary resources available	3	3	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	
3020 Outlays (gross)		-1	
3050 Unpaid obligations, end of year	1		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	
3200 Obligated balance, end of year	1		
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			-3
Outlays, gross:			
4011 Outlays from discretionary balances		1	
4180 Budget authority, net (total)			-3
4190 Outlays, net (total)		1	

The Next Generation High-Speed Rail Program funds research, development, technology demonstration programs, and the planning and analysis

NEXT GENERATION HIGH-SPEED RAIL—Continued

required to evaluate high speed rail technology proposals. No new funds are requested for this account for 2021.

NORTHEAST CORRIDOR IMPROVEMENT PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-0123-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Northeast Corridor Improvement Program	1		
0900 Total new obligations, unexpired accounts (object class 42.0)	1		
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1		
1930 Total budgetary resources available	1		
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	19	19	10
3010 New obligations, unexpired accounts	1		
3020 Outlays (gross)	-1	-9	-10
3050 Unpaid obligations, end of year	19	10	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	19	19	10
3200 Obligated balance, end of year	19	10	
Budget authority and outlays, net:			
Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	1	9	10
4180 Budget authority, net (total)			
4190 Outlays, net (total)	1	9	10

Prior to 2001, this program provided funds to continue the upgrade of passenger rail service in the corridor between Washington, District of Columbia, and Boston, Massachusetts. For 2016, \$19 million was provided for grants to Amtrak for shared use infrastructure on the Northeast Corridor identified in the Northeast Corridor Infrastructure and Operations Advisory Commission's five year capital plan. No new funds are requested for this account for 2021.

RAIL LINE RELOCATION AND IMPROVEMENT PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-0716-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Rail line relocation		1	
0900 Total new obligations, unexpired accounts (object class 41.0)		1	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	14	14	13
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced			-13
1930 Total budgetary resources available	14	14	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	14	13	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	3	3	2
3010 New obligations, unexpired accounts		1	
3020 Outlays (gross)		-2	-1
3050 Unpaid obligations, end of year	3	2	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	3	3	2
3200 Obligated balance, end of year	3	2	1

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross			-13
Outlays, gross:			
4011 Outlays from discretionary balances	2		1
4180 Budget authority, net (total)			-13
4190 Outlays, net (total)	2		1

The Rail Line Relocation and Improvement program provides Federal assistance to States for relocating or making necessary improvements to local rail lines. The program was repealed by the Fixing America's Surface Transportation (FAST) Act; however, the project eligibilities are included under the Consolidated Rail Infrastructure and Safety Improvements program. No new funds are requested for this account for 2021.

RAIL SAFETY TECHNOLOGY PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-0701-0-1-401	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		1	1
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	1	1	1
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced			-1
1930 Total budgetary resources available	1	1	
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		1	
3040 Recoveries of prior year unpaid obligations, unexpired		-1	
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		1	
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			-1
4180 Budget authority, net (total)			-1
4190 Outlays, net (total)			

The Railroad Safety Technology Program is a competitive grant program for the deployment of train control technologies to passenger and freight rail carriers, railroad suppliers, and State and local governments.

No new funds are requested for this account for 2021.

FEDERAL-STATE PARTNERSHIP FOR STATE OF GOOD REPAIR

For necessary expenses related to Federal-State Partnership for State of Good Repair Grants as authorized by section 24911 of title 49, United States Code, \$200,000,000, to remain available until expended: *Provided*, That the Secretary may withhold up to one percent of the amount provided under this heading for the costs of award and project management oversight of grants carried out under section 24911 of title 49, United States Code: *Provided further*, That the Secretary shall issue the Notice of Funding Opportunity for funds provided under this heading consistent with section 24911 of title 49, United States Code, no later than 180 days after enactment of this Act: *Provided further*, That the Secretary shall review all applications received in response to the Notice of Funding Opportunity required in the previous proviso: *Provided further*, That the Secretary shall announce the selection of projects to receive awards for the funds described in the previous two provisos no later than 1 year of enactment of this Act. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-2810-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Federal-State Partnership for State of Good Repair Grants		249	403

0002	Federal-State Partnership for State of Good Repair Oversight	1	1	2
0900	Total new obligations, unexpired accounts	1	250	405
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	275	674	624
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	400	200
1930	Total budgetary resources available	675	874	624
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	674	624	219
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	1	250
3010	New obligations, unexpired accounts	1	250	405
3020	Outlays (gross)	-1	-127
3050	Unpaid obligations, end of year	1	250	528
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1	250
3200	Obligated balance, end of year	1	250	528
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	400	200
Outlays, gross:				
4011	Outlays from discretionary balances	1	127
4180	Budget authority, net (total)	400	200
4190	Outlays, net (total)	1	127

The Federal-State Partnership for State of Good Repair program is intended to reduce the state of good repair backlog on publicly-owned or Amtrak-owned infrastructure, equipment and facilities. Eligible activities include capital projects to 1) replace existing assets in-kind or with assets that increase capacity or service levels; 2) ensure that service can be maintained while existing assets are brought into a state of good repair; and 3) bring existing assets into a state of good repair. Eligible recipients include states, local governments and Amtrak. The program was authorized in 2015 by the Fixing America's Surface Transportation Act. No new funds are requested for this account for 2021.

Object Classification (in millions of dollars)

Identification code 069-2810-0-1-401	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.1	Advisory and assistance services	1	1	2
41.0	Grants, subsidies, and contributions	249	403
99.9	Total new obligations, unexpired accounts	1	250	405

CONSOLIDATED RAIL INFRASTRUCTURE AND SAFETY IMPROVEMENTS

Program and Financing (in millions of dollars)

Identification code 069-2811-0-1-401	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Consolidated Rail Infrastructure and Safety Improvements Grants	33	541	416
0002	Consolidated Rail Infrastructure and Safety Improvements Oversight	1	4	4
0900	Total new obligations, unexpired accounts	34	545	420
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	661	772	552
1010	Unobligated balance transfer to other accts [069-2812]	-110
1050	Unobligated balance (total)	551	772	552
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	255	325
1930	Total budgetary resources available	806	1,097	552
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	772	552	132

Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	28	559
3010	New obligations, unexpired accounts	34	545	420
3020	Outlays (gross)	-6	-14	-112
3050	Unpaid obligations, end of year	28	559	867
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	28	559
3200	Obligated balance, end of year	28	559	867

Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	255	325
Outlays, gross:				
4011	Outlays from discretionary balances	6	14	112
4180	Budget authority, net (total)	255	325
4190	Outlays, net (total)	6	14	112

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	255	325
Outlays	6	14	112
Legislative proposal, not subject to PAYGO:			
Budget Authority	330
Total:			
Budget Authority	255	325	330
Outlays	6	14	112

Consolidated Rail Infrastructure and Safety Improvements are intended to improve the safety, efficiency, and reliability of passenger and freight rail systems. Eligible activities include a wide range of freight and passenger rail capital, planning, environmental analyses, research, workforce development and training projects. Eligible recipients include States; local governments; Class II and Class III railroads; holding companies of Class II and Class III railroads; Amtrak and other intercity passenger rail operators; rail carriers and equipment manufacturers that partner with an eligible public-sector applicant; the Transportation Research Board; University Transportation Centers; and non-profit rail labor organizations.

The Administration is proposing to authorize the Consolidated Rail Infrastructure and Safety Improvements program as part of its 10-year surface transportation reauthorization proposal. The Budget requests \$3.9 billion for the program over 10-years, including \$330 million for 2021. This schedule reflects 2019 actual and 2020 enacted program and financial information for the program. The request for 2021 is reflected in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-2811-0-1-401	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.1	Advisory and assistance services	1	4	4
41.0	Grants, subsidies, and contributions	33	541	416
99.9	Total new obligations, unexpired accounts	34	545	420

CONSOLIDATED RAIL INFRASTRUCTURE AND SAFETY IMPROVEMENTS

(Legislative proposal, not subject to PAYGO)

[For] Contingent upon enactment of multi-year surface transportation authorization legislation, for necessary expenses related to Consolidated Rail Infrastructure and Safety Improvements Grants, as authorized by section 22907 of title 49, United States Code, **[\$325,000,000]** \$330,000,000, to remain available until expended: *Provided*, **[**That section 22905(f) of title 49, United States Code, shall not apply to projects for the implementation of positive train control systems otherwise eligible under section 22907(c)(1) of title 49, United States Code: *Provided further*, That amounts available under this heading for projects selected for commuter rail passenger transportation may be transferred by the Secretary, after selection, to the appropriate agencies to be administered in accordance with chapter 53 of title 49, United States Code: *Provided further*, That the Secretary shall not limit eligible projects from consideration for funding for planning, engineering, environmental, construc-

CONSOLIDATED RAIL INFRASTRUCTURE AND SAFETY IMPROVEMENTS—Continued
tion, and design elements of the same project in the same application: *Provided further*, That unobligated balances remaining after 4 years from the date of enactment may be used for any eligible project under section 22907(c) of title 49, United States Code: *Provided further*, That the Secretary may withhold up to one percent of the amount provided under this heading for the costs of award and project management oversight of grants carried out under section 22907 of title 49, United States Code: *Provided further*, That of the sums appropriated under this heading, \$45,000,000 shall be available for projects eligible under section 22907(c)(2) of title 49, United States Code, that require the acquisition of rights-of-way, track, or track structure to support the development of new intercity passenger rail service routes: *Provided further*, That for amounts available under this heading eligible recipients under section 22907(b) of title 49, United States Code, shall include any holding company of a Class II railroad or Class III railroad (as those terms are defined in section 20102 of title 49, United States Code): *Provided further*, That the Secretary shall issue the Notice of Funding Opportunity that encompasses funds provided under this heading in this Act no later than 120 days after enactment of this Act and announce the selection of projects to receive awards for such funds no later than 300 days after the enactment of this Act: *Provided further*, That the Notice of Funding Opportunity under the previous proviso shall require application submissions 60 days after the publishing of such Notice]. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069–2811–2–1–401	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100			330
1930			330
Total budgetary resources available			
Memorandum (non-add) entries:			
1941			330
Unexpired unobligated balance, end of year			
Budget authority and outlays, net:			
Discretionary:			
4000			330
4180			330
4190			
Outlays, net (total)			

The Administration is proposing to authorize the Consolidated Rail Infrastructure and Safety Improvements as part of its 10-year surface transportation reauthorization proposal. This program provides grants to improve the safety, efficiency, and reliability of passenger and freight rail systems. This schedule reflects the 2021 request for the Consolidated Rail Infrastructure and Safety Improvements program.

ADMINISTRATIVE PROVISIONS—FEDERAL RAILROAD ADMINISTRATION

SEC. 150. None of the funds provided to the National Railroad Passenger Corporation may be used to fund any overtime costs in excess of \$35,000 for any individual employee: *Provided*, That the President of Amtrak may waive the cap set in the previous proviso for specific employees when the President of Amtrak determines such a cap poses a risk to the safety and operational efficiency of the system: *Provided further*, That the President of Amtrak shall report to the House and Senate Committees on Appropriations within 60 days of enactment of this Act, a summary of all overtime payments incurred by the Corporation for [2019] 2020 and the three prior calendar years: *Provided further*, That such summary shall include the total number of employees that received waivers and the total overtime payments the Corporation paid to those employees receiving waivers for each month for [2019] 2020 and for the three prior calendar years.

[SEC. 151. None of the funds provided to the National Railroad Passenger Corporation under the headings "Northeast Corridor Grants to the National Railroad Passenger Corporation" and "National Network Grants to the National Railroad Passenger Corporation" may be used to reduce the total number of Amtrak Police Department uniformed officers patrolling on board passenger trains or at stations, facilities or rights-of-way below the staffing level on May 1, 2019.]

[SEC. 152. It is the sense of Congress that—

(1) long-distance passenger rail routes provide much-needed transportation access for 4,700,000 riders in 325 communities in 40 States and are particularly important in rural areas; and

(2) long-distance passenger rail routes and services should be sustained to ensure connectivity throughout the National Network (as defined in section 24102 of title 49, United States Code).]

[SEC. 153. None of the funds made available by this Act may be used by the National Railroad Passenger Corporation in contravention of the Worker Adjustment and Retraining Notification Act (29 U.S.C. 2101 et seq.).]

SEC. 151. RAILROAD SAFETY USER FEES. Section 20155 of title 49, United States Code, is amended—

(1) in subsection (a) by inserting "rail safety" before "fees for railroad carriers"; and by striking "The fees—" and all that follows through the period at the end and inserting "The fees shall be imposed fairly on railroad carriers, in reasonable relationship to appropriate criteria to be developed by the Secretary."; and

(2) by striking subsections (c), (d), and (e) and inserting the following new subsection:

"(c) COLLECTION, DEPOSIT, AND USE.—

"(1) Fees collected under this section shall be deposited in the Federal Railroad Administrations Safety and Operations account as offsetting collections.

"(2) Such fees shall be collected and available to the extent provided in appropriations acts."

SEC. 152. Of the unobligated balances of funds remaining from—

(a) "Capital and Debt Service Grants to the National Railroad Passenger Corporation" accounts totaling \$10,414,449.82 appropriated by the following Public Laws are hereby permanently cancelled:

- (1) Public Law 112–10 a total of \$289,234.48;
- (2) Public Law 112–55 a total of \$4,760,000.00;
- (3) Public Law 113–76 a total of \$792,502.52;
- (4) Public Law 113–235 a total of \$1,698,806.61;
- (5) Public Law 114–113 a total of \$2,873,906.21;

(b) "Railroad Safety Technology Program" account totaling \$613,252 appropriated by Public Law 111–117 is hereby permanently cancelled;

(c) "Capital Assistance to States - Intercity Passenger Rail Service" account totaling \$9,867,630 appropriated by Public Law 111–8 is hereby permanently cancelled;

(d) "Rail Line Relocation and Improvement Program" accounts totaling \$12,650,365.14 appropriated by the following Public Laws are hereby permanently cancelled:

- (1) Public Law 110–161 a total of \$923,214.63;
- (2) Public Law 111–8 a total of \$5,558,233.95;
- (3) Public Law 111–117 a total of \$3,763,767.95;
- (4) Public Law 112–10 a total of \$2,405,148.61;

(e) "Capital Assistance for High Speed Rail Corridors and Intercity Passenger Rail Service" account totaling \$55,363,710 appropriated by Public Law 111–117 is hereby permanently cancelled; and

(f) "Next Generation High-Speed Rail" accounts totaling \$3,019,483.21 appropriated by the following Public Laws are hereby permanently cancelled:

- (1) Public Law 104–50 a total of \$610,807.00;
- (2) Public Law 104–205 a total of \$5,963.71;
- (3) Public Law 105–66 a total of \$1,218,742.47;
- (4) Public Law 105–277 a total of \$17,097.00;
- (5) Public Law 106–69 a total of \$1,005,969.00;
- (6) Public Law 108–7 a total of \$43,951.57;
- (7) Public Law 108–199 a total of \$24,263.48;
- (8) Public Law 108–447 a total of \$92,688.98.

(Department of Transportation Appropriations Act, 2020.)

FEDERAL TRANSIT ADMINISTRATION

The 2021 Budget Request of \$13.2 billion provides grant funding to State and local governments, public and private transit operators, and other recipients to enhance public transportation across the United States. Grant programs fund the construction of new public transit systems, purchase and maintain transit vehicles and equipment, subsidize limited public transit operations, support regional transportation planning efforts, and improve technology and service methods critical to the delivery of public transportation.

The table below reflects the budget authority requested for FTA programs.

[In millions of dollars]

	2019 Actual	2020 Enacted	2021 Request
Budget Authority:			
Transit Formula Grants (TF)	9,939	10,150	11,046
Capital Investment Grants (GF)	2,553	1,978	1,889
Administrative Expenses (GF)	113	117	121
Transit Research (Reclassified) (GF)	0	0	8
Technical Assistance and Training (GF)	5	5	0
Transit Infrastructure Grants (GF)	700	510	0
Washington Metropolitan Area Transit Authority (GF)	150	150	150
Public Transportation Emergency Relief Program (GF)	10	0	0
Total Budget Authority	13,471	12,910	13,214
Total Discretionary	3,532	2,760	2,168
Total Mandatory	9,939	10,150	11,046

Federal Funds
ADMINISTRATIVE EXPENSES

Program and Financing (in millions of dollars)

Identification code 069-1120-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Administrative expenses	107	112
0002 Transit Safety Oversight	5	4
0003 Transit Asset Management	1	1
0900 Total new obligations, unexpired accounts	113	117
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	113	117
1930 Total budgetary resources available	113	117
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	16	15	6
3010 New obligations, unexpired accounts	113	117
3011 Obligations ("upward adjustments"), expired accounts	1
3020 Outlays (gross)	-114	-126	-6
3041 Recoveries of prior year unpaid obligations, expired	-1
3050 Unpaid obligations, end of year	15	6
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	16	15	6
3200 Obligated balance, end of year	15	6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	113	117
Outlays, gross:			
4010 Outlays from new discretionary authority	101	111
4011 Outlays from discretionary balances	13	15	6
4020 Outlays, gross (total)	114	126	6
4180 Budget authority, net (total)	113	117
4190 Outlays, net (total)	114	126	6

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	113	117
Outlays	114	126	6
Legislative proposal, not subject to PAYGO:			
Budget Authority	121
Outlays	115
Total:			
Budget Authority	113	117	121
Outlays	114	126	121

The Federal Transit Administration's (FTA) Administrative Expenses appropriation provides resources for salaries, benefits, and administrative expenses to carry out the Agency's stewardship of over \$13 billion in Federal funds. As part of the Administration's 10-year surface transportation reauthorization proposal, the Budget requests \$1.438 billion for the Administrative Expenses appropriation over 10-years, including \$121 million for

2021, a 3 percent increase from FY 2020. This schedule reflects 2019 actual and 2020 enacted program and financial information for the Administrative Expenses appropriation. The request for 2021 is reflected in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months, the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-1120-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	58	60
11.3 Other than full-time permanent	1	1
11.5 Other personnel compensation	1	1
11.9 Total personnel compensation	60	62
12.1 Civilian personnel benefits	19	20
21.0 Travel and transportation of persons	2	1
23.1 Rental payments to GSA	8	9
23.3 Communications, utilities, and miscellaneous charges	1	1
25.2 Other services from non-Federal sources	1	1
25.3 Other goods and services from Federal sources	17	18
25.7 Operation and maintenance of equipment	4	4
31.0 Equipment	1	1
99.9 Total new obligations, unexpired accounts	113	117

Employment Summary

Identification code 069-1120-0-1-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	499	509

ADMINISTRATIVE EXPENSES

(Legislative proposal, not subject to PAYGO)

[For] Contingent upon enactment of multi-year surface transportation authorization legislation, for necessary administrative expenses of the Federal Transit Administration's programs authorized by chapter 53 of title 49, United States Code, [\$117,000,000, of which \$15,000,000 shall] \$121,052,000, to remain available until September 30, [2021, and] 2022, of which up to \$1,000,000 shall be available to carry out the provisions of section 5326 of such title [; Provided, That upon submission to the Congress of the fiscal year 2021 President's budget, the Secretary of Transportation shall transmit to Congress the annual report on Capital Investment Grants, including proposed allocations for fiscal year 2021]. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-1120-2-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0004 Surface Transportation Reauthorization Activities	121
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	121
1930 Total budgetary resources available	121
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	121
3020 Outlays (gross)	-115
3050 Unpaid obligations, end of year	6
Memorandum (non-add) entries:			
3200 Obligated balance, end of year	6
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	121
Outlays, gross:			
4010 Outlays from new discretionary authority	115
4180 Budget authority, net (total)	121
4190 Outlays, net (total)	115

These resources are requested as part of the Administration's 10-year surface transportation reauthorization proposal for the Administrative Ex-

ADMINISTRATIVE EXPENSES—Continued

penses appropriation. The Administrative Expenses appropriation provides resources for salaries, benefits, and administrative expenses to carry out the Agency's stewardship of over \$13 billion in Federal funds. This schedule reflects the 2021 request for the Administrative Expenses appropriation.

