

Report Type: Annual Report

Year (Annual Report only): 2026

Date of Appointment: 01/2025

Date of Termination:

Appointment Type: Non-Career

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Zinberg, Joel M

Special Assistant to the President for Economic Policy, Trump-Vance (2025) - White House

Report Year: 2026

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Zinberg, Joel M [electronically signed on 03/22/2026 by Zinberg, Joel M in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Gast, Scott F, Certifying Official [electronically signed on 05/28/2026 by Gast, Scott F in Integrity.gov]

Other review conducted by

/s/ Riggs, Benjamin H, Ethics Official [electronically signed on 04/30/2026 by Riggs, Benjamin H in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME		CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Competitive Enterprise Institute		Washington DC, District of Columbia	Non-Profit	Senior Fellow	1/2020	1/2025
2	Paragon Health Institute		Arlington, Virginia	Non-Profit	Director of Public Health & American Well-Being Initiative	11/2021	1/2025
3	JINSA - Jewish Institute for National Security of America		Washington DC, District of Columbia	Non-Profit	Board of Directors	2/2020	1/2025
4	72A Realty Associates LLP	See Endnote	New York, New York	Real Estate Management Company	Consultant	5/2008	1/2025

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Competitive Enterprise Institute, 401(k) plan:	No			
1.1	Fidelity S&P 500	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.2	Vanguard Mid-Cap Growth	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.3	Vanguard Small Cap Growth	Yes	\$15,001 - \$50,000		None (or less than \$201)
2	Inherited IRA	No		cash distributions	\$21,129

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.1	Abbvie, Inc	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.2	Altria Group	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.3	Eaton Corp.	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.4	Pimco Dynamic Income	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.5	Reeve's Utility Income	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.6	Philip Morris	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.7	IShares Core S&P Small Cap	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.8	Schwab US Dividend	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.9	Vanguard Small Cap Value	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.10	Vanguard Mega Cap ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.11	Vanguard Mega Cap Value	Yes	\$50,001 - \$100,000		None (or less than \$201)
3	Sep IRA	No			
3.1	Abbott Labs	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.2	Abbvie Inc.	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.3	Altria Group	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.4	Ares Cap Corp	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.5	Astra Zeneca PLC	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.6	AT&T	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.7	Bank Montreal	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.8	Bank of America	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.9	Blackrock Taxable Muni Bonds	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.10	Bristol Myers Squibb	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.11	Carrier Global Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.12	Conoco Phillips	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.13	Diageo PLC	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.14	Eaton Corp.	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.15	Eversource Energy	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.16	Ge Aerospace	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.17	GE Healthcare Technology	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.18	GE Vernova	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.19	General Dynamics Corp.	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.20	Gilead Sciences	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.21	Honeywell Intl.	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.22	Johnson & Johnson	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.23	JP Morgan Chase	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.24	Kinder Morgan Inc.	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.25	Lockheed Martin	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.26	L3 Harris Technologies	N/A	\$50,001 - \$100,000		None (or less than \$201)
3.27	Marathon Petroleum	N/A	\$100,001 - \$250,000		None (or less than \$201)
3.28	Mondelez	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.29	Norfolk Southern	N/A	\$50,001 - \$100,000		None (or less than \$201)
3.30	Nuveen Preferred Income	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.31	Otis Worldwide Corp.	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.32	Pfizer	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.33	Philip Morris Intl.	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.34	Phillips 66	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.35	Pimco Corp Income	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.36	Pimco Corporate Opportunity	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.37	Pimco Dynamic Income	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.38	Pimco Income Strategy	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.39	Pimco Income Strategy II	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.40	Rayonier Advanced Materials	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.41	Reaves Utility Income	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.42	Roche Holding	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.43	RTX Corp.	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.44	Science Applications Int.	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.45	Shell PLC	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.46	Solventum	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.47	Southern Copper	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.48	Suncor Energy	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.49	Coca-Cola Co.	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.50	Valero Energy	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.51	Verizon Communications	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.52	Walmart	N/A	\$50,001 - \$100,000		None (or less than \$201)
3.53	Zimmer Biomet Holdings	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.54	3M Co.	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.55	Invesco Preferred ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.56	IShares Core S&P Small	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.57	Schwab US Dividend	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.58	Schwab US Large Cap	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.59	SPDR S&P 500 Growth ETF	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.60	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.61	Vanguard Mega Cap ETF	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.62	Vanguard Mega Cap Value	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.63	Vanguard Total Stock	Yes	\$100,001 - \$250,000		None (or less than \$201)
3.64	Victory Shares US Small Cap	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.65	Wisdom Tree US Small Cap	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.66	MFA Financial	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.67	Rayonier Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.68	Sunoco	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.69	Weyerhaeuser Co.	N/A	\$15,001 - \$50,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Competitive Enterprise Institute	Washington, District of Columbia	I continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	1/2021