Object Classification (in millions of dollars)

Identification code 069-1120-2-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent			63
11.3 Other than full-time permanent			1
11.5 Other personnel compensation			1
11.9 Total personnel compensation			65
12.1 Civilian personnel benefits			21
21.0 Travel and transportation of persons			1
23.1 Rental payments to GSA			9
23.3 Communications, utilities, and miscellaneous charges			1
25.2 Other services from non-Federal sources			1
25.3 Other goods and services from Federal sources			18
25.7 Operation and maintenance of equipment			4
31.0 Equipment			1
99.9 Total new obligations, unexpired accounts			121

Employment Summary

Identification code 069-1120-2-1-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			516

JOB ACCESS AND REVERSE COMMUTE GRANTS

Program and Financing (in millions of dollars)

Identification code 069-1125-0-1-401	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	1
3050 Unpaid obligations, end of year	1	1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	1	1	1
3200 Obligated balance, end of year	1	1	1
4180 Budget authority, net (total)			
4190 Outlays, net (total)			

Activities have not been funded in the Job Access and Reverse Commute Grants account since 2005.

GRANTS TO THE WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY

For grants to the Washington Metropolitan Area Transit Authority as authorized under section 601 of division B of Public Law 110-432, \$150,000,000, to remain available until expended: *Provided*, That the Secretary of Transportation shall approve grants for capital and preventive maintenance expenditures for the Washington Metropolitan Area Transit Authority only after receiving and reviewing a request for each specific project: *Provided further*, That the Secretary shall determine that the Washington Metropolitan Area Transit Authority has placed the highest priority on those investments that will improve the safety of the system before approving such grants: *Provided further*, That the Secretary, in order to ensure safety throughout the rail system, may waive the requirements of section 601(e)(1) of division B of Public Law 110-432. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-1128-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Washington Metropolitan Area Transit Authority	148	149	149
0002 Oversight		1	1

0900 Total new obligations, unexpired accounts	148	150	150
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	3	5	5
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	150	150	150
1930 Total budgetary resources available	153	155	155
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	5	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	167	156	197
3010 New obligations, unexpired accounts	148	150	150
3020 Outlays (gross)	-159	-109	-114
3050 Unpaid obligations, end of year	156	197	233
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	167	156	197
3200 Obligated balance, end of year	156	197	233
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	150	150	150
Outlays, gross:			
4010 Outlays from new discretionary authority	10	38	38
4011 Outlays from discretionary balances	149	71	76
4020 Outlays, gross (total)	159	109	114
4180 Budget authority, net (total)	150	150	150
4190 Outlays, net (total)	159	109	114

Funding for the Washington Metropolitan Area Transit Authority helps address its reinvestment and maintenance backlog to improve the safety and reliability of service.

Object Classification (in millions of dollars)

Identification code 069-1128-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources		1	1
41.0 Grants, subsidies, and contributions	148	149	149
99.9 Total new obligations, unexpired accounts	148	150	150

FORMULA GRANTS

Program and Financing (in millions of dollars)

Identification code 069-1129-0-1-401	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	49	3	3
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	50	3	3
Budget authority:			
Appropriations, discretionary:			
1131 Unobligated balance of appropriations permanently reduced	-47		-2
1900 Budget authority (total)	-47		-2
1930 Total budgetary resources available	3	3	1
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	3	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	57	47	2
3020 Outlays (gross)	-9	-45	
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	47	2	2
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-2	-2	-2
3090 Uncollected pymts, Fed sources, end of year	-2	-2	-2
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	55	45	

3200	Obligated balance, end of year	45		
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	-47		-2
Outlays, gross:				
4011	Outlays from discretionary balances	9	45	
4180	Budget authority, net (total)	-47		-2
4190	Outlays, net (total)	9	45	

This schedule shows obligations and outlays of formula grant program funding made available in fiscal years prior to 2006.

CAPITAL INVESTMENT GRANTS

Program and Financing (in millions of dollars)

Identification code 069-1134-0-1-401	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Capital Investment Grant	2,238	1,958	1,708
0003	Oversight	15	20	
0799	Total direct obligations	2,253	1,978	1,708
0900	Total new obligations, unexpired accounts	2,253	1,978	1,708
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	3,449	3,761	3,761
1021	Recoveries of prior year unpaid obligations	3		
1033	Recoveries of prior year paid obligations	9		
1050	Unobligated balance (total)	3,461	3,761	3,761
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	2,553	1,978	
1930	Total budgetary resources available	6,014	5,739	3,761
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	3,761	3,761	2,053
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	2,600	3,015	2,670
3010	New obligations, unexpired accounts	2,253	1,978	1,708
3020	Outlays (gross)	-1,835	-2,323	-2,090
3040	Recoveries of prior year unpaid obligations, unexpired	-3		
3050	Unpaid obligations, end of year	3,015	2,670	2,288
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	2,600	3,015	2,670
3200	Obligated balance, end of year	3,015	2,670	2,288
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	2,553	1,978	
Outlays, gross:				
4010	Outlays from new discretionary authority	246	574	
4011	Outlays from discretionary balances	1,589	1,749	2,090
4020	Outlays, gross (total)	1,835	2,323	2,090
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources:	-9		
4040	Offsets against gross budget authority and outlays (total) ...	-9		
Additional offsets against gross budget authority only:				
4053	Recoveries of prior year paid obligations, unexpired accounts	9		
4070	Budget authority, net (discretionary)	2,553	1,978	
4080	Outlays, net (discretionary)	1,826	2,323	2,090
4180	Budget authority, net (total)	2,553	1,978	
4190	Outlays, net (total)	1,826	2,323	2,090

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	2,553	1,978	
Outlays	1,826	2,323	2,090
Legislative proposal, not subject to PAYGO:			
Budget Authority			1,889
Outlays			548

Total:	Budget Authority	2,553	1,978	1,889
	Outlays	1,826	2,323	2,638

The Capital Investment Grants program supports the construction of new fixed guideway systems or extensions to fixed guideways including, corridor-based bus rapid transit systems, and core capacity improvement projects. These projects include heavy rail, light rail, commuter rail, bus rapid transit, and streetcar systems. As part of the Administration's 10-year reauthorization proposal, the Budget requests \$22.5 billion for the Capital Investment Grant program over 10 years, including \$1.89 billion for 2021. This schedule reflects 2019 actual and 2020 enacted program and financial information for the Capital Investment Grant program. The request for 2021 is reflected in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months, the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-1134-0-1-401	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.2	Other services from non-Federal sources	15	20	
41.0	Grants, subsidies, and contributions	2,238	1,958	1,708
99.0	Direct obligations	2,253	1,978	1,708
99.9	Total new obligations, unexpired accounts	2,253	1,978	1,708

Employment Summary

Identification code 069-1134-0-1-401	2019 actual	2020 est.	2021 est.	
1001	Direct civilian full-time equivalent employment	2	2	

CAPITAL INVESTMENT GRANTS

(Legislative proposal, not subject to PAYGO)

[For] Contingent upon enactment of multi-year surface transportation authorization legislation, for necessary expenses to carry out fixed guideway capital investment grants under section 5309 of title 49, United States Code, and section 3005(b) of the Fixing America's Surface Transportation Act, [\$1,978,000,000] \$1,888,690,000, to remain available until [September 30, 2023: Provided, That of the amounts made available under this heading, \$1,681,300,000 shall be allocated by December 31, 2021: Provided further, That of the amounts made available under this heading, \$1,458,000,000 shall be available for projects authorized under section 5309(d) of title 49, United States Code, \$300,000,000 shall be available for projects authorized under section 5309(e) of title 49, United States Code, \$100,000,000 shall be available for projects authorized under section 5309(h) of title 49, United States Code, and \$100,000,000 shall be available for projects authorized under section 3005(b) of the Fixing America's Surface Transportation Act: Provided further, That the Secretary shall continue to administer the capital investment grants program in accordance with the procedural and substantive requirements of section 5309 of title 49, United States Code, and of section 3005(b) of the Fixing America's Surface Transportation Act: Provided further, That projects that receive a grant agreement under the Expedited Project Delivery for Capital Investment Grants Pilot Program under section 3005(b) of the Fixing America's Surface Transportation Act shall be deemed eligible for funding provided for projects under section 5309 of title 49, United States Code, without further evaluation or rating under such section: Provided further, That such funding shall not exceed the Federal share under section 3005(b) expended. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-1134-2-1-401	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0004	Surface Transportation Reauthorization Activities			945
0799	Total direct obligations			945
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation			1,889

CAPITAL INVESTMENT GRANTS—Continued
Program and Financing—Continued

Identification code 069-1134-2-1-401	2019 actual	2020 est.	2021 est.
1930 Total budgetary resources available			1,889
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			944
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			945
3020 Outlays (gross)			-548
3050 Unpaid obligations, end of year			397
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			397
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross			1,889
Outlays, gross:			
4010 Outlays from new discretionary authority			548
4180 Budget authority, net (total)			1,889
4190 Outlays, net (total)			548

These additional resources are requested as part of the Administration's 10-year surface transportation reauthorization proposal for the Capital Investment Program. The Capital Investment Grant program supports the construction of new fixed guideway systems or extensions to fixed guideways including, corridor-based bus rapid transit systems, and core capacity improvement projects. These projects include heavy rail, light rail, commuter rail, bus rapid transit, and street car systems. This schedule reflects the 2021 request for the CIG program.

Object Classification (in millions of dollars)

Identification code 069-1134-2-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources			19
41.0 Grants, subsidies, and contributions			926
99.0 Direct obligations			945
99.9 Total new obligations, unexpired accounts			945

TRANSIT RESEARCH

Program and Financing (in millions of dollars)

Identification code 069-1137-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct Obligations	3	5	
0799 Total direct obligations	3	5	
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	11	9	4
1021 Recoveries of prior year unpaid obligations	1		
1050 Unobligated balance (total)	12	9	4
1930 Total budgetary resources available	12	9	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	9	4	4
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	53	26	2
3010 New obligations, unexpired accounts	3	5	
3020 Outlays (gross)	-29	-29	
3040 Recoveries of prior year unpaid obligations, unexpired	-1		
3050 Unpaid obligations, end of year	26	2	2
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-6	-6	-6
3090 Uncollected pymts, Fed sources, end of year	-6	-6	-6

Memorandum (non-add) entries:			
3100 Obligated balance, start of year	47	20	-4
3200 Obligated balance, end of year	20	-4	-4

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4011 Outlays from discretionary balances	29	29	
4180 Budget authority, net (total)			
4190 Outlays, net (total)	29	29	

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Outlays	29	29	
Legislative proposal, not subject to PAYGO:			
Budget Authority			8
Outlays			2
Total:			
Budget Authority			8
Outlays	29	29	2

Transit Research will provide funding to support artificial intelligence, machine learning, robotics, and integrated safety systems innovation. The Administration is proposing to authorize the Transit Research as part of its 10-year surface transportation reauthorization proposal. The Budget requests \$95 million for the Transit Research over 10 years, including \$8 million for 2021. The request for 2021 is reflected in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-1137-0-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources		2	
41.0 Grants, subsidies, and contributions	3	3	
99.0 Direct obligations	3	5	
99.9 Total new obligations, unexpired accounts	3	5	

TRANSIT RESEARCH

(Legislative proposal, not subject to PAYGO)

Contingent upon enactment of multi-year surface transportation authorization legislation, to carry out section 5312 of title 49, United States Code, \$8,000,000, to remain available until expended: Provided, That the amounts provided under this heading shall not be subject to any limitation on obligations for transit programs set forth in any Act.

Program and Financing (in millions of dollars)

Identification code 069-1137-2-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0002 Surface Transportation Reauthorization Activities			6
0799 Total direct obligations			6
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation			8
1900 Budget authority (total)			8
1930 Total budgetary resources available			8
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year			2
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts			6
3020 Outlays (gross)			-2
3050 Unpaid obligations, end of year			4
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			4

Budget authority and outlays, net:			
Discretionary:			
4000	Budget authority, gross	8	
Outlays, gross:			
4010	Outlays from new discretionary authority	2	
4180	Budget authority, net (total)	8	
4190	Outlays, net (total)	2	

These resources are requested as part of the Administration's 10-year surface transportation reauthorization proposal for Transit Research. Transit Research supports artificial intelligence, machine learning, robotics, and integrated safety systems innovation. This schedule reflects the 2021 request for the Transit Research. Beginning in Fiscal Year 2021, activities under this account are in addition to those historically carried out under the Transit Formula Grants account of the Highway Trust Fund.

Object Classification (in millions of dollars)

Identification code 069-1137-2-1-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2	Other services from non-Federal sources		2
41.0	Grants, subsidies, and contributions		4
99.0	Direct obligations		6
99.9	Total new obligations, unexpired accounts		6

PUBLIC TRANSPORTATION EMERGENCY RELIEF PROGRAM

Program and Financing (in millions of dollars)

Identification code 069-1140-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	2013 Hurricane Sandy Emergency Supplemental (P.L. 113-2)	1,060	726
0003	2013 Hurricane Sandy Emergency Supp (P.L. 113-2 Administration and Oversight)	4	4
0004	2018 Hurricanes Harvey, Irma, and Maria	45	29
0005	2018 Hurricanes Harvey, Irma, and Maria (Admin and Oversight)		1
0006	FY 2019 Public Transportation Emergency Relief		5
0799	Total direct obligations	1,109	765
0801	Disaster Mission Assignment	2	
0900	Total new obligations, unexpired accounts	1,111	765

Budgetary resources:

Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	2,088	1,057
1021	Recoveries of prior year unpaid obligations	67	
1050	Unobligated balance (total)	2,155	1,057
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	11	
Spending authority from offsetting collections, discretionary:			
1700	Collected	1	2
1701	Change in uncollected payments, Federal sources	1	
1750	Spending auth from offsetting collections, disc (total)	2	2
1900	Budget authority (total)	13	2
1930	Total budgetary resources available	2,168	1,059
Memorandum (non-add) entries:			
1941	Unexpired unobligated balance, end of year	1,057	294

Change in obligated balance:

Unpaid obligations:			
3000	Unpaid obligations, brought forward, Oct 1	5,746	6,174
3010	New obligations, unexpired accounts	1,111	765
3020	Outlays (gross)	-616	-1,007
3040	Recoveries of prior year unpaid obligations, unexpired	-67	
3050	Unpaid obligations, end of year	6,174	5,932
Uncollected payments:			
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-4	-5
3070	Change in uncollected pymts, Fed sources, unexpired	-1	
3090	Uncollected pymts, Fed sources, end of year	-5	-5
Memorandum (non-add) entries:			
3100	Obligated balance, start of year	5,742	6,169

3200	Obligated balance, end of year	6,169	5,927	5,088
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Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	13	2	
Outlays, gross:				
4010	Outlays from new discretionary authority		1	
4011	Outlays from discretionary balances	616	1,006	877
4020	Outlays, gross (total)	616	1,007	877
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-1	-2	
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	-1		
4070	Budget authority, net (discretionary)	11		
4080	Outlays, net (discretionary)	615	1,005	877
4180	Budget authority, net (total)	11		
4190	Outlays, net (total)	615	1,005	877

The Public Transportation Emergency Relief Program helps transit agencies restore needed transportation services immediately following disaster events. Both capital and operating costs are eligible for funding following an emergency; however, this program does not replace the Federal Emergency Management Agency's capital assistance program. FTA administers the \$10.9 billion supplemental appropriation (adjusted to \$10.2 billion after sequestration and the transfer of funds to the Office of the Inspector General and the Federal Railroad Administration) provided by the Disaster Relief Appropriations Act, 2013 (Public Law 113-2) following Hurricane Sandy through this account. The Bipartisan Budget Account of 2018 (Public Law 115-123) also provided \$330 million for eligible capital and operating costs for areas affected by Hurricanes Harvey, Irma, and Maria. The Additional Supplemental Appropriations for Disaster Relief Act, 2019 (Public Law 116-20) also provided \$10.5 million for transit systems affected by major declared disasters occurring in calendar year 2018.

Object Classification (in millions of dollars)

Identification code 069-1140-0-1-401	2019 actual	2020 est.	2021 est.
11.1	Direct obligations: Personnel compensation: Full-time permanent	3	4
11.9	Total personnel compensation	3	4
12.1	Civilian personnel benefits	1	1
41.0	Grants, subsidies, and contributions	1,105	760
99.0	Direct obligations	1,109	765
99.0	Reimbursable obligations	2	
99.9	Total new obligations, unexpired accounts	1,111	765

Employment Summary

Identification code 069-1140-0-1-401	2019 actual	2020 est.	2021 est.
1001	Direct civilian full-time equivalent employment	27	32

TECHNICAL ASSISTANCE AND TRAINING

Program and Financing (in millions of dollars)

Identification code 069-1142-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	Technical Assistance and Standards Development	5	5
0900	Total new obligations, unexpired accounts (object class 41.0)	5	5
Budgetary resources:			
Unobligated balance:			
1000	Unobligated balance brought forward, Oct 1	1	1
Budget authority:			
Appropriations, discretionary:			
1100	Appropriation	5	5
1930	Total budgetary resources available	6	6

TECHNICAL ASSISTANCE AND TRAINING—Continued
Program and Financing—Continued

Identification code 069-1142-0-1-401	2019 actual	2020 est.	2021 est.
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1	1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	9	10	5
3010 New obligations, unexpired accounts	5	5	1
3020 Outlays (gross)	-4	-10	-6
3050 Unpaid obligations, end of year	10	5
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	9	10	5
3200 Obligated balance, end of year	10	5
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5	5
Outlays, gross:			
4010 Outlays from new discretionary authority		4
4011 Outlays from discretionary balances	4	6	6
4020 Outlays, gross (total)	4	10	6
4180 Budget authority, net (total)	5	5
4190 Outlays, net (total)	4	10	6

For 2021, no resources are requested for this account.

TECHNICAL ASSISTANCE AND TRAINING
(Legislative proposal, not subject to PAYGO)

For necessary expenses to carry out 49 U.S.C. 5314, \$5,000,000, to remain available until September 30, 2021, of which not less than \$2,500,000 shall be for a cooperative agreement through which the Federal Transit Administration assists transit recipients with frontline workforce development and standards based training in maintenance and operations through an agreement with a national nonprofit organization with a demonstrated capacity to develop and provide such programs through labor management partnerships and apprenticeships: *Provided*, That the assistance provided under this heading does not duplicate the activities of 49 U.S.C. 5311(b) or 49 U.S.C. 5312. (Department of Transportation Appropriations Act, 2020.)

TRANSIT INFRASTRUCTURE GRANTS

For an additional amount for buses and bus facilities grants under section 5339 of title 49, United States Code, low or no emission grants under section 5339(c) of such title, formula grants to rural areas under section 5311 of such title, high density state apportionments under section 5340(d) of such title, the demonstration and deployment of innovative mobility solutions as authorized under section 5312 of such title, bus testing facilities under sections 5312 and 5318 of such title, and for grants to areas of persistent poverty, \$510,000,000, to remain available until expended: *Provided*, That of the sums provided under this heading—

(1) \$338,000,000 shall be available for the buses and bus facilities competitive grants as authorized under section 5339 of such title, of which \$168,000,000 shall be available for the buses and bus facilities formula grants as authorized under section 5339(a) of such title, and \$170,000,000 shall be available for buses and bus facilities competitive grants as authorized under section 5339(b) of such title;

(2) \$75,000,000 shall be available for the low or no emission grants as authorized under section 5339(c) of such title: *Provided*, That the minimum grant award shall be not less than \$750,000;

(3) \$40,000,000 shall be available for formula grants for rural areas as authorized under section 5311 of such title;

(4) \$40,000,000 shall be available for the high density state apportionments as authorized under section 5340(d) of such title;

(5) Notwithstanding section 5318(a) of such title, \$3,000,000 shall be available for the operation and maintenance of bus testing facilities by institutions of higher education selected pursuant to section 5312(h): *Provided*, That the Secretary shall enter into a contract or cooperative agreement with, or make a grant to, each institution of higher education selected pursuant to section 5312(h) of such title, to operate and maintain a facility to conduct the testing of low or no emission vehicle new bus models using the standards established pursuant to section 5318(e)(2) of such title: *Provided further*, That the term "low or no emission vehicle" has the meaning given the term in section 5312(e)(6) of such title: *Provided further*, That the Secretary

shall pay 80 percent of the cost of testing a low or no emission vehicle new bus model at each selected institution of higher education: *Provided further*, That the entity having the vehicle tested shall pay 20 percent of the cost of testing: *Provided further*, That a low or no emission vehicle new bus model tested that receives a passing aggregate test score in accordance with the standards established under section 5318(e)(2) of such title, shall be deemed to be in compliance with the requirements of section 5318(e) of such title;

(6) \$5,500,000 shall be available for the demonstration and deployment of innovative mobility solutions as authorized under section 5312 of such title; and

(7) \$8,500,000 shall be available for competitive grants to eligible entities to assist areas of persistent poverty: *Provided*, That areas of persistent poverty means any county that has consistently had 20 percent or more of the population living in poverty over the 30 years preceding the date of enactment of this Act, as measured by the 1990 and 2000 decennial census and the most recent Small Area Income and Poverty Estimates, or any census tract with a poverty rate of at least 20 percent as measured by the 2013–2017 5-year data series available from the American Community Survey of the Census Bureau: *Provided further*, That grants shall be for planning, engineering, or development of technical, or financing plans for projects eligible under chapter 53 of title 49, United States Code: *Provided further*, That eligible entities are those defined as eligible recipients or subrecipients under sections 5307, 5310 or 5311 of title 49, United States Code, and are in areas of persistent poverty: *Provided further*, That the Federal Transit Administration should complete outreach to such counties and the departments of transportation within applicable States via personal contact, webinars, web materials and other appropriate methods determined by the Administrator: *Provided further*, That State departments of transportation may apply on behalf of eligible entities within their States: *Provided further*, That the Federal Transit Administration should encourage grantees to work with non-profits or other entities of their choosing in order to develop planning, technical, engineering, or financing plans: *Provided further*, That the Federal Transit Administration should encourage grantees to partner with non-profits that can assist with making projects low or no emissions: *Provided further*, That projects funded under paragraph (7) of this heading shall be for not less than 90 percent of the net total project cost;

Provided further, That amounts made available by this heading shall be derived from the general fund: *Provided further*, That the amounts made available under this heading shall not be subject to any limitation on obligations for transit programs set forth in any Act. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-2812-0-1-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Bus & Bus Facilities- competitive	188	175	158
0002 State of Good Repair		298	298
0003 Bus Testing Facility	2	1
0004 Low or NO Emission Bus Testing	5	4
0005 High Density State		20	20
0006 Oversight		4	4
0007 Positive Train Control	84	15	11
0008 Rural Formula Grants	39	45	35
0009 Bus & Bus Facility Formula		188	188
0010 New: Competitive Persistent Poverty			1
0011 Research		3	4
0900 Total new obligations, unexpired accounts	318	753	719
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	790	1,282	1,039
1011 Unobligated balance transfer from other acct [069-2811]	110
1050 Unobligated balance (total)	900	1,282	1,039
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	700	510
1900 Budget authority (total)	700	510
1930 Total budgetary resources available	1,600	1,792	1,039
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	1,282	1,039	320
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	44	262	995
3010 New obligations, unexpired accounts	318	753	719
3020 Outlays (gross)	-100	-20	-246
3050 Unpaid obligations, end of year	262	995	1,468
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	44	262	995

3200	Obligated balance, end of year	262	995	1,468
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	700	510
Outlays, gross:				
4010	Outlays from new discretionary authority	14	5
4011	Outlays from discretionary balances	86	15	246
4020	Outlays, gross (total)	100	20	246
4180	Budget authority, net (total)	700	510
4190	Outlays, net (total)	100	20	246

The Consolidated Appropriations Act of 2018 created the Transit Infrastructure Grants program to provide additional funding for FTA's core capital assistance programs. Funds are allocated through existing State of Good Repair and Buses and Bus Facilities formula and competitive grants. For 2021, no resources are requested for this account.

Object Classification (in millions of dollars)

Identification code 069-2812-0-1-401	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.2	Other services from non-Federal sources	7	9	
41.0	Grants, subsidies, and contributions	318	746	710
99.9	Total new obligations, unexpired accounts	318	753	719

Trust Funds

DISCRETIONARY GRANTS (HIGHWAY TRUST FUND, MASS TRANSIT ACCOUNT)

Program and Financing (in millions of dollars)

Identification code 069-8191-0-7-401	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Discretionary grants	3
0900	Total new obligations, unexpired accounts (object class 41.0)	3
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	18	15	15
1930	Total budgetary resources available	18	15	15
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	15	15	15
Change in obligated balance:				
Unpaid obligations:				
3010	New obligations, unexpired accounts	3
3020	Outlays (gross)	-3
Budget authority and outlays, net:				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances	3
4180	Budget authority, net (total)
4190	Outlays, net (total)	3
Memorandum (non-add) entries:				
5054	Fund balance in excess of liquidating requirements, SOY: Contract authority	38	38	38
5055	Fund balance in excess of liquidating requirements, EOY: Contract authority	38	38	38

For 2021, no resources are requested for this account.

TRANSIT FORMULA GRANTS

Program and Financing (in millions of dollars)

Identification code 069-8350-0-7-401	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Urbanized area programs	6,585	6,800	6,410
0003	Bus and bus facility grants- Competitive	348	348	214
0006	Planning Programs	140	140	149

0010	Seniors and persons with disabilities	315	315	377
0011	Non-urbanized area programs	771	771	784
0013	National Transit Database	4	4
0014	Oversight	77	80	81
0015	Transit Oriented Development	15	11	10
0016	Bus and Bus Facilities Formula Grants	440	440	400
0017	Bus Testing Facility	3	3
0018	National Transit Institute	4	4
0019	State of Good Repair Grants	2,949	3,000	2,700
0020	Public Transportation Innovation (Research)	14	14	14
0021	Technical Assistance and Workforce Development	2	3	4
0023	Pilot Program for Enhanced Mobility	2	2
0024	FY 2018 Automated Driving Systems Grants	8	7
0900	Total new obligations, unexpired accounts	11,656	11,943	11,163

Budgetary resources:

Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	11,178	11,190	10,712
1013	Unobligated balance of contract authority transferred to or from other accounts [069-8083]	562
1013	Unobligated balance of contract authority transferred to or from other accounts [069-8158]	15
1021	Recoveries of prior year unpaid obligations	92
1050	Unobligated balance (total)	11,832	11,205	10,712
Budget authority:				
Appropriations, discretionary:				
1101	Appropriation (special or trust)	9,900	10,800	10,800
1120	Appropriations transferred to other acct [069-8083]	-69
1121	Appropriations transferred from other acct [069-8083]	1,400	1,300	1,300
1121	Appropriations transferred from other acct [069-8158]	15
1138	Appropriations applied to liquidate contract authority	-11,231	-12,115	-12,100
Contract authority, mandatory:				
1600	Contract authority	9,939	10,150	10,150
1610	Contract authority transferred to other accounts [069-8083]	-49
1611	Contract authority transferred from other accounts [069-8083]	1,124	1,300	1,300
1640	Contract authority, mandatory (total)	11,014	11,450	11,450
1900	Budget authority (total)	11,014	11,450	11,450
1930	Total budgetary resources available	22,846	22,655	22,162
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	11,190	10,712	10,999

Change in obligated balance:

Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	17,858	18,910	20,229
3010	New obligations, unexpired accounts	11,656	11,943	11,163
3020	Outlays (gross)	-10,512	-10,624	-11,231
3040	Recoveries of prior year unpaid obligations, unexpired	-92
3050	Unpaid obligations, end of year	18,910	20,229	20,161
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	17,858	18,910	20,229
3200	Obligated balance, end of year	18,910	20,229	20,161

Budget authority and outlays, net:

Discretionary:				
Outlays, gross:				
4010	Outlays from new discretionary authority	1,528	1,947	1,985
4011	Outlays from discretionary balances	8,984	8,677	9,246
4020	Outlays, gross (total)	10,512	10,624	11,231
Mandatory:				
4090	Budget authority, gross	11,014	11,450	11,450
4180	Budget authority, net (total)	11,014	11,450	11,450
4190	Outlays, net (total)	10,512	10,624	11,231
Memorandum (non-add) entries:				
5052	Obligated balance, SOY: Contract authority	3,318	3,663	3,013
5053	Obligated balance, EOY: Contract authority	3,663	3,013	2,363
5061	Limitation on obligations (Highway Trust Funds)	11,013	11,450	11,679

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.	
Enacted/requested:				
Budget Authority	11,014	11,450	11,450	
Outlays	10,512	10,624	11,231	
Legislative proposal, not subject to PAYGO:				
Budget Authority	896	
Outlays	114	
Total:	Budget Authority	11,014	11,450	12,346

TRANSIT FORMULA GRANTS—Continued
Summary of Budget Authority and Outlays—Continued

	2019 actual	2020 est.	2021 est.
Outlays	10,512	10,624	11,345

As part of the Administration's 10-year surface transportation reauthorization proposal, the Budget requests \$131.392 billion in contract authority for the Transit Formula Grants appropriation over 10 years. Of this amount, \$11.046 billion is requested for 2021, an 8 percent increase from 2020. This schedule reflects 2021 contract authority and obligation limitation equal to the baseline. Contract authority and obligation limitation for 2021 above baseline amounts are reflected as a legislative proposal, in a separate Transmit-2 (Legislative Proposal, Not Subject to PAYGO) schedule. In the coming months the Administration will release the details of its reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-8350-0-7-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	3	4	4
12.1 Civilian personnel benefits	1	1	1
25.2 Other services from non-Federal sources	85	75	69
41.0 Grants, subsidies, and contributions	11,567	11,863	11,089
99.9 Total new obligations, unexpired accounts	11,656	11,943	11,163

Employment Summary

Identification code 069-8350-0-7-401	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	27	30	30

TRANSIT FORMULA GRANTS
(Legislative proposal, not subject to PAYGO)
(LIQUIDATION OF CONTRACT AUTHORIZATION)
(LIMITATION ON OBLIGATIONS)
(HIGHWAY TRUST FUND)

[For] *Contingent upon enactment of multi-year surface transportation authorization legislation, for payment of obligations incurred in the Federal Public Transportation Assistance Program in this account, [and for payment of obligations incurred in carrying out the provisions of 49 U.S.C. 5305, 5307, 5310, 5311, 5312, 5314, 5318, 5329(e)(6), 5335, 5337, 5339, and 5340, as amended by the Fixing America's Surface Transportation Act, section 20005(b) of Public Law 112-141, and section 3006(b) of the Fixing America's Surface Transportation Act, \$10,800,000,000] \$11,696,000,000, to be derived from the Mass Transit Account of the Highway Trust Fund and to remain available until expended: *Provided*, That funds available for the implementation or execution of *Federal Public Transportation Assistance* programs authorized under [49 U.S.C. 5305, 5307, 5310, 5311, 5312, 5314, 5318, 5329(e)(6), 5335, 5337, 5339, and 5340, as amended by the Fixing America's Surface Transportation Act] *title 49 of the U.S. Code*, section 20005(b) of Public Law 112-141, and section 3006(b) of the Fixing America's Surface Transportation Act, shall not exceed total obligations of [\$10,150,348,462] \$11,046,000,000 in fiscal year [2020] 2021: *Provided further*, That the Federal share of the cost of activities carried out under 49 U.S.C. section 5312 shall not exceed 80 percent, except that if there is substantial public interest or benefit, the Secretary may approve a greater Federal share: *Provided further*, That in addition to the amounts appropriated for purposes of 49 U.S.C. 5338(e), [not less than 2 percent of the] funds appropriated or available for the purposes of 49 U.S.C. 5338(f) shall be available for the purposes of 49 U.S.C. 5338(e). (*Department of Transportation Appropriations Act, 2020.*)*

Program and Financing (in millions of dollars)

Identification code 069-8350-2-7-401	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0026 Surface Transportation Reauthorization Activities			896

Budgetary resources:

Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)			896
1138 Appropriations applied to liquidate contract authority			-896
Contract authority, mandatory:			
1600 Contract authority			896
1900 Budget authority (total)			896
1930 Total budgetary resources available			896

Change in obligated balance:

Unpaid obligations:			
3010 New obligations, unexpired accounts			896
3020 Outlays (gross)			-114
3050 Unpaid obligations, end of year			782
Memorandum (non-add) entries:			
3200 Obligated balance, end of year			782

Budget authority and outlays, net:

Discretionary:			
Outlays, gross:			
4010 Outlays from new discretionary authority			114
Mandatory:			
4090 Budget authority, gross			896
4180 Budget authority, net (total)			896
4190 Outlays, net (total)			114

Memorandum (non-add) entries:

5061 Limitation on obligations (Highway Trust Funds)			667
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This schedule reflects the additional contract authority and obligation limitation amounts proposed above the baseline for the Transit Formula Grants appropriation, for transit capital purposes including bus and rail car purchases, facility repair, construction and maintenance. In certain instances, Transit Formula Grant funds are eligible to be used for planning expenses as well. Some funds are also used for research, development, and demonstration to improve transit safety and innovation. These additional resources are requested as part of the Administrations 10-year surface transportation reauthorization proposal.

Object Classification (in millions of dollars)

Identification code 069-8350-2-7-401	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources			20
41.0 Grants, subsidies, and contributions			876
99.9 Total new obligations, unexpired accounts			896

ADMINISTRATIVE PROVISIONS—FEDERAL TRANSIT ADMINISTRATION

SEC. 160. The limitations on obligations for the programs of the Federal Transit Administration shall not apply to any authority under 49 U.S.C. 5338, previously made available for obligation, or to any other authority previously made available for obligation.

SEC. 161. Notwithstanding any other provision of law, funds appropriated or limited by this Act under the heading "Fixed Guideway Capital Investment" of the Federal Transit Administration for projects specified in this Act or identified in reports accompanying this Act not obligated by September 30, [2023] 2024, and other recoveries, shall be directed to projects eligible to use the funds for the purposes for which they were originally provided.