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	New York Hotel Trades Council (Union for hotel and restaurant workers)	N/A		salary	
2	IRA	No			
2.1	Apple	N/A	\$250,001 - \$500,000		None (or less than \$201)
2.2	Abbvie Inc	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.3	Abbott Laboratories	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.4	Aflac Inc.	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.5	Alcon Inc.	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.6	Allegion PLC	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.7	Boeing	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.8	Bank of America	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.9	Biogen Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.10	Bristol-Myers Squibb	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.11	Boston Scientific	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.12	Carrier Global	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.13	Cigna Group	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.14	Colgate Palmolive	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.15	Diageo	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.16	EBay	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.17	Eaton Corp.	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.18	Fidelity Blue Chip Growth	Yes	\$250,001 - \$500,000		None (or less than \$201)
2.19	F N B Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.20	General Dynamics	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.21	Hershey Co.	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.22	IBM	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.23	Intel Corp.	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.24	Ingersoll Rand	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.25	Johnson & Johnson	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.26	JP Morgan Chase	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.27	Kraft Heinz Co.	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.28	Kimberly-Clark Corp.	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.29	Lockheed Martin Corp.	N/A	\$100,001 - \$250,000		None (or less than \$201)
2.30	McDonald's Corp.	N/A	\$100,001 - \$250,000		None (or less than \$201)
2.31	Mondelez	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.32	Medtronic PLC	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.33	3M Co.	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.34	Altria Group	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.35	Merck & Co,	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.36	Annaly Capital Management	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.37	Nestle	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.38	Novartis	N/A	\$100,001 - \$250,000		None (or less than \$201)
2.39	Otis	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.40	Pfizer	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.41	Procter & Gamble	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.42	Philip Morris	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.43	Paypal Hldgs	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.44	Qualcomm	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.45	Invesco QQQ Tr	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.46	Regency Centers Corp.	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.47	Roche Holdings	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.48	RTX Corp.	N/A	\$100,001 - \$250,000		None (or less than \$201)
2.49	Southern Copper Corp	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.50	Schwab US Large Cap	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.51	Sandoz Group	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.52	Solventum	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.53	Sunoco	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.54	Sysco	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.55	AT&T Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.56	Trane Technologies	N/A	\$100,001 - \$250,000		None (or less than \$201)
2.57	Unilever	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.58	United Parcel Service	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.59	ReavesUtility Fund	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.60	Valero Energy	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.61	Vanguard Total Market	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.62	Verizon	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.63	Zimmer Biomet	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.64	Zebra Technologies	N/A	\$50,001 - \$100,000		None (or less than \$201)
3	Icahn School of Medicine at Mount Sinai	No			
3.1	Vanguard Emerging Markets	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.2	Vanguard Developed Markets Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	Vanguard Extended Market Index Institutional	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	Vanguard Institutional Index Fund Institutional Plus	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.5	Vanguard Total Bond Market Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Icahn School of Medicine at Mount Sinai	No			
4.1	CREF Stock R3	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.2	American Funds Balanced Funds R6	Yes	\$50,001 - \$100,000		None (or less than \$201)
5	Fidelity Government Cash Reserves (FDRXX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Altria Group, Inc. (MO)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
2	Bristol-Myers Squibb (BMY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
3	Cigna Corp. (CI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	Honeywell International, Inc. (HON)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	IBM	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
6	The Kraft Heinz Co. (KHC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
7	L3Harris Technologies, Inc. (LHX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8	Merck & Co., Inc. (MRK)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
9	Mondelez International, Inc. (MDLZ)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
10	Pfizer Inc. (PFE)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
11	Philip Morris International, Inc. (PM)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
12	Procter & Gamble Co. (PG)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
13	The J. M. Smucker Co. (SJM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
14	Solventum	N/A	\$1,001 - \$15,000		None (or less than \$201)
15	3M Co. (MMM)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
16	Fidelity Government Money Market Fund (SPAXX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
17	Fidelity NY Muni Money Market Premium	Yes	\$1,001 - \$15,000		None (or less than \$201)
18	US. Bank #1 Savings	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
19	U.S. Bank #1 Checking	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20	U.S. Bank #2 Savings Account	N/A	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000
21	U.S. Bank#2 Checking Account	N/A	\$1,001 - \$15,000		None (or less than \$201)
22	U.S. Bank #3 Private Client Money Market	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
23	U.S. Bank #3 Business Money Market (20% interest)	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
24	U.S. Bank #3 Business Checking (20% interest)	N/A	\$1,001 - \$15,000		None (or less than \$201)
25	U.S. Treasury Bills	N/A	\$1,000,001 - \$5,000,000	Interest	\$50,001 - \$100,000
26	Puerto Rico Electric Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
27	Monroe County NY Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
28	Abbott Laboratories (ABT)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
29	AbbVie, Inc. (ABBV)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
30	Aflac, Inc. (AFL)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
31	Agilent Technologies, Inc. (A)	N/A	\$15,001 - \$50,000		None (or less than \$201)
32	Altria Group, Inc. (MO)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
33	American Express Co. (AXP)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
34	Ameriprise Financial, Inc. (AMP)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35	Amgen Inc. (AMGN)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
36	Analog Devices, Inc. (ADI)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
37	Applied Materials, Inc. (AMAT)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
38	AstraZeneca PLC American Depositary Shares (AZN)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
39	AT&T	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
40	The Bank of New York Mellon Corporation (BK)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
41	Biogen Inc. (BIIB)	N/A	\$15,001 - \$50,000		None (or less than \$201)
42	Boeing Co (BA)	N/A	\$100,001 - \$250,000		None (or less than \$201)
43	Bristol-Myers Squibb (BMY)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
44	Canadian National Railway Company Common Stock (CNI)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
45	Cannae Holdings, Inc. (CNNE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
46	Carrier Global Corporation Common Stock (CARR)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
47	Chevron Corp. (CVX)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
48	Church & Dwight Co., Inc. (CHD)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
49	Cisco Systems, Inc. (CSCO)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
50	Colgate-Palmolive Co. (CL)	N/A	\$1,000,001 - \$5,000,000	Dividends	\$15,001 - \$50,000
51	Comcast Corp. (CMCSA)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