SEC. 162. Notwithstanding any other provision of law, any funds appropriated before October 1, [2019] 2020, under any section of chapter 53 of title 49, United States Code, that remain available for expenditure, may be transferred to and administered under the most recent appropriation heading for any such section.

[SEC. 163. No funds in this or any other Act shall be used to adjust apportionments or withhold funds from apportionments pursuant to 26 U.S.C. 9503(e)(4).]

[SEC. 164. An eligible recipient of a grant under section 5339(c) may submit an application in partnership with other entities, including a transit vehicle manufacturer, that intend to participate in the implementation of a project under section 5339(c) of title 49, United States Code, and a project awarded with such partnership shall be treated as satisfying the requirement for a competitive procurement under section 5325(a) of title 49, United States Code, for the named entity.]

SEC. 165. None of the funds made available in this or any other Act shall be used to impede or hinder project advancement or approval for any project seeking a Federal contribution from the capital investment grant program of greater than 40 percent of project costs as authorized under 49 U.S.C. 5309.

SEC. 166. None of the funds made available under this Act may be used for the implementation or furtherance of new policies detailed in the "Dear Colleague" letter distributed by the Federal Transit Administration to capital investment grant program project sponsors on June 29, 2018.

(CANCELLATION OF FUNDS)

SEC. 163. Of the unobligated amounts made available for prior fiscal years to Formula Grants in Treasury Account 69-X-1129, a total of \$1,606,849 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency or disaster relief requirement pursuant to the concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985.

SEC. 164. Of the unobligated amounts made available for Job Access and Reverse Commute program, as authorized by Public Law 105-178, as amended, a total of \$320,230 are hereby permanently cancelled.

SEC. 165. Of the unobligated amounts made available for Research, Training, and Human Resources, as authorized by Public Law 95-599, as amended, a total of \$31,634 are hereby permanently cancelled. (Department of Transportation Appropriations Act, 2020.)

SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION

Federal Funds

SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION

The Saint Lawrence Seaway Development Corporation is hereby authorized to make such expenditures, within the limits of funds and borrowing authority available to the Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by section 104 of the Government Corporation Control Act, as amended, as may be necessary in carrying out the programs set forth in the Corporation's budget for the current fiscal year. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-4089-0-3-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity: Operations and maintenance	27	22	20
0002 Direct program activity: Replacements and improvements		16	11
0799 Total direct obligations	27	38	31
0801 Operations and maintenance		1	1
0899 Total reimbursable obligations		1	1
0900 Total new obligations, unexpired accounts	27	39	32

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	27	37	37
Budget authority:			
Spending authority from offsetting collections, mandatory:			
1800 Collected	37	39	32
1930 Total budgetary resources available	64	76	69
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	37	37	37

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	28	15	3
3010 New obligations, unexpired accounts	27	39	32
3020 Outlays (gross)	-40	-51	-34
3050 Unpaid obligations, end of year	15	3	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	28	15	3
3200 Obligated balance, end of year	15	3	1

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	37	39	32
Outlays, gross:			
4100 Outlays from new mandatory authority	20	31	26

4101 Outlays from mandatory balances	20	20	8
4110 Outlays, gross (total)	40	51	34
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4120 Federal sources	-36	-38	-31
4123 Non-Federal sources	-1	-1	-1
4130 Offsets against gross budget authority and outlays (total) ...	-37	-39	-32
4170 Outlays, net (mandatory)	3	12	2
4180 Budget authority, net (total)			
4190 Outlays, net (total)	3	12	2

The Saint Lawrence Seaway Development Corporation (SLSDC) is a wholly-owned U.S. Government corporation responsible for the operation, maintenance, and development of the U.S. portion of the St. Lawrence Seaway between Montreal and mid-Lake Erie. The SLSDC is also responsible for regional trade and economic development. The St. Lawrence Seaway is a binational waterway and lock transportation system for the efficient and economic movement of commercial cargoes to and from the Great Lakes Region of North America. The SLSDC works with its Canadian counterpart agency (the St. Lawrence Seaway Management Corporation) to ensure the safety and reliability of the locks and waterway and the uninterrupted flow of maritime commerce through the system.

Appropriations from the Harbor Maintenance Trust Fund, and revenues from other non-Federal sources, are used to finance operational and capital infrastructure needs for the U.S. portion of the St. Lawrence Seaway.

Object Classification (in millions of dollars)

Identification code 069-4089-0-3-403	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	11	12	12
12.1 Civilian personnel benefits	4	4	4
25.1 Advisory and assistance services	1		
25.2 Other services from non-Federal sources	2	3	2
25.3 Other goods and services from Federal sources	2	2	2
26.0 Supplies and materials	1	1	1
31.0 Equipment	2	3	2
32.0 Land and structures	4	13	8
99.0 Direct obligations	27	38	31
25.3 Reimbursable obligations: Other goods and services from Federal sources		1	1
99.0 Reimbursable obligations		1	1
99.9 Total new obligations, unexpired accounts	27	39	32

Employment Summary

Identification code 069-4089-0-3-403	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	127	144	143

Trust Funds

OPERATIONS AND MAINTENANCE

(HARBOR MAINTENANCE TRUST FUND)

For necessary expenses to conduct the operations, maintenance, and capital [asset renewal] infrastructure activities on those portions of the [Saint] St. Lawrence Seaway owned, operated, and maintained by the Saint Lawrence Seaway Development Corporation, [\$38,000,000] \$30,700,000, to be derived from the Harbor Maintenance Trust Fund, pursuant to Public Law 99-662: Provided, That of the amounts made available under this heading, not less than \$16,000,000 shall be used on capital asset renewal activities. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-8003-0-7-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operations and maintenance	36	38	31
0900 Total new obligations, unexpired accounts (object class 25.3)	36	38	31

OPERATIONS AND MAINTENANCE—Continued
Program and Financing—Continued

Identification code 069-8003-0-7-403	2019 actual	2020 est.	2021 est.
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	36	38	31
1930 Total budgetary resources available	36	38	31
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	36	38	31
3020 Outlays (gross)	-36	-38	-31
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	36	38	31
Outlays, gross:			
4010 Outlays from new discretionary authority	36	38	31
4180 Budget authority, net (total)	36	38	31
4190 Outlays, net (total)	36	38	31

The Water Resources Development Act of 1986 (P.L. 99-662) authorizes use of the Harbor Maintenance Trust Fund as an appropriation source for the Saint Lawrence Seaway Development Corporation's operating and capital infrastructure programs.

**PIPELINE AND HAZARDOUS MATERIALS SAFETY
ADMINISTRATION**

The following table depicts funding for all the Pipeline and Hazardous Materials Safety Administration programs.

[In millions of dollars]

	2019 actual	2020 est.	2021 est.
Budget authority:			
Operational Expenses	24	24	24
Hazardous Materials Safety	58	61	61
Emergency Preparedness Grants	26	28	28
Pipeline Safety	142	145	141
Pipeline Safety Share of Oil Spill Liability Trust Fund	23	23	22
Total Budget Authority	273	281	276
Obligations:			
Operational Expenses	23	24	24
Hazardous Materials Safety	61	74	61
Emergency Preparedness Grants	29	29	28
Pipeline Safety	167	206	151
Pipeline Safety Share of Oil Spill Liability Trust Fund	23	23	22
Total Obligations	303	356	286
Outlays:			
Operational Expenses	22	25	24
Hazardous Materials Safety	59	66	67
Emergency Preparedness Grants	22	37	38
Pipeline Safety	121	158	164
Pipeline Safety Share of Oil Spill Liability Trust Fund	32	20	23
Total Outlays	256	306	316

Federal Funds

OPERATIONAL EXPENSES

For necessary operational expenses of the Pipeline and Hazardous Materials Safety Administration, \$24,215,000, of which \$1,500,000 shall remain available until September 30, 2022: *Provided*, That no later than 90 days after enactment of this Act, the Secretary of Transportation shall initiate a rulemaking on automatic and remote-controlled shut-off valves and hazardous liquid pipeline facilities leak detection systems as required under section 4 and section 8 of the Pipeline Safety, Regulatory Certainty, and Job Creation Act of 2011 (Public Law 112-90), respectively, and shall issue a final rule no later than one year after enactment of this Act. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-1400-0-1-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operations	22	22	24
0002 Grants	1	2
0900 Total new obligations, unexpired accounts	23	24	24
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	24	24	24
1930 Total budgetary resources available	24	24	24
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-1
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	8	9	8
3010 New obligations, unexpired accounts	23	24	24
3020 Outlays (gross)	-22	-25	-24
3050 Unpaid obligations, end of year	9	8	8
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	8	9	8
3200 Obligated balance, end of year	9	8	8
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	24	24	24
Outlays, gross:			
4010 Outlays from new discretionary authority	16	16	16
4011 Outlays from discretionary balances	6	9	8
4020 Outlays, gross (total)	22	25	24
4180 Budget authority, net (total)	24	24	24
4190 Outlays, net (total)	22	25	24

The success of the PHMSA safety programs is dependent on effective support organizations that hire staff, acquire goods and services, develop and sustain information technology, write complex regulations, and support enforcement actions, among others. PHMSA provides support through the Offices of the Administrator, Deputy Administrator, Executive Director/Chief Safety Officer; Planning and Analytics; Chief Counsel; Governmental, International and Public Affairs; Chief Financial Officer, Budget and Finance, Acquisition and Information Technology Services; Associate Administrator for Administration, Administrative Services, Human Resources; and Civil Rights.

Object Classification (in millions of dollars)

Identification code 069-1400-0-1-407	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	8	9	9
11.3 Other than full-time permanent	1
11.9 Total personnel compensation	9	9	9
12.1 Civilian personnel benefits	3	3	3
23.1 Rental payments to GSA	1	1	1
25.1 Advisory and assistance services	3	3	3
25.3 Other goods and services from Federal sources	2	2	2
25.7 Operation and maintenance of equipment	4	4	5
31.0 Equipment	1
41.0 Grants, subsidies, and contributions	1	2
99.0 Direct obligations	23	25	23
99.5 Adjustment for rounding	-1	1
99.9 Total new obligations, unexpired accounts	23	24	24

Employment Summary

Identification code 069-1400-0-1-407	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	69	71	68

HAZARDOUS MATERIALS SAFETY

Program and Financing (in millions of dollars)

Identification code 069-1401-0-1-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operations	50	54
0002 Research and development	10	20
0003 Grants	1
0799 Total direct obligations	60	75
0801 Reimbursable program	1	1
0900 Total new obligations, unexpired accounts	61	76
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	16	14
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	58	61
Spending authority from offsetting collections, discretionary:			
1700 Collected	1	1
1900 Budget authority (total)	59	62
1930 Total budgetary resources available	75	76
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	14
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	18	17	26
3010 New obligations, unexpired accounts	61	76
3020 Outlays (gross)	-61	-67	-26
3041 Recoveries of prior year unpaid obligations, expired	-1
3050 Unpaid obligations, end of year	17	26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	18	17	26
3200 Obligated balance, end of year	17	26
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	59	62
Outlays, gross:			
4010 Outlays from new discretionary authority	43	42
4011 Outlays from discretionary balances	18	25	26
4020 Outlays, gross (total)	61	67	26
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-1	-1
4040 Offsets against gross budget authority and outlays (total)	-1	-1
4180 Budget authority, net (total)	58	61
4190 Outlays, net (total)	60	66	26

Summary of Budget Authority and Outlays (in millions of dollars)

	2019 actual	2020 est.	2021 est.
Enacted/requested:			
Budget Authority	58	61
Outlays	60	66	26
Legislative proposal, not subject to PAYGO:			
Budget Authority	61
Outlays	41
Total:			
Budget Authority	58	61	61
Outlays	60	66	67

PHMSA's Hazardous Materials Safety program is responsible for oversight of the safe transportation of hazardous materials. The hazardous materials safety program relies on comprehensive risk management to establish policy, standards and regulations for hazmat transport via air, highway, rail and vessel. The program utilizes inspection, enforcement, outreach and incident analysis in efforts to reduce incidents, minimize fatalities and injuries, mitigate the consequences of incidents that occur, train and prepare first responders and enhance safety.

Object Classification (in millions of dollars)

Identification code 069-1401-0-1-407	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	22	23
11.3 Other than full-time permanent	1
11.9 Total personnel compensation	23	23
12.1 Civilian personnel benefits	7	8
21.0 Travel and transportation of persons	2	2
23.1 Rental payments to GSA	2	3
25.1 Advisory and assistance services	6	6
25.2 Other services from non-Federal sources	3
25.3 Other goods and services from Federal sources	5	5
25.5 Research and development contracts	10	20
25.7 Operation and maintenance of equipment	3	2
41.0 Grants, subsidies, and contributions	1	1
99.0 Direct obligations	59	73
99.0 Reimbursable obligations	1	1
99.5 Adjustment for rounding	1	2
99.9 Total new obligations, unexpired accounts	61	76

Employment Summary

Identification code 069-1401-0-1-407	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	198	203

HAZARDOUS MATERIALS SAFETY
(Legislative proposal, not subject to PAYGO)

[For] Contingent upon enactment of multi-year surface transportation authorization legislation, for expenses necessary to discharge the hazardous materials safety functions of the Pipeline and Hazardous Materials Safety Administration, [\$61,000,000, of which \$11,000,000 shall] \$60,700,000, to remain available until September 30, [2022] 2023: Provided, That up to \$800,000 in fees collected under 49 U.S.C. 5108(g) shall be deposited in the general fund of the Treasury as offsetting receipts: Provided further, That there may be credited to this appropriation, to be available until expended, funds received from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training, for reports publication and dissemination, and for travel expenses incurred in performance of hazardous materials exemptions and approvals functions. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-1401-2-1-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0004 Surface transportation reauthorization activities	61
0799 Total direct obligations	61
0801 Reimbursable program	1
0900 Total new obligations, unexpired accounts	62
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	61
Spending authority from offsetting collections, discretionary:			
1700 Collected	1
1900 Budget authority (total)	62
1930 Total budgetary resources available	62
Change in obligated balance:			
Unpaid obligations:			
3010 New obligations, unexpired accounts	62
3020 Outlays (gross)	-42
3050 Unpaid obligations, end of year	20
Memorandum (non-add) entries:			
3200 Obligated balance, end of year	20
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	62
Outlays, gross:			
4010 Outlays from new discretionary authority	42

HAZARDOUS MATERIALS SAFETY—Continued
Program and Financing—Continued

Identification code 069-1401-2-1-407	2019 actual	2020 est.	2021 est.
Offsets against gross budget authority and outlays: Offsetting collections (collected) from:			
4030 Federal sources			-1
4040 Offsets against gross budget authority and outlays (total)			-1
4180 Budget authority, net (total)			61
4190 Outlays, net (total)			41

The Administration is proposing to authorize the Hazardous Materials Safety program as part of its 10-year surface transportation reauthorization proposal. The program is responsible for overseeing the safe transportation of hazardous materials. This schedule reflects the 2021 request for the program.

Object Classification (in millions of dollars)

Identification code 069-1401-2-1-407	2019 actual	2020 est.	2021 est.
11.1 Direct obligations: Personnel compensation: Full-time permanent			24
11.9 Total personnel compensation			24
12.1 Civilian personnel benefits			7
21.0 Travel and transportation of persons			2
23.1 Rental payments to GSA			3
25.1 Advisory and assistance services			9
25.3 Other goods and services from Federal sources			1
25.5 Research and development contracts			5
25.7 Operation and maintenance of equipment			8
99.0 Direct obligations			59
99.0 Reimbursable obligations			1
99.5 Adjustment for rounding			2
99.9 Total new obligations, unexpired accounts			62

Employment Summary

Identification code 069-1401-2-1-407	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment			203

PIPELINE SAFETY

(PIPELINE SAFETY FUND)

(OIL SPILL LIABILITY TRUST FUND)

For expenses necessary to carry out a pipeline safety program, as authorized by 49 U.S.C. 60107, and to discharge the pipeline program responsibilities of the Oil Pollution Act of 1990, **[\$168,000,000]** \$163,000,000, to remain available until September 30, **[2022]** 2023, of which **[\$23,000,000]** \$22,000,000 shall be derived from the Oil Spill Liability Trust Fund; of which **[\$137,000,000]** \$131,000,000 shall be derived from the Pipeline Safety Fund; and of which **[\$8,000,000]** \$10,000,000 shall be derived from fees collected under 49 U.S.C. 60302 and deposited in the Underground Natural Gas Storage Facility Safety Account for the purpose of carrying out 49 U.S.C. 60141: *Provided*, That not less than \$1,058,000 of the funds provided under this heading shall be for the One-Call State grant program. (Department of Transportation Appropriations Act, 2020.)