52	Danaher Corp. (DHR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
53	Diageo plc Common Stock (DEO)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
54	Dominion Energy, Inc. (D)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
55	DT Midstream Inc. Common Stock (DTM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
56	DTE Energy Co. (DTE)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
57	Eli Lilly & Co. (LLY)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
58	Fidelity National Financial, Inc. (FNF)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
59	Fidelity National Information Services, Inc. (FIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
60	General Dynamics Corp. (GD)	N/A	\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000
61	Huntington Ingalls Industries, Inc. (HII)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
62	International Business Machines Corp. (IBM)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
63	Johnson & Johnson (JNJ)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
64	JPMorgan Chase & Co. (JPM)	N/A	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000
65	Keysight Technologies, Inc. (KEYS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
66	The Kraft Heinz Co. (KHC)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
67	L3Harris Technologies, Inc. (LHX)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
68	Marathon Petroleum Corp. (MPC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
69	Medtronic Plc (MDT)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
70	Mondelez International, Inc. (MDLZ)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
71	Otis Worldwide Corporation Common Stock (OTIS)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
72	Pfizer Inc. (PFE)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
73	Philip Morris International, Inc. (PM)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
74	Phillips 66 (PSX)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
75	The PNC Financial Services Group, Inc. (PNC)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
76	Raytheon Technologies Corporation Common Stock (RTX)	N/A	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000
77	Science Applications International Corp. (SAIC)	N/A	\$1,001 - \$15,000		None (or less than \$201)
78	Solventum corp. (SOLV)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
79	Sysco Corp. (SYY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
80	The Coca-Cola Co. (KO)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
81	Toronto Dominion Bank (The) Common Stock (TD)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
82	Veralto Corp. (VLTO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
83	Viking Therapeutics, Inc. (VKTX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
84	Walmart, Inc. (WMT)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
85	Zimmer Biomet Holdings, Inc. (ZBH)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
86	3M Co. (MMM)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
87	iShares Core S&P Small-Cap ETF (IJR)	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
88	Schwab US Large-Cap Growth ETF (SCHG)	Yes	\$250,001 - \$500,000		\$201 - \$1,000
89	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
90	Apple, Inc. (AAPL)	N/A	\$500,001 - \$1,000,000	Dividends	\$1,001 - \$2,500
91	Ameren Corp. (AEE)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
92	Bank Of Montreal Common Stock (BMO)	N/A	\$50,001 - \$100,000		\$2,501 - \$5,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
93	Bank of America Corp. (BAC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
94	Cheniere Energy, Inc. (LNG)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
95	Costco Wholesale Corp. (COST)	N/A	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500
96	CSX Corp. (CSX)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
97	Eaton Corp. Plc (ETN)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
98	Elbit Systems Ltd. Ordinary Shares (ESLT)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
99	Eversource Energy (ES)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
100	Five Star Bancorp Common Stock (FSBC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
101	Gilead Sciences, Inc. (GILD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
102	Haleon plc American Depositary Shares (Each representing two Ordinary Shares) (HLN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
103	Ipsen S A (IPSEY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
104	Ligand Pharmaceuticals, Inc. (LGND)	N/A	\$1,001 - \$15,000		None (or less than \$201)
105	Lowe's Cos., Inc. (LOW)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
106	Mastercard, Inc. (MA)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
107	Merck & Co., Inc. (MRK)	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
108	Nestle S A (NSRGY)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
109	NICE Ltd American Depositary Shares (NICE)	N/A	\$100,001 - \$250,000		None (or less than \$201)
110	Norfolk Southern Corp. (NSC)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
111	Northrop Grumman Corp. (NOC)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
112	Reaves Utility Income Fund (UTG)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
113	Roche Hldg Ltd (RHHBY)	N/A	\$100,001 - \$250,000		\$1,001 - \$2,500
114	Rockwell Automation, Inc. (ROK)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
115	Royal Bank Of Canada Common Stock (RY)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
116	Thermo Fisher Scientific, Inc. (TMO)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
117	Union Pacific Corp. (UNP)	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
118	U.S. Bancorp (USB)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
119	Verizon Communications, Inc. (VZ)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
120	Invesco S&P MidCap Quality ETF (XMHQ)	Yes	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
121	Invesco QQQ Trust, Series 1 (QQQ)	Yes	\$500,001 - \$1,000,000		\$2,501 - \$5,000
122	iShares Morningstar Mid-Cap Growth ETF (IMCG)	Yes	\$50,001 - \$100,000		None (or less than \$201)
123	iShares Morningstar Small-Cap Value ETF (ISCV)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
124	Schwab US Dividend Equity ETF (SCHD)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
125	SPDR Portfolio S&P 1500 Composite Stock Market ETF (SPTM)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
126	SPDR Portfolio S&P 500 Growth ETF (SPYG)	Yes	\$250,001 - \$500,000		\$1,001 - \$2,500
127	SPDR S&P Dividend ETF (SDY)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
128	Vanguard Mega Cap Index Fund ETF Shares (MGC)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
129	Vanguard Mega Cap Growth Index Fund ETF Shares (MGK)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
130	Vanguard Mega Cap Value Index Fund ETF Shares (MGV)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
131	Vanguard S&P 500 ETF (VOO)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
132	Vanguard Small-Cap Growth Index Fund ETF Class Shares (VBK)	Yes	\$15,001 - \$50,000		None (or less than \$201)
133	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
134	Schwab New York Municipal Money Fund Ultra Shares (SNYXX)	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
135	Schwab Treasury Obligations Money Fund Ultra Shares (SCOXX)	Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
136	Schwab US Treasury money (SUTXX)		Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
137	Schwab Value Advantage Money Fund Ultra Class Shares (SNAXX)		Yes	None (or less than \$1,001)		None (or less than \$201)
138	Arctaris Opportunity Zone Fund 2022	See Endnote	No	\$250,001 - \$500,000		None (or less than \$201)
139	BGA Opportunity Zone Fund 2022	See Endnote	No	\$250,001 - \$500,000		None (or less than \$201)
140	BGA Horizon Fund Arc 70IV series	See Endnote	No	\$100,001 - \$250,000		None (or less than \$201)
141	Federal Home Loan Bank (10/14/2027) Bond		N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
142	Federal Home Loan Bank (12/20/27) Bond		N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
143	Federal Home Loan Bank (1/14/2028) Bond		N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
144	BAC Capital Trust Bonds		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
145	JPMorgan Chase Bond		N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
146	Citigroup Global MA (3/30/2027) Bond		N/A	\$50,001 - \$100,000		None (or less than \$201)
147	Citigroup Global MA (6/23/27) Bond		N/A	\$15,001 - \$50,000		None (or less than \$201)
148	JPMorgan Chase Finl Bond		N/A	\$100,001 - \$250,000		None (or less than \$201)
149	Morgan Stanley Financial (10/4/27) Bond		N/A	\$500,001 - \$1,000,000		None (or less than \$201)
150	Morgan Stanley Fin (10/3/30) Bond		N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
151	Broome Cnty NY Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
152	Mastic Beach NY Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
153	Oneida Cnty NY Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
154	New York, NY City (11/1/28) Bond	N/A	\$15,001 - \$50,000		\$201 - \$1,000
155	New York, NY City (11/1/27) Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
156	Auburn NY City Sch Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
157	Hannibal NY Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
158	Holland NY Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
159	North Salem NY Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
160	Madison CNTY NY Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
161	New York St. Dorm Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