Special and Trust Fund Receipts (in millions of dollars)

Identification code 069-5172-0-2-407	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	46	51	51
Receipts:			
Current law:			
1120 Pipeline Safety Fund	137	137	131
1120 Underground Natural Gas Storage Facility Safety	8	8	10
1199 Total current law receipts	145	145	141
1999 Total receipts	145	145	141
2000 Total: Balances and receipts	191	196	192

Appropriations:			
Current law:			
2101 Pipeline Safety	-142	-145	-141
Special and trust fund receipts returned:			
3010 Pipeline Safety	1		
3010 Pipeline Safety	1		
5099 Balance, end of year	51	51	51

Program and Financing (in millions of dollars)

Identification code 069-5172-0-2-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operations	83	119	87
0002 Research and development	16	33	15
0003 Grants	67	71	61
0799 Total direct obligations	166	223	163
0801 Reimbursable program	1	2	2
0900 Total new obligations, unexpired accounts	167	225	165

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	49	56	
1021 Recoveries of prior year unpaid obligations	4		
1050 Unobligated balance (total)	53	56	
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	142	145	141
Spending authority from offsetting collections, discretionary:			
1700 Collected	34	24	24
1701 Change in uncollected payments, Federal sources	-6		
1750 Spending auth from offsetting collections, disc (total)	28	24	24
1900 Budget authority (total)	170	169	165
1930 Total budgetary resources available	223	225	165
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	56		
Special and non-revolving trust funds:			
1950 Other balances withdrawn and returned to unappropriated receipts	1		
1952 Expired unobligated balance, start of year	2	2	2
1953 Expired unobligated balance, end of year	2	2	2
1954 Unobligated balance canceling	1		

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	113	120	162
3010 New obligations, unexpired accounts	167	225	165
3011 Obligations ("upward adjustments"), expired accounts	2		
3020 Outlays (gross)	-155	-183	-191
3040 Recoveries of prior year unpaid obligations, unexpired	-4		
3041 Recoveries of prior year unpaid obligations, expired	-3		
3050 Unpaid obligations, end of year	120	162	136
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-22	-16	-16
3070 Change in uncollected pymts, Fed sources, unexpired	6		
3090 Uncollected pymts, Fed sources, end of year	-16	-16	-16
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	91	104	146
3200 Obligated balance, end of year	104	146	120

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	170	169	165
Outlays, gross:			
4010 Outlays from new discretionary authority	59	83	81
4011 Outlays from discretionary balances	96	100	110
4020 Outlays, gross (total)	155	183	191
Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-34	-24	-24
4040 Offsets against gross budget authority and outlays (total)	-34	-24	-24
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	6		
4070 Budget authority, net (discretionary)	142	145	141
4080 Outlays, net (discretionary)	121	159	167
4180 Budget authority, net (total)	142	145	141
4190 Outlays, net (total)	121	159	167

PHMSA is responsible for overseeing the safe transportation of energy products and hazardous materials through pipelines. PHMSA's Pipeline Safety program regulates an expansive network of more than 2.8 million miles of gas and hazardous liquid pipelines within the United States as well as facilities that liquefy natural gas and store natural gas underground. PHMSA establishes and enforces pipeline safety standards and conducts pipeline safety inspections in collaboration with state partners to determine that pipelines are working safely. The Pipeline Safety program is funded by fees collected from pipeline operators and underground natural gas storage facility operators and by an annual allocation from the Oil Spill Liability Trust Fund.

Object Classification (in millions of dollars)

Identification code 069-5172-0-2-407	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	33	35	37
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	34	36	38
12.1 Civilian personnel benefits	11	12	13
21.0 Travel and transportation	4	4	4
23.1 Rental payments to GSA	3	4	4
25.1 Advisory and assistance services	13	46	11
25.3 Other goods and services from Federal sources	8	7	8
25.5 Research and development contracts	16	33	15
25.7 Operation and maintenance of equipment	9	9	9
26.0 Supplies and materials	1	1	1
31.0 Equipment	1
41.0 Grants, subsidies, and contributions	66	71	61
99.0 Direct obligations	165	223	164
99.0 Reimbursable obligations	1	2	2
99.5 Adjustment for rounding	1	-1
99.9 Total new obligations, unexpired accounts	167	225	165

Employment Summary

Identification code 069-5172-0-2-407	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	288	308	310

EMERGENCY PREPAREDNESS GRANTS

Special and Trust Fund Receipts (in millions of dollars)

Identification code 069-5282-0-2-407	2019 actual	2020 est.	2021 est.
0100 Balance, start of year	17	17	17
Receipts:			
Current law:			
1130 Hazardous Materials Transportation Registration, Filing, and Permit Fees, Emergency Preparedness Grants	26	28	28
2000 Total: Balances and receipts	43	45	45
Appropriations:			
Current law:			
2101 Emergency Preparedness Grants	-28	-28	-28
2132 Emergency Preparedness Grants	2
2199 Total current law appropriations	-26	-28	-28
2999 Total appropriations	-26	-28	-28
5099 Balance, end of year	17	17	17

Program and Financing (in millions of dollars)

Identification code 069-5282-0-2-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Operations	1	2	1
0002 Emergency Preparedness Grants	21	22	22
0003 Competitive Training Grants	4	4	4
0004 Supplemental Training Grants	1	1	1
0005 ALERT Grants	2
0900 Total new obligations, unexpired accounts	29	29	28

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	1	15	14
1020 Adjustment of unobligated bal brought forward, Oct 1	15
1021 Recoveries of prior year unpaid obligations	2
1050 Unobligated balance (total)	18	15	14
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund)	28	28	28
1232 Appropriations and/or unobligated balance of appropriations temporarily reduced	-2
1260 Appropriations, mandatory (total)	26	28	28
1930 Total budgetary resources available	44	43	42
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	15	14	14

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	53	58	50
3010 New obligations, unexpired accounts	29	29	28
3020 Outlays (gross)	-22	-37	-37
3040 Recoveries of prior year unpaid obligations, unexpired	-2
3050 Unpaid obligations, end of year	58	50	41
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	53	58	50
3200 Obligated balance, end of year	58	50	41

Budget authority and outlays, net:

Mandatory:			
4090 Budget authority, gross	26	28	28
Outlays, gross:			
4100 Outlays from new mandatory authority	10	10
4101 Outlays from mandatory balances	22	27	27
4110 Outlays, gross (total)	22	37	37
4180 Budget authority, net (total)	26	28	28
4190 Outlays, net (total)	22	37	37

PHMSA operates a national registration program for shippers and carriers of hazardous materials and collects a fee from each registrant. The fees collected are used for emergency preparedness planning and training grants; publication and distribution of the Emergency Response Guidebook; development of training curriculum guidelines for emergency responders and technical assistance to states, political subdivisions, and Native American Tribes; and administrative costs for these programs.

Object Classification (in millions of dollars)

Identification code 069-5282-0-2-407	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.3 Other goods and services from Federal sources	1	1	1
41.0 Grants, subsidies, and contributions	28	27	27
99.0 Direct obligations	29	28	28
99.5 Adjustment for rounding	1
99.9 Total new obligations, unexpired accounts	29	29	28

EMERGENCY PREPAREDNESS GRANTS

(Legislative proposal, not subject to PAYGO)

(EMERGENCY PREPAREDNESS FUND)

[For] Contingent upon enactment of multi-year surface transportation authorization legislation, for expenses necessary to carry out the Emergency Preparedness Grants program, not more than \$28,318,000 shall remain available until September 30, [2022] 2023, from amounts made available by 49 U.S.C. 5116(h), and 5128(b) and (c): *Provided*, That notwithstanding 49 U.S.C. 5116(h)(4), not more than 4 percent of the amounts made available from this account shall be available to pay administrative costs: *Provided further*, That notwithstanding 49 U.S.C. 5128(b) and (c) and the [current year obligation] limitation on obligations provided under this heading, prior year recoveries recognized in the current year shall be available to develop and deliver a hazardous materials emergency response training curriculum for emergency responders, including response activities for the transportation of [crude oil, ethanol and other] flammable liquids and other hazardous materials by rail, consistent with National Fire Protection Association standards, and to make such training available through an electronic format: *Provided further*, That the prior year recoveries made available under this heading shall also be available to

EMERGENCY PREPAREDNESS GRANTS—Continued

carry out 49 U.S.C. 5116(a)(1)(C) [, 5116(h),] and 5107(e) [, and 5107(e)] . (Department of Transportation Appropriations Act, 2020.)

Trust Funds

TRUST FUND SHARE OF PIPELINE SAFETY

Program and Financing (in millions of dollars)

Identification code 069-8121-0-7-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Trust fund share of pipeline safety	23	23	22
0900 Total new obligations, unexpired accounts (object class 94.0)	23	23	22
Budgetary resources:			
Budget authority:			
Appropriations, discretionary:			
1101 Appropriation (special or trust)	23	23	22
1930 Total budgetary resources available	23	23	22
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	22	13	16
3010 New obligations, unexpired accounts	23	23	22
3020 Outlays (gross)	-32	-20	-23
3050 Unpaid obligations, end of year	13	16	15
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	22	13	16
3200 Obligated balance, end of year	13	16	15
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	23	23	22
Outlays, gross:			
4010 Outlays from new discretionary authority	13	11	11
4011 Outlays from discretionary balances	19	9	12
4020 Outlays, gross (total)	32	20	23
4180 Budget authority, net (total)	23	23	22
4190 Outlays, net (total)	32	20	23

PHMSA is responsible for collection and review of oil spill response plans prepared under the Oil Pollution Act of 1990. Response plans are required for operators that store, handle, or transport oil for purposes of minimizing the environmental impact of oil spills and improving incident response. PHMSA reviews ensure that plans are submitted timely and are updated regularly to be in compliance with regulations. PHMSA improves oil spill preparedness and incident response through data analysis, spill monitoring, pipeline mapping in areas unusually sensitive to environmental damage, and advancing technologies to detect and prevent leaks from hazardous liquid pipelines. These and related activities are funded in part by the Oil Spill Liability Trust Fund.

OFFICE OF INSPECTOR GENERAL

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the Office of Inspector General to carry out the provisions of the Inspector General Act of 1978, as amended, [\$94,600,000] \$98,150,000: Provided, That the Inspector General shall have all necessary authority, in carrying out the duties specified in the Inspector General Act, as amended (5 U.S.C. App. 3), to investigate allegations of fraud, including false statements to the government (18 U.S.C. 1001), by any person or entity that is subject to regulation by the Department of Transportation. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-0130-0-1-407	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0101 General administration	93	95	98

0103 Disaster Relief and Oversight FY 2013	1	1	1
0900 Total new obligations, unexpired accounts	94	96	99

Budgetary resources:

Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	4	3	2
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	93	95	98
1930 Total budgetary resources available	97	98	100
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	3	2	1

Change in obligated balance:

Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	12	10	10
3010 New obligations, unexpired accounts	94	96	99
3011 Obligations ("upward adjustments"), expired accounts	1		
3020 Outlays (gross)	-96	-96	-98
3041 Recoveries of prior year unpaid obligations, expired	-1		
3050 Unpaid obligations, end of year	10	10	11
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	12	10	10
3200 Obligated balance, end of year	10	10	11

Budget authority and outlays, net:

Discretionary:			
4000 Budget authority, gross	93	95	98
Outlays, gross:			
4010 Outlays from new discretionary authority	85	86	88
4011 Outlays from discretionary balances	11	10	10
4020 Outlays, gross (total)	96	96	98
4180 Budget authority, net (total)	93	95	98
4190 Outlays, net (total)	96	96	98

The Department of Transportation (DOT) Inspector General conducts independent audits, investigations, and evaluations to promote economy, efficiency, and effectiveness in the management and administration of DOT programs and operations, including contracts, grants, and financial management; and to prevent and detect fraud, waste, abuse, and mismanagement in such activities. This appropriation provides funds to enable the Office of the Inspector General to perform these oversight responsibilities in accordance with the Inspector General Act of 1978, as amended (5 U.S.C. App. 3).

Object Classification (in millions of dollars)

Identification code 069-0130-0-1-407	2019 actual	2020 est.	2021 est.
Direct obligations:			
Personnel compensation:			
11.1 Full-time permanent	47	48	49
11.3 Other than full-time permanent	1	1	1
11.5 Other personnel compensation	3	3	3
11.9 Total personnel compensation	51	52	53
12.1 Civilian personnel benefits	19	20	21
21.0 Travel and transportation of persons	2	2	2
23.1 Rental payments to GSA	6	6	6
23.3 Communications, utilities, and miscellaneous charges	1	1	1
25.2 Other services from non-Federal sources	5	4	4
25.3 Other goods and services from Federal sources	6	7	8
25.7 Operation and maintenance of equipment	1	1	1
31.0 Equipment	1	1	1
32.0 Land and structures	1		
99.0 Direct obligations	93	94	97
99.5 Adjustment for rounding	1	2	2
99.9 Total new obligations, unexpired accounts	94	96	99

Employment Summary

Identification code 069-0130-0-1-407	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	406	403	403

MARITIME ADMINISTRATION

Federal Funds

OPERATIONS AND TRAINING

[(INCLUDING TRANSFER OF FUNDS)]

For necessary expenses of operations and training activities authorized by law, **[\$152,589,000: Provided, That of the sums appropriated under this heading—]**

[(1) \$80,216,000] \$137,797,000, of which \$76,444,000 shall remain available until [September 30, 2021] September 30, 2022 for the operations of the United States Merchant Marine Academy; [(2) \$5,225,000], and of which \$5,500,000 shall remain available until expended for [the] facilities maintenance and repair, and equipment, at the United States Merchant Marine Academy;]

[(3) \$3,000,000 shall remain available until September 30, 2021 for the Maritime Environment and Technology Assistance program authorized under section 50307 of title 46, United States Code; and]

[(4) \$9,775,000, shall remain available until expended for the Short Sea Transportation Program (America's Marine Highways) to make grants for the purposes authorized under sections 55601(b)(1) and (3) of title 46, United States Code:]

[Provided further, That not later than 120 days after enactment of this Act, the Administrator of the Maritime Administration shall transmit to the House and Senate Committees on Appropriations the annual report on sexual assault and sexual harassment at the United States Merchant Marine Academy as required pursuant to section 3507 of Public Law 110-417: Provided further, That available balances under this heading for the Short Sea Transportation Program (America's Marine Highways) from prior year recoveries shall be available to carry out activities authorized under sections 55601(b)(1) and (3) of title 46, United States Code: Provided further, That from funds provided under paragraphs (3) and (4) of the first proviso, the Secretary of Transportation shall make grants no later than 180 days after enactment of this Act in such amounts as the Secretary determines: Provided further, That any unobligated balances and obligated balances not yet expended from previous appropriations under this heading for programs and activities supporting State Maritime Academies shall be transferred to and merged with the appropriations for "Maritime Administration-State Maritime Academy Operations" and shall be made available for the same purposes as the appropriations for "Maritime Administration-State Maritime Academy Operations"]. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-1750-0-1-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Academy Operations	64	87	76
0002 USMMA Capital Asset Management Program	15	113	6
0008 Maritime Operations	51	54	56
0009 Maritime Environment and Technical Assistance	4	5
0010 Short Sea Transportation	4	26
0011 Other Maritime Programs	7
0012 Title XI Administrative Expenses	3
0100 Subtotal, Direct program	141	292	138
0799 Total direct obligations	141	292	138
0801 Operations and Training (Reimbursable)	4	38	13
0900 Total new obligations, unexpired accounts	145	330	151
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	464	171	7
1010 Unobligated balance transfer to other accts [069-1712]	-309
1021 Recoveries of prior year unpaid obligations	4
1050 Unobligated balance (total)	159	171	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	149	153	138
Spending authority from offsetting collections, discretionary:			
1700 Collected	10	13	13
1701 Change in uncollected payments, Federal sources	-2
1750 Spending auth from offsetting collections, disc (total)	8	13	13
1900 Budget authority (total)	157	166	151
1930 Total budgetary resources available	316	337	158
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	171	7	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	81	51	197

3010	New obligations, unexpired accounts	145	330	151
3020	Outlays (gross)	-169	-184	-153
3040	Recoveries of prior year unpaid obligations, unexpired	-4
3041	Recoveries of prior year unpaid obligations, expired	-2
3050	Unpaid obligations, end of year	51	197	195
Uncollected payments:				
3060	Uncollected pymts, Fed sources, brought forward, Oct 1	-40	-35	-35
3070	Change in uncollected pymts, Fed sources, unexpired	2
3071	Change in uncollected pymts, Fed sources, expired	3
3090	Uncollected pymts, Fed sources, end of year	-35	-35	-35
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	41	16	162
3200	Obligated balance, end of year	16	162	160

Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	157	166	151
Outlays, gross:				
4010	Outlays from new discretionary authority	111	143	130
4011	Outlays from discretionary balances	58	41	23
4020	Outlays, gross (total)	169	184	153
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4030	Federal sources	-11	-13	-13
4033	Non-Federal sources	-1
4040	Offsets against gross budget authority and outlays (total)	-12	-13	-13
Additional offsets against gross budget authority only:				
4050	Change in uncollected pymts, Fed sources, unexpired	2
4052	Offsetting collections credited to expired accounts	2
4060	Additional offsets against budget authority only (total)	4
4070	Budget authority, net (discretionary)	149	153	138
4080	Outlays, net (discretionary)	157	171	140
4180	Budget authority, net (total)	149	153	138
4190	Outlays, net (total)	157	171	140

The appropriation for Operations and Training funds the United States Merchant Marine Academy (USMMA) located in Kings Point, New York, as well as headquarters staff to administer and direct Maritime Administration operations and programs.

The USMMA, a Federal service academy and accredited institution of higher education, provides instruction to individuals to prepare them for service in the merchant marine. Funding supports traditional operations of the academic institution, midshipmen training at sea, and capital maintenance of the USMMA campus facilities.

Maritime Administration operations includes planning for coordination of U.S. maritime industry activities under emergency conditions; promotion of efficiency, safety, risk mitigation, environmental stewardship, and maritime industry standards; strategic outreach with maritime stakeholders in education and industry; and port and intermodal development oversight to increase capacity and mitigate congestion in freight movements.