162	New York, NY Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
163	New York NY Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
164	New York, NY Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
165	Mount Vernon NY Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
166	New York State Dorm Auth Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
167	New York NY City	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
168	Cayuga Cnty NY Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
169	New York NY City T Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
170	New York NY City T Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
171	Orange Cnty NY Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
172	New York St Dorm Auth Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
173	New York NY City Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
174	Three VLG Cent Sch Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
175	Hamburg NY Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
176	Suffolk Cnty NY Bond	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
177	Watervliet NY City Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
178	Orange CNTY NY Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
179	New York NY City T Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
180	Pocantico Hills Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
181	Eastport South Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
182	New York NY Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
183	North Babylon NY Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
184	Yonkers NY Bond (8/1/26)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
185	Yonkers NY Bond (9/1/26)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
186	Mount Vernon NY Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
187	New York NY City H Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
188	New York NY Cliy T Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
189	Pelham NY Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
190	New York NY City T	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
191	Orange CNTY NY Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
192	Oyster Bay NY Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
193	Niskayuna NY Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
194	New York NY CI Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
195	New York NY City M Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
196	New York St Environ Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
197	Rockville Centre NY Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
198	Lynbrook NY Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
199	New York NY City T	N/A	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000
200	Clifton Park NY WT Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
201	New York NY City T	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
202	Metropolitan Transit Auth	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
203	Sparkill-Palisade	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
204	Port Auth NY & NJ Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
205	Dutchess CNTY NY Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
206	Islip NY UN Free Sch Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
207	Three Village Cent Sch Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
208	New York NY City T Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
209	Double Branch CM Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
210	New York St HSG Bond	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
211	New York NY Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
212	New York St Dorm Auth Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
213	Jerusalem NY Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
214	New York NY City T Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
215	Nassau CNTY NY Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
216	New York NY City Bond (11/1/45)	N/A	\$1,001 - \$15,000	Interest	None (or less than \$201)
217	New York NY City Bond (7/1/46)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
218	New York NY City Bond (6/15/47)	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
219	PHRTA Sr Lien Ser J Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
220	MTA Hudson Rail Yards Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
221	New York St HSG F Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
222	Checkpoint Software (CHKP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
223	Lockheed Martin Corp. (LMT)	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000
224	Northwestern Energy Group (NWE)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
225	PIMCO Income Strategy Fund II (PFN)	Yes	\$1,001 - \$15,000		None (or less than \$201)