Object Classification (in millions of dollars)

Identification code 069-1750-0-1-403	2019 actual	2020 est.	2021 est.	
Direct obligations:				
Personnel compensation:				
11.1	Full-time permanent	41	44	44
11.3	Other than full-time permanent	8	8	8
11.5	Other personnel compensation	1	2	2
11.9	Total personnel compensation	50	54	54
12.1	Civilian personnel benefits	17	18	18
21.0	Travel and transportation of persons	2	3	2
23.1	Rental payments to GSA	4	3	3
23.3	Communications, utilities, and miscellaneous charges	5	8	3
25.1	Advisory and assistance services	3	3	1
25.2	Other services from non-Federal sources	4	4	2
25.3	Other goods and services from Federal sources	18	28	13
25.4	Operation and maintenance of facilities	12	10	2
25.6	Medical care	3	4	1
25.7	Operation and maintenance of equipment	6	9	4
26.0	Supplies and materials	4	6	2
31.0	Equipment	2	3	1
32.0	Land and structures	8	113	32
41.0	Grants, subsidies, and contributions	3	26
99.0	Direct obligations	141	292	138

OPERATIONS AND TRAINING—Continued
Object Classification—Continued

Identification code 069-1750-0-1-403	2019 actual	2020 est.	2021 est.
99.0 Reimbursable obligations	4	38	13
99.9 Total new obligations, unexpired accounts	145	330	151

Employment Summary

Identification code 069-1750-0-1-403	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	438	455	446
2001 Reimbursable civilian full-time equivalent employment	1	1	1
3001 Allocation account civilian full-time equivalent employment	7	8	8

STATE MARITIME ACADEMY OPERATIONS

For necessary expenses of operations, support and training activities for State Maritime Academies, **[\$342,280,000]**, **[\$337,700,000]**: *Provided*, That of the sums appropriated under this heading—

(1) **[\$30,080,000]** **[\$30,500,000]**, to remain available until expended, shall be for maintenance, repair, life extension, **[marine]** insurance, and capacity improvement of National Defense Reserve Fleet training ships, **[in]** for support of *training ship operations at the State Maritime Academies, [of which \$8,080,000, to remain available until expended, shall be for expenses related to training mariners for] and for costs associated with training vessel sharing pursuant to 46 U.S.C. 51504(g)(3) [for costs associated with mobilizing, operating and demobilizing the vessel, including travel costs for students, faculty and crew, the costs of the general agent, crew costs, fuel, insurance, operational fees, and vessel hire costs, as determined by the Secretary];*

(2) **\$300,000,000**, to remain available until expended, shall be for the National Security Multi-Mission Vessel Program, including funds for construction, planning, administration, and design of school ships;

(3) **\$2,400,000 [shall]**, to remain available through September 30, **[2021]** **2022**, shall be for the Student Incentive Program;

(4) **[\$3,800,000 shall]** **\$1,800,000**, to remain available until expended, shall be for training ship fuel assistance; and

(5) **[\$6,000,000 shall]** **\$3,000,000**, to remain available until September 30, **[2021]** **2022**, shall be for direct payments for State Maritime Academies. (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-1712-0-1-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Student Incentive Program	1	4	2
0002 Direct Payments	6	6	3
0003 Training Ship Fuel Assistance	4	4	2
0004 Training Vessel Sharing	2	14
0005 Schoolship Maintenance & Repair	24	24	31
0006 Schoolship Replacement - NSMMV	2	905	300
0900 Total new obligations, unexpired accounts	39	957	338
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	615
1011 Unobligated balance transfer from other acct [069-1750]	309
1050 Unobligated balance (total)	309	615
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	345	342	338
1930 Total budgetary resources available	654	957	338
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	615
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	21	872
3010 New obligations, unexpired accounts	39	957	338
3020 Outlays (gross)	-18	-106	-127
3050 Unpaid obligations, end of year	21	872	1,083
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	21	872

3200	Obligated balance, end of year	21	872	1,083
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Budget authority and outlays, net:

Discretionary:				
4000	Budget authority, gross	345	342	338
Outlays, gross:				
4010	Outlays from new discretionary authority	18	81	77
4011	Outlays from discretionary balances	25	50
4020	Outlays, gross (total)	18	106	127
4180	Budget authority, net (total)	345	342	338
4190	Outlays, net (total)	18	106	127

State Maritime Academy (SMA) Operations provides Federal assistance to the six SMAs, to help educate and train mariners and future leaders to support the U.S. marine transportation system. These graduates promote the commerce of the United States and aid in the national defense by serving in the merchant marine. The SMA Operations request funds financial assistance for students enrolled at the SMAs under the Student Incentive Program, direct assistance to each of the six SMAs for maintenance and support, fuel used by SMA training ships, routine maintenance and repair of SMA training ships, school ship capacity sharing, and the design and construction of new training vessels under the National Security Multi-Mission Vessel Program.

Object Classification (in millions of dollars)

Identification code 069-1712-0-1-403	2019 actual	2020 est.	2021 est.	
Direct obligations:				
25.1	Advisory and assistance services	2	905	300
25.2	Other services from non-Federal sources	6	6	3
25.4	Operation and maintenance of facilities	6	18	5
25.7	Operation and maintenance of equipment	14	14	19
26.0	Supplies and materials	3	3	4
31.0	Equipment	3	3	3
41.0	Grants, subsidies, and contributions	5	8	4
99.9	Total new obligations, unexpired accounts	39	957	338

ASSISTANCE TO SMALL SHIPYARDS

[To make grants to qualified shipyards as authorized under section 54101 of title 46, United States Code, as amended by Public Law 113-281, \$20,000,000, to remain available until expended.] (*Department of Transportation Appropriations Act, 2020.*)

Program and Financing (in millions of dollars)

Identification code 069-1770-0-1-403	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Grants for Capital Improvement for Small Shipyards	20	20
0900	Total new obligations, unexpired accounts (object class 41.0)	20	20
Budgetary resources:				
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	20	20
1930	Total budgetary resources available	20	20
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	26	31	22
3010	New obligations, unexpired accounts	20	20
3020	Outlays (gross)	-15	-29	-12
3050	Unpaid obligations, end of year	31	22	10
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	26	31	22
3200	Obligated balance, end of year	31	22	10
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	20	20
Outlays, gross:				
4010	Outlays from new discretionary authority	2	17
4011	Outlays from discretionary balances	13	12	12

4020	Outlays, gross (total)	15	29	12
4180	Budget authority, net (total)	20	20
4190	Outlays, net (total)	15	29	12

The National Defense Authorization Act of 2006 authorized the Maritime Administration to make grants for capital and related improvements at eligible shipyard facilities that will foster efficiency, competitive operations, and quality ship construction, repair, and reconfiguration. Grant funds may also be used for maritime training programs to enhance technical skills and operational productivity in communities whose economies are related to or dependent upon the maritime industry.

No new funds are requested for 2021.

Employment Summary

Identification code 069-1770-0-1-403	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	1	1

SHIP DISPOSAL

(INCLUDING CANCELLATION OF FUNDS)

For necessary expenses related to the disposal of obsolete vessels in the National Defense Reserve Fleet of the Maritime Administration, **[\$5,000,000] \$4,200,000**, to remain available until expended: *Provided, That of the unobligated balances of funds made available under this heading by previous appropriations, \$6,803,172 is hereby permanently cancelled: Provided further, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency or disaster relief requirement pursuant to the concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (Department of Transportation Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 069-1768-0-1-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Ship Disposal	1	4	1
0002 N.S. Savannah	2	3	3
0003 NSS Decommissioning	13	96
0900 Total new obligations, unexpired accounts	16	103	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	116	105	7
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	5	5	4
1131 Unobligated balance of appropriations permanently reduced	-7
1160 Appropriation, discretionary (total)	5	5	-3
1930 Total budgetary resources available	121	110	4
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	105	7
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	20	17	80
3010 New obligations, unexpired accounts	16	103	4
3020 Outlays (gross)	-19	-40	-35
3050 Unpaid obligations, end of year	17	80	49
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	20	17	80
3200 Obligated balance, end of year	17	80	49
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	5	5	-3
Outlays, gross:			
4010 Outlays from new discretionary authority	3	2	2
4011 Outlays from discretionary balances	16	38	33
4020 Outlays, gross (total)	19	40	35
4180 Budget authority, net (total)	5	5	-3
4190 Outlays, net (total)	19	40	35

The Ship Disposal program provides resources to properly dispose of obsolete Government-owned merchant ships maintained by the Maritime Administration in the National Defense Reserve Fleet. The Maritime Administration contracts with domestic shipbreaking firms to dismantle these vessels in accordance with guidelines set forth by the U.S. Environmental Protection Agency. In 2021, the Ship Disposal program request funds the cost of program administration and maintenance of the Nuclear Ship Savannah in protective storage.

Object Classification (in millions of dollars)

Identification code 069-1768-0-1-403	2019 actual	2020 est.	2021 est.
Direct obligations:			
11.1 Personnel compensation: Full-time permanent	1	1	1
23.2 Rental payments to others	1	1	1
25.1 Advisory and assistance services	13	101	2
99.0 Direct obligations	15	103	4
99.5 Adjustment for rounding	1
99.9 Total new obligations, unexpired accounts	16	103	4

Employment Summary

Identification code 069-1768-0-1-403	2019 actual	2020 est.	2021 est.
1001 Direct civilian full-time equivalent employment	12	12	12

MARITIME SECURITY PROGRAM

(INCLUDING CANCELLATION OF FUNDS)

For necessary expenses to maintain and preserve a U.S.-flag merchant fleet to serve the national security needs of the United States, **[\$300,000,000] \$314,007,780**, to remain available until expended: *Provided, That of the unobligated balances of funds made available under this heading by previous appropriations, \$20,553,780 is hereby permanently cancelled: Provided further, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency or disaster relief requirement pursuant to the concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (Department of Transportation Appropriations Act, 2020.)*

Program and Financing (in millions of dollars)

Identification code 069-1711-0-1-054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Maritime Security Program	292	300	314
0900 Total new obligations, unexpired accounts (object class 41.0)	292	300	314
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	26	39	39
1021 Recoveries of prior year unpaid obligations	5
1050 Unobligated balance (total)	31	39	39
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	300	300	314
1131 Unobligated balance of appropriations permanently reduced	-21
1160 Appropriation, discretionary (total)	300	300	293
1930 Total budgetary resources available	331	339	332
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	39	39	18
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	30	26	26
3010 New obligations, unexpired accounts	292	300	314
3020 Outlays (gross)	-291	-300	-314
3040 Recoveries of prior year unpaid obligations, unexpired	-5
3050 Unpaid obligations, end of year	26	26	26
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	30	26	26
3200 Obligated balance, end of year	26	26	26

MARITIME SECURITY PROGRAM—Continued
Program and Financing—Continued

Identification code 069-1711-0-1-054	2019 actual	2020 est.	2021 est.
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	300	300	293
Outlays, gross:			
4010 Outlays from new discretionary authority	267	279	292
4011 Outlays from discretionary balances	24	21	22
4020 Outlays, gross (total)	291	300	314
4180 Budget authority, net (total)	300	300	293
4190 Outlays, net (total)	291	300	314

The Maritime Security Program provides direct payments to U.S. flag ship operators engaged in foreign commerce to partially offset the higher operating costs of U.S. registry. The purpose of the program is to establish and sustain a fleet of active ships that are privately owned, commercially viable, and militarily useful to meet national defense and other emergency sealift requirements. Participating operators are required to make their ships and commercial transportation resources available upon request by the Secretary of Defense during times of war or national emergency. Commercial transportation resources include ships, logistics management services, port terminal facilities, and U.S. citizen merchant mariners to crew both commercial and Government-owned merchant ships.

READY RESERVE FORCE

Program and Financing (in millions of dollars)

Identification code 069-1710-0-1-054	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Ready Reserve Force (Reimbursable)	454	409	410
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	29	21	22
1021 Recoveries of prior year unpaid obligations	18		
1050 Unobligated balance (total)	47	21	22
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	394	410	410
1701 Change in uncollected payments, Federal sources	40		
1750 Spending auth from offsetting collections, disc (total)	434	410	410
1930 Total budgetary resources available	481	431	432
Memorandum (non-add) entries:			
1940 Unobligated balance expiring	-6		
1941 Unexpired unobligated balance, end of year	21	22	22

Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	152	204	201
3010 New obligations, unexpired accounts	454	409	410
3020 Outlays (gross)	-384	-412	-425
3040 Recoveries of prior year unpaid obligations, unexpired	-18		
3050 Unpaid obligations, end of year	204	201	186
Uncollected payments:			
3060 Uncollected pymts, Fed sources, brought forward, Oct 1	-70	-93	-93
3070 Change in uncollected pymts, Fed sources, unexpired	-40		
3071 Change in uncollected pymts, Fed sources, expired	17		
3090 Uncollected pymts, Fed sources, end of year	-93	-93	-93
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	82	111	108
3200 Obligated balance, end of year	111	108	93

Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	434	410	410
Outlays, gross:			
4010 Outlays from new discretionary authority	264	369	369
4011 Outlays from discretionary balances	120	43	56
4020 Outlays, gross (total)	384	412	425

Offsets against gross budget authority and outlays:			
Offsetting collections (collected) from:			
4030 Federal sources	-405	-410	-410
4040 Offsets against gross budget authority and outlays (total) ...	-405	-410	-410
Additional offsets against gross budget authority only:			
4050 Change in uncollected pymts, Fed sources, unexpired	-40		
4052 Offsetting collections credited to expired accounts	11		
4060 Additional offsets against budget authority only (total)	-29		
4080 Outlays, net (discretionary)	-21	2	15
4180 Budget authority, net (total)			
4190 Outlays, net (total)	-21	2	15

The Ready Reserve Force (RRF) fleet is comprised of Government-owned merchant ships within the National Defense Reserve Fleet that are maintained in an advanced state of surge sealift readiness for the transport of cargo to a given area of operation to satisfy combatant commanders' critical war fighting requirements. Resources for RRF vessel maintenance, activation and operation costs, as well as RRF infrastructure support costs and additional Department of Defense/Navy-sponsored sealift activities and special projects, are provided by reimbursement from the Department of Navy.

Object Classification (in millions of dollars)

Identification code 069-1710-0-1-054	2019 actual	2020 est.	2021 est.
Reimbursable obligations:			
Personnel compensation:			
11.1 Full-time permanent	27	28	28
11.5 Other personnel compensation	1	1	1
11.9 Total personnel compensation	28	29	29
12.1 Civilian personnel benefits	10	10	10
21.0 Travel and transportation of persons	1	1	1
23.1 Rental payments to GSA	2	2	2
23.2 Rental payments to others	18	18	18
23.3 Communications, utilities, and miscellaneous charges	6	6	6
25.1 Advisory and assistance services	4	4	4
25.2 Other services from non-Federal sources	1	1	1
25.3 Other goods and services from Federal sources	5	5	5
25.4 Operation and maintenance of facilities	338	291	292
25.7 Operation and maintenance of equipment	2	2	2
26.0 Supplies and materials	38	38	38
31.0 Equipment	2	2	2
99.0 Reimbursable obligations	455	409	410
99.5 Adjustment for rounding	-1		
99.9 Total new obligations, unexpired accounts	454	409	410

Employment Summary

Identification code 069-1710-0-1-054	2019 actual	2020 est.	2021 est.
2001 Reimbursable civilian full-time equivalent employment	274	308	308

VESSEL OPERATIONS REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 069-4303-0-3-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0801 Vessel operations	1	4	4
0900 Total new obligations, unexpired accounts (object class 25.1)	1	4	4
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	25	27	26
Budget authority:			
Spending authority from offsetting collections, discretionary:			
1700 Collected	3	3	3
1930 Total budgetary resources available	28	30	29
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	27	26	25
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	1	1	2

3010	New obligations, unexpired accounts	1	4	4
3020	Outlays (gross)	-1	-3	-3
3050	Unpaid obligations, end of year	1	2	3
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	1	1	2
3200	Obligated balance, end of year	1	2	3
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	3	3	3
Outlays, gross:				
4010	Outlays from new discretionary authority		3	3
4011	Outlays from discretionary balances	1		
4020	Outlays, gross (total)	1	3	3
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4033	Non-Federal sources	-3	-3	-3
4040	Offsets against gross budget authority and outlays (total)	-3	-3	-3
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-2		
Memorandum (non-add) entries:				
5090	Unexpired unavailable balance, SOY: Offsetting collections	1	1	1
5092	Unexpired unavailable balance, EOY: Offsetting collections	1	1	1

This fund is authorized for the receipt of sales proceeds from the disposition of obsolete Government-owned merchant vessels. Collections from this account are authorized for allocation and distribution according to prescribed statutory formulas for use under three maritime-related purpose areas: 1) supporting acquisition, maintenance, repair, reconditioning, or improvement of National Defense Reserve Fleet vessels; 2) supporting state maritime academies and the United States Merchant Marine Academy; and 3) supporting the preservation and presentation to the public of maritime property and assets, including funds for the National Park Service National Maritime Heritage Grant Program.

WAR RISK INSURANCE REVOLVING FUND

Program and Financing (in millions of dollars)

Identification code 069-4302-0-3-403	2019 actual	2020 est.	2021 est.	
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	49	50	50
Budget authority:				
Spending authority from offsetting collections, discretionary:				
1700	Collected	1		
1930	Total budgetary resources available	50	50	50
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	50	50	50
Budget authority and outlays, net:				
Discretionary:				
4000	Budget authority, gross	1		
Offsets against gross budget authority and outlays:				
Offsetting collections (collected) from:				
4031	Interest on Federal securities	-1		
4180	Budget authority, net (total)			
4190	Outlays, net (total)	-1		
Memorandum (non-add) entries:				
5000	Total investments, SOY: Federal securities: Par value	39	50	50
5001	Total investments, EOY: Federal securities: Par value	50	50	50

The Maritime Administration is authorized to insure against war risk loss or damage to maritime operators until commercial insurance can be obtained on reasonable terms and conditions. This insurance includes war risk hull and disbursements interim insurance, war risk protection and indemnity interim insurance, second seamen's war risk interim insurance, and the war risk cargo insurance standby program.