226	Suncor Energy Inc. Common Stock (SU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
227	Valero Energy Corp. (VLO)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
228	Invesco S&P MidCap Quality ETF (XMHQ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
229	iShares Morningstar Small-Cap Value ETF (ISCV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
230	iShares S&P Small-Cap 600 Value ETF (IJS)	Yes	\$1,001 - \$15,000		None (or less than \$201)
231	U.S. Bank #4 Personal Money Market (cash)	N/A	\$250,001 - \$500,000	Interest	\$5,001 - \$15,000
232	U.S. Bank #4 Business Money Market (cash)	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
233	WisdomTree U.S. Value Fund N/A (WTV)	Yes	\$15,001 - \$50,000		None (or less than \$201)
234	WisdomTree US LargeCap Fund (EPS)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
235	Schwab Fundamental US Large Company Index ETF (FNDX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
236	Vanguard International Dividend Appreciation Index Fund ETF Shares (VIGI)	Yes	\$15,001 - \$50,000		None (or less than \$201)
237	BGA DC BLOX	No	\$100,001 - \$250,000		None (or less than \$201)
238	iShares S&P 100 ETF (OEF)	Yes	\$15,001 - \$50,000		None (or less than \$201)
239	Port Chester, NY Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
240	Ithaca Lansing Dryden NY Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
241	North Hempstead, NY Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
242	North Hempstead, NY Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
243	New York, N.Y. Water & Sewer Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
244	Brookhaven, N.Y. Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
245	Garden City, N.Y. Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
246	Farmingdale, N.Y. Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
247	Mount Vernon, N.Y. Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
248	Mount Vernon, N.Y. Bond	N/A	\$1,001 - \$15,000		None (or less than \$201)
249	Suffolk Cty, N.Y.	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
250	Middle Cty Central School Bond	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
251	New York, N.Y. Tax Bond	N/A	\$15,001 - \$50,000		None (or less than \$201)
252	New York State HSG Rev Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
253	New York State Mortgage Auth.	N/A	\$1,001 - \$15,000		None (or less than \$201)
254	NY State Mortgage Auth. Bond	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Battery Global Advisors DC BLOX	Purchase	07/02/2025	\$100,001 - \$250,000
2	Port Chester NY GO Bond	Purchase	08/20/2025	\$1,001 - \$15,000
3	Ithaca, Lansing, Dryden NY GO Bond	Purchase	08/21/2025	\$15,001 - \$50,000
4	Endicott, NY Bond	Sale	08/22/2025	\$15,001 - \$50,000
5	North Hempstead, N.Y. GO Bond	Purchase	08/29/2025	\$1,001 - \$15,000
6	Middle County Central School GO Bond	Purchase	08/29/2025	\$15,001 - \$50,000
7	Suffolk County NY Bond	Purchase	08/29/2025	\$1,001 - \$15,000
8	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	08/29/2025	\$1,001 - \$15,000
9	Federal Farm Credit Bank	Sale	08/27/2025	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
10	New York, NY Bond	Sale	08/28/2025	\$15,001 - \$50,000
11	Mount Vernon, N.Y. Bond	Purchase	09/10/2025	\$1,001 - \$15,000
12	Garden City, N.Y. Bond	Purchase	09/10/2025	\$1,001 - \$15,000
13	Bristol-Myers Squibb (BMY)	Sale	09/09/2025	\$15,001 - \$50,000
14	Thermo Fisher Scientific, Inc. (TMO)	Sale	09/09/2025	\$15,001 - \$50,000
15	Schwab Fundamental US Large Company Index ETF (FNDX)	Purchase	09/08/2025	\$1,001 - \$15,000
16	United Parcel Service, Inc. (UPS)	Sale	09/08/2025	\$15,001 - \$50,000
17	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	09/08/2025	\$15,001 - \$50,000
18	NY State Housing Finance Bond	Purchase	09/24/2025	\$15,001 - \$50,000
19	Brookhaven, NY GO Bond	Purchase	09/18/2025	\$15,001 - \$50,000
20	New York, NY Water Sewer Bond	Purchase	09/18/2025	\$1,001 - \$15,000
21	Schenectady, NY Bond	Sale	09/15/2025	\$15,001 - \$50,000
22	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	10/10/2025	\$1,001 - \$15,000
23	Bristol-Myers Squibb (BMY)	Sale	10/10/2025	\$1,001 - \$15,000
24	Farmingdale, NY Bond	Purchase	10/08/2025	\$15,001 - \$50,000
25	North Hempstead, N.Y. Bond	Purchase	10/07/2025	\$1,001 - \$15,000
26	New York State Dormitory Authority Bond	Sale	10/01/2025	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
27	Vanguard Mega Cap Index Fund ETF Shares (MGC)	Purchase	09/25/2025	\$15,001 - \$50,000
28	Vanguard Small-Cap Value Index Fund ETF Class Shares (VBR)	Purchase	09/25/2025	\$50,001 - \$100,000
29	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	09/25/2025	\$15,001 - \$50,000
30	Vanguard International Dividend Appreciation Index Fund ETF Shares (VIGI)	Purchase	09/25/2025	\$15,001 - \$50,000
31	VictoryShares US Small Cap High Div Volatility Wtd Index ETF (CSB)	Sale	09/25/2025	\$15,001 - \$50,000
32	WisdomTree US SmallCap Dividend Fund (DES)	Sale	09/25/2025	\$50,001 - \$100,000
33	Vanguard International Dividend Appreciation Index Fund ETF Shares (VIGI)	Purchase	10/15/2025	\$1,001 - \$15,000
34	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	10/15/2025	\$15,001 - \$50,000
35	NY State Housing Revenue Bond	Purchase	10/15/2025	\$1,001 - \$15,000
36	Schwab Fundamental US Large Company Index ETF (FNDX)	Purchase	11/17/2025	\$1,001 - \$15,000
37	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)	Purchase	11/17/2025	\$1,001 - \$15,000
38	New York State Mortgage Auth.. Bond	Purchase	11/14/2025	\$1,001 - \$15,000
39	Schwab Fundamental US Large Company Index ETF (FNDX)	Purchase	11/06/2025	\$1,001 - \$15,000
40	Mount Vernon, NY Bond	Purchase	11/06/2025	\$1,001 - \$15,000
41	Suffolk Cty, NY Bond	Purchase	11/05/2025	\$15,001 - \$50,000
42	Diageo plc Common Stock (DEO)	Sale	11/05/2025	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
43	NY State Housing Finance	See Endnote	Sale	11/03/2025	\$1,001 - \$15,000
44	New York, NY Bond	See Endnote	Sale	11/03/2025	\$1,001 - \$15,000
45	Hastings on Hudson , NY Bond	See Endnote	Sale	11/03/2025	\$1,001 - \$15,000
46	Schwab Fundamental US Large Company Index ETF (FNDX)		Purchase	10/29/2025	\$1,001 - \$15,000
47	ConocoPhillips (COP)		Sale	10/23/2025	\$1,001 - \$15,000
48	Schwab Fundamental US Large Company Index ETF (FNDX)		Purchase	11/17/2025	\$1,001 - \$15,000
49	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)		Purchase	11/17/2025	\$1,001 - \$15,000
50	New York State Mortgage Auth.. Bond		Purchase	11/14/2025	\$1,001 - \$15,000
51	Altria Group, Inc. (MO)		Sale	12/04/2025	\$1,001 - \$15,000
52	New York, N.Y. Tax Bond		Purchase	12/11/2025	\$15,001 - \$50,000
53	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)		Purchase	12/11/2025	\$1,001 - \$15,000
54	Raytheon Technologies Corporation Common Stock (RTX)		Purchase	12/01/2025	\$1,001 - \$15,000
55	East Ramapo Bond	See Endnote	Sale	12/15/2025	\$1,001 - \$15,000
56	Schwab Fundamental US Large Company Index ETF (FNDX)		Purchase	12/15/2025	\$1,001 - \$15,000
57	Vanguard Dividend Appreciation Index Fund ETF Class Shares (VIG)		Purchase	12/15/2025	\$1,001 - \$15,000
58	iShares S&P 100 ETF (OEF)		Purchase	12/26/2025	\$15,001 - \$50,000
59	Mondelez International, Inc. (MDLZ)		Sale	12/26/2025	\$1,001 - \$15,000
60	Erie County, NY Bond	See Endnote	Sale	12/16/2025	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
61	Utility Debt Security Bond	See Endnote	Sale	12/15/2025	\$15,001 - \$50,000
62	Colgate-Palmolive Co. (CL)	See Endnote	Sale	12/31/2025	\$1,001 - \$15,000
63	WisdomTree U.S. Value Fund N/A (WTV)		Purchase	12/31/2025	\$1,001 - \$15,000
64	WisdomTree U.S. Value Fund N/A (WTV)		Purchase	12/29/2025	\$1,001 - \$15,000
65	Wisdom Tree U.S. Large Cap (EPS)		Purchase	12/29/2025	\$1,001 - \$15,000
66	WisdomTree U.S. Value Fund N/A (WTV)		Purchase	12/18/2025	\$1,001 - \$15,000
67	WisdomTree US LargeCap Fund (EPS)		Purchase	12/18/2025	\$1,001 - \$15,000
68	Eaton Corp. Plc (ETN)		Purchase	12/17/2025	\$1,001 - \$15,000
69	iShares S&P 100 ETF (OEF)		Purchase	12/26/2025	\$15,001 - \$50,000
70	Mondelez International, Inc. (MDLZ)		Sale	12/26/2025	\$1,001 - \$15,000
71	Erie County, NY Bond	See Endnote	Sale	12/16/2025	\$1,001 - \$15,000
72	Utility Debt Security Bond	See Endnote	Sale	12/15/2025	\$15,001 - \$50,000
73	Colgate-Palmolive Co. (CL)	See Endnote	Sale	12/31/2025	\$1,001 - \$15,000
74	WisdomTree U.S. Value Fund N/A (WTV)		Purchase	12/31/2025	\$1,001 - \$15,000
75	WisdomTree U.S. Value Fund N/A (WTV)		Purchase	12/29/2025	\$1,001 - \$15,000
76	Wisdom Tree U.S. Large Cap (EPS)		Purchase	12/29/2025	\$1,001 - \$15,000
77	WisdomTree U.S. Value Fund N/A (WTV)		Purchase	12/18/2025	\$1,001 - \$15,000
78	WisdomTree US LargeCap Fund (EPS)		Purchase	12/18/2025	\$1,001 - \$15,000
79	Eaton Corp. Plc (ETN)		Purchase	12/17/2025	\$1,001 - \$15,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
1.	4	I ceased providing consulting services to the LLP upon my appointment but retain my position as a limited partner.
6.	138	Investments in broad band infrastructure and real estate in underserved communities.
6.	139	Investments in real estate projects in underserved communities.
6.	140	Pooled investment fund investing in fixed income securities as determined by fund manager.
7.	43	Redemption/Maturity of Bond
7.	44	Redemption/maturity of bond
7.	45	Redemption/Maturity of bond
7.	55	Bond matured
7.	60	Bond was called
7.	61	Bond was called.
7.	62	Shares transferred to non-profit; charitable contribution.
7.	71	Bond was called

PART	#	ENDNOTE
7.	72	Bond was called.
7.	73	Shares transferred to non-profit; charitable contribution.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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