PORT OF GUAM IMPROVEMENT ENTERPRISE FUND

Program and Financing (in millions of dollars)

Identification code 069-5560-0-2-403	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
0001	Port of Guam Improvement Enterprise Program		2	
0900	Total new obligations, unexpired accounts (object class 41.0)		2	
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	2	2	
1930	Total budgetary resources available	2	2	
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	2		
Change in obligated balance:				
Unpaid obligations:				
3000	Unpaid obligations, brought forward, Oct 1	3	3	1
3010	New obligations, unexpired accounts		2	
3020	Outlays (gross)		-4	
3050	Unpaid obligations, end of year	3	1	1
Memorandum (non-add) entries:				
3100	Obligated balance, start of year	3	3	1
3200	Obligated balance, end of year	3	1	1
Budget authority and outlays, net:				
Discretionary:				
Outlays, gross:				
4011	Outlays from discretionary balances		4	
4180	Budget authority, net (total)			
4190	Outlays, net (total)		4	

MARITIME GUARANTEED LOAN (TITLE XI) PROGRAM ACCOUNT

(INCLUDING [TRANSFER] CANCELLATION OF FUNDS)

For administrative expenses to carry out the guaranteed loan program, \$3,000,000, which shall be transferred to and merged with the appropriations for "Operations and Training", Maritime Administration. Of the unobligated balances of funds made available under this heading by previous appropriations, \$27,900,000 is hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency or disaster relief requirement pursuant to the concurrent resolution on the budget or the Balanced Budget and Emergency Deficit Control Act of 1985. (Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-1752-0-1-403	2019 actual	2020 est.	2021 est.	
Obligations by program activity:				
Credit program obligations:				
0707	Reestimates of loan guarantee subsidy	32	4	
0708	Interest on reestimates of loan guarantee subsidy	20	5	
0709	Administrative expenses	3	3	
0900	Total new obligations, unexpired accounts	55	12	
Budgetary resources:				
Unobligated balance:				
1000	Unobligated balance brought forward, Oct 1	32	35	34
1001	Discretionary unobligated balance brought fwd, Oct 1	32	35	
1021	Recoveries of prior year unpaid obligations	3		
1050	Unobligated balance (total)	35	35	34
Budget authority:				
Appropriations, discretionary:				
1100	Appropriation	3	3	
1131	Unobligated balance of appropriations permanently reduced			-28
1160	Appropriation, discretionary (total)	3	3	-28
Appropriations, mandatory:				
1200	Appropriation	52	8	
1900	Budget authority (total)	55	11	-28
1930	Total budgetary resources available	90	46	6
Memorandum (non-add) entries:				
1941	Unexpired unobligated balance, end of year	35	34	6

MARITIME GUARANTEED LOAN (TITLE XI) PROGRAM ACCOUNT—Continued
Program and Financing—Continued

Identification code 069-1752-0-1-403	2019 actual	2020 est.	2021 est.
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1	22		1
3010 New obligations, unexpired accounts	55	12	
3020 Outlays (gross)	-74	-11	
3040 Recoveries of prior year unpaid obligations, unexpired	-3		
3050 Unpaid obligations, end of year		1	1
Memorandum (non-add) entries:			
3100 Obligated balance, start of year	22		1
3200 Obligated balance, end of year		1	1
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	3	3	-28
Outlays, gross:			
4010 Outlays from new discretionary authority	3	3	
4011 Outlays from discretionary balances	19		
4020 Outlays, gross (total)	22	3	
Mandatory:			
4090 Budget authority, gross	52	8	
Outlays, gross:			
4100 Outlays from new mandatory authority	52	8	
4180 Budget authority, net (total)	55	11	-28
4190 Outlays, net (total)	74	11	

Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

Identification code 069-1752-0-1-403	2019 actual	2020 est.	2021 est.
Guaranteed loan reestimates:			
235014 Federal Ship Financing Loan Guarantees	26	-75	
235999 Total guaranteed loan reestimates	26	-75	

The Maritime Guaranteed Loan (Title XI) program provides for a full faith and credit guarantee of debt obligations issued by U.S or foreign ship owners to finance or refinance the construction, reconstruction, or reconditioning of U.S.-flag vessels or eligible export vessels in U.S. shipyards; or for a full faith and credit guarantee of debt obligations issued by U.S. shipyard owners to finance the modernization of shipbuilding technology at shipyards located in the United States.

As required by the Federal Credit Reform Act of 1990, this account also includes the subsidy costs associated with loan guarantee commitments made in 1992 and subsequent years which are estimated on a present value basis.

In 2021, the Maritime Guaranteed Loan (Title XI) program is proposed for elimination and the management of the existing loan guarantee portfolio and program would be administered by the Office of the Secretary's National Surface Transportation and Innovative Finance Bureau.

Object Classification (in millions of dollars)

Identification code 069-1752-0-1-403	2019 actual	2020 est.	2021 est.
Direct obligations:			
41.0 Grants, subsidies, and contributions	52	9	
94.0 Financial transfers	3	3	
99.9 Total new obligations, unexpired accounts	55	12	

PORT INFRASTRUCTURE DEVELOPMENT PROGRAM

【To make grants to improve port facilities as authorized under section 50302 of title 46, United States Code, \$225,000,000 to remain available until expended: *Provided*, That projects eligible for funding provided under this heading shall be projects for coastal seaports, inland river ports, or Great Lakes ports: *Provided further*, That of the amounts made available under this heading, no less than \$200,000,000 shall be for coastal seaports or Great Lakes ports: *Provided further*, That the Maritime Administration shall distribute funds provided under this heading as discretionary grants to port authorities or commissions or their subdivisions and

agents under existing authority, as well as to a State or political subdivision of a State or local government, a tribal government, a public agency or publicly chartered authority established by one or more States, a special purpose district with a transportation function, a multistate or multijurisdictional group of entities, or a lead entity described above jointly with a private entity or group of private entities: *Provided further*, That projects eligible for funding provided under this heading shall be designed to improve the safety, efficiency, or reliability of the movement of goods into, out of, around, or within a port and located—】

【(1) within the boundary of a port, or】

【(2) outside the boundary of a port, and directly related to port operations, or to an intermodal connection to a port:】

【*Provided further*, That project awards eligible under this heading shall be only for—】

【(1) port gate improvements;】

【(2) road improvements both within and connecting to the port;】

【(3) rail improvements both within and connecting to the port;】

【(4) berth improvements (including docks, wharves, piers and dredging incidental to the improvement project);】

【(5) fixed landside improvements in support of cargo operations (such as silos, elevators, conveyors, container terminals, Ro/Ro structures including parking garages necessary for intermodal freight transfer, warehouses including refrigerated facilities, lay-down areas, transit sheds, and other such facilities);】

【(6) utilities necessary for safe operations (including lighting, stormwater, and other such improvements that are incidental to a larger infrastructure project); or】

【(7) a combination of activities described above:】

【*Provided further*, That the Federal share of the costs for which an expenditure is made under this heading shall be up to 80 percent: *Provided further*, That for grants awarded under this heading, the minimum grant size shall be \$1,000,000: *Provided further*, That for grant awards less than \$10,000,000, the Secretary shall prioritize ports that handled less than 10,000,000 short tons in 2017, as identified by the U.S. Army Corps of Engineers: *Provided further*, That for grant awards less than \$10,000,000, the Secretary may increase the Federal share of costs above 80 percent: *Provided further*, That not to exceed 2 percent of the funds appropriated under this heading shall be available for necessary costs of grant administration.】
(Department of Transportation Appropriations Act, 2020.)

Program and Financing (in millions of dollars)

Identification code 069-1713-0-1-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001 Direct program activity		293	225
0900 Total new obligations, unexpired accounts (object class 41.0)		293	225
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1		293	225
Budget authority:			
Appropriations, discretionary:			
1100 Appropriation	293	225	
1930 Total budgetary resources available	293	518	225
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	293	225	
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1			290
3010 New obligations, unexpired accounts		293	225
3020 Outlays (gross)		-3	-52
3050 Unpaid obligations, end of year		290	463
Memorandum (non-add) entries:			
3100 Obligated balance, start of year			290
3200 Obligated balance, end of year		290	463
Budget authority and outlays, net:			
Discretionary:			
4000 Budget authority, gross	293	225	
Outlays, gross:			
4011 Outlays from discretionary balances		3	52
4180 Budget authority, net (total)	293	225	
4190 Outlays, net (total)		3	52

The Port Infrastructure Development Program provides grants to assist ports with improving seaport infrastructure in, near and around maritime facilities. These projects are eligible for funding requested under the INFRA

and BUILD programs in 2021 so no additional funds are requested for this program.

MARITIME GUARANTEED LOAN (TITLE XI) FINANCING ACCOUNT

Program and Financing (in millions of dollars)

Identification code 069-4304-0-3-999	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
Credit program obligations:			
0711	1		
0712		3	3
0713	3		1
0715	9	10	10
0742	24	65	
0743	3	18	
0900	40	97	14
Budgetary resources:			
Unobligated balance:			
1000	115	172	83
1023	-32		
1050	83	172	83
Financing authority:			
Borrowing authority, mandatory:			
1400	5		
Spending authority from offsetting collections, mandatory:			
1800	124	8	
1900	129	8	
1930	212	180	83
Memorandum (non-add) entries:			
1941	172	83	69
Change in obligated balance:			
Unpaid obligations:			
3000	3	3	86
3010	40	97	14
3020	-40	-14	-14
3050	3	86	86
Memorandum (non-add) entries:			
3100	3	3	86
3200	3	86	86
Financing authority and disbursements, net:			
Mandatory:			
4090	129	8	
Financing disbursements:			
4110	40	14	14
Offsets against gross financing authority and disbursements:			
Offsetting collections (collected) from:			
4120	-53	-8	
4122	-6		
4123	-65		
4130	-124	-8	
4160	5		
4170	-84	6	14
4180	5		
4190	-84	6	14

Status of Guaranteed Loans (in millions of dollars)

Identification code 069-4304-0-3-999	2019 actual	2020 est.	2021 est.
Position with respect to appropriations act limitation on commitments:			
2111			
2150			
Cumulative balance of guaranteed loans outstanding:			
2210	1,325	1,418	1,418
2231	193		
2251	-99		
2262	-1		
2290	1,418	1,418	1,418

Memorandum:				
2299	Guaranteed amount of guaranteed loans outstanding, end of year	1,418	1,418	1,418
Addendum:				
Cumulative balance of defaulted guaranteed loans that result in loans receivable:				
2310	Outstanding, start of year	250	217	217
2331	Disbursements for guaranteed loan claims			
2351	Repayments of loans receivable	-33		
2361	Write-offs of loans receivable			
2364	Other adjustments, net			
2390	Outstanding, end of year	217	217	217

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from Maritime Guaranteed Loan (Title XI) program loan guarantee commitments in 1992 and subsequent years. The amounts in this account are a means of financing and are not included in the budget totals.

In 2021, the Maritime Guaranteed Loan (Title XI) program is proposed for elimination and the management of the existing loan guarantee portfolio and program would be administered by the Office of the Secretary's National Surface Transportation and Innovative Finance Bureau.

Balance Sheet (in millions of dollars)

Identification code 069-4304-0-3-999	2018 actual	2019 actual
ASSETS:		
Federal assets:		
1101	118	176
Investments in U.S. securities:		
1106	132	8
Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable:		
1501	250	217
1504		5
1599	250	222
1999	500	406
LIABILITIES:		
Federal liabilities:		
2103	152	126
2105	53	84
2204	88	158
2999	293	368
NET POSITION:		
3300	207	38
4999	500	406

Trust Funds

MISCELLANEOUS TRUST FUNDS, MARITIME ADMINISTRATION

Special and Trust Fund Receipts (in millions of dollars)

Identification code 069-8547-0-7-403	2019 actual	2020 est.	2021 est.
0100			
Receipts:			
Current law:			
1130	2	2	2
2000	2	2	2
Appropriations:			
Current law:			
2101	-2	-2	-2
5099			

Program and Financing (in millions of dollars)

Identification code 069-8547-0-7-403	2019 actual	2020 est.	2021 est.
Obligations by program activity:			
0001	5	2	2

MISCELLANEOUS TRUST FUNDS, MARITIME ADMINISTRATION—Continued
Program and Financing—Continued

Identification code 069-8547-0-7-403	2019 actual	2020 est.	2021 est.
0100 Total direct program - Subtotal (running)	5	2	2
Budgetary resources:			
Unobligated balance:			
1000 Unobligated balance brought forward, Oct 1	8	5	5
Budget authority:			
Appropriations, mandatory:			
1201 Appropriation (special or trust fund) - Gifts & Requests	2	2	2
1930 Total budgetary resources available	10	7	7
Memorandum (non-add) entries:			
1941 Unexpired unobligated balance, end of year	5	5	5
Change in obligated balance:			
Unpaid obligations:			
3000 Unpaid obligations, brought forward, Oct 1		3	
3010 New obligations, unexpired accounts	5	2	2
3020 Outlays (gross)	-2	-5	-2
3050 Unpaid obligations, end of year	3		
Memorandum (non-add) entries:			
3100 Obligated balance, start of year		3	
3200 Obligated balance, end of year	3		
Budget authority and outlays, net:			
Mandatory:			
4090 Budget authority, gross	2	2	2
Outlays, gross:			
4100 Outlays from new mandatory authority	2	2	2
4101 Outlays from mandatory balances		3	
4110 Outlays, gross (total)	2	5	2
4180 Budget authority, net (total)	2	2	2
4190 Outlays, net (total)	2	5	2

Object Classification (in millions of dollars)

Identification code 069-8547-0-7-403	2019 actual	2020 est.	2021 est.
Direct obligations:			
25.2 Other services from non-Federal sources		2	2
32.0 Land and structures	5		
99.0 Direct obligations	5	2	2
99.9 Total new obligations, unexpired accounts	5	2	2

ADMINISTRATIVE PROVISIONS—MARITIME ADMINISTRATION

SEC. 170. Notwithstanding any other provision of this Act, in addition to any existing authority, the Maritime Administration is authorized to furnish utilities and services and make necessary repairs in connection with any lease, contract, or occupancy involving Government property under control of the Maritime Administration: *Provided*, That payments received therefor shall be credited to the appropriation charged with the cost thereof and shall remain available until expended: *Provided further*, That rental payments under any such lease, contract, or occupancy for items other than such utilities, services, or repairs shall be covered into the Treasury as miscellaneous receipts. (*Department of Transportation Appropriations Act, 2020.*)

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

	2019 actual	2020 est.	2021 est.
Offsetting receipts from the public:			
069-085500 Hazardous Materials Transportation Registration, Filing, and Permit Fees, Administrative Costs	1	1	1
069-272830 Maritime (title XI) Loan Program, Downward Reestimates of Subsidies	27	83	
069-276010 Railroad Rehabilitation and Improvement Financing, Negative Subsidies	6		
069-276030 Downward Reestimates, Railroad Rehabilitation and Improvement Program	3	20	

069-276830 Transportation Infrastructure Finance and Innovation Program, Interest on Downward Reestimates	457	982	
069-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts	31		
General Fund Offsetting receipts from the public	525	1,086	1
Intragovernmental payments:			
069-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts	3		
General Fund Intragovernmental payments	3		

GENERAL PROVISIONS—DEPARTMENT OF TRANSPORTATION

SEC. 180. (a) During the current fiscal year, applicable appropriations to the Department of Transportation shall be available for maintenance and operation of aircraft; hire of passenger motor vehicles and aircraft; purchase of liability insurance for motor vehicles operating in foreign countries on official department business; and uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901-5902).

(b) During the current fiscal year, applicable appropriations to the Department and its operating administrations shall be available for the purchase, maintenance, operation, and deployment of unmanned aircraft systems that advance the Department's, or its operating [administrations',] *administrations'* missions.

(c) Any unmanned aircraft system purchased, [or] procured, or contracted for by the Department prior to the enactment of this Act shall be deemed authorized by Congress as if this provision was in effect when the system was purchased, procured, or contracted for.

SEC. 181. Appropriations contained in this Act for the Department of Transportation shall be available for services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for an Executive Level IV.

SEC. 182. (a) No recipient of funds made available in this Act shall disseminate personal information (as defined in 18 U.S.C. 2725(3)) obtained by a State department of motor vehicles in connection with a motor vehicle record as defined in 18 U.S.C. 2725(1), except as provided in 18 U.S.C. 2721 for a use permitted under 18 U.S.C. 2721.

(b) Notwithstanding subsection (a), the Secretary shall not withhold funds provided in this Act for any grantee if a State is in noncompliance with this provision.

[SEC. 183. None of the funds in this Act shall be available for salaries and expenses of more than 125 political and Presidential appointees in the Department of Transportation: *Provided*, That none of the personnel covered by this provision may be assigned on temporary detail outside the Department of Transportation.]

SEC. [184] 183. Funds received by the Federal Highway Administration and Federal Railroad Administration from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training may be credited respectively to the Federal Highway Administration's "Federal-Aid Highways" account and to the Federal Railroad Administration's "Safety and Operations" account, except for State rail safety inspectors participating in training pursuant to 49 U.S.C. 20105.

SEC. [185] 184. (a) None of the funds provided in this Act to the Department of Transportation may be used to make a loan, loan guarantee, line of credit, or discretionary grant unless the Secretary of Transportation notifies the House and Senate Committees on Appropriations not less than [3] 1 full business [days] day before any project competitively selected to receive any discretionary grant award, letter of intent, loan commitment, loan guarantee commitment, line of credit commitment, or full funding grant agreement [is announced by the Department or its modal administrations:] *totaling \$1,000,000 or more is announced by the Department or its modal administrations: Provided*, That the Secretary gives concurrent notification to the House and Senate Committees on Appropriations for any "quick release" of funds from the emergency relief program: *Provided further*, That no notification shall involve funds that are not available for obligation.

(b) In addition to the notification required in subsection (a), none of the funds made available in this Act to the Department of Transportation may be used to make a loan, loan guarantee, line of credit, cooperative agreement or discretionary grant unless the Secretary of Transportation provides the House and Senate Committees on Appropriations a comprehensive list of all such loans, loan guarantees, lines of credit, cooperative agreement or discretionary grants that will be announced not less the [3] 1 full business [days] day before such announcement: *Provided*, That the Department shall provide the list required in this subsection prior to the notification required in subsection (a): *Provided further*, That the requirement to provide a list in this subsection does not apply to any "quick release"

of funds from the emergency relief program: *Provided further*, That no list shall involve funds that are not available for obligation.

SEC. 185. Section 311 of title 49, United States Code, is amended by striking "3 full business days" each place it appears and inserting "1 full business day".

SEC. 186. Rebates, refunds, incentive payments, minor fees and other funds received by the Department of Transportation from travel management centers, charge card programs, the subleasing of building space, and miscellaneous sources are to be credited to appropriations of the Department of Transportation and allocated to elements of the Department of Transportation using fair and equitable criteria and such funds shall be available until expended.

SEC. 187. Amounts made available in this or any prior Act that the Secretary determines represent improper payments by the Department of Transportation to a third-party contractor under a financial assistance award, which are recovered pursuant to law, shall be available—

(1) to reimburse the actual expenses incurred by the Department of Transportation in recovering improper payments: *Provided*, That amounts made available in this Act shall be available until expended; and

(2) to pay contractors for services provided in recovering improper payments or contractor support in the implementation of the Improper Payments Information Act of 2002, as amended by the Improper Payments Elimination and Recovery Act of 2010 and Improper Payments Elimination and Recovery Improvement Act of 2012, and Fraud Reduction and Data Analytics Act of 2015: *Provided*, That amounts in excess of that required for paragraphs (1) and (2)—

(A) shall be credited to and merged with the appropriation from which the improper payments were made, and shall be available for the purposes and period for which such appropriations are available: *Provided further*, That where specific project or accounting information associated with the improper payment or payments is not readily available, the Secretary may credit an appropriate account, which shall be available for the purposes and period associated with the account so credited; or

(B) if no such appropriation remains available, shall be deposited in the Treasury as miscellaneous receipts: *Provided further*, That prior to depositing such recovery in the Treasury, the Secretary shall notify the House and Senate Committees on Appropriations of the amount and reasons for such transfer: *Provided further*, That for purposes of this section, the term "improper payments" has the same meaning as that provided in section 2(e)(2) of Public Law 111–204.]

SEC. [188] 187. Notwithstanding any other provision of law, if any funds provided in or limited by this Act are subject to a reprogramming action that requires notice to be provided to the House and Senate Committees on Appropriations, transmission of said reprogramming notice shall be provided solely to the House and Senate Committees on Appropriations], and said reprogramming action shall be approved or denied solely by the House and Senate Committees on Appropriations]: *Provided*, That the Secretary of Transportation may provide notice to other congressional committees of the action of the House and Senate Committees on Appropriations on such reprogramming but not sooner than 30 days following the date on which the reprogramming action has been [approved or denied by] transmitted to the House and Senate Committees on Appropriations.

SEC. [189] 188. Funds appropriated in this Act to the modal administrations may be obligated for the Office of the Secretary for the costs related to assessments or reimbursable agreements only when such amounts are for the costs of goods and services that are purchased to provide a direct benefit to the applicable modal administration or administrations.

SEC. [190] 189. The Secretary of Transportation is authorized to carry out a program that establishes uniform standards for developing and supporting agency transit pass and transit benefits authorized under section 7905 of title 5, United States Code, including distribution of transit benefits by various paper and electronic media.

SEC. 191. The Department of Transportation may use funds provided by this Act, or any other Act, to assist a contract under title 49 U.S.C. or title 23 U.S.C. utilizing geographic, economic, or any other hiring preference not otherwise authorized by law, or to amend a rule, regulation, policy or other measure that forbids a recipient of a Federal Highway Administration or Federal Transit Administration grant from imposing such hiring preference on a contract or construction project with which the Department of Transportation is assisting, only if the grant recipient certifies the following:

(1) that except with respect to apprentices or trainees, a pool of readily available but unemployed individuals possessing the knowledge, skill, and ability to perform the work that the contract requires resides in the jurisdiction;

(2) that the grant recipient will include appropriate provisions in its bid document ensuring that the contractor does not displace any of its existing employees in order to satisfy such hiring preference; and

(3) that any increase in the cost of labor, training, or delays resulting from the use of such hiring preference does not delay or displace any transportation project in the applicable Statewide Transportation Improvement Program or Transportation Improvement Program.]

SEC. 192. Section 502(b)(3) of the Railroad Revitalization and Regulatory Reform Act of 1976 (45 U.S.C. 822(b)(3)) is amended by striking "only during the 4-year period beginning on the date of enactment of the Passenger Rail Reform and Investment Act of 2015" and inserting "until September 30, 2020".]

SEC. [193] 190. The Secretary of Transportation shall coordinate with the Secretary of Homeland Security to ensure that best practices for Industrial Control Systems Procurement are up-to-date and shall ensure that systems procured with funds provided under this title were procured using such practices. (*Department of Transportation Appropriations Act, 2020.*)

GENERAL PROVISIONS—THIS ACT

SEC. 401. None of the funds in this Act shall be used for the planning or execution of any program to pay the expenses of, or otherwise compensate, non-Federal parties intervening in regulatory or adjudicatory proceedings funded in this Act.

SEC. 402. None of the funds appropriated in this Act shall remain available for obligation beyond the current fiscal year, nor may any be transferred to other appropriations, unless expressly so provided herein.

SEC. 403. The expenditure of any appropriation under this Act for any consulting service through a procurement contract pursuant to section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

SEC. 404. (a) None of the funds made available in this Act may be obligated or expended for any employee training that—

(1) does not meet identified needs for knowledge, skills, and abilities bearing directly upon the performance of official duties;

(2) contains elements likely to induce high levels of emotional response or psychological stress in some participants;

(3) does not require prior employee notification of the content and methods to be used in the training and written end of course evaluation;

(4) contains any methods or content associated with religious or quasi-religious belief systems or "new age" belief systems as defined in Equal Employment Opportunity Commission Notice N-915.022, dated September 2, 1988; or

(5) is offensive to, or designed to change, participants' personal values or lifestyle outside the workplace.

(b) Nothing in this section shall prohibit, restrict, or otherwise preclude an agency from conducting training bearing directly upon the performance of official duties.]

SEC. [405] 404. Except as otherwise provided in this Act, none of the funds provided in titles I or III of this Act, provided by previous appropriations Acts to the agencies or entities funded in titles I or III of this Act that remain available for obligation or expenditure in fiscal year [2020] 2021, or provided from any accounts in the Treasury derived by the collection of fees and available to the agencies funded by titles I or III of this Act, shall be available for obligation or expenditure through a reprogramming of funds that—

(1) creates a new program;

(2) eliminates a program, project, or activity;

(3) increases funds or personnel for any program, project, or activity for which funds have been denied or restricted by the Congress;

(4) proposes to use funds directed for a specific activity by either the House or Senate Committees on Appropriations for a different purpose;

(5) augments existing programs, projects, or activities in excess of \$5,000,000 or 10 percent, whichever is less;

(6) reduces existing programs, projects, or activities by \$5,000,000 or 10 percent, whichever is less; or

(7) creates, reorganizes, or restructures a branch, division, office, bureau, board, commission, agency, administration, or department different from the budget justifications submitted to the Committees on Appropriations or the table accompanying the joint explanatory statement accompanying this Act, whichever is more detailed, unless [prior approval is received from] notice is provided to the House and Senate Committees on Appropriations: *Provided*, That not later than 60 days after the date of enactment of this Act, each agency funded by titles I or III of this Act shall submit a report to the Committees on Appropriations of the Senate and of the House of Representatives to establish the baseline for application of reprogramming and transfer authorities for the current fiscal year: *Provided further*, That the report shall include—

(A) a table for each appropriation with a separate column to display the prior year enacted level, the President's budget request, adjustments made by Congress, adjustments due to enacted rescissions, if appropriate, and the fiscal year enacted level;

(B) a delineation in the table for each appropriation and its respective prior year enacted level by object class and program, project, and activity as detailed in this Act, the table accompanying the explanatory statement accompanying this Act, accompanying reports of the House and Senate Committee on Appropriations, or in the budget appendix for the respective appropriations, whichever is more detailed, and shall apply to all items for which a dollar amount is specified and to all programs for which new budget (obligational) authority is provided, as well as to discretionary grants and discretionary grant allocations; and

(C) an identification of items of special congressional interest.

SEC. [406]405. Except as otherwise specifically provided by law, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year [2020]2021 from appropriations made available for salaries and expenses for fiscal year [2020]2021 in this Act, shall remain available through September 30, [2021]2022, for each such account for the purposes authorized: *Provided*, That a [request]notification shall be submitted to the House and Senate Committees on Appropriations [for approval] prior to the expenditure of such funds: *Provided further*, That these requests shall be made in compliance with reprogramming guidelines under section [405]404 of this Act.

SEC. [407]406. No funds in this Act may be used to support any Federal, State, or local projects that seek to use the power of eminent domain, unless eminent domain is employed only for a public use: *Provided*, That for purposes of this section, public use shall not be construed to include economic development that primarily benefits private entities: *Provided further*, That any use of funds for mass transit, railroad, airport, seaport or highway projects, as well as utility projects which benefit or serve the general public (including energy-related, communication-related, water-related and wastewater-related infrastructure), other structures designated for use by the general public or which have other common-carrier or public-utility functions that serve the general public and are subject to regulation and oversight by the government, and projects for the removal of an immediate threat to public health and safety or brownfields as defined in the Small Business Liability Relief and Brownfields Revitalization Act (Public Law 107-118) shall be considered a public use for purposes of eminent domain.

[SEC. 408. None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by, or transfer authority provided in, this Act or any other appropriations Act.]

[SEC. 409. No part of any appropriation contained in this Act shall be available to pay the salary for any person filling a position, other than a temporary position, formerly held by an employee who has left to enter the Armed Forces of the United States and has satisfactorily completed his or her period of active military or naval service, and has within 90 days after his or her release from such service or from hospitalization continuing after discharge for a period of not more than 1 year, made application for restoration to his or her former position and has been certified by the Office of Personnel Management as still qualified to perform the duties of his or her former position and has not been restored thereto.]

SEC. [410]407. No funds appropriated pursuant to this Act may be expended by an entity unless the entity agrees that in expending the assistance the entity will comply with sections 2 through 4 of the Act of March 3, 1933 (41 U.S.C. 8301-8305, popularly known as the "Buy American Act").

SEC. [411]408. No funds appropriated or otherwise made available under this Act shall be made available to any person or entity that has been convicted of violating the Buy American Act (41 U.S.C. 8301-8305).

SEC. [412]409. None of the funds made available in this Act may be used for first-class airline accommodations in contravention of sections 301-10.122 and 301-10.123 of title 41, Code of Federal Regulations.

[SEC. 413. (a) None of the funds made available by this Act may be used to approve a new foreign air carrier permit under sections 41301 through 41305 of title 49, United States Code, or exemption application under section 40109 of that title of an air carrier already holding an air operators certificate issued by a country that is party to the U.S.-E.U.-Iceland-Norway Air Transport Agreement where such approval would contravene United States law or Article 17 bis of the U.S.-E.U.-Iceland-Norway Air Transport Agreement.

(b) Nothing in this section shall prohibit, restrict or otherwise preclude the Secretary of Transportation from granting a foreign air carrier permit or an exemption to such an air carrier where such authorization is consistent with the U.S.-E.U.-Iceland-Norway Air Transport Agreement and United States law.]

SEC. [414]410. None of the funds made available in this Act may be used to send or otherwise pay for the attendance of more than 50 employees of a single agency or department of the United States Government, who are stationed in the United States, at any single international conference unless the relevant Secretary reports to the House and Senate Committees on Appropriations at least 5 days in advance that such attendance is important to the national interest: *Provided*, That for purposes of this section the term "international conference" shall mean a conference occurring outside of the United States attended by representatives of the United States Government and of foreign governments, international organizations, or nongovernmental organizations.

[SEC. 415. None of the funds appropriated or otherwise made available under this Act may be used by the Surface Transportation Board to charge or collect any filing fee for rate or practice complaints filed with the Board in an amount in excess of the amount authorized for district court civil suit filing fees under section 1914 of title 28, United States Code.]

[SEC. 416. None of the funds made available by this Act may be used by the Department of Transportation, the Department of Housing and Urban Development, or any other Federal agency to lease or purchase new light duty vehicles for any executive fleet, or for an agency's fleet inventory, except in accordance with Presidential Memorandum-Federal Fleet Performance, dated May 24, 2011.]

SEC. [417]411. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.

[SEC. 418. (a) None of the funds made available in this Act may be used to deny an Inspector General funded under this Act timely access to any records, documents, or other materials available to the department or agency over which that Inspector General has responsibilities under the Inspector General Act of 1978 (5 U.S.C. App.), or to prevent or impede that Inspector General's access to such records, documents, or other materials, under any provision of law, except a provision of law that expressly refers to the Inspector General and expressly limits the Inspector General's right of access.

(b) A department or agency covered by this section shall provide its Inspector General with access to all such records, documents, and other materials in a timely manner.

(c) Each Inspector General shall ensure compliance with statutory limitations on disclosure relevant to the information provided by the establishment over which that Inspector General has responsibilities under the Inspector General Act of 1978 (5 U.S.C. App.).

(d) Each Inspector General covered by this section shall report to the Committees on Appropriations of the House of Representatives and the Senate within 5 calendar days any failures to comply with this requirement.]

SEC. [419]412. None of the funds appropriated or otherwise made available by this Act may be used to pay award or incentive fees for contractors whose performance has been judged to be below satisfactory, behind schedule, over budget, or has failed to meet the basic requirements of a contract, unless the Agency determines that any such deviations are due to unforeseeable events, government-driven scope changes, or are not significant within the overall scope of the project and/or program unless such awards or incentive fees are consistent with 16.401(e)(2) of the Federal Acquisition Regulations.

SEC. [420]413. Except as expressly provided otherwise, any reference to "this Act" contained in this division shall be treated as referring only to the provisions of this division.

[SEC. 421. None of the funds made available by this Act may be used in contravention of section 5309(d)(2) of title 49, United States Code.]

[SEC. 422. None of the funds made available by this division may be used to issue rules or guidance in contravention of section 1210 of Public Law 115-254 (132 Stat. 3442) or section 312 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5155).]

[SEC. 423. None of the funds made available by this division may be used in contravention of section 2635.702 of title 5, Code of Federal Regulations.]

[SEC. 424. Of the unobligated balances of funds remaining from—

(1) Public Law 91-605, and any other Act, appropriated to the "Rail Crossings Demonstration Projects" account under Treasury Account Fund Symbol 69X0555, a total of \$517,220.20 is hereby permanently rescinded;

(2) Public Law 92-18, and any other Act, appropriated to the "Darien Gap Highway" account under Treasury Account Fund Symbol 69X0553, a total of \$2,037,034.50 is hereby permanently rescinded;

(3) Public Law 93–87, and any other Act, appropriated to the "Alaska Highway" account under Treasury Account Fund Symbol 69X0537, a total of \$62,861.61 is hereby permanently rescinded;

(4) Public Law 94–387, and any other Act, appropriated to the "Railroad-Highway Crossings Demonstration Projects" account under Treasury Account Fund Symbol 69X0557, a total of \$2,035,137.12 is hereby permanently rescinded;

(5) Public Law 97–257, and any other Act, appropriated to the "Access Highways to Public Recreation Areas on Certain Lakes" account under Treasury Account Fund Symbol 69X0503, a total of \$352,333.19 is hereby permanently rescinded;

(6) Public Law 99–190, and any other Act, appropriated to the "Highway Beautification" account under Treasury Account Fund Symbol 69X0540, a total of \$488,909.57 is hereby permanently rescinded;

(7) Public Law 101–164, and any other Act, appropriated to the "Highway Demonstration Projects-Preliminary Engineering" account under Treasury Account Fund Symbol 69X0583, a total of \$2,601,431.71 is hereby permanently rescinded;

(8) Public Law 101–516, and any other Act, appropriated to the "Highway Demonstration Projects" account under Treasury Account Fund Symbol 69X0598, a total of \$1,341 is hereby permanently rescinded;

(9) Public Law 102–143, and any other Act, appropriated to the "Highway Studies Feasibility, Design, Environmental, Engineering" account under Treasury Account Fund Symbol 69X0533, a total of \$262,204.01 is hereby permanently rescinded;

(10) Public Law 103–331, and any other Act, appropriated to the "Surface Transportation Projects" account under Treasury Account Fund Symbol 69X0505, a total of \$573,097.13 is hereby permanently rescinded; and

(11) Public Law 107–87, and any other Act, appropriated to the "Miscellaneous Highway Project" account under Treasury Account Fund Symbol 69X0641, a total of \$11,003,637 is hereby permanently rescinded.】

【SEC. 425. (a) Section 127(l)(3)(A) of title 23, United States Code, is amended—

(1) in the matter preceding clause (i), in the first sentence, by striking "clause (i) or (ii)" and inserting "clauses (i) through (iv)"; and

(2) by adding at the end the following:

"(iii) The Wendell H. Ford (Western Kentucky) Parkway (to be designated as a spur of Interstate Route 69) from the interchange with the William H. Natcher Parkway in Ohio County, Kentucky, west to the interchange of the Western Kentucky Parkway with the Edward T. Breathitt (Pennyrile) Parkway.

"(iv) The Edward T. Breathitt (Pennyrile) Parkway (to be designated as a spur of Interstate Route 69) from Interstate 24, north to Interstate 69."

(b) DESIGNATION AS HIGH PRIORITY CORRIDOR.—Section 1105(c) of the Intermodal Surface Transportation Efficiency Act of 1991 (Public Law 102–240; 105 Stat. 2032; 131 Stat. 797) is amended by adding at the end the following:

"(91) The Wendell H. Ford (Western Kentucky) Parkway from the interchange with the William H. Natcher Parkway in Ohio County, Kentucky, west to the interchange of the Western Kentucky Parkway with the Edward T. Breathitt (Pennyrile) Parkway."

(c) DESIGNATION AS FUTURE INTERSTATE.—Section 1105(e)(5)(A) of the Intermodal Surface Transportation Efficiency Act of 1991 (Public Law 102–240; 109 Stat. 597; 131 Stat. 797) is amended in the first sentence by striking "and subsection (c)(90)" and inserting "subsection (c)(90), and subsection (c)(91)".

(d) NUMBERING OF PARKWAY.—Section 1105(e)(5)(C)(i) of the Intermodal Surface Transportation Efficiency Act of 1991 (Public Law 102–240; 109 Stat. 598; 126 Stat. 426; 131 Stat. 797) is amended by adding at the end the following: "The route referred to in subsection (c)(91) is designated as Interstate Route I-569."

(e) EXEMPTION.—Notwithstanding section 111 of title 23, United States Code, if the segment of highway described in paragraph (91) of section 1105(c) of the Intermodal Surface Transportation Efficiency Act of 1991 (Public Law 102–240; 105 Stat. 2032; 131 Stat. 797) is designated as a route on the Interstate System, any commercial establishment operating legally in a rest area on that segment before the date of that designation may continue to operate in the Interstate right-of-way, subject to the Interstate access standards established under section 111 of that title.】

(Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, 2020.)

